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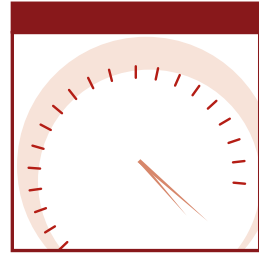
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# **Java™ in 60 Minutes a Day**





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Richard F. Raposa



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Published by Wiley Publishing, Inc., Indianapolis, Indiana

Published simultaneously in Canada

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*Library of Congress Cataloging-in-Publication Data: See Publisher*

ISBN: 0-471-42314-9

Printed in the United States of America

10 9 8 7 6 5 4 3 2 1

## A Note from the Consulting Editor

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Instructor-led training is proven to be an effective and popular tool for training engineers and developers. To convey technical ideas and concepts, the classroom experience is shown to be superior when compared to other delivery methods. As a technical trainer for more than 20 years, I have seen the effectiveness of instructor-led training firsthand. *60 Minutes a Day* combines the best of the instructor-led training and book experience. Technical training is typically divided into short and discrete modules, where each module encapsulates a specific topic; each module is then followed by “questions and answers” and a review. *60 Minutes a Day* titles follow the same model: each chapter is short, discrete, and can be completed in 60 minutes a day. For these books, I have enlisted premier technical trainers as authors. They provide the voice of the trainer and demonstrate classroom experience in each book of the series. You even get an opportunity to meet the actual trainer: As part of this innovative approach, each chapter of a *60 Minutes a Day* book is presented online by the author. Readers are encouraged to view the online presentation before reading the relevant chapter. Therefore, *60 Minutes a Day* delivers the complete classroom experience—even the trainer.

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Thank you.

Donis Marshall  
Founder, Gearhead Press  
Consulting Editor, Wiley Technology Publishing Group



*To my wife, Susan, for her motivation and support, and to our children, Megan, Ryan, Katelyn, and Emma, for letting me use the computer for hours at a time.*







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# Acknowledgments

I would like to thank the editors for their hard work on this book: J.W. (Jerry) Olsen, Nancy Sixsmith, and Susan Hobbs, and everyone at Wiley Publishing who helped in this project, especially Ben Ryan. Thanks also to Donis Marshall for the opportunity to write a book for the *60 Minutes a Day* series as well as to Jerry for managing the editors on behalf of Gearhead.

And then there is everyone out there who played a role, whether small or large, in my writing of this book: Susan Raposa, [javalicense.com](http://javalicense.com), my Mom (who can read it now), and most importantly, Megan, Ryan, Katelyn, and Emma.

And finally, to all of those who kept asking me when my book would be published: Leo and Linda Schaeffbauer; Steve, Beth, Geoffrey, Nathan, and Aurora Venteicher; Michael and Tammy Schaeffbauer; David Schaeffbauer; Betty Haefner; Mark, Margaret, Marie, Melissa, and Jay VanDerWerff; Michele, Gabe, and Seth Raposa; Allen, Denise, Joseph, Rechele, Kathalena, Kurstin, Joshua, and Kristina Raposa; Dave, Maryann, Daniel, Duke, Davey, Dylan, and Darby Knoll; and Barb and Steve Sachs.





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# Introduction

## ***An Overview of Java in 60 Minutes a Day***

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I will never forget taking my first Java class at Sun Microsystems in Dallas, Texas, in May, 1998. I had heard the many promises about Java and how it would revolutionize software development, but I was skeptical and arrogant as I sat in the back of the class anxious to make life hard on the instructor.

At the time, I was programming and teaching C++, mostly Visual C++ and the Microsoft Foundation Classes. For some reason, after I learned C++, I figured that would be the last programming language I would ever need to learn. My boss, on the other hand, had different ideas, because I was slated to become a Sun Certified Java Instructor.

Contrary to my expectations, I was blown away by Java! It was logical, predictable, powerful, and simple (compared to C++). Sun had taken the best of the existing object-oriented programming languages and removed many of the idiosyncrasies and problem areas. And the best part: Java is platform independent! You write a program once, and it can be executed on different operating systems and devices without your even having to recompile your code.

I have been travelling the country teaching Java now for the last 5 years, and I still get excited about standing up in front of a classroom of students who are seeing Java for the first time. One of my goals was to capture that enthusiasm on the pages of this book. I want you to appreciate why Java has become one of the most popular and widely used programming languages in software development today.



## How This Book Is Organized

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The goal of this book is for you to be able to study each chapter in one hour, like a student sitting through a one-hour lecture. After you finish a chapter, there are labs that solidify what you learned by having you write code. You will also find review questions and answers at the end of each chapter to help you review the key points of the chapter. Also throughout the book are Classroom Q&A sections where I answer questions that I have frequently been asked by students in the classroom.

The book contains 19 chapters. The first eight chapters discuss the fundamentals of the Java language, and should be read in order. The order of the last 11 chapters isn't quite as important, although you will find that many of the labs build on the ones from previous chapters. The following sections describe what you will learn in this book's chapters.

### Chapter 1: Getting Started with Java

It just wouldn't be a programming class if I didn't start with the "Hello, World" application. In Chapter 1, you will learn what all the hype is about with Java. I will discuss the life cycle of a Java program, then you will see how to write, compile, and execute a Java program using the Java 2 Platform, Standard Edition (J2SE) Standard Developer Kit (SDK).

Here's a tip: If you have a slow Internet connection, you might want to start downloading the J2SE SDK before you start reading the chapter.

### Chapter 2: Java Fundamentals

This chapter covers the fundamentals of Java, such as keywords, the built-in data types, strings, variables, references, and arithmetic operators. The information in this chapter establishes the foundation for the remainder of the book, so take your time and make sure you understand everything.

If you are a C or C++ programmer, don't skip over this chapter thinking you already know what's in it. Java looks similar to C++, but it behaves quite differently.

### Chapter 3: Control Structures

In this chapter, you will learn the various control structures in Java and the details of how to use them, including if/else, switch, do/while, and if statements. I will also cover Boolean operators and the truth tables.

There are some fun labs in this chapter, including one where you write a program to simulate the Powerball lottery.

## Chapter 4: Classes and Objects

In my opinion, this is the most important chapter in the book, whether or not you are new to object-oriented programming (OOP). Java is purely object-oriented, so to be a Java programmer is to understand classes and objects. In this chapter, you will learn how to think like an object-oriented programmer, as opposed to thinking procedurally. The basics of OOP are discussed: that objects consist of attributes and behaviors, and that classes describe objects. I will also briefly discuss the Unified Modeling Language (UML) and give you a taste of Object Oriented Analysis and Design (OOAD). The important topic of Java references is also covered in detail.

Spend extra time on this chapter if you need to, because all of the topics require your complete understanding before you can write Java programs.

## Chapter 5: Methods

The behaviors of an object becomes methods in a class. By Chapter 5, you will be familiar with writing classes, so it's time to discuss all of the details about writing and invoking Java methods. Topics covered in this chapter include the method call stack, method signatures, parameters, arguments, method overloading, constructors, and the always-important discussion of call-by-value in Java.

The labs in this chapter give you the opportunity to really get a feel for objects and OOP. You will write classes, instantiate objects, and invoke methods on those objects.

## Chapter 6: Understanding Inheritance

Object-oriented programming has four major aspects: inheritance, encapsulation, polymorphism, and abstraction. This chapter focuses on the most important of the four: inheritance. A new child class can be written that extends an existing class, inheriting the attributes and behaviors of its parent. This chapter discusses when and how to use inheritance, including the "is a" relationship, the extends keyword, the Object class, method overriding, and a repeat discussion on constructors and how they are affected by inheritance.

If I were to rank chapters in order of their importance, I would put this one second behind Chapter 4, "Classes and Objects." An understanding of inheritance is essential to understanding the remaining chapters of the book.

## Chapter 7: Advanced Java Language Concepts

In this chapter, I tie up some loose ends and discuss the details of some of the more advanced topics of Java. Topics covered in this chapter include packages,

the access specifiers, encapsulation, static fields and methods, and the javadoc tool.

Some of these topics, such as packages and the javadoc tool, are of special interest because they are concepts unique to Java. I think javadoc is one of the most impressive features of the Java language, as you may also agree after you see how it works.

## **Chapter 8: Polymorphism and Abstraction**

Polymorphism is the capability of an object to take on different forms. Abstraction refers to the use of abstract classes, classes that cannot be instantiated. In this chapter, I discuss the details of these two object-oriented concepts, including polymorphic parameters, heterogeneous collections, the instanceof keyword, virtual methods, and abstract methods.

This is likely the most difficult chapter in the book. The concept of polymorphism is crucial but difficult to explain, so I make an asserted effort to simplify my discussions. Read this chapter carefully, and refer back to it whenever you need to.

## **Chapter 9: Collections**

After eight days of building a foundation for programming in Java, you will now be ready to start using some of the many Java APIs that compose the Java 2 Platform, Standard Edition (J2SE). Chapter 9 covers the classes in the Java Collections API. If you have ever had to write code to create a linked list, hash table, tree, or other data structure, you will be happy to find that the J2SE contains classes for all the commonly used data structures.

This is a useful chapter for anyone, no matter what types of problems you will be solving in your Java programming future.

## **Chapter 10: Interfaces**

The Java language contains the concept of interfaces, which allow you to create data types based on a set of behaviors. A class implements an interface, thereby causing the class to take on the data type of the interface. The class must also implement the methods of the interface, which is how interfaces can be used to force behavior on classes.

This chapter covers the details of writing and implementing interfaces. Knowledge of interfaces is an absolute must in Java, so study this chapter closely.

## Chapter 11: Exception Handling

Exception handling is a built-in feature of Java, and you need to know how to catch an exception before continuing further in the book. This chapter discusses the two types of exceptions: runtime and checked. You will learn the details of a try/catch block and how it affects the flow of control of a method. Other topics include the Handle or Declare Rule, the finally keyword, and writing user-defined exceptions.

## Chapter 12: Introduction to GUI Programming

Now, we get to the fun part of Java: GUI (graphical user interface) programming. I am still impressed with the ability to be able to write a GUI program that runs on different operating systems. In this chapter, you will learn how to lay out GUI components in a container using the various layout managers. You have two options in Java for creating a GUI: AWT or Swing. This chapter compares these two APIs and shows you how to use them both.

The labs in this chapter are the start of a project that has you create an Instant Messaging application. The program will gradually evolve throughout the rest of the book.

## Chapter 13: GUI Components and Event Handling

There is a lot of information in creating GUIs and handling the events of the components, so I separated the topics into two days. In this chapter, you will learn how to handle the events from the GUIs you created in the previous chapter. Different components generate different types of events, and my goal in this chapter is to show you how to determine for yourself what types of events a component generates. Event handling is accomplished using the Delegation Model, which I discuss in detail.

By the end of this chapter, you will be able to write fully functional Java GUI applications.

## Chapter 14: Applets

An applet is a Java program that runs in a Web browser. Applets are actually GUI containers, so you will be writing applets in no time, knowing what you learned in the previous two chapters. This chapter discusses the details of writing applets and embedding them in an HTML page.

Don't worry if you are new to HTML. I will show you enough so that you can create simple Web pages containing your applets.

## **Chapter 15: Threads**

Java has built-in support for threads. In this chapter, I will discuss the details of multithreaded Java applications, including how to write and start a thread, the life cycle of a thread, and a discussion of synchronization. You will learn three techniques for writing and starting a thread: implementing the Runnable interface, extending the Thread class, and using the Timer class. The wait() and notify() methods of the Object class are also discussed in detail.

You can do some fun things with threads, as you will discover by doing the labs in this chapter.

## **Chapter 16: Input and Output**

The java.io package contains some great classes for performing just about any type of input and output you will need to perform in a Java program. This chapter discusses how to find and use the classes you need from the java.io package. Topics covered include a comparison of streams vs. readers and writers, chaining streams together, high-level and low-level streams, and serialization, another one of those subtle but powerful features of the Java language.

J2SE 1.4 introduced new classes for performing error and message logging, the details of which are covered also.

## **Chapter 17: Network Programming**

By this point in the book, you will begin to realize how Java simplifies common programming tasks, allowing you to focus on the problem at hand, and this chapter is yet another example. I will discuss the various classes in the java.net package that allow you to perform network programming. Topics discussed include creating socket connections using TCP/IP, creating secure socket connections using the Java Secure Sockets Extension, sending datagram packets using the User Datagram Protocol (UDP), and how to connect to and read from a URL.

In the labs in this chapter, you will finish up the Instant Message application, which will allow you to send instant messages between multiple computers on a network or over the Internet.

## **Chapter 18: Database Programming**

In this chapter, I will show you how to write a Java program that connects to a Java database. Included in this chapter is a discussion on SQL (the Structured Query Language), the common technique for accessing data in a database. You will learn about JDBC, the various types of drivers, connecting to a data

source, using prepared statements, using callable statements, and working with result sets.

It's hard to get far in the programming world without needing to access a database, so this is an important chapter and a great reference for using the JDBC API.

## Chapter 19: JavaBeans

A JavaBean is a software component written in Java. A software component is a reusable piece of software designed to be “plugged in” to an application, allowing for easier code reuse and faster application development. Topics discussed in this chapter include an overview of JavaBeans, the Bean Builder, properties, events, and hooking beans together in a builder tool.

JavaBeans are used in many of the Java technologies, including an important role in JavaServer Pages, a popular Java technology for simplifying Web page development.

## Who Should Read This Book

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This book is targeted towards programmers who want to learn Java. I make very few assumptions about what you already know, but general programming knowledge is helpful. This is an introductory book, and I assume you have no prior knowledge of Java.

To be specific, if you are familiar with COBOL, Visual Basic, C, C++, C#, Fortran, Ada, or any other programming language, and if you want to learn Java, this book is for you.

## Tools You Will Need

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To run the sample code in this book as well as complete the lab assignments, you will need:

**J2SE SDK.** The compiler, JVM, libraries, and other tools to create and execute Java programs are found in the Java 2 Platform, Standard Edition (J2SE) Standard Developer Kit (SDK). This SDK is freely downloadable from the Sun Microsystems Web site at <http://java.sun.com/j2se>. In Chapter 1, I will show you how to download and install the SDK.

**A text editor or IDE.** You will need a text editor to write and edit the source code for your Java programs. You can use a text editor that you already have, like Microsoft Notepad, or you can download one of the

dozens of text editors on the Internet, in both free and shareware versions. Alternatively, you can use an IDE that you may already have, such as IBM's Visual Age, Symantec's Visual Café, or Borland's JBuilder, to name only a few.

## **What's on the Web Site**

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Sample code in this book, the book's labs, and more are provided on the book's Web site at the following URL: [www.Wiley.com/compbooks/60minutesaday](http://www.Wiley.com/compbooks/60minutesaday)

## **Summary**

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Reading this book is the next best thing to sitting in on one of my classes. After 5 years of teaching Java to hundreds of students, I have learned what's important to new Java programmers and what's not. The book is written in the first person, as if I am lecturing in front of a class, and it contains notes and tips that I'm sure you will find useful.

I hope you enjoy the book. So now that the introductions are over, let's get started!



# Getting Started with Java

When learning a new programming language, students are often anxious to get started, so let's not waste any time. In this chapter, you will learn why Java has become one of the most popular programming languages being used today, even though it is a relatively new language. You will download and install the necessary software for developing Java programs, and we will go through the steps of writing, compiling, and running a Java program using the Java Standard Developer's Kit (SDK) provided by Sun Microsystems.

## Why Java?

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You might ask, "Why Java?" That's a good question, especially if you are new to the language and have not heard all the buzz about it yet. How does a programming language that has only been around since 1995 and is quite similar in syntax and design to C++ become so widely adopted? Why not just stick to languages that have been used for decades: C, C++, COBOL, Fortran, and so on?

Relative to the other programming languages used today, Java is in its infancy. (Sun Microsystems released the first version of Java in 1995.) Yet Java



has become one of the most popular languages used in programming today. Java is an object-oriented programming language with syntax and keywords almost identical to C++, another object-oriented language that has been used extensively for over 20 years.

So why learn a new programming language that is similar to an established programming language? First of all, Java is easier to learn than other object-oriented languages. When developing Java, its creators took all of the good features of the existing object-oriented programming languages such as C++, Ada, and Smalltalk, and removed most of their flaws and peculiarities. There are a lot of aspects of the Java language that are consistent and make sense, thereby making it easier to learn.

When I first learned C++ in college, we spent weeks learning just to manipulate and display strings. It was hard to remember which function to use when, and none of it ever made any sense to me. When I first started to learn Java, I was immediately impressed with the ease with which strings are handled. It was one of the first simplicities of Java that got me excited about the language.

**note**

**I want to emphasize that I did not say Java is *easy* to learn. I said Java is *easier* to learn than other object-oriented programming languages, specifically C++. You still have some work ahead of you, but I think you will find that Java is straightforward, powerful, well designed, and an enjoyable language with which to program.**

---

## The Java Virtual Machine

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The elegance and power of how Java is designed is only part of the reason why Java has become so prevalent in today's software development. Platform independence is what Sun boasts the loudest about regarding Java—and with good reason!

A Java program can be written once and then run on many different devices. Sun uses the slogan “write once, run anywhere.” I used the term *boast* because the validity of the claim to true platform independence has been argued by some; however, in an ideal situation, most Java programs can be moved from one device to another without any modifications to the code.

For example, suppose that you want to develop a program that is to run on a PC with Microsoft Windows and a hand-held PC running the Palm OS. These two platforms have little in common. If you were to write this program using a language other than Java, you would likely write the program twice—once for Windows, and again for the Palm version. The programs would probably look quite different, and possibly would be written in different languages.

With Java, you are not concerned with the target platform. The exact same program can run on Windows and the Palm OS, without changing the code at all. This “write once, run anywhere” capability is an exciting feature of Java that makes it appealing for anyone developing software.

## Classroom Q & A

**Q:** So, how is platform independence possible?

**A:** Well, I have been leading you up to that question. How do you think it is possible?

**Q:** I am assuming you recompile the program, using a compiler designed for the specific platform you are targeting.

**A:** Yes and no. You do use a compiler for a specific platform, but there is no recompiling. In fact, compiled Java code, which is referred to as *bytecode*, is well defined and looks the same no matter what type of device you are targeting. This is because in Java, the platform you target is a Java Virtual Machine, or JVM for short. You do not write Java programs for Windows, Unix, a Palm PC, or any other device. You write Java programs to run on a JVM.

**Q:** So if I want my Java program to run on Windows, I need a JVM for Windows?

**A:** Exactly. And if you want your Java program to run on your watch, you need a JVM for your watch. If you want a Java program to run on your cell phone, you need a JVM for your cell phone, and so on.

**Q:** And the JVMs are written in Java?

**A:** No. Interestingly enough, most JVMs are written in C or C++. When you run a Java program, you are really running a JVM, and the JVM is interpreting your Java code.

**Q:** This must make Java programs considerably slower.

**A:** That is a definite concern. Five years ago, I would have had to concede that a Java program was noticeably slower than a C or C++ program. But modern JVMs are much more efficient and include a feature known as a Just-In-Time (JIT) compiler. A JIT compiler actually takes your Java bytecode and translates it into native code. This translated code will run just as fast as any C++ program. There is more overhead at the beginning of the Java program when the code is being translated, but the end result of a JIT compiler is well worth it.

**Q:** Can you look at this translated Java code and just use it directly?

**A:** No. Most JIT compilers do all of their work in RAM, so this translation takes place each time you run the Java program. The point of Java is not to focus on trying to create native code, since native code is inherently non-platform-independent. The point of Java is to write code that will run on a JVM. That way, your Java program can run on any device that has a JVM. Think about this: You can write a Java program, and three years from now that program can run on an electronic device that doesn't even exist today, as long as the device has a JVM for it.

## The Editions of Java

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When Java was introduced, it primarily consisted of two components: the programming language specification, and the Java runtime environment specification that described the features of a JVM. As the Java language evolved over the years, Sun Microsystems gradually added new specifications and technologies that made Java more than just a programming language.

For example, servlets and JavaServer Pages were introduced to provide a mechanism for using Java to create dynamic Web pages. JavaBeans provide a Java software component architecture. Enterprise JavaBeans provide a mechanism for developing distributed applications. Each of these technologies has its own specification.

Soon after the release of Java 2, however, (which coincided with the release of version 1.2 of the Java Development Kit), to create common runtime environments for Java developers to target, Sun grouped their major Java programming technologies into three editions:

- J2ME: Java 2 Platform, Micro Edition
- J2SE: Java 2 Platform, Standard Edition
- J2EE: Java 2 Platform, Enterprise Edition

### J2SE

J2SE is what I like to call the core Java language. This book focuses on the key elements of this Standard Edition. J2SE provides an environment for developing many different types of Java applications and includes support for GUI programming, threads, input/output, networking, XML, CORBA, applets, JavaBeans, remote method invocation, security, and database access.

If you are interested in eventually taking the exam to become a Sun Certified Java Programmer, you need to become familiar with the J2SE.

## J2ME

J2ME is not a slimmed-down version of J2SE. Instead, it establishes a procedure for defining what a particular JVM designed for an electronic device will provide. The J2ME technology has two components:

**Configurations.** Define the type of JVM that is being targeted.

**Profiles.** Describe specification details about the device that is being targeted. Each device has a profile listing the standard Java APIs available for that device.

Configurations are composed of Java APIs and virtual machines designed to run on two different types of devices. The first type of device is those with 128–512K of memory. This configuration is called the Connected Limited Device Configuration (CLDC), and the corresponding JVM is referred to as the K Virtual Machine, or KVM.

The second configuration is for devices with more than 512K of memory. This configuration is called the Connected Device Configuration and uses the standard JVM, with all the same capabilities of a regular desktop computer.

Profiles are defined by the Java Community Process (JCP), which allows for input from any industry interested in a profile for a particular type of electronic device. For example, a profile would be created for wireless phones, with the profile defining the configuration to use for wireless phones and the Java APIs that will be available. Any company that had an interest in wireless phones could join the Java Community Process to help determine which configuration to choose and what the Java API would look like for developing Java applications for wireless phones.

## J2EE

J2EE is a collection of Java technologies that create a platform for distributed applications. Along with the J2SE (some of the J2EE technologies are actually a part of the Java 2, Standard Edition), J2EE allows for the most complex of multitier software applications to be portable across multiple platforms.

J2EE consists of the following technologies:

**Enterprise JavaBeans (EJB).** An EJB is a component architecture for the development and deployment of object-oriented distributed business applications. Applications written using the EJB architecture are scalable, transactional, and multiuser secure.

**Java Servlets.** A servlet is a Java application that runs in a Web server.

**JavaServer Pages (JSP).** A JavaServer Page is similar to a servlet and allows for the creation of dynamic Web pages.

**Java Database Connectivity (JDBC).** JDBC allows Java applications to access a database.

**Extensible Markup Language (XML).** XML provides a mechanism for describing data using tags in a platform-independent manner.

**Java Naming and Directory Interface (JNDI).** JNDI allows Java applications to access naming services and directory services.

**Java Transaction API (JTA).** JTA allows Java applications to access a transaction service.

**Java Transaction Service (JTS).** JTS defines the implementation of a transaction manager that supports the JTA.

**Java Messaging Service (JMS).** JMS allows for Java applications to access a message service.

**Java IDL.** The Java IDL allows Java applications to use CORBA implementations.

**JavaMail.** JavaMail allows Java applications to access an email service.

**RMI-IIOP.** RMI-IIOP is for using Remote Method Invocation over the Internet InterOrb Protocol.

**Connectors.** Connectors allow Java applications to access enterprise information systems.

**Java Web Services.** Java Web Services allow Java applications to take advantage of the emerging Web services technologies.

Similar to J2SE programs run in a JVM, J2EE applications run in a J2EE-compliant application server. The application server implements the J2EE specification, allowing developers to create applications that use any or all of the J2EE technologies, but that still are platform independent. Some of the more popular applications servers are IBM's WebSphere, BEA Systems' WebLogic, and Macromedia's JRun.

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## **Downloading the Java 2 SDK**

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As mentioned earlier, this book focuses on the J2SE, the Java 2 Platform, Standard Edition. By the way, there is no Java 1. Before Java 2, the versions of Java were referred to by the version of the Java Development Kit (JDK). The first release of Java was JDK 1.0, which was released in 1995. The next release was JDK 1.1 with enough changes and additions to JDK 1.0 to make the two

versions not backward compatible. With the release of JDK 1.2, Sun started referring to the language as Java 2, and the developer's kit is now called the Standard Developer's Kit (SDK).

The SDK contains many tools for developing and running Java applications, most importantly a compiler and JVM. The current version of the Java 2 SDK is 1.4, but Sun updates Java 2 frequently; don't be surprised if you find versions 1.5 or beyond on Sun's Web site. No matter what the version is, the SDK is free for developers to download and install.

The SDK can be found at <http://java.sun.com/j2se>. To download the SDK, click the link for J2SE Downloads, and you will be taken to the SDK download page. This page has two columns for each platform: one for the JRE and one for the SDK. JRE stands for Java Runtime Environment, which is what you would download if you wanted to just run Java applications. The JRE is essentially the JVM for your platform.

If you are actually going to write Java programs, which is what we are going to do throughout this book, you will need the SDK. The SDK contains the JRE plus all the necessary development tools.

Click the SDK that's right for you. For example, if you are going to be using Windows to write your Java programs, click the Windows SDK. Notice that there are versions available for Linux and Solaris as well. You need to agree to Sun's license agreement before downloading.

note

**The SDK is a large download that will take more than an hour for those with a dial-up connection.**

## Installing the SDK

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After you have downloaded the installation file, execute it to install the SDK. The downloaded file will be unpacked and Install Wizard will begin. You will be prompted to accept Sun's license agreement; then you will be asked to select the folder where the SDK is to be installed.

It is best to install the SDK in the default folder that the Install Wizard displays. For example, for SDK 1.4, the default folder is `c:\j2sdk1.4.0`. If you do change the install folder, however, be sure not to pick a directory with spaces in the directory name such as Program Files. Spaces in directory names tend to cause problems with the compiler and JVM.

Figure 1.1 shows the step in the Install Wizard where you can choose which components of the SDK to install. If you have plenty of hard drive space, you might as well install all the components; however, if you want to save some hard drive space, you can choose to not install the Native Interface Header Files, Demos, and the Java Sources. These components will not be needed for what we are going to be doing.



**Figure 1.1** Choosing the SDK components to install.

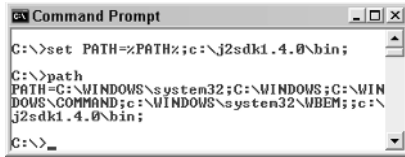
The installation is complete when you see the InstallShield Wizard Complete page. Click the Finish button to complete the installation.

## Running the SDK Tools

After you have successfully installed the SDK, you need to set your PATH environment variable so the compiler and JVM can be executed easily from a command prompt. The folder to add to your path is the bin folder where you installed the SDK, such as `c:\j2sdk1.4.0\bin`. Setting the PATH environment variable is different on each operating system. For Windows 2000/NT/XP users, the PATH can be set by clicking the System icon of the Control Panel. (In Windows XP, the System icon is located in the Performance and Maintenance section of the Control Panel.) Select the Advanced tab, and then click the Environment Variables button to display the Environment Variables dialog box. Click on Path in the System Variables list, then click the Edit button to display the dialog box shown in Figure 1.2. Add the \bin folder where you installed the SDK to your PATH.



**Figure 1.2** Setting the PATH in Windows 2000/NT/XP.



```
C:\>set PATH=%PATH%;c:\jdk1.4.0\bin;
C:\>path
PATH=C:\WINDOWS\system32;C:\WINDOWS;C:\WIN
DOUS\COMMAND;C:\WINDOWS\system32\MBEM;c:\n
jdk1.4.0\bin;
C:\>_
```

**Figure 1.3** Using the SET command to set the PATH environment.

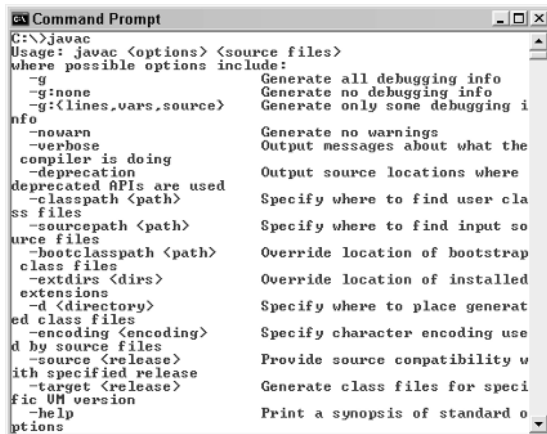
For other versions of Windows, the PATH environment variable is edited in the `c:\autoexec.bat` file. You then need to restart your system. In all versions of Windows, you can also set the PATH manually at the command prompt (also called the DOS prompt) by using the SET command, as shown in Figure 1.3.

**note**

**If you use the SET command as shown in Figure 1.3, the PATH will only be set for that particular DOS window, and the changes will be lost when you close that DOS window.**

## Running the javac Compiler

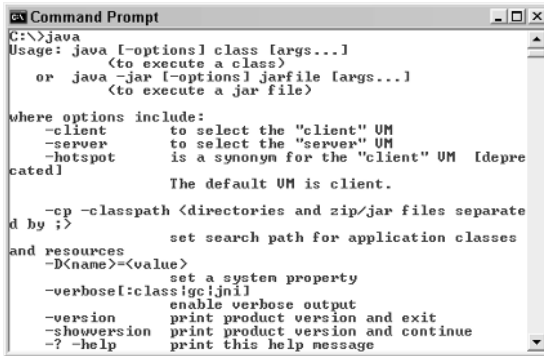
The `javac` tool is the Java compiler you will use to compile the Java code you write into bytecode. To run `javac`, type `javac` at the command prompt and press Enter. Figure 1.4 shows the output that you should see. If an error message occurs along the lines of a file not being found, your PATH might not have been set correctly.



```
C:\>javac
Usage: javac <options> <source files>
where possible options include:
-g Generate all debugging info
-g:none Generate no debugging info
-g:<lines,vars,source> Generate only some debugging info
-novarn Generate no warnings
-verbose Output messages about what the compiler is doing
-deprecation Output source locations where deprecated APIs are used
-classpath <path> Specify where to find user class files
-sourcepath <path> Specify where to find input source files
-bootclasspath <path> Override location of bootstrap class files
-extdirs <dirs> Override location of installed extensions
-d <directory> Specify where to place generated class files
-encoding <encoding> Specify character encoding used by source files
-source <release> Provide source compatibility with specified release
-target <release> Generate class files for specified VM version
-help Print a synopsis of standard options
```

**Figure 1.4** The `javac` tool compiles Java source code into bytecode.





```
Command Prompt
C:\>java
Usage: java [-options] class [args...]
           (to execute a class)
   or java -jar [-options] jarfile [args...]
           (to execute a jar file)

where options include:
  -client           to select the "client" VM
  -server           to select the "server" VM
  -hotspot          is a synonym for the "client" VM [depre
cated]
                   The default VM is client.

  -cp <classpath>  set search path for application classes
  -D<name>=<value> set a system property
  -verbose[:class[:gc[:jni]]
                   enable verbose output
  -version          print product version and exit
  -showversion     print product version and continue
  -? -help         print this help message
```

**Figure 1.5** Use the java tool to interpret your Java bytecode.

Normally, when you run `javac`, you enter the name or names of Java source code files that you want to compile. Because you just ran `javac` without entering any source code files, the help topics for `javac` were displayed, as shown in Figure 1.4.

## Running the JVM

After `javac` is running successfully, you should be able to type `java` and then press Enter to run the JVM that comes with the SDK. Figure 1.5 shows the output that you should see.

Normally, when you run `java`, you enter the name of the bytecode file that contains the Java program you want to execute. If you saw an output similar to Figure 1.5, you are ready to compile and run Java programs using the SDK. So let's get started!

## A Simple Java Program

---

It is common practice when learning a new programming language to start out with a *Hello, World* program that simply displays the message, "Hello, World." So, we will now write a simple Java program that displays a greeting at the command prompt.

Here are the steps we will follow in writing our first Java program:

1. Write the source code.
2. Compile the source code.
3. Run the program.

## Step 1: Write the Source Code

The first step is writing the code for our Java program. We will write the Java programs in this book using a simple text editor such as Windows Notepad. (You Unix folks can use emacs or vi.) The Java code is initially text, and the javac tool will compile our text files into bytecode.

Figure 1.6 shows you the Hello, World program typed into Notepad. Open your text editor and then type in the program just as you see it in Figure 1.6. Keep in mind that Java is case sensitive, meaning, for example, that *String* and *string* are not the same in Java.

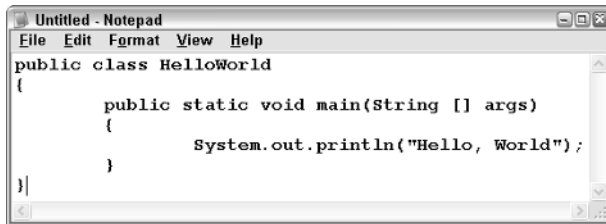
Let's save this file first and then discuss what the program does. Java is a highly structured and organized language. One of the rules that must be followed is that the name of a source code file must match the name of the public class defined in that file, and the file extension must be .java.

Create a new directory off your root directory (off your c:\ drive in Windows) named javafiles. Save the HelloWorld.java file in that javafiles directory. This way you can access it quickly from the command prompt. The name of the public class in Figure 1.6 is HelloWorld, so this file must be saved as HelloWorld.java.

Some programs such as Notepad use a default extension like .txt for text files. Because this won't work in Java, make sure that you save the source file correctly. One way to ensure a correct filename is to enclose the filename in quotation marks.

warning

**Do not save your Java source files in a directory with spaces in the name of the directory. This may cause problems, depending on the version of Windows you are using; therefore, do not save your files in the My Documents folder.**

A screenshot of a Notepad window titled "Untitled - Notepad". The window contains the following Java source code:

```
public class HelloWorld
{
    public static void main(String [] args)
    {
        System.out.println("Hello, World");
    }
}
```

**Figure 1.6** The source code of the Hello, World program.

The program in Figure 1.6 contains a single class (see the *An Introduction to Classes and Objects* sidebar) named HelloWorld. Within HelloWorld is one method: main(). The main() method is declared as public, static, and void, which are Java keywords that are discussed later in the book. The main() method is unique because it is the method invoked by the JVM when this program is executed.

The main() method must look like:

```
public static void main(String [] args)
```

## ◆ An Introduction to Classes and Objects

You should understand two important object-oriented terms: object and class. A *class* is a description of an object, and an *object* is an instance of a class.

In Java, you *write* classes. Because Java is purely object oriented, all of the statements in your Java programs appear inside a Java class. Notice that the Hello, World program in Figure 1.6 is a public class. We will discuss *public* in detail later, but for now I will just say that almost every class you write in Java will be declared public.

The purpose of a class is to describe an object. An object is just what the word means in English: a thing, an item, a noun. For example, a car, a house, an employee of a company, a window that opens on the computer screen, or a TCP/IP socket connection between two computers.

An object consists of two major components: attributes and behaviors. The *attributes* of an object are what the object consists of, and the *behaviors* of the object are what the object does.

You do not write an object in object-oriented programming (OOP); you *instantiate* one. You get to describe what an object will look like when it gets instantiated by defining the attributes and behaviors of the object in a class. My favorite analogy when explaining classes and objects is to compare blueprints to a class. A blueprint of a house tells you what the house will look like when it is built, but it is clearly not a house—just a description of one. When a contractor follows the blueprints and actually builds an instance of a house, that house is an object.

How many houses can you build from a set of blueprints? As many as you want. How many instances of a class (that is, objects) can you create? As many as you want.

A class contains the attributes and behaviors of the object it is describing. In the HelloWorld class in Figure 1.10, there are no attributes and only one behavior, main(). By the way, the behaviors are often referred to as methods, and HelloWorld contains a single method: main().

What is interesting (and often confusing) about the HelloWorld example is that it is not object oriented in any way. But just because our HelloWorld program does not use any OOP concepts, we still need to write a class because the Java language requires all methods to appear within a class.

The only term you can change in this signature of `main()` is the name of the parameter `args`, which can be any valid identifier name. The array of strings (`String []`) will contain the command-line arguments, if any, when the program is executed from the command prompt.

Within `main()` is a single statement:

```
System.out.println("Hello, World");
```

This statement is what causes “Hello, World” to appear at the command prompt—or in this case, the standard output. `System.out` represents the standard output of the device where this program is running, and the `println()` (short for print line) method displays the given string along with a line feed.

Notice the semicolon at the end of the `System.out.println()` statement. The Java compiler ignores all whitespace and indenting, so it is necessary to use a semicolon to denote the end of all statements in Java.

## Step 2: Compile the Program

The `javac` tool compiles Java classes into bytecode. Figure 1.7 shows how to compile the `HelloWorld.java` class from Figure 1.6. Notice the use of the `cd` command to change directories to the one where the `HelloWorld.java` file is located.

If the compiler is successful, no message will be displayed and the command prompt will come back. Notice in Figure 1.7 the use of the `dir` command to display the contents of the current directory. You should see a new file: `HelloWorld.class`. This is the bytecode file generated from the compiler.

All bytecode appears in `.class` files. The extension is appropriate because within that `.class` file is the bytecode describing a single class. In this case, `HelloWorld.class` contains the bytecode of the `HelloWorld` class, which is a class containing one method, `main()`.

```

Command Prompt
C:\>cd wiley\chapter1\javafiles
C:\wiley\Chapter1\javafiles>javac HelloWorld.java
C:\wiley\Chapter1\javafiles>dir
Volume in drive C is HP_PAULION
Volume Serial Number is 2E1E-1908

Directory of C:\wiley\Chapter1\javafiles
02/14/2003  02:12 PM  <DIR>          .
02/14/2003  02:12 PM  <DIR>          ..
09/10/2002  12:18 AM           118 HelloWorld.java
02/14/2003  02:12 PM           426 HelloWorld.class
                2 File(s)          544 bytes
                2 Dir(s)  64,962,232,320 bytes free

C:\wiley\Chapter1\javafiles>

```

**Figure 1.7** Use the `javac` tool to compile `HelloWorld.java`.

If there are compiler errors, they will be displayed on the command prompt with a description of what the compiler did not understand. For example, Figure 1.8 shows the compiler error that occurs if the word `String` in Figure 1.6 was not capitalized.

Be sure to read your compiler errors closely. Many of my students simply glance at a compiler error and quickly jump back to their code to look for the error. However, if you read the error carefully, you will notice that the compiler tells you the name of the file and the line number where the error occurred. In the case of Figure 1.8, line 3 of `HelloWorld.java` has a problem.

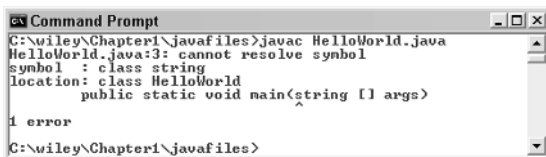
Upon closer examination of the compiler error, it appears that the compiler “cannot resolve symbol,” which happens to be my most common compiler error. The compiler does not know what “string” means. That was my fault, of course, because I changed `String` to `string`. The compiler doesn’t know what I meant; all it knows is that it cannot figure what a string is.

### Step 3: Run the Program

After you have successfully compiled `HelloWorld.java` and created the `HelloWorld.class` file, you can now run the program using the `java` tool. The `java` tool requires the name of the bytecode file that you want to execute. (The class being executed must contain the `main()` method within it, or an error will occur.)

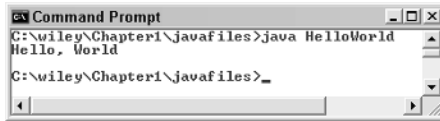
Figure 1.9 shows the proper use of the `java` tool to run the `HelloWorld` program. Keep in mind that Java is case sensitive, even though Windows and DOS are not. You need to type in the name of the class using proper case, such as `HelloWorld`, as shown in Figure 1.9.

Notice also in Figure 1.9 that you do not use the `.class` extension when running Java programs with the `java` tool. The JVM only interprets bytecode in `.class` files, so the `.class` extension is not needed. An error occurs if you include the extension.



```
Command Prompt
C:\wiley\Chapter1\javafiles>javac HelloWorld.java
HelloWorld.java:3: cannot resolve symbol
symbol : class string
location: class HelloWorld
    public static void main(string [] args)
    ^
1 error
C:\wiley\Chapter1\javafiles>
```

**Figure 1.8** The compiler does not know what a string is, as stated by the compiler error.



```
Command Prompt
C:\wiley\Chapter1\javafiles>java HelloWorld
Hello, World
C:\wiley\Chapter1\javafiles>
```

**Figure 1.9** Running the Hello, World program.




## Lab 1.1: Your First Java Program

In this lab, you will write a Java program that uses the `System.out.println()` method to display an email signature (name, title, email address, and so forth).

Perform the following steps:

1. Create a new subdirectory in your `javafiles` directory, called `Lab1_1`.
2. Using your text editor, start with a public class called `Signature`. Add the `main()` method within the `Signature` class.
3. Within `main()`, use the `System.out.println()` method to print your name at the command prompt.
4. Similarly, print your title on a line, then your email address, Web site URL, or phone numbers. (Display any information you want in your signature. Just remember to use a semicolon after each `println()` statement.)
5. Save your `Signature` source code in the `Lab1_1` folder in a file called `Signature.java`.
6. Compile `Signature.java` using the `javac` tool.
7. Run the `Signature` program using the `java` tool.

You should see an output similar to that in Figure 1.10.



```
Command Prompt
C:\wiley\Chapter1\Lab1_1>javac Signature.java
C:\wiley\Chapter1\Lab1_1>java Signature
Rich Raposa
Java Trainer
richraposa@javalicen.com
http://www.javalicen.com
C:\wiley\Chapter1\Lab1_1>
```

**Figure 1.10** Sample output for Lab 1.1.



## Lab 1.2: Using Command-Line Arguments

When you run a Java program from the command prompt, you can input arguments that get passed to the `main()` method as strings. For example, suppose that you entered the following command to run your Signature program from Lab 1.1:

```
java Signature hello 27 "Rich Raposa"
```

This command has three command-line arguments beyond the `java Signature` command: `hello`, `27`, and `Rich Raposa`. (Arguments are separated by spaces unless placed in double quotes.) These three arguments are passed into `main()` and placed in the `args` parameter. The `args` parameter is an array of strings that can hold as many command-line arguments as you enter.

To access these arguments within `main()`, you use `args` with a subscript in square brackets. For example, `args[0]` is the first argument, `args[1]` is the second, and so on. In the current example, `args[0]` will be the string “hello,” `args[1]` will be “27,” and `args[2]` will be “Rich Raposa.”

In this lab, you will display the title and author of a book, where the title and author are entered as command-line arguments such as:

```
Title: Green Eggs and Ham  
Author: Dr. Seuss
```

Now, follow these steps:

1. Create a new subdirectory of `javafiles`, called `Lab1_2`.
2. Open your text editor, and write a public class called `Book`.
3. Add `main()` within your `Book` class.
4. The title will be `args[0]` and the author will be `args[1]`. You need to concatenate “Title: ” with `args[0]`, which is done by using the `+` operator. For example:

```
System.out.println("Title: " + args[0]);
```

5. Similarly, use the concatenation operator to display “Author: ” and `args[1]`.
6. Save your `Book` class in the `Lab1_2` directory in a file called `Book.java`.

7. Compile the Book class.
8. Run the Book program, using two command-line arguments:

```
java Book "Green Eggs and Ham" "Dr. Seuss"
```

**note**

If you run the Book program and forget to enter two command-line arguments, you will see an “exception in thread main” `java.lang.ArrayIndexOutOfBoundsException`. This would happen, for example, if you tried to access `args[1]`, and there was no string at that position in the `args` array.

## Summary

- Java is an object-oriented programming language that is interpreted by a Java Virtual Machine (JVM), allowing it to be platform independent.
- There are three editions of Java: J2SE, the Java 2 Platform, Standard Edition, which is covered in this book; J2ME, the Java 2 Platform, Micro Edition, which is for electronic devices with limited resources; and J2EE, the Java 2 Platform, Enterprise Edition, which is a collection of Java technologies that includes servlets, JavaServer Pages, and Enterprise JavaBeans.
- Writing Java code involves writing classes. A class is saved in a `.java` file, and only one public class can appear in a `.java` file. The name of the `.java` file must be the name of the public class declared in the file.
- Compiled Java code is referred to as bytecode. Bytecode appears in a `.class` file.
- To compile a Java program, you use the `javac` tool that comes with the Standard Developer Kit (SDK), which is freely downloadable from Sun’s Web site.
- To run a Java program, you use the `java` tool that comes with the SDK. The `java` tool is a JVM.
- The JVM invokes the `main()` method. The signature of `main()` is `public static void main(String [] args)`.



## Review Questions

1. Name the three editions of the Java 2 platform.
2. Compiled Java code is referred to as \_\_\_\_\_.
3. A class is a description of a(n) \_\_\_\_\_.
4. An object is an instance of a(n) \_\_\_\_\_.
5. The main() method has to be declared as \_\_\_\_\_.
6. True or False: A Java program written for Windows needs to be recompiled to run it on Linux or Unix.
7. What are the two major components of an object?
8. What does the JIT acronym stand for? What does it mean?

## Answers to Review Questions

1. The three editions of the Java 2 platform are J2ME, J2SE, and J2EE.
2. Compiled Java code is bytecode.
3. The answer is object. Classes describe objects.
4. The answer is class. You write a class to define an object, and an instance of the class is an object.
5. `public static void main(String [] args)`. The only term you can change is “args”; otherwise, `main()` must look like this.
6. No, you do not need to recompile Java code for different platforms because Java only runs on one platform—a Java Virtual Machine implementation.
7. Attributes and behaviors, also referred to as fields and methods.
8. JIT stands for Just-In-Time and refers to a JVM that compiles portions of the bytecode of a Java program into native code when the program is executed.





# Java Fundamentals

This chapter builds your foundation for using the Java programming language. It discusses the details of the fundamentals of Java. The keywords are discussed as well as how to declare identifiers and variables. The chapter also discusses literals, constants, strings, references, and the Java arithmetic operators.

## Java Keywords

---

The keywords of a programming language are the words that define the language, have special meaning to the compiler, and cannot be used as identifiers. Table 2.1 displays all the Java keywords.

**Table 2.1** Java Keywords

abstract	default	if	private	this
boolean	do	implements	protected	throw
break	double	import	public	throws

*(continued)*

**Table 2.1** (continued)

byte	else	instanceof	return	transient
case	extends	int	short	try
catch	final	interface	static	void
char	finally	long	strictfp	volatile
class	float	native	super	while
const	for	new	switch	
continue	goto	package	synchronized	assert

The keywords `const` and `goto` cannot be used in Java. They were added to the list of keywords so they would generate compiler errors for developers who were converting C and C++ code over to Java. The keyword `assert` is a new Java keyword added to the J2SE in version 1.4.

There are three more reserved words in Java: `true`, `false`, and `null`. Technically, they are literal values and not keywords. However, they cannot be used as identifiers, and they have a specific meaning to the Java compiler.

Take a look back at the HelloWorld example in Chapter 1. The keywords used are `public`, `class`, `static`, and `void`. The other words in the HelloWorld class are identifiers, which are discussed next. Notice that `main` is not a keyword, even though `main` is a special name used to denote the method in which a Java program starts.

## Identifiers

*Identifiers* are those words in your Java code that you choose. For example, in Lab 1.1, you wrote a class named `Signature`. `Signature` is not a Java keyword, nor does it have any special meaning in Java. You had to name the class something, and `Signature` was chosen to make the code more readable because the program displayed an email signature.

In Java, you will need to identify many elements in your code, including class names, methods, fields, variables, and package names. The names you choose are called identifiers and must adhere to the following rules:

- An identifier cannot be a keyword or `true`, `false`, or `null`.
- An identifier can consist of letters, digits 0–9, the underscore, or the dollar sign.
- An identifier must start with a letter, an underscore, or a dollar sign.

For example, `x`, `X`, `x1`, `x2`, `HelloWorld`, `Signature`, `System`, `String`, `age`, `$color`, and `_height` are valid identifiers. Don't forget that Java is case sensitive. That means `Public` is a valid identifier because it is different from the keyword `public`.

note

**The following are not valid identifiers: `1x` because it starts with a digit, `public` because it is a keyword, `a@b` or `x+y` because `@` and `+` are not valid characters for use in identifiers.**

## Java's Eight Primitive Data Types

Java has eight data types that are built into the language. These eight data types, often referred to as the primitive types, are the building blocks from which classes are written. Table 2.2 shows the eight data types, the number of bits they consume in storage, and the range of values that can be stored in each type.

Notice that the size of the data types (except for `boolean`) is strictly defined. For example, an `int` is a signed, 32-bit data type. The reason Java can define the exact size of its primitive data types, independently of the platform that the program runs on, is because Java programs run on a JVM. The underlying platform does not affect the size or range of values of Java's primitive data types.

We will discuss each of the data types in Table 2.2 in detail. But before we do, I want to discuss declaring variables in Java. For more information on data types, be sure to read the sidebar *Understanding Classes and Data Types*.

**Table 2.2** Eight Primitive Data Types

DATA TYPE	SIZE	MIN VALUE	MAX VALUE
<code>byte</code>	8 bits	-128	127
<code>short</code>	16 bits	-32768	32767
<code>int</code>	32 bits	-2147483648	2147483647
<code>long</code>	64 bits	-9223372036854775808	9223372036854775807
<code>float</code>	32 bits	$\pm 1.40239846E-45$	$\pm 3.40282347E+8$
<code>double</code>	64 bits	$\pm 4.94065645841246544E-324$	$\pm 1.79769313486231570E+308$
<code>char</code>	16 bits	<code>\u0000</code>	<code>\uFFFF</code>
<code>boolean</code>	n/a	true or false	

## ◆ Understanding Classes and Data Types

Programming involves working with data. Data is stored in the computer's memory, and the program creates and manipulates this data. In Java, the type of data you are working with needs to be specifically declared. For example, if you want to store something simple such as an integer value, you need to specify exactly how much storage space that integer needs. If you want to store complex data such as all the information that an employer needs to know about employees, this data also needs to be specifically defined.

In the case of storing an integer value, you can use one of the eight built-in data types. In the case of an employee, you would write a class describing the type of data that makes up an employee. By writing a class to describe an employee, you are creating a new data type, one that was not built into the Java language.

This employee class would most likely consist of a combination of the built-in data types and other classes. (These other classes are either ones you wrote or those in the J2SE.) For example, there is a String class in the J2SE to represent strings. The employee class could use the String class to store the employee's first and last name. There is a Date class in the J2SE for representing a calendar date. The Date class could be used to store the hire date of an employee.

By combining built-in data types and classes (either J2SE classes or user-defined classes), you create new data types. Your new data types can now be used just like any of the existing data types.

I want to emphasize this point again: When you write a class in Java, you are creating a new data type. This concept of creating data types and developing programs based on the program's data is the basis of object-oriented programming. We will discuss the details of writing classes in Chapter 4, "Classes and Objects."

## Variables

Variables are used to store data. In Java, a variable needs to be declared. Declaring a variable involves two steps: giving the variable a name and stating what type of data is to be stored in the variable.

For example, the following statements are variable declarations:

```
short x;  
int age;  
float salary;
```

Because `x` is a `short`, `x` consumes 16 bits of memory and can contain any integer value between  $-32768$  and  $32767$  (refer to Table 2.2). Similarly, `age` is an `int` and consumes 32 bits of memory, whereas `salary` is a `float` and also consumes 32 bits of memory.

**note**

**The variables `age` and `salary` consume the same amount of memory: 32 bits. However, they are quite different in the way they can be used. The variable `age` can store only integer numbers, those without a decimal value. Because `salary` is a `float`, it stores numbers with decimals, using the IEEE 754 standard for floating-point values. This allows `salary` to store much larger values than `age`, with some loss of accuracy in large values.**

## Assigning Variables

The term variable is used because the data stored in a variable can vary. In other words, you can change the value of a variable. In Java, you use the assignment operator `=` to assign a variable to a particular value.

For example, the following statements declare an integer `x` and assign it the value 12.

```
int x;  
x = 12;
```

Note that a variable can assign a value at the time it is declared. The previous two statements could have been replaced with the following single statement:

```
int x = 12;
```

Java is strict about letting you assign variables only to values that match the variable's data type. If `x` is an `int`, you cannot assign it to other data types unless you use the cast operator.

For example, the following statements declare `x` as an `int` and then attempt to assign `x` to a floating-point value.

```
int x;  
double d = 3.5;  
x = d;           //This does not compile!  
x = (int) d;    //This does compile since I used the cast operator.
```



The cast operator consists of placing the data type that the value is being cast to in parentheses. In this example, `f` was being cast to an `int`, so `int` was placed in parentheses right before `f`.

note

**Casting tells the compiler that you are aware of the invalid assignment, but you want to do it anyway. In the example above, `x` was assigned to `d` by casting, and `x` will be the value 3. Casting a floating-point number to an integer data type causes the decimal part of the number to be truncated.**

## Classroom Q & A

- Q:** Why would you ever need to assign a double to an int? It seems like the cast operator would not be used very often.
- A:** I am not going to say that you will use the cast operator every day, but it is an important operator in any programming language that requires strict data typing. If you hand me a floating-point number, and I want to store it as an integer value, I will need to use the cast operator.
- Q:** What if I give you an integer value and you want to store it as something smaller? In other words, suppose that I give you a short and you want to store it as a byte. Why would you need to cast then?
- A:** I still need the cast operator because you are giving me 16 bits of data (a short) and I want to store it in eight bits of data (a byte). Any time you try to store something “bigger” into something “smaller,” the cast operator is required or the code will not compile.
- Q:** Why? In C or C++, that would generate only a compiler warning, not an error.
- A:** You are getting your first taste of the strictness of Java when it comes to data types. Besides, there is a possibility of data being lost, so generating an error draws attention to this, just as when I take 3.5 and cast it to an int, and the decimal part is lost and I get 3. If the short that you give me is between -128 and 127, casting it to a byte does not result in the loss of any data. However, if you give me a short whose value is, say, 250, casting that to a byte causes the loss of the upper 8 bits of the short, making the value meaningless. The result of casting 250 to a byte is essentially a programming error on my part.

- Q:** So you need the cast operator only when working with numeric values?
- A:** No. In fact, the cast operator is probably used more often when working with object references, as we will see in Chapter 8, “Polymorphism and Abstraction.” By the way, the Java compiler rarely gives warnings. There is typically only one way to do something in Java, and anything that doesn’t follow the rules generates a compiler error. That is part of the reason why Java is easier to learn and understand than C++.

## Integral Types

Of the eight primitive data types, four of them are integer types that differ only by their size: byte, short, int, and long. All four of them are signed, meaning that they store both positive and negative numbers.

The following IntegerDemo program demonstrates using the integral types. Study the program and try to determine what the output is.

```
public class IntegerDemo
{
    public static void main(String [] args)
    {
        int x = 250;
        System.out.println("x is " + x);
        short a, b, c;
        c = 21;
        b = 9;
        a = (short) (b + c);    //why cast to a byte?
        System.out.println("a is " + a);
        long y = 12345678987654321L;    //notice the "L"
        System.out.println("y is " + y);
        y = x;
        byte s;
        s = (byte) c;
        System.out.println("y is now " + y + " and s is " + s);
    }
}
```

Let's take a look at the IntegerDemo program in detail. Assigning `x` to the value 250 and displaying it is fairly straightforward. Notice that you can declare more than one variable at a time, with `a`, `b`, and `c` all being declared shorts in one statement. An interesting note about Java is that it performs integer arithmetic at the `int` level, so `b+c` returns an `int`. This means that the sum must be cast to a short before assigning it the value to `a` because `a` is a short.

The variable `y` is declared as a long and assigned to an integer literal that is larger than 32 bits. The literal is appended with an `L` to denote it as a long. This line of code would not compile if the `L` were omitted.

note

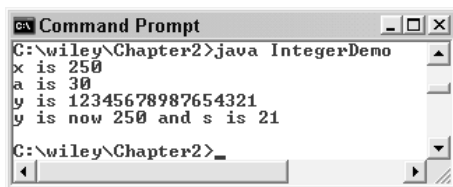
**When you hard-code a numeric value in your code, that value is referred to as a literal. For example, in the IntegerDemo program shown in Figure 2.1, the numbers 250, 21, 9, and 12345678987654321 are integer literals. (They are integer literals because they do not contain a decimal point.) In Java, integer literals are treated as `int` values, which is fine in most situations.**

**However, when an integer literal is too large to fit into a 32-bit `int`, the literal cannot be treated as an `int`. In these situations, you need to append the literal with an `L` to denote that the literal is to be stored as a long, not an `int`.**

Take special note of the statement `y = x`, where `y` is a long and `x` is an `int`. These two variables are different data types, but no cast operator is used. This is because an `int` is assured of fitting into a long without any loss of data. When `y` is assigned to `x`, the value of `x` is simply promoted to a long and stored in `y`.

However, assigning the byte `s` to the short `c` requires the cast operator. The short `c` is 16 bits and `s` is only 8, so there is a possible loss of data. Without the cast operator, the assignment will not compile.

The output of IntegerDemo is shown in Figure 2.1.



```
C:\wiley\Chapter2>java IntegerDemo
x is 250
a is 30
y is 12345678987654321
y is now 250 and s is 21
C:\wiley\Chapter2>_
```

**Figure 2.1** Output of the IntegerDemo program.

## Floating-Point Types

Two of the eight primitive data types are used for storing floating-point numbers: float and double. The only difference between them is their size, with a float being 32 bits and a double being twice that size (which explains where the term *double* comes from). Floating-point values are stored using the IEEE 754 standard format.

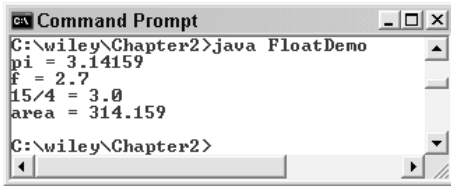
In the previous section, I discussed how integer literals are treated as ints, except when an L is appended to the number, thereby making it a long. Similarly, floating-point literals are treated as a double value by default. A floating-point literal is any literal that contains a decimal point.

If you want a floating-point literal to be treated as a float, you need to append an F to the literal. The following FloatDemo program demonstrates using the float and double data types. Study the code and see if you can guess the output. Figure 2.2 shows the actual output of the FloatDemo program.

```
public class FloatDemo
{
    public static void main(String [] args)
    {
        double pi = 3.14159;
        float f = 2.7F;
        System.out.println("pi = " + pi);
        System.out.println("f = " + f);
        int n = 15, d = 4;
        f = n/d;
        System.out.println("15/4 = " + f);
        int radius = 10;
        double area = pi * radius * radius;
        System.out.println("area = " + area);
    }
}
```

note

**In the FloatDemo program, 3.14159 and 2.7F are floating-point literals. The first one is treated as a double, whereas 2.7 is treated as a float because it has an F appended. Assigning f to 2.7 without the F generates a compiler error because 2.7 would be a double, and you cannot assign a double to a float (unless you use the cast operator).**



```
Command Prompt
C:\wiley\Chapter2>java FloatDemo
pi = 3.14159
f = 2.7
15/4 = 3.0
area = 314.159
C:\wiley\Chapter2>
```

**Figure 2.2** Output of the FloatDemo program.

You may be surprised by the result of  $15/4$  in the FloatDemo program. Because both 15 and 4 are int values, their quotient is also an int, in this case 3. (The remainder is truncated.) The value of  $f$  is assigned to the int 3, so  $f$  becomes 3.0.

In the expression  $\text{pi} * \text{radius} * \text{radius}$ , a double is being multiplied by two ints. Before the multiplication occurs, the int values are promoted to doubles, and the result is therefore a double.

## Boolean Data Type

Java has a built-in data type, boolean, to represent Boolean values. A variable of type boolean can be either true or false. Note that true and false are special literals in Java.

The following BooleanDemo program demonstrates using the boolean data type. Study the BooleanDemo program and try to determine what the output will be.

```
public class BooleanDemo
{
    public static void main(String [] args)
    {
        boolean t = true;
        System.out.println("t is " + t);
        int x = 10;
        boolean y = (x > 15);
        System.out.println("y is " + y);
        // y = x;      // Does not compile!
    }
}
```

In the BooleanDemo program,  $t$  is declared as a boolean variable and is assigned the value true. When  $t$  is printed out as a string, true is displayed. The boolean  $y$  is assigned to an expression that evaluates to false because  $x$  is less than 15. The string false is displayed when  $y$  is printed out (see Figure 2.3).

```

c:\ Command Prompt
C:\wiley\Chapter2>java BooleanDemo
t is true
y is false
C:\wiley\Chapter2>_

```

**Figure 2.3** Output of the BooleanDemo program.

note

**In Java, a boolean data type is not an integer value. A boolean can be only true or false, two special Java literals. In other languages, Boolean values are integer types, with 0 being false and a nonzero entry being considered true. Notice in the BooleanDemo program that the boolean `y` cannot be assigned to the `int x`, even if casting is attempted.**

## Char Data Type

The char data type represents characters in Java. The size of a char is 16 bits, which allows characters to be represented as integers using the Unicode character mapping. A char can be treated as an integer value, allowing you to perform arithmetic and make comparisons using greater than or less than. Just keep in mind that char is an unsigned data type.

Single quotes are used to denote a character literal. For example, the literal 'A' in your code would be treated as a char. (If a literal appears in double quotes, like "A", it is not a char, it is a String.) Some characters that are not printable are denoted using the escape sequence \. Table 2.3 provides a list of some of the more commonly used escape sequence characters.

**Table 2.3** Escape Sequence Characters

ESCAPE SEQUENCE	DEFINITION
\t	tab
\b	backspace
\n	newline
\r	carriage return
\'	single quote
\"	double quote
\\	backslash

If you need to denote a character by its Unicode value, you use the escape sequence `\u` followed by its Unicode value in hexadecimal format, as in `\uF9A4`, or octal format, as in `\071`. (The octal format is for the Latin-1 encoding.)

The following CharDemo program demonstrates the use of the char data type and character literals. Study it carefully and try to determine the output, which is shown in Figure 2.4. You will likely be surprised.

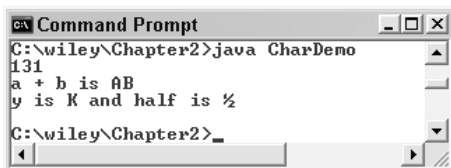
```
public class CharDemo
{
    public static void main(String [] args)
    {
        char a = 'A';
        char b = (char) (a + 1);
        System.out.println(a + b);
        System.out.println("a + b is " + a + b);
        int x = 75;
        char y = (char) x;
        char half = '\u00AB';
        System.out.println("y is " + y + " and half is " + half);
    }
}
```

note

**In the CharDemo program, a and b are declared as char variables. When b is assigned to (a + 1), the cast operator is required because the result of adding 1 to a is an int. We saw this in the IntegerDemo program, when the sum of two shorts was an int. Java promotes the smaller integer types to int values before performing any arithmetic.**

Adding (a + b) results in the sum of two ints, which is 65 + 66, or 131. The second println() statement is not adding 'A' and 'B', but concatenating the two characters. The result of concatenation is a string, in this case "AB".

Notice in the CharDemo program that the int x is cast to a char. The value 75 corresponds with a 'K', which is the value of y. The variable half demonstrates using the `\u` escape sequence, and the character `\u00AB` is the 1/2 character.



```

C:\wiley\Chapter2>java CharDemo
131
a + b is AB
y is K and half is ½
C:\wiley\Chapter2>_

```

**Figure 2.4** Output of the CharDemo program.

## Strings

A *string* is a sequence of characters. Keep in mind that strings are not primitive data types in Java, and therefore need to be represented by a class. Java has a class named `String` to represent string objects. I have always liked the `String` class from the moment I first learned Java because it makes working with strings much simpler than in other languages.

A `String` object is created automatically for the string literals in your Java code. For example, suppose that you had the following `println()` statement:

```
System.out.println("Hello, World");
```

The string literal "Hello, World" is converted to a `String` object, which is then passed into the `println()` method. Consider the following statements:

```
int x = 10;
System.out.println("x = " + x);
```

The string literal "x = " is converted to a `String` object. The `+` operator then becomes string concatenation, so the variable `x` needs to be converted to a `String` and then concatenated to "x = " to create a third `String`, "x = 10". It is this third `String` object that gets passed to `println()`.

note

**In Java, every primitive data type being concatenated to a `String` will be automatically converted to a new `String` object. This simplifies the process of working with built-in types and displaying or outputting them. In fact, any object in Java (not just the built-in types) is convertible to a `String` because every object in Java will have a `toString()` method. The `toString()` method is discussed in detail in Chapter 6, "Understanding Inheritance."**

The following `StringDemo` program demonstrates string literals and `String` objects. Study the program and try to determine what the output will be.

```
public class StringDemo
{
    public static void main(String [] args)
    {
        String first = "Rich", last = "Raposas";
        String name = first + " " + last;
        System.out.println("Name = " + name);
        double pi = 3.14159;
        String s = "Hello, " + first;
        System.out.println(s + pi + 7);
        System.out.println(pi + 7 + s);
    }
}
```



I want to make a few comments about the StringDemo program. I count five string literals in the program: "Rich", "Raposa", "", "Name = ", and "Hello, ". Each of these literals is converted to a String object. So when name is assigned to first + " " + last, that is the concatenation of three String objects. Similarly, "Name = " + name is the concatenation of two String objects.

I specifically added the last two println() statements of the StringDemo program to demonstrate the importance of order of operations. When s + pi + 7 is calculated, the s + pi occurs first, which is string concatenation, not addition. This new string is then concatenated to a 7 to create the string "Hello, Rich3.141597".

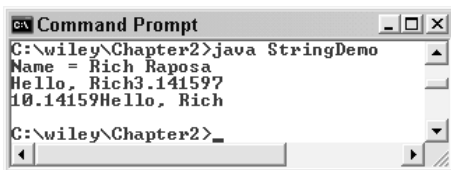
In the last println() statement, the order was changed, and pi + 7 is evaluated first. The 7 is an integer literal, and therefore is treated as int. So, pi + 7 is a double plus an int, and the 7 is promoted to a double and the addition is calculated, resulting in the double 10.14159. This double is concatenated to s, creating the string "10.14159Hello, Rich".

The output of the StringDemo program is shown in Figure 2.5.

A String object in Java is immutable, meaning that the string of characters being represented by a String object cannot be changed. For example, the StringDemo program declared a String called name and assigned it to the literal "Rich". The "Rich" string cannot be altered. If, for example, you want name to be "RICH", you would have to assign name to a new String object "RICH". You cannot change the individual characters of name.

**note**

**It may seem like a waste of resources to have to create a new String object each time a String is used, but having immutable strings actually allows the JVM to efficiently handle strings. However, there are times when you may want to alter a string's characters without having to create new String objects each time. The sidebar on the StringBuffer class discusses how this can be done.**



```
C:\wiley\Chapter2>java StringDemo
Name = Rich Raposa
Hello, Rich3.141597
10.14159Hello, Rich
C:\wiley\Chapter2>
```

**Figure 2.5** Output of the StringDemo program.

## ◆ The StringBuffer Class

Java has another class for representing strings, the `StringBuffer` class. A `String` object cannot be altered because `String` objects are immutable. If you are working with strings that need to be altered, the `StringBuffer` is a flexible alternative.

Strings represented as `StringBuffer` objects are basically an array of characters, allowing you to change, insert, or delete individual characters of the `StringBuffer`. You can also append characters, change the length of the buffer, view characters at a specific location in the string, and reverse the order of characters.

This is all accomplished by using the many helpful methods of the `StringBuffer` class. (In Chapter 5, “Methods,” you will learn the details of invoking a method on an object.)

## References versus Primitive Data

I showed you in the earlier section titled *Variables* how to declare a variable, and then we saw some sample programs that used variables of the primitive data types. However, there is one other type of variable in Java, called a reference, which is used when the data involved is not a primitive data type. You saw this initially in the `StringDemo` program, but I purposely avoided discussing references at the time because the point of the `StringDemo` program was to demonstrate `String` objects.

Now, I want to focus on the details of references versus primitive types because understanding references is an essential component of understanding Java. For example, suppose that you need to allocate memory for a `String` to represent someone’s name. Your code might look like the following:

```
String name;  
name = "Rich";
```

In this example, `name` is a variable of type `String`. `String` is not one of the eight primitive data types. It is a commonly used class that is a part of the J2SE. When you declare a variable of a class type, that variable is referred to as a *reference*. The term reference is used because a reference *refers* to an object.

A reference is different from a primitive data type in that a reference does not contain the actual data of the object that it refers to. Instead, a reference points to the location in memory where the object is located.

In other words, the `name` variable above does not contain the string “Rich”. Instead, “Rich” is somewhere else in memory, and `name` points to that location. This is quite different from the values `x` and `pi`, which are primitive data types. The value 250 is in the 32 bits consumed by `x`, and the value 3.14159 is in the 64 bits consumed by `pi`.

note

I need to emphasize the importance of the difference between a variable that is a reference and a variable that is a primitive data type. (Those of you that are familiar with C++ should definitely pay attention here because what I am about to say is not true for C++.) A variable in Java is either one of the eight primitive data types or it is a reference to an object. Those are your only two options.

If the variable is a primitive data type, the value of that variable is stored in the same memory location as the variable.

If the variable is a reference, the value of that variable is a memory address. This memory address is the location of the object that the reference refers to. The object contains the actual data.

## Classroom Q & A

**Q:** Wait a minute. Isn't a reference just another name for a pointer?

**A:** No. Sun has made specific efforts to not use the term *pointer* in Java. A pointer is a term used to describe a variable that points to a certain memory address. A reference is a variable that refers to a particular object.

**Q:** That doesn't sound different at all. You just said a reference contains a memory address.

**A:** You're right. It is a subtle difference, but an important one to understand when learning Java. Both pointers and references are 32- or 64-bit integer values that contain a memory address. However, pointers can be treated as integers in other languages, allowing for tasks such as pointer arithmetic. Also, in other languages, a pointer can point to a primitive data type. In Java, a reference can either refer to an object or null. Also, there is no such thing as pointer arithmetic in Java. Unlike pointers, you cannot see the actual value of a reference. So, although a reference is a memory address, nowhere in your code can you take advantage of that fact.

**Q:** Why not just cast the reference to an int and view it that way?

**A:** Nice try, but the compiler won't let you cast a reference to any numeric value. Not allowing programmers direct access to memory is one of the security features of Java, and it also leads to more stability in your programs. With pointers, it is easy to run off the end of an array or alter memory that you had no business accessing. These are issues that cannot occur in a Java program.

**Q:** So how do you use a reference to access the data in an object?

**A:** That is a question I will answer in great detail in Chapter 4, “Classes and Objects.”

## Constants

The final keyword is used in Java to declare a variable as a constant. A final in Java cannot be changed after it is assigned a value. Consider the following statements, some of which compile and some of which don't.

```
final double pi = 3.14159;
pi = -5.0; //Does not compile!
final int x      //A blank final
x = 12;         //ok
x = 100        //Does not compile!
```

The variable pi is declared final and initialized to 3.14159, so attempting to change it to -5.0 is not valid.

The variable x is declared final, but is not initialized. This can be done in Java, and x is referred to as a blank final. Assigning it to 12 at a later time is valid, but it cannot be changed after it is assigned. Trying to change it to 100 is invalid and causes a compiler error.

## Java Operators

Table 2.4 shows the various operators in Java. The operators are listed in the precedence that they are evaluated, with the pre- and postincrement/decrement operators having the highest precedence.

**Table 2.4** Java Operators and Precedence

OPERATOR	SYNTAX
Pre- and postincrement/decrement	++, --
Unary operators	+, -, ~, !, (cast)
Multiplication/division/modulus	*, /, %
Addition/subtraction/concatenation	+, -, +
Shift Operators	<<, >>, >>>
Comparison	<, <=, >, >=, instanceof

(continued)

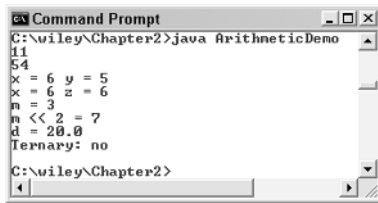
Table 2.4 (continued)

OPERATOR	SYNTAX
Equality	==, !=
Bitwise AND, OR, XOR	&,  , ^
Conditional AND, OR	&&,
Ternary operator	? :
Assignment operator	=
Assignment with operation	*=, /=, %=, +=, -=, <<=, >>=, >>>=, &=, ^=,  =

The following ArithmeticDemo demonstrates some of the operators and their order of operations. For example, notice that an int m is declared and assigned to 15%4, read “15 modulus 4”. The modulus operator % returns the remainder when the two integers are divided.

Study all the statements in the ArithmeticDemo program carefully and try to determine what the output is. The actual output is shown in Figure 2.6.

```
public class ArithmeticDemo
{
    public static void main(String [] args)
    {
        System.out.println(5 + 4 * 6 / 3 - 2);
        System.out.println((5 + 4) * 6 / (3 - 2));
        int x = 5, y, z;
        y = x++;
        System.out.println("x = " + x + " y = " + y);
        x = 5;
        z = ++x;
        System.out.println("x = " + x + " z = " + z);
        int m = 15%4;
        System.out.println("m = " + m);
        m = 29;
        System.out.println("m << 2 = " + (m >> 2));
        double d = 5.0;
        d *= 4.0;
        System.out.println("d = " + d);
        System.out.println("Ternary: " + (x==5 ? "yes" : "no"));
    }
}
```



```
Command Prompt
C:\wiley\Chapter2>java ArithmeticDemo
11
54
x = 6 y = 5
x = 6 z = 6
n = 3
m << 2 = 7
d = 20.0
Ternary: no
C:\wiley\Chapter2>
```

**Figure 2.6** Output of the ArithmeticDemo program.

## Increment and Decrement Operators

The increment operator `++` adds one to a number, and the decrement operator `--` subtracts one from a variable. The two operators are applied as either a prefix or a suffix to any variable that represents a number.

Using these operators as a prefix is referred to as preincrement and predecrement, and this causes the increment or decrement to occur immediately, using the new value in the statement.

Using these operators as a suffix to a variable is referred to as postincrement and postdecrement, and this causes the increment or decrement to occur after the variable is used in the statement. The variable is then incremented or decremented after the statement.

For example, in the ArithmeticDemo program, `x` is assigned the value 5 and then the following statement occurs:

```
y = x++;
```

This is a postincrement, so `x` gets incremented to 6 after it is used in the statement. Therefore, `y` is 5 after this statement and `x` is 6.

The variable `x` is then assigned to 5 again, then you see the following statement:

```
z = ++x;
```

This is a preincrement, so `x` becomes 6 before it is used in the statement. Therefore, `z` will be 6, as you can see in the output of the ArithmeticDemo program in Figure 2.6.

note

When two operators of the same precedence appear in a statement, the Java language specifies that they be evaluated from left to right. For example, in the following statement, the addition and subtraction operators have the same precedence and will be evaluated left to right:

```
int x = 5 + 4 - 3;
```

The  $5 + 4$  will be evaluated, and then the  $3$  will be subtracted from  $9$  to get  $6$ .

## Assignment Operators

Java provides a collection of shortcut assignment operators that are based on a similar feature of C++. A variable can be used in a statement and assigned to the result, all in one statement.

For example, the following statement uses the multiplication with assignment operator:

```
d *= 4.0;
```

In the preceding statement,  $d$  is multiplied by  $4.0$ , and the result is stored in  $d$ . The statement is equivalent to the following:

```
d = d * 4.0;
```

Table 2.4 contains a list of all the operators with assignment. This is another one of those features of Java that you do not use every day, but I want you to at least be familiar with it because you may run into it when reading other developers' Java code.

## Shift Operators

There are three shift operators in Java: one left-shift operator ( $\ll$ ) and two right-shift operators ( $\gg$  and  $\ggg$ ). Shift operators act on integer values by shifting their binary values (how they are stored in memory) to the right or left.

Shifting an integer to the left causes a  $0$  to be placed in the least-significant digit, shifting all the other  $1$ s and  $0$ s one place to the right, and having the most significant digit "pushed" off the end and lost. For example, the following is  $45$  represented in binary format:

```
0 0 1 0 1 1 0 1
```

If this value were shifted once to the left, you would get the following:

```
0 1 0 1 1 0 1 0
```

The number is 90, which just happens to be twice 45. Because it is a binary shift to the left, this is equivalent to multiplying by two.

Similarly, the right-shift operators shift the binary digits to the right. The only difference between the two right-shift operators is that one is signed ( $\gg$ ) and the other is unsigned ( $\ggg$ ). The signed right-shift brings in the sign bit on the right, whereas the unsigned right-shift always brings in a 0 on the right, no matter what the sign bit is.

For example, the following is 45 represented in binary format:

```
0 0 1 0 1 1 0 1
```

The most significant bit is a 0, so shifting to the right using  $\gg$  or  $\ggg$  produces the same result:

```
0 0 0 1 0 1 1 0
```

If the sign bit is a 1, as in the following binary representation of  $-4$ , the shift operators do make a difference:

```
1 1 1 1 1 1 0 0
```

Shifting this value to the right using  $\gg$  produces the following, which is the value  $-2$ :

```
1 1 1 1 1 1 1 0
```

Shifting to the right using  $\gg$  is equivalent to dividing the number by 2. Shifting  $-4$  to the right using  $\ggg$  results in the following, which has no meaningful mathematical result:

```
0 1 1 1 1 1 1 0
```

The following ShiftDemo program demonstrates the use of the shift operators. Study the program and try to determine its output, which is shown in Figure 2.7.



```

C:\wiley\Chapter2>java ShiftDemo
22
5
5
-80
-5
2147483643
C:\wiley\Chapter2>

```

**Figure 2.7** Output of the ShiftDemo program.

```

public class ShiftDemo
{
    public static void main(String [] args)
    {
        byte b = 11;
        System.out.println(b << 1); //Shift to the left
        System.out.println(b >> 1); //Signed shift to the right
        System.out.println(b >>> 1); //Unsigned shift to the right
        byte c = -10;
        System.out.println(c << 3); //Shift to the left three
        System.out.println(c >> 1); //Sign shift to the right
        System.out.println(c >>> 1);
    }
}

```

## Comparison Operators

Table 2.5 shows the comparison operators in Java, as well as the data types that each can be used with. Notice that the “equal to” and “not equal to” operators can be used on both primitive data types and references, but it does not make sense to compare references to see if one reference is less than another. (C++ programmers will be interested here to know that Java does not allow for operator overloading.)

**Table 2.5** Comparison Operators

OPERATOR	SYNTAX	VALID DATA TYPES
Less than	<	byte, short, int, long, float, double, char
Less than or equal to	<=	byte, short, int, long, float, double, char
Greater than	>	byte, short, int, long, float, double, char
Greater than or equal to	>=	byte, short, int, long, float, double, char

**Table 2.5** (continued)

OPERATOR	SYNTAX	VALID DATA TYPES
Equal to	==	byte, short, int, long, float, double, char, boolean, references
Not equal to	!=	byte, short, int, long, float, double, char, boolean, references
Instance of	instanceof	references

We will see the comparison operators used extensively in Chapter 3, “Control Structures.” The instanceof operator is discussed in Chapter 8, “Polymorphism and Abstraction.”

## Boolean Operators

The Boolean operators are used for combining two or more Boolean expressions into a single Boolean expression. The conditional operators *and* (&&) and *or* (| |) can be used to combine two Boolean expressions, whereas the bitwise operators *and* (&), *or* (|), and *exclusive or* (^) can be used on both Boolean expressions and integers.

The Boolean operators are discussed in detail in Chapter 3, “Control Structures.”

## Ternary Operator

Another carryover from C++ is the ternary operator. It is called the *ternary operator* because it has three operands, and it is basically a shortcut mechanism for writing an if/else control structure. The syntax is the following:

```
(boolean expression) ? x : y
```

The first part is a Boolean expression followed by a question mark. If the Boolean expression is true, the x statement is executed. If the Boolean expression is false, the y statement is executed.

The ternary operator was demonstrated in the ArithmeticDemo program.

```
(x == 5) ? "yes" : "no";
```

If x is 5, then “yes” is displayed, otherwise “no” is displayed. As you will see in Chapter 3, “Control Structures,” this can be accomplished in a much less elegant manner by using the following if/else statement:

```
if(x == 5)
    System.out.println("Ternary: yes");
else
    System.out.println("Ternary: no");
```

## Java Comments

---

I have been using comments sporadically in some of the previous examples, but I have not discussed them yet in detail. There are three techniques for adding comments to your Java code, each of which has their own particular usage. The three techniques are the following:

// Use the two forward slashes to comment out text that appears after the forward slashes but on the same line.

/\* and \*/ Use the /\* to turn on comments, and all text will be commented until a \*/ is reached. This is useful for commenting out multiple lines of code.

/\*\* and \*/ A special type of comment used specifically with the javadoc tool for generating HTML files that contain your comments. This feature of Java is widely used, and it is expected that these HTML pages accompany any Java code that is distributed among developers.

The following Television class demonstrates all three types of comments. The javadoc-style comments will be discussed in detail in Chapter 9, “Collections.”

```
/* Filename: Television.java
   Author: Rich Raposa
   Date: 9/20/02
*/
public class Television
{
    private int channel; //current channel
    private int prev; //previous channel
    /** This method changes the channel
     * of this television.
     * @param newChannel The channel to be changed to
     */
    public void setChannel(int newChannel)
    {
        prev = channel; //Keep track of the previous channel.
        channel = newChannel; //Change to the new channel.
    }
}
```



## Lab 2.1: Temperature Converter

In this lab, you will write a Java program that converts Celsius to Fahrenheit.

1. Write a public class called `TempConverter`, and declare the `main()` method within the class.
2. The value for Fahrenheit will be input from the command line. Because all command-line arguments are `String` objects, the first thing you need to do within `main()` is convert the `String` to a `double`. Use the following statement, which converts the first command-line argument into a `double`:

```
double F = Double.parseDouble(args[0]);
```

3. The formula for converting Fahrenheit to Celsius is  $C = (5 / 9) \times (F - 32)$  where `F` is Fahrenheit and `C` is Celsius. Compute this value using the `double` passed in from the command line, and display the result.
4. Save, compile, and run your `TempConverter` program. Be sure to enter a command-line argument for the Fahrenheit temperature. For example:

```
java TempConverter 85
```

You should see the output in Celsius of the Fahrenheit temperature entered on the command line.



## Lab 2.2: Mortgage Calculator

In this lab, you will write a program that computes the monthly payment of a mortgage, given the amount of the loan (principal), the interest rate, and the number of years required to pay back the loan.

1. Write a class called `Mortgage`, and add the `main()` method to it.
2. The first command-line argument will be the principal of the loan, which will be a `double`. Parse this argument into a `double` using the `Double.parseDouble()` method.
3. Similarly, the second command-line argument will be the interest rate. Parse this argument into a `double`.

4. The third command-line argument is the number of years for the loan. This value needs to be parsed into an int, which can be done using the `Integer.parseInt()` method.
5. You now have all the data you need to compute the monthly payment. Use the following formulas for computing the mortgage, and display the result using the `println()` method.

```
N = years x 12      //Number of payments
R = interest_rate / (12 x 100)    //Monthly interest rate
Monthly payment = principal x (R / (1 - (1 + R)^-N))
```

6. To compute the power of  $(1 + R)$  to the  $-N$ , use the following Java function, which computes  $a$  to the power  $b$ :

```
Math.pow(a, b)
```

The return value is a double.

7. Save, compile, and run the Mortgage program. Be sure to enter the three command-line arguments. For example, the following statement computes the monthly payment for a \$200,000 mortgage at 6.5 percent for 30 years:

```
java Mortgage 200000 6.5 30
```

The output should display the monthly payment of the mortgage information input on the command line.

---

## Summary

---

- The keywords `goto` and `const` are reserved words, meaning they have no implementation in Java. The terms `true`, `false`, and `null` are special literal values and technically are not Java keywords.
- A valid identifier cannot be a keyword; must start with a character, underscore, or dollar sign; and can contain the digits 0–9 as long as the identifier does not start with a digit.
- Java has eight primitive data types: `byte`, `short`, `int`, `long`, `float`, `double`, `char`, and `boolean`. Their size does not depend on the underlying operating system or platform. The numeric values are all signed, meaning that they hold both positive and negative values. The `char` data type is 16 bits and is unsigned. The size of a `boolean` is not specified, and it can only contain the values `true` or `false`.

- An integer literal is treated as an int by the JVM. The literal can be appended with an L to denote that the literal is a long. A floating-point literal is treated as a double by the JVM. An F can be appended to the literal to denote it as a float.
- String literals are treated as java.lang.String objects. A String object is immutable, meaning that once it is instantiated, its contents cannot be changed.
- A variable in Java is either one of the eight primitive data types or a reference.
- The final keyword in Java is used to declare a constant.
- There are three ways to declare comments in Java: two forward slashes // comment out the remainder of the current line of text; the format /\* ... \*/ is used to comment out multiple lines of text; the /\*\* ... \*/ format is a special type of comment used by the javadoc tool.

## Review Questions

1. Which two Java keywords have no implementation and cannot be used in Java?
2. A boolean in Java can only be assigned to which two special literals?
3. True or False: main is a Java keyword.
4. True or False: An identifier must begin with a letter, underscore, or dollar sign.
5. Name the eight primitive data types in Java and give their size in bits.
6. True or False: You can use the unsigned keyword to make an int store all positive values.
7. If you need to create a new data type beyond the eight built-in types, you need to write a(n) \_\_\_\_\_.
8. True or False: The size of an int depends on the underlying platform that the Java program is running on.
9. What Java keyword is used to declare a constant?
10. A string literal in Java is automatically instantiated into what data type?
11. True or False: String objects in Java are immutable.
12. In Java, a variable is either one of the eight primitive data types or a(n) \_\_\_\_\_.
13. True or False: A reference in Java contains the memory address of an object, but there is no way for you to view or access that memory address.
14. If x is an int equal to 25, what will x be after the statement  $x /= 4$ ?
15. If b is a byte equal to -24, what is the result of  $b \gg= 2$ ?
16. Assuming that x is 10, what will y be after the statement  $y = (x > 0) ? 1 : 2 ;$ ?
17. Name the three techniques in Java used to add comments to source code.

## Answers to Review Questions

1. goto and const.
2. true or false.
3. False. *main* is the name of the method that the JVM invokes on a standalone Java application, but *main* is not a keyword.
4. True.
5. byte (8 bits), short (16 bits), int (32 bits), long (64 bits), float (32 bits), double (64 bits), char (16 bits), boolean (size is not defined).
6. False. Unsigned is not a Java keyword.
7. class. We will do this throughout the remainder of the book.
8. False. The “underlying platform” is always a JVM, and an int is 32 bits on all JVMs.
9. final. Variables declared as final cannot be changed.
10. A String object.
11. True. A String object cannot be changed.
12. reference.
13. True. A reference holds a memory address, but you cannot use this fact in your Java programs.
14. 6. This is integer division, so the result is an int. Any remainder is truncated.
15. -6. The right-shift operator is equivalent to integer division by 2, so shifting twice is equivalent to integer division by 4.  $-24/4$  equals -6.
16. 1. 10 is greater than zero, so the value of *y* is the expression immediately following the question mark.
17. `//` is used for single-line comments, `/* ... */` is used for commenting multiple lines, and `/** ... */` is used for javadoc comments.







# Control Structures

In this chapter, I will discuss the control structures of the Java language, covering decision making and repetition. Topics in this chapter include a discussion on Boolean logic, truth tables, the if/else statement, the switch statement, the for loop, and while and do/while loops. If you are new to programming, spend some time in this chapter. Control structures are fundamental to any programming language, not just Java. If you are already familiar with structures like if statements and while loops, pay close attention to the details of how these are implemented in Java.

## Flow of Control

---

In the programs I have shown you so far and in the programs you have written in the labs, there has been a public class with a main() method declared in it. These programs have begun executing at the first statement in main(), then each subsequent statement has executed in order until the end of main() is reached, at which point the programs terminated.

Frequently in programming you need to change the flow of control—that is, the order in which statements are executed. There are three basic techniques for changing the flow of control of a program:

**Invoke a method.** This involves the flow of control leaving the current method and moving to the method being invoked. For example, when you invoke the `println()` method, flow of control leaves `main()`, jumps to `println()`, and returns to `main()` when the `println()` method is finished. We will cover methods in detail in Chapter 5, “Methods.”

**Decision making.** This is when a certain criterion determines which path the flow of control takes. Java has two mechanisms for making decisions: the `if/else` statement and the `switch` statement. (The ternary operator can also be used for decision making, but it is basically a shortcut version of an `if/else` statement.)

**Repetition.** Repetition occurs when a task needs to be repeated a certain number of times, and is often referred to as a loop. Java has three looping mechanisms: the `for` loop, the `while` loop, and the `do/while` loop.

The decision-making and repetition statements are known as *control structures*, because you use them to control the flow of a program. All of the control structures involve some type of Boolean decision, so you will need a good understanding of Boolean logic and truth tables, which I will discuss next.

## Boolean Logic

---

Boolean logic refers to the logic of combining two or more Boolean expressions into a single Boolean expression. I will discuss four types of logic when working with combined Boolean statements:

- and.** The combined expression is true only if both parts are true.
- or.** The combined expression is true if either part is true.
- exclusive or.** The combined expression is true only if one part is true and the other part is false.
- not.** Negates a Boolean expression.

I will now discuss the logic and truth tables for these Boolean operators.

## The and Operator

Suppose I make the following statement:

```
It is raining out today and x is equal to 4.
```

This expression is a combination of two Boolean statements. The statement “It is raining out today” is either true or false. The statement “x is equal to 4” is either true or false. The *and* combines these two Boolean expressions into a single, larger expression that is either true or false.

We use what is called a truth table when determining whether a combination of Boolean expressions is true or false. In the case of the *and* operator, the only time the larger expression is true is when both smaller expressions are true, as shown in Table 3.1.

Therefore, the earlier comment about the rain and variable x is only true when it is actually raining out *and* x is indeed the value 4. If x is 4, but it is not raining out, the entire expression becomes false. Similarly, if it is raining but x is not 4, the expression is false. Finally, if it is not raining and x is not 4, the entire expression is again false.

note

**Just like the old saying that two wrongs don’t make a right, two false statements do not make a true statement. An expression of the form *false and false* is false.**

## The or Operator

Suppose that I make the following comment:

`Today is Monday or I will buy lunch for everyone today.`

With the *or* operator, if either of the two expressions is true, the entire expression is true. Therefore, in my statement about Monday and lunch, if it is indeed Monday, I do not need to take anyone to lunch and the statement is still true. Similarly, if I buy lunch for everyone and it is not Monday, the statement is true. If it is not Monday, and I do not buy lunch for anyone (which is the likely outcome), the statement is false.

Table 3.2 shows the truth table for the or operator. Notice in Table 3.2 that the only time an or expression is false is when both of the statements in the expression are false.

**Table 3.1** The Truth Table for the *and* Operator

AND	TRUE	FALSE
TRUE	TRUE	FALSE
FALSE	FALSE	FALSE

**Table 3.2** The Truth Table for the *or* Operator

OR	TRUE	FALSE
TRUE	TRUE	TRUE
FALSE	TRUE	FALSE

## The exclusive or Operator

The *exclusive or* operator is used when you want a combined Boolean expression to be true only when exactly one of the two statements is true. Table 3.3 shows the truth table for the exclusive or operator.

For example, a Boolean expression of the form true exclusive or false is true, while true exclusive or true is false.

## The not Operator

The final Boolean operator I want to discuss is the *not* operator, which negates a single Boolean expression. If I say something that was not true, it must be false. Similarly, if I say something that is not false, it must be true. The not operator performs this logic in your code.

note

**There is no truth table for the not operator because it is only performed on a single expression.**

Consider the following statement:

`Not (it is raining out today).`

This example may not sound grammatically correct, but it is programmatically correct. The not operator is placed at the beginning of a Boolean expression. The statement above is true when it is not raining out today. If it is raining out today, the statement is false.

**Table 3.3** The Truth Table for the *exclusive or* Operator

EXCLUSIVE OR	TRUE	FALSE
TRUE	FALSE	TRUE
FALSE	TRUE	FALSE

## Boolean Operators

Now that you have seen the logic behind the operators, let's look at the corresponding programming syntax. Table 3.4 shows the Boolean operators available in Java.

The `&` and `&&` operators only differ in that the `&&` will *short-circuit* when the first Boolean expression is false. To demonstrate, consider the following Boolean expression:

```
(a > 0) && (a < 1)
```

If `a` is not greater than 0, the first part of the expression is false. Because the operation is *and*, we can now say that the entire expression will be false, no matter what the result of the second expression is. In the case of using the two ampersands `&&`, the expression will short-circuit and the `(a < 1)` will not be checked.

There are many situations where short-circuiting is the desired result. For example, consider the following expression:

```
(x != 0) && (y/x < 1)
```

If `x` is 0, then you do not want the second expression to be evaluated because it involves integer division by zero, which causes a runtime exception and will make your program crash. If `x` is not 0, the first part is true, and the second part must be evaluated to determine the result of the entire Boolean expression. Because we are assured that `x` is not zero, the division `y/x` is no problem.

note

**In some situations, you might not want the short-circuit behavior.**

**In the following example, the second part of the expression contains an operation that changes the value of the variable `x`:**

```
(x != 0) && (x++ > 10)
```

**I consider the preceding statement to be poor programming practice, but it illustrates my point. If `x` is 0, then the `x++` increment will not occur. If you want the `x++` to be evaluated in all situations, you would use the single ampersand (`&`):**

```
(x != 0) & (x++ > 10)
```

**No matter if `x` is 0 or not, the expression `(x++ > 10)` will be tested. In other words, the single ampersand guarantees that both Boolean expressions will be checked. The same is true for the *or* operator (`|`).**

**Table 3.4** The Boolean Operators

OPERATOR	SYNTAX
short-circuit and	&&
and	&
short-circuit or	
or	
exclusive or	^
not	!

The or operator also has two versions. The `||` operator will short-circuit if the first Boolean expression is true. (If the first part of the expression is true, it does not matter what the second part evaluates to. The entire expression will be true.)

For example, the following statement will short-circuit:

```
int x = 10;
(x > 0) || (x-- != 10)
```

The previous expression is true because the first part is true. What is `x` after the code above? Because it short-circuits, `x` will still be 10. The second part of the Boolean expression is not evaluated.

As with the single ampersand, `&`, you can use the single `|` to ensure that an *or* expression never short-circuits:

```
int x = 10;
(x > 0) | (x-- != 10)
```

What is `x` after the code above? This time, no short-circuiting occurs and `x` will be decremented to 9. The expression still evaluates to true because *true or false* is true.

The not operator, `!`, may be placed at the beginning of any Boolean expression or variable. Consider the following statements:

```
short a = 10, b = 5;
boolean test = !(a > b);
```

Because `a` is greater than `b`, the expression in parentheses is true. The not operator is then applied to true, which results in false (not true equals false); therefore, the value of `test` is false.

You can combine the Boolean operators to create a more complex Boolean expression. For example, try to determine the result of the following code:

```
int x = 5, y = 6, z = -3;
boolean b = ((x + 3 > y) ^ (z >= y)) && !(x == 5 | ++x == y);
```

Pay close attention to the parentheses. If you replace the comparisons with true and false, you get the following logically equivalent statement:

```
(true ^ false) && !(true | true)
```

Evaluating the ^ and | expressions gives you:

```
true && !(true)
```

This is the same as true and false, which is false; therefore, the variable b in the code above will be the value false.

Now that you have seen the Boolean operators, I am ready to talk about the various control structures in Java, all of which involve some type of Boolean expression.

## The if Statement

An *if* statement consists of a Boolean expression followed by one or more statements. If statements have the following syntax:

```
if(Boolean_expression)
{
    //Statements will execute if the Boolean expression is true
}
```

If the Boolean expression in parentheses is true, the statements within the curly brackets are executed. If the Boolean expression is false, the statements in curly brackets are skipped over. In the case of false, the flow of control will jump to the statement that is immediately beyond the curly brackets.

The IfDemo program that follows demonstrates using if statements. Study the program and try to determine what the output will be. A sample output is shown in Figure 3.1.

```
public class IfDemo
{
    public static void main(String [] args)
    {
        int x = Integer.parseInt(args[0]);
```



```
double half = 0.0;
if(x != 0)
{
    half = x / 2.0;
    System.out.println(x + "/2 = " + half);
}
if(x == 0)
{
    System.out.println("The value of x is 0");
}
int y = x * 5;
char grade = 'F';

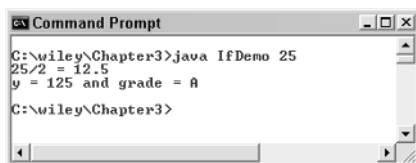
if(y >= 85)
{
    grade = 'A';
}
if(y >= 70 && y < 85)
    grade = 'C';

System.out.println("y = " + y + " and grade = " + grade);
}
}
```

note

In the `IfDemo` program, I wanted to divide an `int` in half. If I had used the statement `x/2`, the 2 would be treated as an `int` because 2 is an integer literal. This would have given me an `int` as a result, meaning that any remainder would have been lost. For example, `19/2` would result in 9, not 9.5.

By using the statement "`x/2.0`," I forced the `x` to be promoted to a double before the division was calculated, thereby not losing any remainder. For example, `19/2.0` results in 9.5, which is what I wanted in this particular situation.



```
Command Prompt
C:\wiley\Chapter3>java IfDemo 25
25/2 = 12.5
y = 125 and grade = A
C:\wiley\Chapter3>
```

Figure 3.1 Sample outputs of the `IfDemo` program.

The curly brackets of an if statement are not required if you only have one statement that follows the if. For example, in the preceding IfDemo program, the following if statement does not need the curly brackets since there is only one statement that follows: `grade = C`.

```
if(y >= 70 && y < 85)
    grade = 'C';
```

In the if statement comparing (`y >= 85`), the curly brackets appear, but are not necessary.

tip

**Try to use curly brackets all the time, even if they are not required. They make code easier to read and modify.**

## The if/else Statement

---

An if statement can be followed by an optional *else* statement, which executes when the Boolean expression is false. The syntax for an if/else looks similar to:

```
if( Boolean_expression )
{
    //Executes when the Boolean expression is true
}
else
{
    //Executes when the Boolean expression is false
}
```

With an if/else statement, you are guaranteed that either the if block or the else block will execute, depending on the value of the Boolean expression.

note

**An else can only follow a corresponding if. It does not make sense (nor is it valid) to have a standalone else statement.**

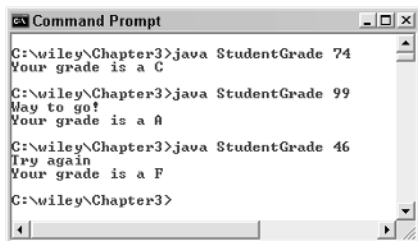
The else block can also contain another if statement, creating a series of if/else statements in which only one if block of code will execute. The syntax looks similar to:

```
if( Boolean_expression )
{
}
else if( Boolean_expression )
```

```
{
}
else if(Boolean_expression)
{
}
//And so on, until
else
{
}
```

When using an if/else statement as in the format above, the final else block is optional. The following StudentGrade program demonstrates using an if/else control structure by assigning a letter grade to an exam score between 0 and 100. Study the program and try to determine what the output will be for the various possible exam scores. Figure 3.2 shows some sample outputs of the program.

```
public class StudentGrade
{
    public static void main(String [] args)
    {
        int score = Integer.parseInt(args[0]);
        char grade;
        if(score >= 90)
        {
            grade = 'A';
            System.out.println("Way to go!");
        }
        else if(score >= 80)
        {
            grade = 'B';
            System.out.println("Good job");
        }
        else if(score >= 70 && score < 80)
        {
            grade = 'C';
        }
        else if(score >= 60)
        {
            grade = 'D';
        }
        else
        {
            grade = 'F';
            System.out.println("Try again");
        }
        System.out.println("Your grade is a " + grade);
    }
}
```



```

C:\wiley\Chapter3>java StudentGrade 74
Your grade is a C

C:\wiley\Chapter3>java StudentGrade 99
Way to go!
Your grade is a A

C:\wiley\Chapter3>java StudentGrade 46
Try again
Your grade is a F

C:\wiley\Chapter3>

```

**Figure 3.2** Sample outputs of the StudentGrade program.

In the StudentGrade program, exactly one of the if/else blocks must execute. Notice that if the value of score is, say, 92, all the if statements are true; however, because they are checked in order, the  $(x \geq 90)$  block will be checked first, which is true. The grade will be assigned as 'A', the message "Way to go!" will be displayed, and the flow of control will jump out of the if/else structure. The next line of code to execute will be the `println()` statement displaying "Your grade is a A," and none of the subsequent Boolean expressions will be checked.

note

**Notice the truth logic in the if/else control structure of the StudentGrade program. You might ask why I checked for the score greater than or equal to 70 and less than 80.**

Well, to be honest, I did not need to check for the score to be less than 80. I added that to make you think about the logic and bring attention to the fact that I already knew score was less than 80 by the time I got there. If  $x$  is not less than 80, it must be greater than or equal to 80, and one of the two previous Boolean expressions would have evaluated to true.

That being said, having the less than 80 in the expression might make the code more readable, even though it is not needed.

## The switch Statement

A *switch* statement allows a variable to be tested for equality against a list of values. Each value is called a *case*, and the variable being switched on is checked for each case.

The syntax for a switch statement looks similar to the following:

```

switch(variable)
{
    case value :
        //Statements

```

```
        break;           //optional
    case value :
        //Statements
        break;         //optional
    //You can have any number of case statements.
    default :          //Optional
        //Statements
}
```

The following rules apply to a switch statement:

- The variable used in a switch statement can only be an integer value 32 bits or smaller. That means the only data types that can be switched on are byte, short, int, and char.
- You can have any number of case statements within a switch. Each case is followed by the value to be compared to and a colon.
- The value for a case must be the same data type as the variable in the switch, and it must be a constant or a literal.
- When the variable being switched on is equal to a case, the statements following that case will execute until a break is reached.
- When a break is reached, the switch terminates, and the flow of control jumps to the next line following the switch statement.
- Not every case needs to contain a break. If no break appears, the flow of control will “fall through” to subsequent cases until a break is reached.
- A switch statement can have an optional *default case*, which must appear at the end of the switch. The default case can be used for performing a task when none of the cases is true. No break is needed in the default case.

I always find it is easier to understand a switch by going through an example. The following `CongratulateStudent` program contains a switch statement that prints out a message to a student, depending on the student’s letter grade. The first statement within `main()` retrieves the first character from the first command-line argument, which is supposed to be the student’s letter grade.

Study the switch statement and try to determine what the output will be for the various possible values of grade. Figure 3.3 shows some sample outputs of the program.

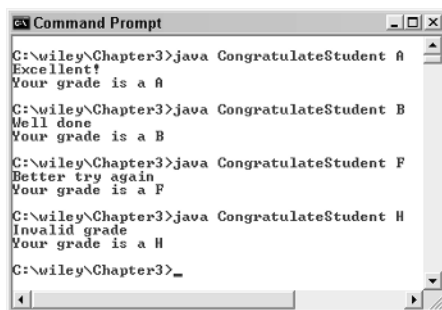
```
public class CongratulateStudent
{
    public static void main(String [] args)
    {
```

```
char grade = args[0].charAt(0);
switch(grade)
{
    case 'A' :
        System.out.println("Excellent!");
        break;
    case 'B' :
    case 'C' :
        System.out.println("Well done");
        break;
    case 'D' :
        System.out.println("You passed");
    case 'F' :
        System.out.println("Better try again");
        break;
    default :
        System.out.println("Invalid grade");
}
System.out.println("Your grade is a " + grade);
}
```

Notice that when the grade is an A, the first case statement is true. The string “Excellent!” is displayed, a break is reached, and the flow of control jumps down to the statement following the switch.

When the grade is a B, the first case is false, the second case is true, and all subsequent statements following case B will execute until a break is reached. Even though the case of a C is false when the grade is a B, the flow of control falls through, since there is no break between case B and case C.

A similar falling through occurs when the grade is a D, when “You passed” is displayed and so is “Better try again.”



```
Command Prompt
C:\wiley\Chapter3>java CongratulateStudent A
Excellent!
Your grade is a A
C:\wiley\Chapter3>java CongratulateStudent B
Well done
Your grade is a B
C:\wiley\Chapter3>java CongratulateStudent F
Better try again
Your grade is a F
C:\wiley\Chapter3>java CongratulateStudent H
Invalid grade
Your grade is a H
C:\wiley\Chapter3>
```

**Figure 3.3** Sample outputs of the CongratulateStudent program.

## Classroom Q & A

**Q:** What are the benefits of using a switch statement?

**A:** Switch statements are a carry-over from C and C++, and there are many situations where a switch statement is more elegant and easier to write than a series of if/else statements.

**Q:** It seems like the StudentGrade program would have been easier to write using a switch. Why not use a switch every time?

**A:** You have to be careful here. A switch statement only tests for equality. The StudentGrade program was checking to see if a variable fell within a range of values, which required less than and greater than comparisons. You can't do those types of comparisons with a switch, only equality.

**Q:** Can you list more than one value after a single case?

**A:** No. If you want a case to have multiple values, you need to use the case keyword each time followed by a single value, similar to case B and C in the CongratulateStudent program.

**Q:** So you are limited by a switch statement.

**A:** It's not so much that you are limited, but that a switch is only useful in certain situations. That being said, you never need to use a switch statement. Every switch statement can be written using an equivalent if/else statement. In my experience, though, programmers use switch statements all the time.

## The while Loop

---

A *while* loop is a control structure that allows you to repeat a task a certain number of times. The syntax for a while loop is:

```
while(Boolean_expression)
{
    //Statements
}
```

When a while loop is first reached, the Boolean expression is checked. If the Boolean expression is true, the statements in the body of the loop execute. The flow of control then goes back up to the Boolean expression, which is checked again. If it is still true, the statements in the loop execute again. This process repeats until the Boolean expression is false.

Study the following while loop. How many times will it execute? How will the output look?

```
int x = 1;
while(x <= 10)
{
    System.out.println(x);
    x++;
}
```

Notice that `x` starts at 1 and is incremented by 1 each time through the loop; therefore, the `println(x)` statement executes 10 times, and the output is:

```
1
2
3
4
5
6
7
8
9
10
```

Note that when the loop is done executing, the value of `x` will be 11. In this example, the variable `x` is referred to as the *loop counter* because `x` changes each time through the loop, and it is the value of `x` that determines when the loop will terminate.

It is possible to write a while loop that never executes. If the Boolean expression is initially false, the statements in the loop are not executed. For example:

```
int i = -10;
while(i > 0)
{
    System.out.println("You will not see this.");
}
```

Similarly, it is possible to write an infinite while loop that never terminates. For example:

```
int i = 1;
while(i > 0)
{
    System.out.println(i++);
}
```



tip

It is not difficult to inadvertently write an infinite while loop. Your program will simply run indefinitely. To stop an infinite loop, you need to stop the JVM. This is done by pressing Ctrl+C at the command prompt window in which you are running your Java program.

The following WhileDemo program has three while loops. Study the program carefully and try to determine what each while loop is doing.

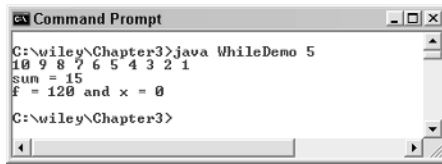
note

The first loop in the WhileDemo program uses the print() method, which is similar to println(), except that it does not move the cursor down to the next line.

```
public class WhileDemo
{
    public static void main(String [] args)
    {
        //Loop #1
        int i = 10;
        while(i > 0)
        {
            System.out.print(i + " ");
            i--;
        }
        System.out.println();

        //Loop #2
        int x = Integer.parseInt(args[0]);
        long sum = 0;
        i = 0;
        while(i <= x)
        {
            sum += x;
            i++;
        }
        System.out.println("sum = " + sum);
        //Loop #3
        long f = 1;
        while(x >= 1)
            f *= x--;
        System.out.println("f = " + f + " and x = " + x);
    }
}
```

In the first while loop in the WhileDemo program, the variable *i* is the loop counter. It starts at 10 and is decremented by 1 each time through the loop.



```

C:\wiley\Chapter3>java WhileDemo 5
10 9 8 7 6 5 4 3 2 1
sum = 15
f = 120 and x = 0
C:\wiley\Chapter3>

```

**Figure 3.4** Output of the WhileDemo program.

Because the loop terminates when  $x$  becomes 0, it executes 10 times. The output of the first loop is the numbers 10 down to 1, all on the same line.

The variable  $i$  is the loop counter in the second while loop, also. It is incremented by 1 each time, and the loop executes until  $i$  equals  $x$ , so the actual number of executions is  $x + 1$  (since  $i$  starts at 0). The value of  $i$  is added to  $sum$ , so mathematically  $sum$  is the result of adding  $0 + 1 + 2 + 3 + 4 + \dots + x$ .

I made the third while loop intentionally confusing. The loop counter is  $x$ , which is the value input from the command line. The value of  $x$  is decremented by 1 each time, and the loop executes while  $x$  is greater than or equal to 1. The loop will therefore execute  $x$  number of times. Each time through the loop, the value of  $x$  is multiplied by  $f$  before it is decremented. The result of  $f$  is  $x * (x-1) * (x-2) * \dots * 1$ , which is the factorial of  $x$ .

**note**

**Notice I did not use any curly brackets in loop #3 of the WhileDemo program. As with an if statement, when you have only one statement in the body of a loop, the curly brackets are not needed.**

Figure 3.4 shows the output of running the WhileDemo program when  $x$  is equal to 5.

## The do/while Loop

A *do/while* loop is similar to a while loop, except that a do/while loop is guaranteed to execute at least one time. The syntax of a do/while loop is:

```

do
{
    //Statements
}while(Boolean_expression);

```

Notice that the Boolean expression appears at the end of the loop, so the statements in the loop execute once before the Boolean is tested. If the Boolean expression is true, the flow of control jumps back up to do, and the statements in the loop execute again. This process repeats until the Boolean expression is false.

warning

The semicolon at the end of the do/while statement that immediately follows the Boolean expression is easy to forget.

How many times does the following do/while loop execute?

```
int y = 10;
do
{
    System.out.println(y);
    y += 10;
}while(y <= 100);
```

The loop counter is `y`, which starts at 10 and is incremented by 10 each time through the loop. Because it repeats until `y` equals 100, that is 10 times through the loop. The output will be the multiples of 10:

```
10
20
30
40
50
60
70
80
90
100
```

## Classroom Q & A

**Q:** Why did you use a do/while loop to display the multiples of 10? It seems like you could have just used a while loop.

**A:** You're right. In fact, I think a while loop in that example would have made the code more readable.

**Q:** So, are there situations where a do/while is required?

**A:** No. In fact, a while loop is the only repetition control structure you would ever need in Java. If you can write something with a do/while loop, a while loop can be written to do the same thing. The upcoming section *The for Loop* shows you how to write a for loop, and the same is also true with them. If you can perform a task with a for loop, a while loop can be written that does the same thing.

**Q:** Why not just use while loops exclusively, then?

**A:** I suppose you could; however, in many situations, a do/while loop or a for loop can make code more readable or make a repetition problem easier to solve. The upcoming RandomLoop program is a good example of a do/while loop solving a problem more efficiently than a while loop. Study this program and try to determine what the do/while loop is doing.

note

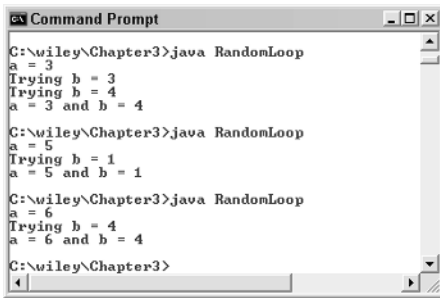
The RandomLoop program uses the `Math.random()` function to generate random numbers. The return value of `Math.random()` is a random double between 0 and 1 (but never equal to 0 or 1). Multiplying this result by 10 gives you a random number  $r$  in the range  $0 < r < 10$ . Adding 1 changes this range to  $1 < r < 11$ . Casting this value to an `int` gives you a random integer between 1 and 10 (including 1 and 10).

```
public class RandomLoop
{
    public static void main(String [] args)
    {
        int a, b;
        a = (int) (Math.random() * 10 + 1);
        System.out.println("a = " + a);
        do
        {
            b = (int) (Math.random() * 10 + 1);
            System.out.println("Trying b = " + b);
        }while(a == b);

        System.out.println("a = " + a + " and b = " + b);
    }
}
```

The RandomLoop program starts by declaring two ints, `a` and `b`. The variable `a` is assigned to a random number between 1 and 10, and this number is displayed. Inside the do/while loop, `b` is also assigned to a random number between 1 and 10, and this value is displayed. If `a` is equal to `b`, the loop repeats. This means that the loop repeats until `b` is not equal to `a`.

Therefore, when `a` and `b` are displayed after the do/while loop, it is assured that they are two random but different numbers between 1 and 10. Figure 3.5 shows some sample outputs of the RandomLoop program.



```
Command Prompt
C:\wiley\Chapter3>java RandomLoop
a = 3
Trying h = 3
Trying h = 4
a = 3 and h = 4

C:\wiley\Chapter3>java RandomLoop
a = 5
Trying h = 1
a = 5 and h = 1

C:\wiley\Chapter3>java RandomLoop
a = 6
Trying h = 4
a = 6 and h = 4

C:\wiley\Chapter3>
```

Figure 3.5 Sample outputs of the RandomLoop program.

## The for Loop

A *for* loop is a repetition control structure that allows you to efficiently write a loop that needs to execute a specific number of times. The syntax of a for loop is:

```
for(initialization; Boolean_expression; update)
{
    //Statements
}
```

Here is the flow of control in a for loop:

1. The initialization step is executed first, and only once. This step allows you to declare and initialize any loop control variables. You are not required to put a statement here, as long as a semicolon appears.
2. Next, the Boolean expression is evaluated. If it is true, the body of the loop is executed. If it is false, the body of the loop does not execute and flow of control jumps to the next statement past the for loop.
3. After the body of the for loop executes, the flow of control jumps back up to the update statement. This statement allows you to update any loop control variables. This statement can be left blank, as long as a semicolon appears after the Boolean expression.
4. The Boolean expression is now evaluated again. If it is true, the loop executes and the process repeats itself (body of loop, then update step, then Boolean expression). After the Boolean expression is false, the for loop terminates.

Let's go through an example to demonstrate the preceding steps. Consider the following for loop:

```
for(int j = 1; j <= 1024; j = j * 2)
{
    System.out.println(j);
}
```

The first step that occurs is `j` being initialized to 1; `j` then is tested to see if it is less than or equal to 1024, which is true, so the body of the for loop executes and 1 is displayed. The flow of control then jumps up to the update statement `j = j * 2`, and `j` becomes 2. Because 2 is less than or equal to 1024, the loop repeats again and 2 is displayed.

This process repeats, and `j` becomes 4, 8, 16, and so on until it equals 2048, at which point the loop terminates. The output will look similar to:

```
1
2
4
8
16
32
64
128
256
512
1024
```

**note**

This could have been done using a while loop similar to the following:

```
int j = 1;
while(j <= 1024)
{
    System.out.println(j);
    j = j * 2;
}
```

The end result is the same, so I do not want to imply that one technique is better than the other. For loops are widely used, though, and are an important fundamental piece of Java.

**note**

As with while loops and do/while loops, it is possible to write a for loop that never executes, which happens when the Boolean expression is initially false. Similarly, you can write an infinite for loop that never terminates, which happens when the Boolean expression never becomes false.

## ◆ Comparing the Looping Control Structures

I want to make a general observation about while loops, do/while loops, and for loops. The number of times that a loop repeats does not need to be predetermined. For example, how many times does the do/while loop repeat in the RandomLoop program? Statistically, assuming that the random number generator is truly random, the loop should only have to repeat itself three or four times at the most.

However, it is possible for the loop to have to repeat 10 times or more until a second random number is finally generated that is different from the first random number. So, to answer my own question, the loop will repeat an indeterminate number of times. You have to run the program to see how many times through it takes to generate a second, unique random number.

What if you knew exactly how many times you needed to repeat something? For example, suppose that I have 20 students in a calculus class and I need to compute the exam score for each student. I will need to repeat a task 20 times. I can use a while loop or a do/while loop, but my preferred choice in this situation would be a for loop.

You can write a for loop that executes an indeterminate number of times, and you can write a while or do/while loop that executes a predetermined number of times. In general, however, when you know ahead of time exactly how many times you need to repeat a task, a for loop is the control structure of choice. Otherwise, if you need to repeat a task in indeterminate number of times, a while loop or do/while loop is your best bet.

The following ForDemo program contains three for loops. Study the program and try to determine what the output of the program will be. By the way, I added one statement in the program that does not compile. See if you can guess which line of code it is.

```
public class ForDemo
{
    public static void main(String [] args)
    {
        //Loop #1
        int x = Integer.parseInt(args[0]);
        long f = 1;
        for(int i = 1; i <= x; i++)
        {
            f = f * i;
        }
        System.out.println("f = " + f);
        System.out.println("i = " + i);
        //Loop #2
        for(int k = 1; k <= 100; k++)
        {
            if(k % 7 == 0)
            {
                System.out.println(k);
            }
        }
    }
}
```

```

    }
}
//Loop #3
for(int a = 1, b = 100; a < b; a = a + 2, b = b - 4)
{
    System.out.println("a = " + a + " and b = " + b);
}
}
}

```

One nice feature of for loops is that you can often tell how many times they will repeat just by looking at the for declaration. For example, the first loop in the ForDemo program repeats  $x$  number of times, where  $x$  is a value input from the command-line arguments. The second for loop repeats 100 times. It is not clear how many times the third for loop executes, but I will discuss that in detail in a moment.

The first for loop multiplies  $1 * 2 * 3 * \dots * x$ , which is the factorial of  $x$ . When the variable  $i$  is equal to  $x + 1$ , the for loop terminates. For example, when  $x$  is 7 the output is:

```
f = 5040
```

The statement I added that does not compile is:

```
System.out.println("i = " + i);
```

The variable  $i$  was declared in the initialization step, and  $i$  goes out of scope once the for loop terminates.

**note**

**If you want  $i$  to have scope outside of the for loop, you need to declare it outside of the for loop.**

**For example, you could change the beginning of the loop to:**

```
int i;
for(i = 1; i <= x; i++)
```

**Now, the variable  $i$  can be used after the for loop, and it will be the value  $x + 1$ .**

The second for loop in the ForDemo program executes 100 times and prints all of the numbers between 1 and 100 that are divisible by 7. This for loop generates the following output:

```
7
14
21
28
```



35  
42  
49  
56  
63  
70  
77  
84  
91  
98

The third for loop demonstrates using the comma operator to perform multiple statements in the update step. The for loop is declared as:

```
for(int a = 1, b = 100; a < b; a = a + 2, b = b - 4)
```

Two variables, *a* and *b*, are declared and initialized in the initialization step. In the update step, I wanted to add 2 to *a* and subtract 4 from *b*. You can have more than one statement in the update step by separating the statements with a comma. This loop executes 17 times, which is how many times it takes for *a* to become larger than *b*, and generates the following output:

```
a = 1 and b = 100  
a = 3 and b = 96  
a = 5 and b = 92  
a = 7 and b = 88  
a = 9 and b = 84  
a = 11 and b = 80  
a = 13 and b = 76  
a = 15 and b = 72  
a = 17 and b = 68  
a = 19 and b = 64  
a = 21 and b = 60  
a = 23 and b = 56  
a = 25 and b = 52  
a = 27 and b = 48  
a = 29 and b = 44  
a = 31 and b = 40  
a = 33 and b = 36
```

---

## The break Keyword

---

The *break* keyword can be used in any of the loop control structures to cause the loop to terminate immediately. When a *break* occurs, no matter what the value is of the loop counter or the Boolean expression, the flow of control will jump to the next statement past the loop.

The following BreakDemo program contains a while loop with a break in it. Study the program and see if you can determine how many times the while loop executes.

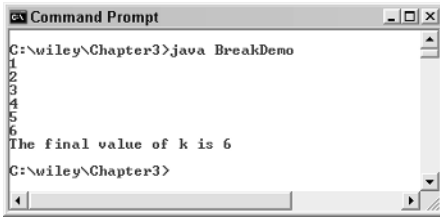
```
public class BreakDemo
{
    public static void main(String [] args)
    {
        int k = 1;
        while(k <= 10)
        {
            System.out.println(k);
            if(k == 6)
            {
                break;
            }
            k++;
        }
        System.out.println("The final value of k is " + k);
    }
}
```

It looks as though the while loop will execute 10 times, since k starts at 1 and the Boolean expression has k less than or equal to 10; however, when k is 6, the break occurs, the loop terminates, and flow of control jumps down to the println() that displays the final value of k. This final value is 6, as you can see by the output in Figure 3.6.

A common use of the break keyword is in while loops that execute indefinitely, or at least until a problem arises. For example, consider the following while loop:

```
while(true)
{
    try
    {
        //Perform certain tasks.
    }catch(IOException e)
    {
        break;
    }
}
```

At first glance, this while loop looks like an infinite loop. Theoretically, it could run forever if no IOException occurs; however, if an IOException does occur while performing the certain tasks, the catch block will execute and the break will cause the loop to terminate. (Try/catch blocks are discussed in detail in Chapter 11, "Exception Handling.")



```
Command Prompt
C:\wiley\Chapter3>java BreakDemo
1
2
3
4
5
6
The final value of k is 6
C:\wiley\Chapter3>
```

Figure 3.6 Output of the BreakDemo program.

## The continue Keyword

The *continue* keyword can be used in any of the loop control structures. It causes the loop to immediately jump to the next iteration of the loop.

- In a for loop, the continue keyword causes flow of control to immediately jump to the update statement.
- In a while loop or do/while loop, flow of control immediately jumps to the Boolean expression.

The following ContinueDemo program demonstrates how the continue keyword works. Study the program carefully and try to determine what the output is.

```
public class ContinueDemo
{
    public static void main(String [] args)
    {
        System.out.println("The for loop");
        for(int i = 10; i > 0; i--)
        {
            if(i % 2 == 0)
            {
                continue;
            }
            System.out.println(i);
        }
        System.out.println("The while loop");
        int j = 20;
        do
        {
            if(j % 3 != 0)
            {
```

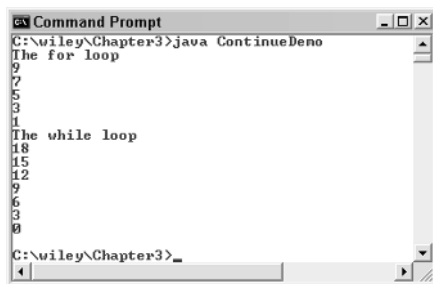
```
        continue;
    }
    System.out.println(j);
}while(j-- > 0);
}
}
```

The for loop in the ContinueDemo program has a continue statement that executes each time the loop counter is divisible by 2. For example, the first time through the loop, the value of *i* is 10. Because 10 percent of 2 is 0, the continue occurs and flow of control jumps to the update statement, *i*--. Therefore, the 10 is not displayed because the println() statement is skipped. Notice in the output in Figure 3.7 that only the odd values less than 10 are displayed.

The while loop in the ContinueDemo program has a continue that occurs each time the loop counter *j* is not divisible by 3. The first time through the loop, *j* is 20 and the continue occurs. Flow of control jumps to the Boolean expression, which decrements *j*. This process repeats, with the println() statement getting skipped over each time the value of *j* is not divisible by 3. The output of this while loop is the numbers less than 20 that are divisible by 3, as you can see in Figure 3.7.

**note**

**Excessive use of the break and continue statements is not going to impress any of your programming colleagues. The main reason these keywords appear in the Java language is that they are used in C and C++. They do serve a purpose, especially the break keyword (as discussed earlier); however, in many situations you can avoid using a break or continue simply by redesigning your code.**



```
Command Prompt
C:\wiley\Chapter3>java ContinueDemo
The for loop
9
7
5
3
1
The while loop
18
15
12
9
6
3
0
C:\wiley\Chapter3>
```

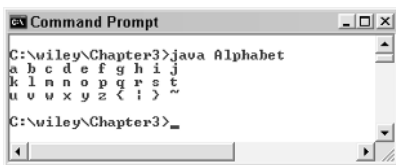
**Figure 3.7** Output of the ContinueDemo program.

## Nested Loops

A *nested* loop is a loop that appears within the body of another loop. For example, within the body of a for loop, you can have another for loop. The following Alphabet program demonstrates nested loops by displaying the letters of the alphabet in rows and columns. Study the program, and try to determine the output, which is shown in Figure 3.8.

```
public class Alphabet
{
    public static void main(String [] args)
    {
        char current = 'a';
        for(int row = 1; row <= 3; row++)
        {
            for(int column = 1; column <= 10; column++)
            {
                System.out.print(current + " ");
                current++;
            }
            System.out.println();
        }
    }
}
```

The outer for loop executes three times, and the inner for loop executes 10 times. That means the print() statement that displays the current variable is going to execute  $3 \times 10 = 30$  times. Ten characters are printed in a row, and there are three rows.



```
Command Prompt
C:\wiley\Chapter3>java Alphabet
a b c d e f g h i j
k l m n o p q r s t
u v w x y z < ! > ~
C:\wiley\Chapter3>_
```

**Figure 3.8** The output of the Alphabet program.



## Lab 3.1: Cell Phone Bill

This lab shows you how to write a Java program that computes a customer's monthly cell phone bill given the number of minutes used.

You have written several Java programs in the labs in the previous two chapters, so I am going to give you more freedom in writing the solutions

for this chapter. For example, I will not tell you what to name your classes or step you through saving, compiling, and running the programs. Instead, I will describe a problem to be solved, providing you with all the details needed to solve the problem.

**important** Keep in mind that there is no correct answer in programming, as long as you write a program that solves the problem at hand.

- The program should take in two integer command-line arguments—one for the number of peak minutes used, and one for the number of weekend and night minutes used.
- Each customer pays \$29.95 a month, which includes 400 peak minutes and 750 weekend and night minutes.
- The price for going over the allotted time is \$.40/minute for both peak and weekend/night calls.



### Lab 3.2: Using if/else

Write the `CongratulateStudent` program discussed in the section *The switch Statement* using `if/else` statements instead of a `switch` statement.



### Lab 3.3: Summation Problem

Write a program that inputs an integer, `n`, from the command line and displays the string “1 + 2 + 3 + 4 + ... + n = sum.” For example, if the command-line argument is 7, the output should be:

```
1 + 2 + 3 + 4 + 5 + 6 + 7 = 28
```

Make sure the number entered on the command line is positive.



### Lab 3.4: Using do/while

Write the `RandomLoop` program from the section *The do/while Loop* using a `while` loop instead of a `do/while` loop.



## Lab 3.5: The Powerball Lottery

Powerball is a lottery played in many of the United States. The lottery numbers are chosen randomly from two containers of numbered balls. Five white balls are chosen from a container of 49 balls, and one red ball is chosen from a container of 42 balls.

Write a program that simulates the selection of the Powerball lottery numbers. Use the `Math.random()` function to simulate the random selection of a numbered ball. Keep in mind that you cannot just randomly generate six numbers. The five white numbers must be unique and between 1 and 49. (After a ball is removed from the container, it can't be selected again.) The one red number must be between 1 and 42. Note that the red number can possibly be the same number as one of the selected white balls.

## Summary

- The `&&` and `||` operators are the short-circuit *and* and *or* operators, respectively.
- The `if` and `if/else` statements are used for decision making.
- A `switch` statement consists of one or more case statements. The value in a `switch` statement can be of type `byte`, `short`, `int`, or `char`. The value of a case must be either a literal or a constant expression.
- A `while` loop is used for repeating tasks. The statements in the body of a `while` loop execute until the Boolean expression of the `while` loop is false. It is possible to write an infinite `while` loop and also a `while` loop that never executes.
- A `do/while` loop is similar to a `while` loop, except that a `do/while` loop is guaranteed to execute at least once.
- A `for` loop is useful when you know how many times a task is to be repeated.
- The `break` keyword terminates the execution of a loop. The `continue` keyword causes the loop to jump to the next iteration of the loop.

## Review Questions

1. Name the two control structures in Java used for making decisions.
2. Name the three control structures in Java used for repetition.
3. True or False:  $2 + 2 = 4$  "and"  $5 - 3 = 1$ .
4. True or False:  $2 + 2 = 4$  "or"  $5 - 3 = 1$ .
5. Which of the following data types can be used in a switch statement? Select all that apply.
  - a. byte
  - b. int
  - c. float
  - d. String
  - e. char
  - f. boolean
6. True or False: The value of each case in a switch statement must be either a final variable or a literal.
7. What is the output of the following code?

```
int k = 20;
while(k > 0)
    System.out.println(k);
```

8. What is the output of the following code?

```
int point = 15;
switch(point)
{
    case 0 :
        System.out.println("point is 0");
        break;
    case 15 :
        System.out.println("point is 15");
    case 30 :
        System.out.println("point is 15 or 30");
        break;
    case 40 :
        System.out.println("point is 40");
    default :
        System.out.println("Invalid point");
}
```



9. In the switch statement in the previous question, what would the output be if point was the value 40?
10. What is the output of the following code?

```
double rate = 1.5;
double price = 0.0;
if(rate >= 0.0 && rate < 1.0)
{
    price = 20 * rate;
}
else if(rate >= 1.0 && rate < 2.0)
{
    price = 15 * rate;
}
else if(rate >= 2.0)
{
    price = 10 * rate;
}
System.out.println(price);
```

11. What would the output be in the code in the previous question if the value of rate was  $-0.75$ ?
12. How many times does the following loop repeat? What is the output?

```
byte b = 1;
do
{
    b++;
}while(!(b < 10));
System.out.println(b);
```

13. What is the output of the following code?

```
int y = 100, x = 5;
while(y > 0)
{
    y--;
    if(y%x != 0)
    {
        continue;
    }
    System.out.println(y);
}
```

## Answers to Review Questions

1. if/else and switch.
2. while, do/while, and for loops.
3. False, because one of the two expressions is false.
4. True, because one of the two expressions is true.
5. Only 32-bit integer types can be used in a switch statement, so a, b, and e are the correct answers.
6. True.
7. That is an infinite loop because the value of k does not change. The output will be the number 20 printed over and over until the program is terminated.
8. Because the case of 15 does not contain a break, the output is “point is 15” followed by “point is 15 or 30.”
9. Again, because the case of 40 does not contain a break, the output is “point is 40” and “Invalid point.”
10. Because rate is 1.5, the price is  $15 * 15$ , which is 22.5. The output is 22.5.
11. If rate is  $-0.75$ , none of the if expressions will evaluate to true, and price will remain 0. The output is 0.
12. The loop will execute only one time. The value of b will be 2.
13. The loop outputs the multiples of 5, starting at 95 and ending at 0. The output is:

95  
90  
85  
80  
75  
70  
65  
60  
55  
50  
45  
40  
35  
30  
25  
20  
15  
10  
5  
0





# Classes and Objects

In the last two chapters, I discussed the fundamentals of the Java programming language: keywords, primitive data types, references, strings, arithmetic operators, and control structures. We are now ready to discuss the most important aspect of learning and understanding Java: object-oriented programming (OOP). In this chapter, I will discuss classes and objects, how object-oriented programs differ from procedural programs, how to write a class in Java, and how to instantiate and use objects.

## Overview of Classes and Objects

---

Java is strictly an object-oriented programming language. All the Java code that you write will appear in either a class or an interface. (Interfaces are discussed in Chapter 10, “Interfaces.”) When you write a program using an object-oriented programming language, you design your program around the objects in the problem being solved. For each object, a class is written to describe the object’s attributes and behaviors.

By definition, a *class* is a description of an object. Similarly, an *object* is defined to be an instance of a class. An object consists of attributes and behaviors. An attribute is a feature of the object, something the object “has.” A behavior is something the object “does.”

Each attribute of an object is denoted as a field in the class. Each behavior of an object becomes a method in the class.

## Procedural Programming

---

In the early days of programming, programs were designed using flowcharts and a sort of top-down design. With this type of design, a large problem is solved by breaking it down into smaller tasks. For each of the smaller tasks, a procedure is written. One main procedure was written to start the process and subsequently flow through to the solution, invoking the desired procedures along the way.

This type of programming is referred to as *procedural programming*. There are many procedural programming languages widely used today, most notably COBOL and C.

Procedural programming involves writing a procedure that performs a specific task. Any data that the procedure needs to use is passed in to the procedure via its *parameters*. The procedure can view and alter the data passed in and optionally return a value back to whoever called the procedure.

To demonstrate procedural programming, let's look at an example. Suppose that you want to write a program to weekly pay the employees of a company (a realistic problem to solve). Paying employees involves computing their pay based on hours worked or a portion of an annual salary. In addition, you have to compute Social Security and Medicare taxes, as well as any federal income taxes to be withheld.

Each of these computations has to be repeated for each employee in the company. Because these tasks are repeated, you can write a procedure for each one. For example, you might write a procedure called `computePay()` that inputs an employee's payment data and returns his or her pay. You may also have procedures called `computeMedicareTax()`, `computeSSTax()`, and so forth.

note

**In the example of writing the program to pay the employees of a company, many procedures will be written, and each of these procedures needs to have the employee's data passed in to it. For example, if you want to invoke the `computePay()` procedure, you will need to pass in the employee's payment information such as hours worked or hourly pay.**

**This is a common occurrence in procedural programming, in which data is passed around between procedures. The procedures modify the data passed in and/or return data back to whoever called the procedure. As you will soon see, object-oriented programming uses a different approach.**

As your employee program evolves, you will certainly find yourself adding and changing procedures so that everything works successfully. For example, as you start writing the `computePay()` procedure, you might realize that there are two different types of employees (at least in terms of how they get paid): hourly employees and salaried employees. In this situation, you might decide to write two `computePay()` procedures, one for hourly employees and a different one for salaried employees.

Writing a procedure to solve a specific task is a fundamental programming concept used in both procedural languages and in object-oriented programming. As you design and write Java programs, procedures will be an essential element for solving the problem at hand.

note

**In Java, procedures are referred to as methods. Methods in Java appear within a class. Procedures in a procedural language typically appear at a global level so that they can be invoked from anywhere.**

## Object-Oriented Programming

---

Object-oriented programming (OOP) originated from research done by Xerox's Palo Alto Research Center (PARC) in the 1970s. OOP takes an entirely different approach to developing computer applications. Instead of designing a program around the tasks that are to be solved, a program is designed around the objects in the problem domain.

note

**You can think of procedural programming as writing a procedure for the *verbs* in the problem domain, such as paying an employee or computing taxes. You can think of object-oriented programming as writing a class for each of the *nouns* in the problem domain. Granted, this may be oversimplifying OOP, but I want you to conceptually understand this important difference between OOP and procedural programming.**

Let's take another look at the example in which a program is to be written to pay employees of a company on a weekly basis. Instead of approaching this problem from the point of view of all the little tasks that need to be performed, such as computing an employee's pay and taxes, you begin by determining the objects in the problem domain.

An object is any person, thing, or entity that appears in the problem domain. In our example, we want to pay employees, so the employees are objects. The employees work for a company, so the company is another object. After further analysis, you might decide that the payroll department is an object. After you start writing the program, other objects will be discovered that were not apparent in the initial design.

After you have determined the objects in the problem, you write a class to describe the attributes and behaviors of each object. For example, we will need an Employee class that contains the attributes and behaviors of an employee.

The attributes of an Employee object will be what the employee “has,” such as a name, address, employee number, Social Security number, and so on. Each of these attributes will be represented by a field in the Employee class.

The behaviors of an Employee object are what the employee object “does” (or, more specifically, what we want the object to do). Employees do many things, but for our purposes, we want to be able to compute their pay and mail them a paycheck once a week. These desired behaviors become *methods* in the Employee class.

For each employee in the company, we would instantiate an Employee object. If we have 50 employees, we need 50 Employee objects. In memory, this would create 50 names, addresses, salaries, and so on. Each employee would be distinguished by a reference, so we would need 50 references as well. Later in this chapter, you will see how to instantiate an object and assign a reference to it.

**note**

**With object-oriented programming, data is still passed around between method calls as in procedural programs. However, there is an important distinction to be made when comparing procedural programming with object-oriented programming. The data that is passed around in an object-oriented program is typically varying data, such as the number of hours an employee has worked in a week. It is not the entire Employee object that gets passed around.**

**If a procedure in a procedural program needs data to perform a task, the necessary data is passed in to the procedure. With object-oriented programming, the object performs the task for you, and the method can access the necessary data without having to pass it in to the method.**

**For example, if you want to compute an employee’s pay, you do not pass the corresponding Employee object to a computePay() method. Instead, you invoke the computePay() method on the desired Employee object. Because it is a part of the Employee object, the computePay() method has access to all the fields in the Employee object, including the Employee object’s hourly pay, salary, and any other required data.**

## Object-Oriented Analysis and Design

---

Programs written with an object-oriented language revolve around the objects in the problem domain, not the individual tasks that need to be performed in solving the problem. How you decide what the objects are and what the objects look like is an important but unique process.

Two architects asked to design a house will certainly come up with two unique but satisfactory solutions. Two developers asked to solve a problem using an OOP language will certainly come up with two unique (and hopefully satisfactory) solutions. One solution may be more elegant than the other. One solution might be easier to maintain or change than the other.

Entire books are written on how a problem can be solved using OOP. Object-oriented analysis and design (OOAD) refers to this process of designing programs that will be solved using an OOP language.

We do not have time to delve into the various OOAD approaches and give them the justice they deserve. My goal for you at this point in your OOP learning is to have you understand the big picture about OOP so that you can start developing and understanding Java programs.

At its core, OOP is based on writing classes for the objects in the problem domain: that is, the objects in the problem being solved. An object is any noun that appears in your problem or that can be used to help solve the problem.

A class is written for each object in the problem domain. These classes are then instantiated in your program, thereby creating the objects in memory for use by your program.

## Writing a Java Class

A class in Java is declared using the *class* keyword. A source code file in Java can contain exactly one public class, and the name of the file must match the name of the public class with a .java extension.

note

**You can declare more than one class in a .java file, but at most one of the classes can be declared *public*. The name of the source code file must still match the name of the public class. If there are no public classes in the source code file, the name of the file is arbitrary.**

The fields and methods of a class appear within the curly brackets of the class declaration. The following code shows a simple class with no fields or methods declared yet.

```
public class Employee
{
}
```

## Adding Fields to a Class

The attributes of an object become fields in the corresponding class. A field within a class consists of the following:



- Access specifier, which can be `public`, `private`, or `protected`; or the access specifier can be omitted, giving the field the *default* access.
- Data type.
- Name, which is any valid identifier that is followed by a semicolon.

Access specifiers are discussed in detail in Chapter 7, “Advanced Java Language Concepts.” Until then, we will use `public` access for fields and methods. Specifying *public* allows access to the field or method from any other object.

The following `Employee` class has five fields: `name`, `address`, `number`, `SSN`, and `salary`. When an `Employee` object is instantiated in memory, memory will be allocated for each of these five fields.

```
public class Employee
{
    public String name;        //First and last name
    public String address;    //Mailing address
    public int number;        //Employee number
    public int SSN;           //Social Security number
    public double salary;     //Employee's salary
}
```

**note**

**Keep in mind that a class describes what an object looks like. The `Employee` class is being used to describe employees of a company in the context of paying them weekly. The fields that appear in the `Employee` class represent information about an employee that is needed to compute his or her pay.**

**For example, an employee has a name and address, so the `Employee` class has a `name` field and an `address` field. An important piece of information in our example is the `salary` field used to represent the employee’s salary.**

**Employees have other attributes that may not be relevant in our situation. Suppose, for example that every employee has a manager or supervisor. The `Employee` class does not contain a field for this information. However, in computing someone’s pay, his or her supervisor is probably not relevant. This `Employee` class might look quite different if it were going to be used for other purposes besides paying employees.**

## Adding Methods to a Class

Behaviors of an object become methods in the corresponding class. A method within a class typically consists of the following:

- Access specifier
- Return value

- Name, which can be any valid identifier
- List of parameters, which appears within parentheses
- Definition of the method

In Java, the *definition* (often referred to as the *body*) of a method must appear within the curly brackets that follow the method declaration. The details of writing and invoking a method are discussed in Chapter 5, “Methods.” The following class demonstrates methods by adding two methods to the Employee class.

```
public class Employee
{
    public String name;
    public String address;
    public int number;
    public int SSN;
    public double salary;
    public void mailCheck()
    {
        System.out.println("Mailing check to " + name
                           + "\n" + address);
    }
    public double computePay()
    {
        return salary/52;
    }
}
```

Keep in mind that this Employee class demonstrates how to add a method to a class, so the method implementations are kept simple. For example, the mailCheck() method simply prints out the name and address of the employee receiving the check. The computePay() method simply divides the employee’s salary by 52, which assumes that the salary is an annual amount. The details of computing taxes and so forth are omitted for brevity.

Methods have access to the fields of the class. Notice in the Employee class that the mailCheck() method prints out the name and address of the employee being paid using the name and address fields of the class. Similarly, the computePay() method accesses the salary field.

What I want you to notice about this Employee class is the following:

- The name of the class is Employee.
- The class has five public fields.
- The class has two public methods.

The Employee class needs to appear in a file named Employee.java, and the compiled bytecode will appear in a file named Employee.class.

## Instantiating an Object

In Java, the `new` keyword is used to instantiate an object. The `new` operator creates the object in memory and returns a reference to the newly created object. This object will remain in memory as long as your program retains a reference to the object.

The following statements declare an `Employee` reference and use the `new` keyword to assign the reference to a new `Employee` object.

```
Employee e;  
e = new Employee();
```

The reference `e` is pointing to the `Employee` object in memory. The `new` operator allocates memory for the object and then “zeroes” the memory so that none of the object’s fields will contain garbage. Instead, all fields will have an initial value of zero. Table 4.1 shows what the initial value of a field will be, depending on its data type.

When I first teach students about instantiating objects, I like to declare a reference first and then use the `new` keyword in a second statement to assign the reference to a new object. This emphasizes the important fact that two entities are being created in memory: the reference and the object. In the preceding statements, `e` is declared as a reference to an `Employee`, meaning that `e` can refer to any `Employee` object. In the second statement, `e` is then assigned to a new `Employee` object.

**Table 4.1** Initial Value of an Object’s Fields

FIELD DATA TYPE	INITIAL VALUE
byte	0
short	0
int	0
long	0
float	0.0
double	0.0
char	the null character
boolean	false
reference of any type	null

## ◆ Understanding References

A reference is (typically) a 32-bit integer value that contains the memory address of the object it refers to. I use the term “typically” because the size of a reference is not strictly defined in the Java Language Specification. In the future, references will likely be 64-bit integers or larger. Similarly, they can be smaller than 32 bits when used with operating systems for small electronic devices.

Because references are essentially integers, you may wonder why they need to be declared as a particular data type. This is because data types are strictly enforced in Java. A reference must be of a particular class data type.

For example, in the following statements, two `Employee` references and one `String` reference are allocated in memory.

```
Employee e1, e2;
String s;
```

Each of these three references consumes the same amount of memory and is essentially an integer data type. However, the references `e1` and `e2` can refer only to `Employee` objects. The reference `s` can refer only to a `String` object. To illustrate this point, the following statements attempt to break this rule and are not valid:

```
s = new Employee();    //Does not compile
e1 = "Rich";           //Does not compile
```

You might think that using the cast operator could create a work-around to this situation:

```
e1 = new Employee();    //Valid
s = e1;                 //Does not compile
s = (Employee) e1;     //Still doesn't compile
```

However, the compiler knows that `String` objects and `Employee` objects are not compatible and will generate compiler errors in the statements above. (Other languages such as C++ have similar data type concerns, but they are often not as strictly enforced as they are in Java.)

The references `e1` and `e2` are the same data type and can be assigned to each other. For example:

```
e1 = new Employee();
e2 = e1;           //Valid
```

The `e1` reference is assigned to a new `Employee` object, and the `e2` reference is assigned to `e1`. This is valid because `e1` and `e2` are both `Employee` references and therefore are the same data type. This new `Employee` object now has two references pointing to it. (Note that there is only one `Employee` object in memory because we only used the `new` keyword once. Assigning `e1` to `e2` does not create a new object.)

We could have declared the reference `e` and instantiated the `Employee` object in a single statement:

```
Employee e = new Employee();
```

This statement creates two separate elements in memory: the reference `e` and the `Employee` object. The reference `e` is *not* an object. The object itself does not have a variable name, and the only way you can access and use the object is to use a reference to the object.

## Garbage Collection

---

In the previous section, I showed you how the `new` keyword is used to instantiate objects. However, I have not discussed how to delete an object after you are finished with it so the memory that the object is consuming can be freed.

In other OOP languages such as C++, memory has to be explicitly freed by the programmer. In fact, C++ has a `delete` keyword that needs to be used to free the memory of an object. If you forget to delete an object in C++ and you lose any references to it, the memory can never be freed and you have created what is referred to as a *memory leak*, which can wreak havoc on your programs, especially programs that use large amounts of memory or run for long periods.

In Java, there is no keyword or operator that you can use to remove an object from memory. Java was designed to avoid the problems of memory leaks that arise in other languages. A JVM has a low-level thread known as the garbage collector that is constantly running in the background, looking for objects in your Java program that are no longer being used and freeing their memory.

The concept of automatic garbage collection makes programmers both excited and nervous. Garbage collection is appealing because programmers do not have to spend hours upon hours worrying about and/or trying to fix memory leaks. Programmers get nervous, however, because they lose the control of being able to free memory at any point in a program because memory will be freed in a Java program only when the garbage collector concludes that the memory is no longer being used.

### Classroom Q & A

**Q:** How does the garbage collector know when to remove an object from memory?

**A:** Good question. It is important to understand the answer because there are times when you want the garbage collector to free up memory. An object is marked for garbage collection when it is no longer *reachable* in your program.

**Q:** Does reachable mean when there are no more references to the object?

**A:** No, but that is a common misunderstanding of garbage collection. You might think that the garbage collector keeps count of how

many references there are to an object and then frees the object when the reference count is zero. However, it is easy to come up with a situation in which two objects need to be garbage collected, but each object has a reference to the other. If reference counting was being used, these two objects would never be freed.

**Q:** Then how do you make an object unreachable?

**A:** You need to make sure that the references that are still within the scope of your Java application are no longer referring to the object you want to be garbage collected. You can assign these references to null, assign them to point to some other object, or make the references go out of scope.

**Q:** Suppose that I have a very large object that I have just made unreachable and I want it to be garbage collected right now. Can I force the garbage collector to free the memory instantly?

**A:** Unfortunately, no. In Java, you cannot explicitly free memory. However, there is method you can invoke, `System.gc()`, which causes the garbage collector to “expend effort towards recycling unused objects,” as quoted from the method’s documentation. The `gc()` method is very much JVM dependent, so its behavior is hard to predict. However, it is your only mechanism for communicating with the garbage collector.

The following `GCDemo` program instantiates three `Employee` objects. Study the program carefully and try to determine at which point in the program that each `Employee` will be eligible for garbage collection.

```
public class GCDemo
{
    public static void main(String [] args)
    {
        Employee e1, e2, e3;
        e1 = new Employee();    //Employee #1
        e2 = new Employee();    //Employee #2
        e3 = new Employee();    //Employee #3
        e2 = e1;
        e3 = null;
        e1 = null;
    }
}
```

The GCDemo program creates three references and assigns each to a new Employee object. The new keyword is used three times, so there are three objects in the program. The result of assigning e2 to e1 is that employee #2 no longer has a reference to it and can be garbage collected at any point after the statement e2 = e1. Note that now employee #1 has two references pointing to it.

Assigning e3 to null causes employee #3 to be immediately eligible for garbage collection because the object can no longer be reached. In fact, if we decide that the object should be retrieved for some reason, it is too late. There is no way to relocate the object after all references to it have been lost.

Assigning e1 to null does not cause employee #1 to be garbage collected because e2 still refers to the object. The reference e2 goes out of scope at the end of main(), so the employee #1 object can be garbage collected immediately after main() is done executing.

## ◆ Methods Are Associated with an Object

A common mistake that I often see students make is to try to invoke a method without using a reference, because this is how methods are invoked in procedural languages. Consider the following statements, the second of which does not compile:

```
Employee e1 = new Employee(), e2 = new Employee();    //Valid
mailCheck();           //Error. Mail check to whom?
```

To demonstrate my point, I instantiated two Employee objects and then attempted to invoke mailCheck() without a reference. First of all, this can never be done in Java. A method can be invoked only by using a reference unless the method is static, in which case a class name is used with the dot operator.

Second, because there are two Employee objects, there are two mailCheck() methods. Which one do I want to invoke? I need to specify this using the appropriate reference and the dot operator.

Just as each Employee object has its own name, address, salary, and so on, each Employee object has its own mailCheck() and computePay() methods. To invoke mailCheck(), I need to specify whose mailCheck() method I want to invoke using the dot operator. For example, the following invokes the mailCheck() method of the Employee object that e1 refers to:

```
e1.mailCheck();
```

The statement invokes the mailCheck() method of the Employee object that e2 refers to:

```
e2.mailCheck();
```

## Accessing Fields and Methods

---

When you instantiate an object using the `new` keyword, memory is allocated for each of the fields and methods in the class. You need a reference to the object to access these fields and methods using the *dot* operator.

For example, the following statements declare an `Employee` object and change the `name` field of the object:

```
Employee e = new Employee();
e.name = "Robert Smith";
```

The operation `e.name` is the way to access the `name` field of the `Employee` object that `e` refers to. Similarly, the following statement uses the dot operator to invoke the `mailCheck()` method of this particular `Employee` object:

```
e.mailCheck();
```

## Using the Dot Operator

---

Let's go through an example that demonstrates writing a class, creating instances of that class, and using the dot operator to access the fields and methods of the objects.

We have been discussing the `Employee` class throughout this chapter, so we will begin by writing and compiling the `Employee` class. We will then write a second class that uses the `Employee` class. Follow along with the subsequent steps, which will help you to understand the process of using multiple classes in a program.

### Step 1: Write the Employee Class

Open Notepad (or the text editor of your choice) and type in the following `Employee` class.

```
public class Employee
{
    public String name;
    public String address;
    public int number;
    public int SSN;
    public double salary;
    public void mailCheck()
    {
        System.out.println("Mailing check to "
            + name + "\n" + address);
    }
}
```



```
    }  
    public double computePay()  
    {  
        return salary/52;  
    }  
}
```

I want you to save the file in a new empty folder on your hard drive named c:\payroll (assuming that you are using your c: drive). Be sure to name the file Employee.java.

## Step 2: Compile the Employee Class

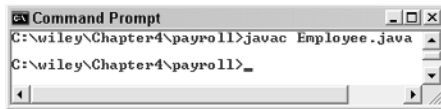
Open a command prompt window, change directories to the c:\payroll folder, and compile Employee.java, as shown in Figure 4.1.

You should now see the bytecode file Employee.class in the c:\payroll folder.

## Step 3: Write the EmployeeDemo Class

You will now write a second class that instantiates and uses Employee objects. Using your text editor, type in the following EmployeeDemo class.

```
public class EmployeeDemo  
{  
    public static void main(String [] args)  
    {  
        Employee e1, e2;  
        e1 = new Employee();  
        e2 = new Employee();  
        e1.name = "Robert Smith";  
        e1.address = "123 Main St., Anytown, USA";  
        e1.number = 101;  
        e1.SSN = 111223333;  
        e1.salary = 10000.00;  
        System.out.println(e1.computePay());  
        e1.mailCheck();  
        e2.name = "Jane Smith";  
        e2.address = "321 Main St., Anytown, USA";  
        e2.number = 202;  
        e2.SSN = 333221111;  
        e2.salary = 100000.00;  
        System.out.println(e2.name + " " + e2.SSN);  
        System.out.println(e2.computePay());  
        e2.mailCheck();  
    }  
}
```



**Figure 4.1** Use javac to compile the Employee class.

Be sure to save the EmployeeDemo class as `c:\payroll\EmployeeDemo.java`. (It needs to be in the same folder as the Employee class.)

## Step 4: Compile the EmployeeDemo class

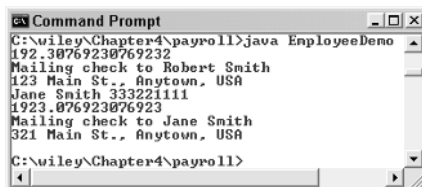
Assuming that `Employee.java` and `EmployeeDemo.java` are in the same folder, go back to your command prompt and compile the `EmployeeDemo` class. Look at the contents of the `c:\payroll` folder. You should now have four files in there: the source code and bytecode for `Employee` and the source code and bytecode for `EmployeeDemo`.

note

**In Chapter 7, “Advanced Java Language Concepts,” the CLASSPATH environment variable will be discussed. Until then, if you are writing a Java program that consists of more than one class, the bytecode for each class must appear in the same folder on your hard drive. Otherwise, your dependent classes will not compile. For example, EmployeeDemo will compile only if the compiler can find the Employee.class file because the EmployeeDemo class uses (and therefore is dependent on) the Employee class.**

## Step 5: Run the EmployeeDemo program

After both classes are written and compiled, you can run the `EmployeeDemo` program. Figure 4.2 shows the output.



**Figure 4.2** Output of the EmployeeDemo program.

In the EmployeeDemo program, two Employee objects are instantiated and referenced using two Employee references: e1 and e2. Each of the fields is initialized in both objects using the dot operator. For example:

```
e1.name = "Robert Smith";  
e2.name = "Jane Smith";
```

There are two Employee objects in memory, which means that there are two fields called name, two fields called salary, two methods called computePay(), and so on. How do you tell them apart? They are distinguished by which reference you use. The Employee reference e1 points to the Employee object whose name is Robert Smith. The Employee reference e2 points to the Employee object whose name is Jane Smith.

If you want to compute the pay of Robert Smith, you invoke computePay() using e1:

```
e1.computePay();
```

If you want to mail a check to Jane Smith, you invoke mailCheck() using e2:

```
e2.mailCheck();
```

The references do more than keep the object from being garbage collected. They represent your only access to the fields and methods of the object—using a reference along with the dot operator.

## The *this* Reference

---

Every object has a reference to itself represented by the *this* keyword. The *this* reference is used in the methods of a class whenever a method accesses the fields or other methods of the class.

For example, notice in the Employee class that the computePay() method accesses the salary field, as follows:

```
public double computePay()  
{  
    return salary/52;  
}
```

The only way to access a field or method of an object is to have a reference to the object. But in the computePay() method, we did not use a reference to access salary. We simply used the salary variable, and everything worked fine.

The reason everything worked is that the compiler realizes that computePay() is accessing the salary field, so the compiler adds the reference for us. Which reference does the compiler add? Well, computePay() needs a reference

to whatever object that `computePay()` was invoked on, which in every case is the *this* reference.

The `computePay()` method actually looks like the following:

```
public double computePay()
{
    return this.salary/52;
}
```

Notice that the *this* reference and the dot operator were prefixed to `salary`. The compiler adds the *this* reference for you if you do not explicitly add it yourself. You can add the *this* reference if you like, or you can simply let the compiler do it for you.

For example, in the `Employee` class, the `mailCheck()` method accesses the name and address fields. In each case, the *this* reference is used, whether you add it to your code or the compiler adds it. The actual `mailCheck()` method looks like the following:

```
public void mailCheck()
{
    System.out.println("Mailing check to " +
        this.name + "\n" + this.address);
}
```

Notice that name and address are prefixed with *this*.

## Classroom Q & A

**Q:** The *this* reference seems a little confusing. Is it necessary?

**A:** The *this* reference is one of those fundamental concepts of OOP that tends to be confusing the first time you see it. To explain the need for the *this* reference, I always try to emphasize the fact that a field or method cannot be accessed without a reference. The *this* reference is the only way a method in a class can access the other fields or methods of the class.

**Q:** Why does the compiler add *this*. automatically?

**A:** It is strictly for convenience. It would be fairly tedious (although certainly feasible) to add *this*. every time it was required in a class. In a large class, there could easily be hundreds of accesses to the fields or methods of the class, each requiring the *this* reference. For now, keep in mind that the *this* reference is implicitly being used when a method in your class accesses a field of the class.

tip

**You can also use the *this* reference as an argument to a method, in which an object passes a reference of itself to another object.**



## Lab 4.1: The Video Rental Store

In this lab, you will design a solution to a problem that is to be solved using an object-oriented programming language. You will use the concepts of OOAD to determine the classes needed and what they look like. (Keep in mind that there is no single correct solution to any programming problem, as long as the program solves the problem at hand.)

Suppose that a program is to be written in Java to solve the following problem: A video rental store wants a program to keep track of its movies. It rents VHS and DVD movies, with each movie given a unique inventory number. Each customer must have a phone number, which is used as his or her membership number. The program needs to keep track of every customer and every movie, including information such as whether a movie is rented or available, who has it rented, and when it is due back. Employees of the store receive a commission on sales of non-movie items such as candy and popcorn, so this information needs to be maintained as well.

1. Determine the objects in the problem domain.
  2. For each object, determine its attributes and behaviors.
- 



## Lab 4.2: Writing Classes

In this lab, you will write a class for each of the classes you described in Lab 4.1.

1. Write a Java class for each of the classes you came up with in Lab 4.1. When writing your classes, keep the following in mind:
  - Each class you write should be public; therefore, each class needs to appear in a separate source code file.
  - We have not discussed the details of writing methods. (Methods are discussed in the next chapter.) Focus on which methods each class should have, but don't worry about how they should be implemented. Within each method, use the `System.out.println()` method to display the name of the method.
  - Be sure to save all your classes in the same directory on your hard drive.

2. Writing a program to tie the classes together to make everything functional is not feasible without implementing all the methods. For now, just write and compile each class to match your design from Lab 4.1.
3. Write a program named VideoStore that creates an instance of each of your classes, initializes their fields, and invokes the methods to practice accessing the fields and methods of objects.
4. Compile and run your VideoStore program.

You should see the output of all the methods you invoked within your classes.

---

## Summary

---

- Procedural programming involves designing a program around the tasks that the program needs to accomplish. Object-oriented programming involves designing a program around the objects in the problem domain.
- Object-oriented analysis and design is the process of determining the objects in a problem domain, determining any relationships between these objects, and also determining the attributes and behaviors of each object.
- A class is a description of an object, and an object is an instance of a class.
- The class keyword is used to declare a class in Java. A class consists of fields and methods.
- The new keyword instantiates an object. The new operator returns a reference to the newly created object. The object remains in memory until it can no longer be reached by any reference, at which point the object becomes eligible for garbage collection.
- The garbage collector is a low-priority thread of a JVM that is constantly looking for unreachable objects and freeing them from memory.
- The dot operator is used to access the fields and methods of an object using a reference to the object.
- Every object has a reference to itself referred to as the this reference.

## Review Questions

1. A class is a description of a(n) \_\_\_\_\_.
2. An object is an instance of a(n) \_\_\_\_\_.
3. When designing a class to describe an object, how are the attributes of the object represented in the class?
4. When designing a class to describe an object, how are the behaviors of the object represented in the class? Use the following BaseballGame and Team classes to answer the ensuing questions.

```
public class Team
{
    public String name;
    public String city;
    public int numberOfWins, numberOfLosses;
}

public class BaseballGame
{
    public Team home, visitor;
    public int homeScore, visitorScore;

    public void homeTeamScored(int numberOfRuns)
    {
        homeScore += numberOfRuns;
    }
    public void visitorTeamScored(int numberOfRuns)
    {
        visitorScore += numberOfRuns;
    }
    public void gameOver()
    {
        if(homeScore > visitorScore)
        {
            home.numberOfWorks++;
            visitor.numberOfWorks++;
        }
        else
        {
            visitor.numberOfWorks++;
            home.numberOfWorks++;
        }
    }
}
```

```

    }

    public void setHomeTeam(Team t)
    {
        home = t;
    }
    public void setVisitingTeam(Team visitor)
    {
        visitor = visitor;
    }
}

```

5. How many fields are in the Team class? Name them.
6. How many fields are in the BaseballGame class? Name them.
7. How many methods are in the Team class? Name them.
8. How many methods are in the BaseballGame class? Name them.
9. After the following statement, what is the value of each field of the game object?

```
BaseballGame game = new BaseballGame();
```

10. After the following statement, what is the value of each field of the giants object?

```
Team giants = new Team();
```

Consider the following statements when answering questions 11 and 12:

```
Team angels;
BaseballGame worldSeries;
```

11. How many objects are there in memory after the previous two statements are done executing?
12. Which consumes more memory: angels or worldSeries?
13. How many Team objects are there in memory after the following two statements?

```
Team a = new Team();
Team b = a;
```

14. In the previous question, how many Team references are there in memory after the two statements execute?
15. After some testing, it has been determined that the setVisitingTeam() method of the BaseballGame class does not work successfully. After it is invoked, the visitor field does not change. What is the problem, and how can it be fixed?



## Answers to Review Questions

1. Object.
2. Class.
3. Attributes are represented as fields in the class.
4. Behaviors are represented as methods in the class.
5. Four: name, city, numberOfWins, numberOfLosses.
6. Four: home, visitor, homeScore, visitorScore.
7. Zero. The Team class has only fields.
8. Five: homeTeamScored, visitorTeamScored, gameOver, setHomeTeam, and setVisitingTeam.
9. Home and visitor are references, so they will both be null. homeScore and visitorScore are of type int, so they will both be zero.
10. Name and city are references and will both be null, whereas numberOfWins and numberOfLosses will be zero because they are ints.
11. There are no objects in memory because the new keyword was not used.
12. Angels and worldSeries are references, and even though they are of different data types, they consume the same amount of memory.
13. The new keyword was used once, so there is one Team object in memory. Both a and b refer to this one object.
14. There are two Team references, a and b.
15. The parameter visitor is the same name as the field visitor. Setting the following assigns the visitor parameter equal to itself and does not change the field visitor:

```
visitor = visitor;
```

To distinguish the parameter from the field, the field needs to explicitly use the *this* reference. To fix the problem, change the body of the method to the following:

```
this.visitor = visitor;
```

A graphic for Chapter 5. It features a red header with the word "CHAPTER" in white. Below it is a white semi-circular gauge with tick marks and a red needle pointing to the number "5".

# CHAPTER 5

# Methods

The behaviors of an object are represented as methods in a class. In this chapter, we will discuss the details of methods in Java, including the signature of a method, invoking a method, and how data is passed back and forth between method calls.

## Method Call Stack

---

I want to begin with a discussion on how methods change the flow of control of a program. A method is *invoked* (also referred to as *called*), which causes flow of control to jump to the method that is being invoked.

Flow of control then executes the statements within the method. Of course, the method being executed might invoke another method, causing flow of control to jump to this other method. All method calls are maintained in a structure known as the *call stack*. The current method that is executing is at the top of the call stack. When this method completes executing, it is removed from the top of the call stack, and the flow of control returns to the previous method on the stack. When a new method is invoked, this new method gets placed at the top of the call stack.

The first method that is invoked in your Java program is `main()`, which is invoked by the JVM. Therefore, `main()` is at the bottom of your method call stack.

Suppose that `main()` invokes a method called `turnOn()`, and the `turnOn()` method invokes a `setVolume()` method, which in turn invokes the `println()` method. Because `println()` is at the top of the call stack, the flow of control is currently within `println()`. The `setVolume()` method is waiting for `println()` to finish, the `turnOn()` method is waiting for `setVolume()` to finish, and so on down the call stack.

note

**A Java program can have more than one call stack if it is a multithreaded application, but all the programs so far in this book have a single call stack. We will discuss multithreaded applications in Chapter 15, “Threads.”**

## Invoking Methods

A method is invoked, causing it to be placed at the top of the call stack until it is finished executing. When a method is done executing, three things can occur:

- The method returns a value, in which case a primitive data type or reference is passed back to the caller of the method.
- The method does not return a value, in which case the return value is declared as `void`.
- The method throws an exception, which is thrown back to the caller of the method. Exceptions are discussed in Chapter 11, “Exception Handling.”

In all three of these cases, the flow of control jumps back to the caller of the method. To demonstrate the flow of control of methods, let’s look at an example. The following `Date` class is a simple class that could be used to represent a calendar date. How many fields does the `Date` class have? How many methods?

```
public class Date
{
    public int day, month, year;
    public int getDay()
    {
        System.out.println("Inside getDay method");
        return day;
    }
    public void printDate()
    {
        System.out.println("Inside printDate method");
    }
}
```

```

        System.out.println(month + "/" + day + "/" + year);
    }
}

```

The Date class has three fields, all of type int: day, month, and year. The Date class also has two methods: `getDay()` and `printDate()`. The `getDay()` method declares that it returns an int, and notice within `getDay()` it returns the day field, which is an int. The `printDate()` method is declared void and does not return a value. Both methods have an empty parameter list, which is denoted by the empty parentheses.

In the following DateProgram, a Date object is instantiated and the two methods in the Date class are invoked. Study the DateProgram carefully and try to determine its output.

```

public class DateProgram
{
    public static void main(String [] args)
    {
        System.out.println("Within main...");
        Date today = new Date();
        today.day = 25;
        today.month = 12;
        today.year = 2003;
        System.out.println("The day is " + today.getDay());
        System.out.println("Printing the date...");
        today.printDate();
        System.out.println("What is displayed next?");
        today.getDay();
    }
}

```

The first line of output of the DateProgram is as follows because it is the first statement in `main()`:

```
Within main...
```

A Date object is then instantiated, and its fields are initialized to represent December 25, 2003.

Another `System.out.println()` statement occurs, and the string “The day is “ is concatenated with `today.getDay()`. The `getDay()` method is invoked on the today object, causing flow of control to jump to the `getDay()` method.

note

**Notice that the string “The day is “ is not displayed yet. The call to `System.out.println()` has not occurred yet because order of operations requires that the method call to `getDay()` occur before the call to `println()`. After `getDay()` returns a value, the string concatenation is evaluated, and then `println()` will be invoked.**

Flow of control is now within `getDay()`, and the following string is displayed:

```
Inside getDay method
```

Then the return statement is reached, which in this example returns the number 25. The return also causes flow of control to jump back to the calling method, which was `main()`. The 25 is concatenated with “The day is “, then the `System.out.println()` method is invoked, which outputs the following:

```
The day is 25
```

The next statement to execute is the `println()` in `main()`, which outputs the following:

```
Printing the date...
```

Then, `today.printDate()` executes, which invokes the `printDate()` method on the `today` object. The flow of control jumps to within `printDate()`, and the first statement in `printDate()` displays the following:

```
Inside printDate method
```

The next statement in `printDate()` prints out the fields of the object in the following format:

```
12/25/2003
```

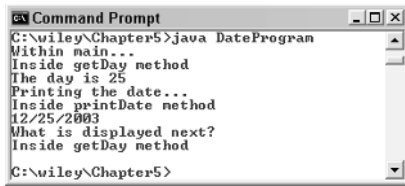
That is the end of `printDate()`, and it does not return a value, so the flow of control simply returns to `main()`, and the following is displayed:

```
What is displayed next?
```

The statement in question here is `today.getDay()`. I wanted to demonstrate that you can invoke a method that returns a value and not do anything with the return value. We just invoked `getDay()` again on the `today` object, so flow of control goes back to the `getDay()` method. The following statement is displayed again:

```
Inside getDay method
```

Then the number 25 is returned to `main()`. The flow of control jumps to `main()`, but we did not do anything with the return value 25. The `main()` method simply keeps executing, and because we are at the end of `main()`, our program ends. Figure 5.1 shows the entire output of the `DateProgram`.



```
C:\wiley\Chapter5>java DateProgram
Mithin main...
Inside getDay method
The day is 25
Printing the date...
Inside printDate method
12/25/2003
What is displayed next?
Inside getDay method
C:\wiley\Chapter5>
```

**Figure 5.1** Output of the DateProgram.

note

**Methods in Java can appear only within a class because Java is a strictly object-oriented programming language. In many languages, methods appear at a global level and can be invoked at any time. In Java, methods (not declared as static) can only be invoked on instances of the class. For example, the `getDay()` method can be invoked only on instances of the `Date` class.**

**If you do want to write a global-type method that can be invoked by anyone at any time without requiring an instance of a class, you write a static method. In Chapter 7, “Advanced Java Language Concepts,” static methods are discussed. A static method is essentially equivalent to the concept of a global method.**

## Method Signature

You can determine everything you need to know about invoking a method by looking at the method’s *signature*. The signature includes information such as the method name, the parameter list, and the data type of any return value. For example, the signature of `main()` is as follows:

```
public static void main(String [] args)
```

Notice that the signature of a method does not include any of the statements in the body of the method. The signature is the declaration part of the method.

The following list discusses each of the components in a method signature, shown in the order they appear when declaring a method.

**Access specifier.** The possible values of the access specifier are `public`, `private`, `protected`, or default access, which is obtained by leaving off the access specifier. Public access allows anyone to invoke the method from anywhere. Private access means that no one else can invoke the method, thereby hiding it within the class. The `protected` and `default` access apply to inheritance and packages, respectively, and are discussed in detail in Chapter 7, “Advanced Java Language Concepts.”

**Optional specifier.** The next part of a method signature is a list of any optional specifiers. The possible values for these specifiers are `static`, `final`, `abstract`, `native`, and `synchronized`. A native method is used for writing a Java method that maps to a method written in a different programming language, a topic not discussed in this book. The other specifiers are discussed in detail later. A method might not use any of these specifiers, or a method might use more than one of them.

note

**The order of the access specifiers and optional specifiers is arbitrary. For example, you can declare `main()` as:**

```
static public void main(String [] args)
```

**However, you will almost always see the access specifier appear first in a method signature because it is the preferred style among Java programmers.**

**Return value.** A method signature must contain a return value type. If the method does not return a value, `void` is used. Otherwise, the data type of the return value is specified. Possible values for the return value are one of the eight primitive data types or a reference. Note that this allows you to return anything you want from a method because every variable in Java is either one of the eight primitive data types or a reference to an object.

**Method name.** The name of the method must appear directly after the return value. The method name can be any valid Java identifier. The Java naming convention calls for naming a method in mixed case, so the first letter will be lowercase and other terms in the method name will be capitalized. Examples of mixed case include `main`, `toString`, `getDay`, and `setPreferredSize`. A common exception to mixed case is a term that is an acronym. For example, the name `accessURL` would be preferred to `accessUrl`.

note

**Using mixed case for naming methods is not required. It is strictly a naming convention only. However, keep in mind that Java's naming convention is widely used and accepted. You should have a specific reason for not following this convention.**

**Parameter list.** Immediately following the method name must appear a set of parentheses that contain the parameter list of the method. When a method is invoked, data can be passed in by the caller of the method. This passed-in data is copied into the parameters. A parameter consists

of a data type and an identifier. For example, the following method signature declares two parameters, an `int` and a `float`:

```
public float divide(int x, float f)
```

Parameters and arguments are discussed in detail later in this chapter.

**List of thrown exceptions.** Methods can throw an exception back to the caller of the method. An exception is thrown when a problem arises that the method is unable (or does not want) to handle itself. If a method throws a checked exception, the exception must be declared in the signature using the `throws` keyword. A method can declare multiple exceptions after the `throws` keyword, in which case they are separated by commas. For example, the following method signature declares that the method throws two possible exceptions:

```
public void readFromFile() throws IOException, SecurityException
```

If a method does not need to declare any exceptions using the `throws` keyword, this part of the signature is simply left off. Exceptions are discussed in detail in Chapter 11, “Exception Handling.”

Here are some examples of method signatures:

```
public int getDay()
private void setName(String f, String g)
int calibrate(double radius, int multiplier, boolean metric)
public void addListener(Listener a) throws TooManyListenersException
public Employee findEmployee(int number) throws SQLException
```

From these signatures, you can determine everything you need to know about invoking each of these methods. For example, if you want to invoke `getDay()`, you do not pass in any data and you get back an `int`. With `setName()`, you must pass in two `String`s, and nothing is returned.

To invoke the `calibrate()` method, you must pass in a `double`, an `int`, and a `boolean`, in that order, and an `int` will be returned. The `addListener()` method takes in a `Listener` object, returns nothing, and possibly throws a `TooManyListenersException`. The `findEmployee()` method takes in an `int`, returns a reference to an `Employee` object, and possibly throws an `SQLException`.

Now that you have seen the information that can be obtained by analyzing the signature of a method, let’s look at how data is passed from one method to another.

## Arguments and Parameters

The signature of a method contains a list of *parameters*, which are used to declare what type of data needs to be passed in to the method. The term *argument* refers to the data that is passed in to a parameter. When a method is



invoked, an argument must be passed in to each parameter in the method's parameter list.

The following Radio class has several fields and methods. Study the class and determine how many fields and methods it has. What is the parameter list for each method in the Radio class?

```
public class Radio
{
    public int volume;           //0 -10
    public float tuning;        //Current station tuned in
    public char band;          //'A' for AM or 'F' for FM

    public void turnOn(int v, float t, char b)
    {
        System.out.println("Turning on the radio");
        setVolume(v);
        setBand(b);
        tuning = t;
    }

    public void setVolume(int volume)
    {
        //Make sure the input is valid (between 0 and 10).
        System.out.println("Setting the volume to " + volume);
        if(volume >= 0 && volume <= 10)
        {
            this.volume = volume;
        }
        else
        {
            this.volume = 0;
        }

        //Let's see what happens here.
        volume = -5;
    }

    public void setBand(char b)
    {
        System.out.println("Setting the band to " + b);
        //Make sure the input is valid ('A' or 'F').
        if(b == 'A' || b == 'F')
        {
            band = b;
        }
        else
        {
            band = 'F';
        }
    }
}
```

```
    }

    public void turnUp()
    {
        System.out.println("Turning the volume up");
        if(volume < 10)
        {
            volume += 1;    //Increase volume by 1
        }
    }

    public void turnDown()
    {
        System.out.println("Turning the volume down");
        if(volume > 0)
        {
            volume -= 1;    //Decrease volume by 1
        }
    }

    public float getTuning()
    {
        System.out.println("Inside getTuning");
        return tuning;
    }

    public void changeBand()
    {
        System.out.println("Switching bands");
        if(band == 'A')
        {
            band = 'F';
        }
        else
        {
            band = 'A';
        }
    }
}
```

Notice that the Radio class has three fields: volume, tuning, and band. The Radio class also has seven methods. The turnOn() method has three parameters: an int, a float, and a char. To invoke turnOn(), you must pass in an int, float, and char (in that order) as arguments.

The setVolume() has one parameter: an int. To invoke setVolume(), you must pass in an int argument. The setBand() method also has one parameter: a char. Similarly, to invoke setBand() you must pass in a char argument.

The other four methods have no parameters. No arguments can be passed in to these methods.

## Call-by-Value

When an argument is passed in to a parameter, the argument's data is copied into the parameter. The process of copying data between method calls is referred to in programming as *call-by-value*.

**note**

**In Java, you do not specify that an argument is to be passed using call-by-value. It happens automatically, and is, in fact, your only option. Other programming languages use call-by-reference and/or call-by-pointer, in which an argument is not copied into a parameter. You cannot do call-by-reference or call-by-pointer in Java. No matter what type of argument you pass in to a method, the corresponding parameter will get a copy of that data, which is exactly how call-by-value works.**

For example, to invoke the `setVolume()` method of the `Radio` class, you must pass in an int argument:

```
int x = 7;
someRadio.setVolume(x);
```

In the previous statements, the integer `x` is passed in to `setVolume()`. The contents of `x` are copied into the `int` parameter of `setVolume()`, which is the variable `volume`. There are now two 7s in memory. The value of `volume` is 7, and the value of `x` is still 7.

The following `ListenToRadio` program demonstrates passing arguments to parameters. Try to determine the flow of control of the program and also what the output will be.

```
public class ListenToRadio
{
    public static void main(String [] args)
    {
        System.out.println("Creating a radio...");
        Radio radio = new Radio();
        System.out.println("...and turning it on...");
        float initialStation = Float.parseFloat(args[0]);
        int initialVolume = 5;
        radio.turnOn(initialVolume, initialStation, 'F');
        System.out.println("The tuning is " + radio.getTuning());
        int x = 7;
        radio.setVolume(x);
        System.out.println("x = " + x);
        radio.turnUp();
        radio.turnUp();
        radio.changeBand();
    }
}
```

```

        System.out.println("The volume is now " + radio.volume
            + ", the band is " + radio.band
            + ", and the tuning is " + radio.tuning);
    }
}

```

Let's follow the flow of control of the ListenToRadio program. The JVM invokes `main()` when you run the program, so `main()` is at the bottom of the call stack. The first line of code within `main()` is a call to the `println()` method, which displays the following:

**Creating a radio...**

The next statement in `main()` instantiates a new `Radio` object, followed by another call to `println()`:

**...and turning it on...**

**warning**

**I want to emphasize that before a `Radio` object is instantiated, none of the methods in the `Radio` class can be invoked. Until there is a `Radio` object in memory, there is no `setVolume()` method or `turnOnRadio()` method (and so on) to invoke. You cannot turn on a radio until a radio exists, and no radio exists until you instantiate one using the new keyword.**

The first command-line argument of the ListenToRadio program is the initial station to be tuned in. The command-line argument is parsed into a float and stored in the `initialStation` variable. An `int` is declared (`initialVolume`) and is set equal to 5.

The `turnOn()` method is then invoked, causing it to be pushed onto the top of the call stack. To invoke `turnOn()`, you must pass in an `int`, `float`, and `char`, in that order. The `int` passed in is `initialVolume`, which is 5. The value 5 is copied into the corresponding parameter of `turnOn()`, which is `v`. Similarly, the contents of `initialStation` are copied in to the parameter `t`. Finally, the character `F` is copied in to the parameter `b`.

The flow of control is now within `turnOn()`, and the first statement within `turnOn()` is a call to `println()`, displaying the following:

**Turning on the radio**

The `setVolume()` method is then invoked, pushing `setVolume()` onto the top of the call stack. You must pass an `int` into `setVolume()`, and `v` is passed as an argument. The contents of `v` are copied in to the parameter `volume`, which in this case is 5. There are now three variables in memory equal to 5: `initialVolume` in `main()`, `v` in `turnOn()`, and `volume` in `setVolume()`.

Within `setVolume()`, the `println()` method is invoked and pushed onto the call stack. We now have the situation illustrated by the call stack discussed in the earlier section *Method Call Stack*. The following is displayed:

```
Setting the volume to 5
```

The parameter `volume` is assigned to the field `volume`, so now the 5 is in memory in four different places. The parameter `volume` is assigned to `-5`, a statement I added to emphasize that this is call-by-value. What does changing `volume` to `-5` do to the argument `v` that was passed in to `volume`? It does nothing to `v` because `volume` is a copy of `v` and not the actual variable `v`.

warning

**Within the `setVolume()` method, the parameter name is `volume`, which also happens to be the name of one of the fields of the `Radio` class. This might seem like a naming conflict, but it is okay and is done regularly in Java. To distinguish between the local variable `volume` and the field `volume`, you must use the *this* reference whenever referring to the field. Therefore, using just `volume` refers to the parameter and using `this.volume` refers to the field.**

At one point in the `ListenToRadio` program, the initial `volume` of 5 was in four different variables in memory: `initialVolume`, `v`, `volume`, and `this.volume`. Keep in mind that the variables `volume`, `v`, and `initialVolume` are local variables (sometimes aptly referred to as temporary variables). They are allocated in memory on the call stack, and when the method is done executing, these variables go away.

For example, when `setVolume()` is done, the parameter `volume` goes out of scope. When `turnOn()` is done, the parameter `v` goes out of scope. When `main()` is done, `initialVolume` goes out of scope. But the field `volume` in the `Radio` object stays in memory until the object is garbage collected, which can be long after these temporary variables have gone away.

After `setVolume()` finishes, control returns to the `turnOn()` method, which invokes `setBand()`. The `setBand()` method has a `char` parameter, and the `b` in `turnOn()` is passed as an argument in to the `b` parameter of `setBand()`. There are now two variables in memory named `b`, both of value `F`, but their scopes are different. The `b` in `turnOn()` is not accessible from within `setBand()`. Nor is the `b` in `setBand()` accessible to the `turnOn()` method. This is why the value `F` was passed into `setBand()` because the `F` was needed within the scope of `setBand()`.

The `setBand()` method prints out the following:

```
Setting the band to F
```

The field `band` is assigned to `F`, and the `setBand()` method returns. We are back in the `turnOn()` method, which sets the field `tuning` equal to the parameter `t`. The `turnOn()` method is now complete, so flow of control jumps back down to `main()`.

The next line of code in `main()` is a `println()` statement, but before `println()` is invoked, the `getTuning()` method of `radio` is called. Notice that no arguments are passed in because `getTuning()` has no parameters. Control jumps to `getTuning()`, which prints out the following:

```
Inside getTuning
```

The `getTuning()` method declares that it returns a float, so it must do so somewhere in the method. Notice that it returns the `tuning` field, which is a float containing the current radio station. The value of `tuning` is returned-by-value, meaning that a copy of `tuning` is sent back to `main()`, similarly to how arguments are copied into parameters.

The copy of `tuning` is sent back as a float and then concatenated to “The tuning is ”, displaying something like the following:

```
The tuning is 100.3
```

Control is back within `main()`, and the volume is set to 7. Recall that the `setVolume()` sets the volume parameter to `-5` to demonstrate that the argument has not changed. The output of displaying the contents of `x` looks like the following:

```
x = 7
```

The volume is then turned up by invoking `turnUp()` twice. The band is changed by invoking `changeBand()`, and the final output looks similar to the following:

```
The volume is now 9, the band is A, and the tuning is 100.3
```

Figure 5.2 shows a sample output of the `ListenToRadio` program.

```

C:\wiley\Chapter5>java ListenToRadio 100.3
Creating a radio...
..and turning it on...
Turning on the radio
Setting the volume to 5
Setting the band to F
Inside getTuning
The tuning is 100.3
Setting the volume to 7
x = 7
Turning the volume up
Turning the volume up
Switching bands
The volume is now 9, the band is A, and the tuning is 100.3
C:\wiley\Chapter5>

```

**Figure 5.2** Sample output of the `ListenToRadio` program when the command-line argument is 100.3.

## Classroom Q & A

**Q:** What if I want the method to be able to change the contents of the argument?

**A:** This cannot be done in Java. It's as simple as that. When using call-by-value, changing the parameter in the method does not change the argument. Look closely at the `setVolume()` method of the `Radio` class discussed earlier. The last line of code in that method sets the parameter to `-5`. This does not change the argument to `-5`. We simply can't change the argument, even if we want to.

**Q:** I suppose I can live with parameters not being able to change arguments, but what if I have a large amount of data that needs to be passed in to a method. I'm talking very large. Typically, I would want to pass in a pointer so as to not waste the time and memory of copying large data. Can I avoid passing large objects in Java?

**A:** Not only can you avoid passing large objects, you can't do it even if you want to. I need to reiterate a very important aspect of Java: A variable in Java is either one of the eight primitive data types or it is a reference. If the argument is a primitive data type, it is at most 64 bits in size (a double or a long). If the data I want to pass to a method is a very large object, it is not the object that is passed. It is a reference to the object, which is no larger than 64 bits, and in most cases is 32 bits. It is the reference that is copied, not the large amount of data.

**Q:** So the largest amount of data that is copied with call-by-value in Java is only 64 bits?

**A:** Correct! And copying 64 bits in today's computing world is rarely a concern in terms of performance or overhead.

**Q:** What if I really want the method to change the argument passed in?

**A:** Well, you just can't do it. But notice what you can do with the parameter if it is a reference to an object. The method can use this reference to do anything it wants to the object (depending on the access specifiers of the object's fields and methods). The method can change the data of the object being pointed to and invoke methods on the object. The only restriction arising from call-by-value is that the method cannot change where the reference is pointing to.

## ◆ A Class with No main() Method?

A common point of confusion that new OOP students of mine have arises when they write a class that does not have main() method in it. A Java class without main() is not a program.

For example, you cannot execute the Radio class. The Radio class is a description (albeit a simple one) of an AM/FM radio. If you try to run Radio by entering the following command, you will get an error message from the JVM, stating that no main() method was found:

```
java Radio
```

The Radio class is meant to be used by other classes that need a radio.

In a large Java application with dozens or even hundreds of classes, you might define main() methods all over the place. However, it is likely that only one class has main() in it. If the Java application is using other Java technologies such as Servlets or Enterprise JavaBeans, no class will have a main() method in it.

On a similar note, just because Radio has a bunch of nice methods in it, it does not mean that the methods are automatically invoked. If you want a method to execute, you need to explicitly invoke it. Similarly, if you do not want a method to execute, don't invoke it.

For example, the ListenToRadio program creates a Radio object, but at no point is the turnDown() method invoked. We could have invoked turnDown() if we wanted to because it is available to us, but we do not have to and it is not invoked automatically at any point in time.

## Overloading Methods

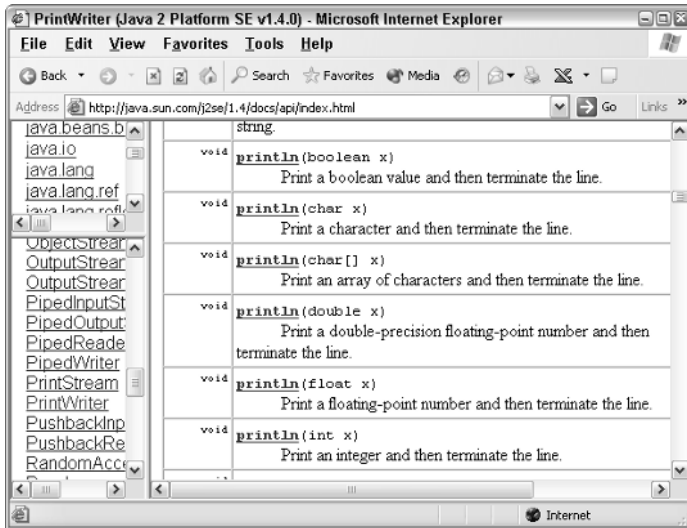
Java allows a method to be overloaded. *Method overloading* occurs when a class has two or more methods with the same name but different parameter lists. Having more than one method with the same name might seem unnecessary, but method overloading is used quite frequently in Java (and other programming languages).

note

**Method overloading is used most commonly with constructors, which are discussed in the next section.**

For example, the println() method that we used throughout the book so far is an overloaded method of the java.io.PrintStream class, as you can see by the documentation of PrintStream shown in Figure 5.3.





**Figure 5.3** The `println()` method is overloaded 10 times in the `PrintStream` class.

The `PrintStream` class has 10 `println()` methods. How does the compiler know which one you want to invoke? If you look carefully, you will also notice that the parameter list is different for each version of `println()`. For example, calling `println()` and passing in an `int` invokes that overloaded version whose signature is as follows:

```
public void println(int x)
```

Invoking `println()` and passing in a `String` invokes the following version:

```
public void println(String x)
```

If method overloading were not an option, the `println()` methods would each have to use a unique name such as `printlnInt()`, `printlnString()`, `printlnDouble()`, and so on. In this case, method overloading simplifies both the writing of the `PrintStream` class and the usage of the class. Developers do not need to remember 10 different names for printing a line of text to the system output; they can simply remember that the method to use is `println()`, and it is overloaded for every data type.

Let's look at an example using method overloading. The following `Calculator` class contains five `multiply()` methods. Study the method signatures carefully and determine whether this is valid method overloading.

```
public class Calculator
{
    public int multiply(int x, int y)
    {
```

```

        System.out.println("Multiply int * int");
        return x * y;
    }
    public double multiply(double x, double y)
    {
        System.out.println("Multiply double * double");
        return x * y;
    }
    public double multiply(int x, double y)
    {
        System.out.println("Multiply int * double");
        return x * y;
    }
    public int multiply(int x)
    {
        System.out.println("Multiply int * itself");
        return x * x;
    }
    public int multiply(int x, int y, int z)
    {
        System.out.println("Multiply three ints");
        return x * y * z;
    }
}

```

## ◆ Method Overloading

You can overload a method as long as the parameter lists are distinct enough for the compiler to be able to distinguish which method you want to invoke. Certainly if the number of parameters is different, the overloading is valid, as shown in the following two method signatures:

```

public float computePay(double d, int x);    //Two parameters
public float computePay(double d);          //One parameter

```

If you simply change the name of the parameter, it is not valid. For example, the following two methods could not appear in the same class because this is not valid overloading:

```

public void setDay(int x, int y, long z);
public boolean setDay(int a, int b, long c)    //No!

```

The preceding two methods have the same name and the same number of parameters, and the parameters appear in the same order. The compiler would not be able to distinguish between the two methods and would generate a compiler error. Note that changing the return value does not affect whether the overloading is valid or not.

However, changing the order of parameters is just like changing the parameter list. For example, the following two methods demonstrate valid method overloading:

```

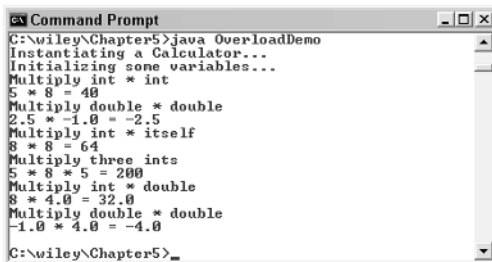
public void setDay(int x, int y, long z    );
public boolean setDay(long a, int b, int c);

```

Of the five multiply() methods in the Calculator class, the parameter lists are different for each one. Therefore, this is an example of valid method overloading.

The following OverloadDemo program instantiates a Calculator object and invokes the various multiply() methods. Study the OverloadDemo program carefully and try to determine the output. The actual output is shown in Figure 5.4.

```
public class OverloadDemo
{
    public static void main(String [] args)
    {
        System.out.println("Instantiating a Calculator...");
        Calculator calc = new Calculator();
        System.out.println("Initializing some variables...");
        int a = 5;
        int b = 8;
        double d1 = 2.5;
        double d2 = -1.0;
        float f = 4.0F;
        int intAnswer = 0;
        double doubleAnswer = 0.0;
        intAnswer = calc.multiply(a, b);
        System.out.println(a + " * " + b + " = " + intAnswer);
        doubleAnswer = calc.multiply(d1, d2);
        System.out.println(d1 + " * " + d2 + " = " + doubleAnswer);
        intAnswer = calc.multiply(b);
        System.out.println(b + " * " + b + " = " + intAnswer);
        intAnswer = calc.multiply(a, b, a);
        System.out.println(a + " * " + b + " * " + a + " = "
            + intAnswer);
        doubleAnswer = calc.multiply(b, f);
        System.out.println(b + " * " + f + " = " + doubleAnswer);
        doubleAnswer = calc.multiply(d2, f);
        System.out.println(d2 + " * " + f + " = " + doubleAnswer);
    }
}
```



```
Command Prompt
C:\wiley\Chapter5>java OverloadDemo
Instantiating a Calculator...
Initializing some variables...
Multiply int * int
5 * 8 = 40
Multiply double * double
2.5 * -1.0 = -2.5
Multiply int * itself
8 * 8 = 64
Multiply three ints
5 * 8 * 5 = 200
Multiply int * double
8 * 4.0 = 32.0
Multiply double * double
-1.0 * 4.0 = -4.0
C:\wiley\Chapter5>
```

**Figure 5.4** Output of the OverloadDemo program.

In the first call to `multiply()` in the `OverloadDemo` program, `a` and `b` are passed in. Because `a` and `b` are both `ints`, the following version of `multiply()` in the `Calculator` class is invoked:

```
public int multiply(int x, int y)
```

When the two `doubles` `d1` and `d2` are passed in to `multiply()`, the corresponding overloaded version in `Calculator` is invoked:

```
public double multiply(double x, double y)
```

In the following statement, only a single `int` is passed in, so the version of `multiply` that takes in a single `int` is invoked:

```
intAnswer = calc.multiply(b);
```

Similarly, invoking `multiply()` with three `int` arguments causes the corresponding `multiply()` with three `int` parameters to be invoked.

I want to make an observation about the following statement in the `OverloadDemo` program:

```
doubleAnswer = calc.multiply(b, f);
```

The arguments are of type `int` and `float`, in that order. There is no `multiply()` method in the `Calculator` class that has a parameter list with an `int` and a `float`. However, because a `float` can be promoted to a `double`, notice that the `multiply()` method that gets invoked is the following:

```
public double multiply(int x, double y);
```

note

**This situation in which the float is passed in to a double arises all the time when invoking methods (not just when a method is overloaded). When an argument does not exactly match a parameter, but the argument can be promoted to match a parameter, then the promotion will occur automatically.**

## Constructors

---

A *constructor* is a special method in a class that is invoked when the object gets instantiated. The purpose of a constructor is to allow the fields of the object to be initialized when the object is instantiated.

Remember that when an object is instantiated using the `new` keyword, the memory is allocated and zeroed out. Therefore, the initial values of the fields of an object are zero values (see Table 4.1). Without a constructor, you have to go in and initialize all the fields so that the object has meaningful data. A constructor provides an opportunity to construct the object so that its fields have meaningful data while the object is being instantiated.

What makes a constructor different from a method is that a constructor satisfies the following two properties:

- The name of the constructor must match the name of the class.
- A constructor does not declare a return value, not even `void`.

For example, if we want to add a constructor to the `Radio` class discussed earlier, the name of the constructor has to be `Radio` and no return value is declared. Listing 5.1 shows the `Radio` class with two constructors added.

```
public class Radio
{
    public int volume;           //0-10
    public float tuning;        //Current station tuned in
    public char band;           //'A' for AM or 'F' for FM
    public Radio()
    {
        System.out.println("Inside no-argument constructor");
        tuning = 80.0F;
        band = 'F';
        volume = 5;
    }
    public Radio(float t)
    {
        System.out.println("Inside float constructor");
        tuning = t;
        band = 'A';
        volume = 8;
    }
    //The remainder of the class definition...
}
```

**Listing 5.1** This `Radio` class has two constructors.

tip

**When adding multiple constructors to a class, the rules of method overloading apply. Each constructor must have a unique parameter list that makes it distinguishable from the other constructors.**

Have you noticed throughout the book so far that parentheses appear when using the new keyword to instantiate an object? For example, a new Radio object is instantiated as follows:

```
Radio r = new Radio();
```

I have not explained those empty parentheses, but I am ready to now. Any time you see parentheses, it looks as if a method is being invoked. This is exactly what is happening when you use the new keyword, except that a method is not invoked. One of the constructors in the class is invoked.

In fact, the only time you can invoke a constructor is when the object is being instantiated. Constructors are similar to methods, but keep in mind that they are not methods. They behave quite differently, as you will see in Chapter 6, “Understanding Inheritance.”

## Classroom Q & A

**Q:** Wait a minute. We haven’t added a constructor to any of the classes we have seen up until now. Do you have to add a constructor to every class?

**A:** You are right. We must not have to add a constructor because our classes seem to be fine without one, so the answer to your question is no. If you do not add a constructor to your class, the compiler writes one for you. This “free” constructor is known as the *default constructor*.

**Q:** How does the compiler know what I want my default constructor to do?

**A:** The compiler doesn’t know. The default constructor that you get for free has an empty parameter list and doesn’t do anything.

**Q:** Then why bother? It seems like a waste of code to have a constructor that doesn’t do anything.

**A:** Because every class must have a constructor. When you instantiate a class, the new operator must invoke a constructor. The fact that the compiler adds a default constructor to your class is purely for convenience. In almost all development situations you find yourself in, you will add at least one constructor yourself to all of your classes. Before we write our own constructors, though, let’s take a quick look at this default constructor.

## Default Constructor

If you write a class and do not add a constructor, the compiler generates a default constructor in your class. This default constructor is public, has no parameters, and does not do anything.

For example, if the `Radio` class does not declare a constructor, the compiler adds the following:

```
public Radio()  
{}
```

Notice that this follows the rules of a constructor: The constructor name matches the class name, and there is no return value. Also notice that the constructor contains no statements.

### ◆ A Class with No Default Constructor

If you do not add a constructor to a class, the compiler generates a default constructor for the class. This default constructor does not contain any parameters. If you add one or more constructors to your class, no matter what their parameter lists are, the compiler does not add a default constructor to your class.

The following class does not have a default constructor:

```
public class Television  
{  
    public int channel;  
    public Television(int c)  
    {  
        channel = c;  
    }  
}
```

Because there is only one constructor in this `Television` class, the only way to instantiate a new `Television` object is to pass in an int:

```
Television t1 = new Television(4);
```

The point I want to make with this example is that the following statement does not compile:

```
Television t2 = new Television();
```

This statement is attempting to invoke a no-argument constructor, but the `Television` class does not contain a no-argument constructor, thereby causing a compiler error. It is not uncommon to write a class without a no-argument constructor, as demonstrated by many classes in the Java API.

If you do add a constructor to your class, the compiler does not add the default constructor to your class. Consider the Radio class shown in Listing 5.2.

```
public class Radio
{
    public int volume;
    public float tuning;
    public char band;
    public Radio(int v, char b)
    {
        volume = v;
        band = b;
    }
}
```

**Listing 5.2** This Radio class declares a constructor and therefore does not have a default no-argument constructor.

The Radio class shown in Listing 5.2 declares a constructor that has two parameters: an int and a char. Because we added a constructor to this Radio class, the compiler does not add another one for us.

## Using Constructors

A constructor must be invoked when an object is instantiated using the new keyword. A class can (and often does) have multiple constructors. You determine which constructor is invoked with the arguments used with the new operator.

If a class has one constructor, there is only one way to instantiate an object of that class type. For example, the Radio class shown in Listing 5.2 has only one constructor. The signature of this constructor is the following:

```
public Radio(int v, char b)
```

Therefore, the only way to instantiate a Radio using the class shown in Listing 5.2 is to pass in an int and a char:

```
int volume = 7;
char band = 'A';
Radio radio = new Radio(volume, band);
```

The following statement does not compile with the Radio class shown in Listing 5.2:

```
Radio radio = new Radio(); //invalid!
```



The previous statement attempts to invoke a no-argument constructor, but the Radio class shown in Listing 5.2 does not declare a no-argument constructor.

## A Class with Multiple Constructors

If a class has multiple constructors, the new operator can be used for each constructor in the class. For example, the Radio class shown in Listing 5.1 has two constructors. Their signatures are as follows:

```
public Radio()
public Radio(float t)
```

This gives us two ways to instantiate a new Radio. To invoke the no-argument constructor, use the new operator with no arguments:

```
Radio x = new Radio();
```

To invoke the constructor that has a float parameter, pass in a float with the new operator:

```
float station = 100.3F;
Radio y = new Radio(station);
```

Study the following ConstructorDemo program and try to determine the output. (Note that the ConstructorDemo program is using the Radio class defined in Listing 5.2.) The program output is shown in Figure 5.5.

```
public class ConstructorDemo
{
    public static void main(String [] args)
    {
        System.out.println("Instantiating the first Radio");
        Radio x = new Radio();
        System.out.println("Instantiating the second Radio");
        float station = 100.3F;
        Radio y = new Radio(station);
        System.out.println(x.volume + " " + x.tuning
+ " " + x.band);
        System.out.println(y.volume + " " + y.tuning
+ " " + y.band);
    }
}
```



```
Command Prompt
C:\wiley\Chapter5\ConstructorDemo>java ConstructorDemo
Instantiating the first Radio
Inside no-argument constructor
Instantiating the second Radio
Inside float constructor
5 80.0 F
8 100.3 A
C:\wiley\Chapter5\ConstructorDemo>
```

**Figure 5.5** Output of the ConstructorDemo program.

## Using *this* in a Constructor

The following Television class has three constructors in it. Notice that the three constructors have different parameter lists, but the body of each one essentially does the same thing.

```
public class Television
{
    public int channel;
    public int volume;
    public Television()
    {
        System.out.println("Inside no-arg constructor");
        channel = 4;
        volume = 10;
    }
    public Television(int c)
    {
        System.out.println("Inside one-arg constructor");
        channel = c;
        volume = 10;
    }
    public Television(int c, int v)
    {
        System.out.println("Inside two-arg constructor");
        channel = c;
        volume = v;
    }
}
```

The problem with the Television class is that code is repeated three times. If you needed to change code in one of the previous constructors for some reason, you would need to change the code in three places, which is an undesirable situation in any programming language.

To avoid repeating code, you can have all the constructors invoke one constructor that does all the work. A constructor can use the *this* keyword to invoke another constructor within the same class.

tip

**If a constructor uses the *this* keyword to invoke another constructor in the class, the *this* statement must appear as the first line of code in the constructor. (Otherwise, a compiler error will occur.)**

The following Television class demonstrates using the *this* keyword to make one constructor invoke another constructor. Notice that the *this* statement is the first line of code in the constructor.

```
public class Television
{
    public int channel;
    public int volume;
    public Television()
    {
        this(4, 10);
        System.out.println("Inside no-arg constructor");
    }
    public Television(int c)
    {
        this(c, 10);
        System.out.println("Inside one-arg constructor");
    }
    public Television(int c, int v)
    {
        System.out.println("Inside two-arg constructor");
        channel = c;
        volume = v;
    }
}
```

note

**The *this* keyword used within a constructor is not the same as the *this* reference that every object has to itself. The *this* keyword has two different uses in Java.**

The following ThisDemo program instantiates three Television objects by using each of the three constructors in the Television class. Study the program carefully and try to determine the output. The output is not obvious, so follow the flow of control carefully. The actual output is shown in Figure 5.6.

```
public class ThisDemo
{
    public static void main(String [] args)
```

```
{
    System.out.println("Instantiating first television");
    Television t1 = new Television();
    System.out.println(t1.volume + " " + t1.channel);
    int channel = 206;
    System.out.println("Instantiating second television");
    Television t2 = new Television(channel);
    System.out.println(t2.volume + " " + t2.channel);
    int volume = 7;
    System.out.println("Instantiating third television");
    Television t3 = new Television(channel, volume);
    System.out.println(t3.volume + " " + t3.channel);
}
}
```

The first statement within `main()` outputs the following:

```
Instantiating first television
```

Then, a `Television` object is instantiated using no arguments. Flow of control jumps to the no-argument constructor of the `Television` class. The first statement in the following constructor causes flow of control to jump to the two-argument constructor in the `Television` class:

```
this(4,10);
```

The two-argument constructor executes, then control jumps back to the one-argument constructor, which executes. Therefore, the output is as follows:

```
Inside two-arg constructor
Inside no-arg constructor
```

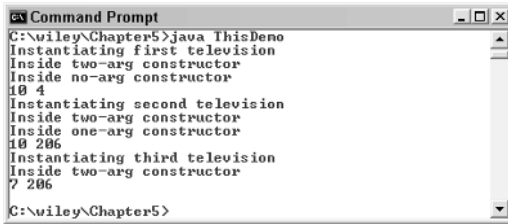
Notice that the default constructor creates a `Television` object with an initial volume of 10 and channel 4. The second `Television` object in `ThisDemo` uses the one-argument constructor, which uses the `this` keyword to invoke the two-argument constructor, creating the following output:

```
Inside two-arg constructor
Inside one-arg constructor
```

The third `Television` object invokes the two-argument constructor directly, creating the following output:

```
Inside two-arg constructor
```

The entire output is shown in Figure 5.6.



```
Command Prompt
C:\wiley\Chapter5>java ThisDemo
Instantiating first television
Inside two-arg constructor
Inside no-arg constructor
10 4
Instantiating second television
Inside two-arg constructor
Inside one-arg constructor
10 206
Instantiating third television
Inside two-arg constructor
7 206
C:\wiley\Chapter5>
```

**Figure 5.6** Output of the ThisDemo program.



## Lab 5.1: Simulating an Elevator

In this lab, you will write an Elevator class containing various methods. Then, you will write a program that creates Elevator objects and invokes the various methods. The purpose of the lab is to help you understand how to write methods and how methods manipulate the data of an object.

1. Using your text editor, write a class named Elevator. Add fields for the following attributes: an int for the current floor, an int for the floor that the elevator is heading to, a boolean to denote whether the elevator is going up or down once it reaches its destination, and a boolean to denote whether the elevator doors are open or closed.
2. Add a method named `goToFloor()` that changes the floor that the elevator is heading to. Use the `System.out.println()` method to display a message that you are changing the value.
3. Add methods named `openDoors()` and `closeDoors()` that change the appropriate boolean field accordingly. Again, display a message within each method so that you can see when the methods are invoked.
4. Add methods named `goingUp()` and `goingDown()` that change the appropriate boolean field accordingly.
5. Save and compile your Elevator class.
6. Write a class named ElevatorProgram that contains `main()`.
7. Within `main()`, instantiate two Elevator objects. Invoke the various methods of the Elevator class on these two objects, ensuring that all your Elevator methods work successfully.



## Lab 5.2: Using Constructors

The purpose of this lab is to become familiar with working with constructors. You will add two constructors to your Elevator class.

1. Begin by opening your Elevator class from Lab 5.1 in your text editor.
2. Add a constructor that has a single parameter of type `int` to represent the current floor of the elevator. Within the constructor, assign the parameter to the appropriate field in your class. Also, display a message using `System.out.println()` that shows which constructor you are currently in.
3. Add a no-argument constructor that uses the `this` keyword to invoke your constructor in the previous step, passing in a 1 for the initial floor. Display a message that states you are currently in the no-argument constructor.
4. Modify your ElevatorProgram in Lab 5.1. Instantiate one of the Elevator objects using the no-argument constructor, and instantiate the other Elevator object using the one-argument constructor.
5. Run the ElevatorProgram and ensure that the constructors are working successfully.



## Lab 5.3: Redesigning the Powerball Lottery

In this lab, you will make your Powerball program object oriented by writing a class called Powerball.

1. Write a class named Powerball that contains six fields to represent the five white balls and one red ball.
2. Add a method named `play()` that simulates the playing of Powerball. (See Lab 3.5 for details of the lottery.) This method should assign a value to each of the six fields.
3. Add a method named `displayResults()` that prints out the values of the five white balls and one red ball.
4. Save and compile your Powerball class.
5. Write a class named PlayLottery that contains `main()`. Within `main()`, instantiate a Powerball object and invoke the methods of the Powerball class to ensure that they are working successfully.

## Summary

---

- Methods must appear within a class in Java. A method's signature denotes the name of the method, its access specifier, its return value, any arguments that need to pass into the method, and any checked exceptions that the method may throw.
- Arguments are passed to methods in Java using call-by-value, meaning that a copy of the argument is passed to the method.
- A method can be overloaded, allowing a class to have more than one method with the same name as long as the parameter lists are different.
- Every class has at least one constructor, a unique type of method that is invoked when the class is instantiated. The name of a constructor must match the name of the class, and no return value is declared.
- If a class does not declare a constructor, the compiler adds the default constructor to the class. The default constructor has no parameters and does not do anything.
- A constructor can invoke another constructor in the same class using the `this()` syntax, which must be the first statement in the constructor.

## Review Questions

1. A method uses what keyword to return a value within the body of the method?
2. A method uses what keyword to denote that it does not return a value?
3. True or False: A method can have private access.
4. True or False: All methods must be declared static.
5. True or False: The parentheses are optional in a method signature.

Use the following method signature to answer the next five questions:

```
protected double findInRange(int x, int y, boolean b)
    throws NotFoundException
```

6. What is the name of the method?
7. What is the data type of the return value?
8. How many parameters does it have?
9. What is the access specifier?
10. True or False: The method will throw a NotFoundException every time the method is invoked.
11. What keyword is used within a constructor to invoke another constructor in the same class?
12. True or False: If you write a class and do not put a constructor in it, the compiler generates a constructor for you.
13. True or False: Every class must have a no-argument constructor.
14. When arguments are copied into parameters, this is referred to as \_\_\_\_\_.
15. True or False: If a Java method changes a parameter, it changes the corresponding argument as well.



## Answers to Review Questions

1. return.
2. void.
3. True, although private methods can be invoked only by other methods in the class.
4. False. In fact, most methods you write will not be static.
5. False. A method signature must contain parentheses immediately following the method name. If there are no parameters, the parentheses will be empty.
6. findInRange.
7. double.
8. Three: two ints and a boolean.
9. protected.
10. Hopefully false, even though the method could be written so that it did throw an exception every time. However, the throws keyword in a method signature means that the method *might* throw an exception, not that it *will* throw an exception.
11. The *this* keyword.
12. True. The generated constructor is referred to as the default constructor.
13. False. It is your decision whether you want your class to have a no-argument constructor.
14. Call-by-value.
15. False. With call-by-value, methods cannot change arguments, no matter what.

# Understanding Inheritance

Inheritance is one of the most important benefits of object-oriented programming. It allows a new class to be written that extends an existing class. This chapter discusses the details of understanding and implementing inheritance, including the *is a* relationship, the `extends` keyword, the `Object` class, method overriding, the `super` keyword, and how inheritance affects constructors.

## An Overview of Inheritance

---

In object-oriented programming (OOP), a new class can be built upon an existing class with the new class extending the existing class and inheriting its attributes and behaviors. Extending a class is called *inheritance*.

The existing class is referred to as the *parent class*, and the new class is referred to as the *child class*.

note

**Other common OOP terms for the parent class are *base class* and *super class*. The child class is often referred to as the *derived class* or *subclass*. These different terms for the parent and child class are used interchangeably but have the same meaning.**

Inheritance is arguably the single most important aspect of OOP. The ability to create a new class as an extension of an existing class has many benefits, including the important concepts of polymorphism and abstraction, discussed in Chapter 8, “Polymorphism and Abstraction.”

Inheritance is best explained with an example. Recall the example where a program is needed to pay employees of a company every week. One obvious object in this problem domain is the employee, and it was decided that an Employee class is to be written.

Consider the following Employee class, which includes attributes for the employee’s name, address, SSN, number, and salary. The methods are computePay() and mailCheck().

```
public class Employee
{
    public String name;
    public String address;
    public int SSN;
    public int number;
    public float salary;

    public void mailCheck()
    {
        System.out.println("Mailing a check to " + name + " " + address);
    }
    public float computePay()
    {
        return (float) salary/52.0;
    }
}
```

The design of the Employee class might seem fine initially. An employee has a name, address, and number, and we want the employee objects to compute their pay and mail a check.

Keep in mind that we are using the Employee class in the context of paying employees. Is it true that every employee has a salary? What about employees who are paid by the hour, or contractors who are paid by the day, or those in other situations where an employee is not paid by an annual salary? Perhaps the Employee class needs further analysis.

The first mistake in the Employee class is adding a field of type salary. Does every employee have a salary? If the answer is no, the Employee class should not have a field of type salary.

What do we do instead? We need to realize that although employees are objects in our problem domain, there are actually two different types of employee objects: salaried employees and hourly employees. Therefore, we should write two classes: Salary and Hourly.

## ◆ When to Use Inheritance

The Employee class has a field named salary, which implies that an employee *has a* salary; however, hourly employees have an hourly rate, which is quite different from a salary.

A tempting fix for this situation is to add a Boolean field to the Employee class named `isSalary` that is true for salary employees and false for hourly employees. The salary field could be used to represent an annual salary when `isSalary` is true or an hourly rate when `isSalary` is false.

The `isSalary` field could also be used within the `computePay()` method to determine which arithmetic to use because computing pay for salaried employees is different than for hourly employees. For example,

```
public float computePay()
{
    if(isSalary)
    {
        //Perform arithmetic for salaried employee
    }
    else
    {
        //Perform arithmetic for hourly employee
    }
}
```

Adding a field such as `isSalary` and trying to use one class to represent two different types of objects is not a good OOP design. You could make it work, but you are not taking advantage of the benefits of inheritance.

If you use a field to determine the type of an object, your end result is a class that looks object-oriented but is really procedural. For example, an Employee object will need to check this added Boolean field just to know what type of object it is, causing the design of the program to not be focused on objects.

A bigger problem arises when something needs to be changed. What happens to the `isSalary` field when a new type of employee needs to be added? Suppose that the company starts hiring contractors who are paid by the day. The Boolean no longer works because it can't be used to distinguish between three types. You could change the field to an int, name it `employeeType`, and use an enumeration like 0 for salary, 1 for hourly, and 2 for contractor.

Again, you could make this work, but you have to make serious modifications to the Employee class. The `computePay()` method will have to entirely rewritten:

```
public float computePay()
{
    switch(employeeType)
    {
        case 0:
```

*continued*

## ◆ When to Use Inheritance *(continued)*

```

        //Perform arithmetic for salaried employee
        break;
    case 1:
        //Perform arithmetic for hourly employee
        break;
    case 2:
        //Perform arithmetic for contractor employee
        break;
        //and so on if necessary
    }
}

```

And the `computePay()` method will keep getting longer and longer as different types of employees arise. Any time you find yourself writing classes that do not know what type they are, you probably should rethink your design. With inheritance, all of these issues are avoided.

The common features of employees appear in a parent class. Each different type of employee is represented by a child class. When a new type of employee such as a contractor comes along, a new child class is written that extends the parent class. *None of the existing code needs to be touched.*

This benefit is difficult to achieve with procedural programming and also with poorly designed OOP applications. To avoid these situations, constantly test your OOP design against these simple rules: An object “has” an attribute, and an object “does” a behavior.

In our employee example, if it is not true that an employee “has” a salary, an Employee class should not have a salary field, and we should redesign our program.

The Salary class should have a field to represent the employee’s annual salary because a Salaried employee has a salary. The Hourly class should have fields to represent the employee’s hourly pay and number of hours worked because these are attributes of an Hourly employee.

The following classes demonstrate what the Salary and Hourly classes might look like. Look closely at the attributes and behaviors of these two classes. As with the Employee class, there is something flawed with this design also.

```

public class Salary
{
    public String name;
    public String address;
    public int SSN;
    public int number;
    public float salary;
    public void mailCheck()
    {

```

```
        System.out.println("Mailing a check to " + name
            + " " + address);
    }

    public float computePay()
    {
        return (float) salary/52.0;
    }
}

public class Hourly
{
    public String name;
    public String address;
    public int SSN;
    public int number;
    public float hourlyRate;
    public float hoursWorked
    public void mailCheck()
    {
        System.out.println("Mailing a check to " + name
            + " " + address);
    }
    public float computePay()
    {
        return (float) hoursWorked * hourlyRate;
    }
}
```

note

**The Salary and Hourly classes demonstrate the need for inheritance. Although Salary and Hourly employees are different types, they are not entirely different. In fact, the two types of employees have a lot in common, as seen by the repetition of fields and methods in these two classes. Using inheritance will improve this design considerably, so keep in mind that writing two separate classes for the two different types of employees is not yet a satisfactory solution.**

As you can see, the Salary and Hourly classes are repeating code. Salary and hourly employees are still employees, and there is a lot of information in common between the two. Inheritance can be used in this situation not only to avoid repeating code, but also to create a program design that allows for better maintenance and code changes later.

When two or more classes are different but share similar features, take the common elements of the classes and put them in a parent class. The classes can extend this parent class, thereby inheriting all the features of the parent, yet the different features can remain in each child class.

A better design for the Salary and Hourly classes is to take their common elements and put them in a parent class, leaving the unique elements in the child classes. For example, the `mailCheck()` method could appear in the Employee parent class, and the `computePay()` method could appear in each of the child classes. The assumption is that the process of mailing a check is the same for all employees, but computing their pay is directly affected by how they are paid.

Employees do not share a common `computePay()` method. By placing `computePay()` in each child class, the method will be written twice. This is not repeating code, though, because `computePay()` in Salary is quite different than `computePay()` in Hourly.

## The *is a* Relationship

---

The *is a* relationship is a simple but powerful rule for testing if your inheritance is a good design. Whenever you use inheritance, you should be able to say that a child *is a* parent. If this statement is true, your inheritance is likely a good design.

For example, it is true that a salaried employee is an employee. Similarly, an hourly employee is an employee; therefore, it is reasonable that the Salary and Hourly classes extend the Employee class.

Let's look at an example where inheritance is not a good idea. Suppose that you have a Date class that represents a calendar date, and you want to use that class to keep track of the date when an employee was hired.

Because inheritance has so many benefits, you decide to have the Employee class extend the Date class. When you instantiate an Employee object, you will also get a Date object for storing the employee's hire date; however, is it true that an employee *is a* date? The *is a* relationship clearly fails here. Although the result might work for us, an Employee class inheriting from a Date class is not a good design and should not be used.

note

**The solution to the improper use of inheritance with the Employee and Date classes is to realize that an employee *has a* hire date, not that an employee *is a* hire date. If an object *has* an attribute, the attribute should be a field in the class. The Employee class should add a field of type Date to represent the hire date of an employee, as opposed to extending the Date class.**

## Implementing Inheritance

Now that we have seen why inheritance is useful in OOP, let's look at how it is implemented in Java. A class uses the *extends* keyword to inherit from another class. The *extends* keyword appears after the class name when declaring the class and is followed by the name of the class being extended.

For example, the following statement is used to declare that the Salary class is a child of the Employee class:

```
public class Salary extends Employee
```

Similarly, the Hourly class can extend Employee with the statement:

```
public class Hourly extends Employee
```

The following Employee class will be used as the parent of Salary and Hourly. Note that you do not add any special code to denote that Employee is a parent class.

```
public class Employee
{
    public String name;
    public String address;
    public int SSN;
    public int number;
    public void mailCheck()
    {
        System.out.println("Mailing a check to " + name
+ " " + address);
    }
}
```

The following Salary class uses the *extends* keyword to denote Salary is a child class of Employee.

```
public class Salary extends Employee
{
    public float salary;    //Annual salary
    public float computePay()
    {
        System.out.println("Computing salary pay for " + name);
        return salary/52;
    }
}
```



Similarly, the following Hourly class extends the Employee class using the extends keyword.

```
public class Hourly extends Employee
{
    public float hourlyRate;    //Pay rate
    public float hoursWorked;   //Weekly hours worked
    public float computePay()
    {
        System.out.println("Computing hourly pay for " + name);
        float pay = 0.0F;
        if(hoursWorked <= 40)
        {
            pay = hourlyRate * hoursWorked;
        }
        else    //Need to compute overtime
        {
            pay = (hourlyRate * 40) +
                  (hourlyRate * (hoursWorked - 40) * 1.5F);
        }
        return pay;
    }
}
```

A child class has access to the fields and methods in the parent class, depending on the access specifier, which is discussed in Chapter 7, “Advanced Java Language Concepts.” The computePay() method of the Salary class displays the name of the employee being paid, but there is no name field in the salary class. The name field is in Employee, the parent of Salary. In this example, because name is public, Salary has access to it and can use it at any point in the Salary class.

Notice that the Hourly class prints out the employee’s name within its computePay() method, using the name field inherited from the Employee class.

## Instantiating Child Objects

---

Now that we have defined the Employee, Salary, and Hourly classes, let’s look at a program that instantiates and uses these classes. The following Inherit-Demo program creates an Employee, Salary, and Hourly object. Study the program carefully and try to determine its output.

```
public class InheritDemo
{
    public static void main(String [] args)
    {
        System.out.println("Instantiating an Employee");
    }
}
```

```

Employee e = new Employee();
e.name = "Robert Smith";
e.address = "111 Java Street";
e.SSN = 999001111;
e.number = 1;
System.out.println("Instantiating a Salary");
Salary s = new Salary();
s.name = "Jane Smith";
s.address = "222 Oak Drive";
s.SSN = 111009999;
s.number = 2;
s.salary = 100000.00F;
System.out.println("Instantiating an Hourly");
Hourly h = new Hourly();
h.name = "George Washington";
h.address = "333 Espresso Lane";
h.SSN = 111990000;
h.number = 3;
h.hourlyRate = 10.00F;
h.hoursWorked = 50;
System.out.println("Paying employees");
//e.computePay();      //Does not compile!
System.out.println(s.number + " " + s.computePay());
System.out.println(h.number + " " + h.computePay());
System.out.println("Mailing checks");
e.mailCheck();
s.mailCheck();
h.mailCheck();
    }
}

```

The InheritDemo program starts by instantiating each of the three employee types and initializing their fields. Up until the “Paying employees” statement, the only output is:

```

Instantiating an Employee
Instantiating a Salary
Instantiating an Hourly

```

The computePay() method is then invoked on the Salary and Hourly objects. Notice within main() the salary object can access the number field inherited from its parent using:

```
s.number
```

The same is true for the Hourly object, which accesses the number field using the statement:

```
h.number;
```

Invoking the `computePay()` methods on the `Salary` and `Hourly` objects generates the following output:

```
Paying employees
Computing salary pay for Jane Smith
2 1923.0769
Computing hourly pay for George Washington
3 550.0
```

note

Notice that the `Employee` object referenced by `e` in the `InheritDemo` program cannot invoke the `computePay()` method because the object does not have a `computePay()` method. In fact, there is no place to put information about how or what Robert Smith is paid. It is safe to say that no employee at the company will want to be of type `Employee`.

This does not mean that the `Employee` class is not useful. In fact, the opposite is true. Even though we will not be needing objects of type `Employee`, the `Employee` class plays a fundamental and essential role in the design of our program.

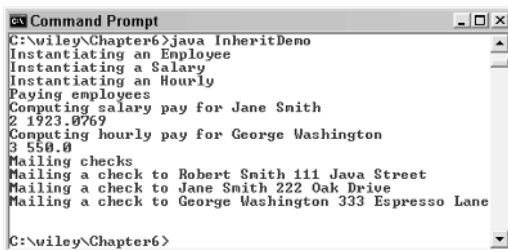
The `mailCheck()` method is available to all three objects in the `InheritDemo` program. Invoking them causes the following output:

```
Mailing checks
Mailing a check to Robert Smith 111 Java Street
Mailing a check to Jane Smith 222 Oak Drive
Mailing a check to George Washington 333 Espresso Lane
```

The entire output of the `InheritDemo` program is shown in Figure 6.1.

tip

The bytecode for `Employee`, `Salary`, `Hourly`, and `InheritDemo` all need to be in the same folder on your hard drive. Putting the bytecode in the same folder is necessary for the compiler and the JVM to find these files, at least until you become familiar with `CLASSPATH`, discussed in Chapter 7, “Advanced Java Language Concepts.”



```
Command Prompt
C:\wiley\Chapter6>java InheritDemo
Instantiating an Employee
Instantiating a Salary
Instantiating an Hourly
Paying employees
Computing salary pay for Jane Smith
2 1923.0769
Computing hourly pay for George Washington
3 550.0
Mailing checks
Mailing a check to Robert Smith 111 Java Street
Mailing a check to Jane Smith 222 Oak Drive
Mailing a check to George Washington 333 Espresso Lane
C:\wiley\Chapter6>
```

**Figure 6.1** Output of the `InheritDemo` program.

## Single versus Multiple Inheritance

Some OOP languages (such as C++) allow a child class to have more than one parent; however, this is not allowed in Java. A Java class can only have one parent. For example, the Salary class cannot extend both the Employee class and a Manager class. Multiple inheritance is not allowed in Java.

note

**One of the goals of the Java language was to create an OOP language that was simple to use and understand. Multiple inheritance is one of those capabilities that only tends to add confusion to a language.**

In terms of design issues, there are no situations where multiple inheritance is the only option. (Some may argue that it is needed at times, but I have yet to be convinced of this by anyone who has attempted to sway me with a specific example.) Therefore, there is no need to waste time going into the details of why multiple inheritance is not a requirement of OOP. We can simply appreciate the fact that when learning Java we do not need to bother ourselves with figuring out the many details and issues of multiple inheritance.

warning

**Be aware of what I said about multiple inheritance. I said a Java class can only have one parent. However, this does not mean a class cannot have a grandparent, great grandparent, and so on up the hierarchy tree. Keep reading!**

A Java class can have a parent class, and that parent class can have a parent, and so on. This hierarchy can continue as long as you want.

For example, the Salary class discussed earlier extends the Employee class. The Salary class can also be a parent class. Any child classes of Salary inherit the fields and methods of Salary and Employee.

Suppose that you determine that a class is needed to represent part-time salaried employees, who have an annual salary but must keep track of the hours they work. Then a new class named PartTimeSalary can be written that extends the Salary class.

This results in the PartTimeSalary class being a child of Salary, and Salary being a child of Employee. A PartTimeSalary object inherits everything from Salary and Employee.

The following class shows the definition of PartTimeSalary. The extends keyword is used to extend Salary, but you do not specify any inheritance with Employee. The compiler and JVM know that Salary extends Employee.

```
public class PartTimeSalary extends Salary
{
    public int hoursWorked;
    public int getHoursWorked()
```

```

    {
        System.out.println("Getting hours for " + this.name
                           + " earning " + salary);
        return hoursWorked;
    }
}

```

note

The `PartTimeSalary` class has one field and one method; however, a `PartTimeSalary` object will have six fields: `name`, `address`, `SSN`, `number`, `salary`, and `hoursWorked`.

Similarly, the `PartTimeSalary` class has one method, `getHoursWorked()`, but a `PartTimeSalary` object has three methods: `getHoursWorked()`, `computePay()`, and `mailCheck()`.

Notice that the `getHoursWorked()` method in the `PartTimeSalary` class accesses the `name` field inherited from `Employee`, the `salary` field inherited from `Salary`, and the `hoursWorked` field in its own class.

note

The `this` reference is used for accessing `this.name` in the `getHoursWorked()` method of the `PartTimeSalary` class to emphasize that the `name` field is a member of this object, even though `name` is not a field in this class. The same is true for accessing `salary`. Keep in mind that the compiler prefixes *this.* to `salary` and `hoursWorked` for us, as it would have done with `name` had we not explicitly used the `this` reference ourselves.

The *is a* relationship should also be maintained. A child is a parent, a child is a grandparent, and so on. In our example, a part-time salaried employee is a salaried employee, and a part-time salaried employee is an employee.

## The `java.lang.Object` Class

The Java language API includes a special class named `Object` that is the root class of the entire Java hierarchy. The `Object` class, found in the `java.lang` package, is the parent of every Java class, either directly (meaning the class is an immediate child of `Object`) or indirectly (meaning `Object` is an ancestor further up the inheritance tree).

For example, consider the following `Employee` class that does not declare a parent class.

```

public class Employee
{
    //Class definition
}

```

Because this `Employee` does not explicitly extend another class, it implicitly extends `Object`. In fact, we could have added the `extends Object` keyword as follows:

```
public class Employee extends Object
{
    //Class definition
}
```

note

**If you write a class and do not explicitly extend another class, the compiler adds “extends `Object`” to your class declaration. If you write a class that extends another class besides `Object`, the class still is a child of `Object`, since eventually one of its ancestors must have extended `Object`.**

Suppose that a `Salary` class is written that extends `Employee`:

```
public class Salary extends Employee
{
    //Class definition
}
```

The `Salary` class extends `Employee`, and because a Java class can only have one parent class, `Salary` does not extend `Object` directly. Because `Employee` extends `Object`, however, the `Salary` class is an indirect child of the `Object` class. Note that the compiler does not add “extends `Object`” to a child class that already extends another class.

## The Methods of the Object Class

---

`Object` is a parent of every class, so the methods in the `Object` class are inherited by every Java object. Therefore, the methods in the `Object` class can be invoked on any Java object, no matter what class type the object is.

The following list contains the signatures of the methods in the `Object` class and a description of what each method does:

**public final Class getClass().** Every class used in a Java program is loaded by the JVM. When the JVM loads a class, the information about the class is stored in a `Class` object. Use this method to obtain a reference to the `Class` object of the object you invoke the method on.

**public int hashCode().** This method returns a hashcode value for the object, which is useful when working with hash tables and other data structures that use hashing.

**public boolean equals(Object x).** Use this method to check if two objects are equal to each other. This method is often overridden (method overriding is discussed in the next section) and allows a class to determine what it means for two objects of that type to be equal. Note if two objects are equal as determined by this method, then the hashCode() method of the two objects should generate the same hash code.

**protected Object clone() throws CloneNotSupportedException.** The clone method is used to create a copy of an object. The exception occurs when the object being cloned does not support cloning. The details of cloning objects are not discussed in this book.

**public String toString().** This method returns a string representation of the object. Representing an object as a String can be helpful for debugging or testing purposes. The Java documentation recommends that you add the toString() method to all of your classes, a widely used practice in Java programming.

**protected void finalize() throws Throwable.** This method is invoked on an object right before the object is to be garbage collected. The finalize() method allows for an object to free up any resources or perform any necessary cleanup before the object is removed from memory.

**public final void wait() throws InterruptedException.** The wait() method has two other overloaded versions in the Object class. Invoking wait() on an object causes the current thread to stop executing until some other thread invokes notify() on the same object. The wait() and notify() methods are used for thread synchronization.

**public final void notify().** There is also a notifyAll() method in the Object class. These methods are used to restart any threads that were blocked by invoking one of the wait() methods on the object.

The final methods in the Object class, such as wait() and notify(), cannot be changed by the child classes of Object; however, the nonfinal methods are intended to be included in classes that want or need to change the default behavior of the corresponding method in the Object class.

For example, the default behavior of the toString() method in Object is to print out the name of the class, followed by the @ symbol, followed by the hashCode value. If you do not want this behavior, add toString() to your class and generate any string you like.

The following ToStringDemo program invokes the toString() method of the following Radio class. Notice the Radio class does not contain a toString() method but inherits the default toString() method in Object.

Study the ToStringDemo program carefully and try to determine the output. (Note that I added something that we have not discussed yet, printing out just a reference.)

```

public class Radio
{
    public int volume;
    public double channel;
    public char band;
    public Radio(int v, double c, char b)
    {
        volume = v;
        channel = c;
        band = b;
    }
}

public class ToStringDemo
{
    public static void main(String [] args)
    {
        Radio radio = new Radio(7, 100.3, 'F');
        System.out.println("toString returns " + radio.toString());

        System.out.println("Just printing the reference: " + radio);
    }
}

```

Figure 6.2 shows the output of the ToStringDemo.

The toString() method invoked on the radio object created the following String:

```
Radio@1ea2dfe
```

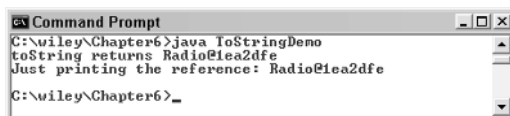
The output of printing out the reference generated the same String:

```
Just printing the reference: Radio@1ea2dfe
```

The toString() method was invoked implicitly by the JVM when the reference was concatenated with a String.

**note**

**When the radio reference is concatenated to the string “Just printing the reference: ”, the reference needs to be converted to a String before the concatenation can occur. Notice that the toString() method is invoked automatically. Because every object in Java is a child of Object, every object has a toString() method, and the JVM will invoke toString() implicitly anytime the object needs to be converted to a String.**



```

Command Prompt
C:\wiley\Chapter6>java ToStringDemo
toString returns Radio@1ea2dfe
Just printing the reference: Radio@1ea2dfe
C:\wiley\Chapter6>_

```

**Figure 6.2** The output of the ToStringDemo program.



The default `toString()` method, although sometimes useful, is not very exciting. Instead of using the default version, the `Radio` class can include its own `toString()` method, allowing the `Radio` class to create its own string representation of a `Radio` object. Adding `toString()` to the `Radio` class replaces the `toString()` method in `Object`. This is an example of method overriding, which we will now discuss.

## Method Overriding

A child class can override a method that it inherits from a parent, thereby allowing the child class to add or change the behavior of the method in the parent. This is referred to as *method overriding* and is a feature of OOP.

Here is a list of rules that must be followed when a child class overrides a method in a parent class:

- The return type, method name, and parameter list must be identical.
- The access specifier must be at least that of the parent. For example, if the parent method is `public`, the child must be `public`. If the parent method is `protected`, the child must be `protected` or `public` (`public` allows more access than `protected`).
- The overriding exception cannot throw more exceptions than the parent. (The reason for this is discussed in Chapter 11, “Exception Handling.”)

**warning**

**If a method in the child class has the same name as a method in the parent class, but the child class method changes the parameter list, then this is method overloading, not method overriding. Try not to confuse the two concepts, since their usages are quite different.**

The `Radio` class discussed earlier inherited all the methods of `Object` but did not override any of them. The following `Radio` class overrides the `toString()` method, thereby replacing the `toString()` behavior of `toString()` in `Object`.

```
public class Radio
{
    public int volume;
    public double channel;
    public char band;
    public Radio(int v, double c, char b)
    {
        volume = v;
        channel = c;
        band = b;
    }
}
```

```

public String toString()
{
    System.out.println("Inside Radio toString");
    String rep = "Radio volume = " + volume + ", channel = "
                + channel + " and band = " + band;
    return rep;
}
}

```

## ◆ The equals() Method

The Object class has an equals() method for determining whether two objects are equal. The idea is that every class you write will override the equals() method, allowing users of your class to determine when instances are equal.

What does it mean for two Employee objects to be equal? You get to decide. For example, maybe two employees are equal if they work in the same department, or have the same manager, or get paid the same amount. More likely, suppose that two employees are the same if they have the same number. Whatever logic you decide on, you perform that logic by overriding equals() in the Employee class.

The following Employee class overrides equals() and determines that two objects are equal if they have the same number.

```

public class Employee
{
    public String name;
    public String address;
    public int SSN;
    public int number;
    public void mailCheck()
    {
        System.out.println("Mailing a check to " + name
+ " " + address);
    }
    public boolean equals(Object x)
    {
        if(x == null)
            return false;
        Employee other = (Employee) x;
        if(this.number == other.number)
        {
            return true;
        }
        else
        {
            return false;
        }
    }
}

```

*continued*

## ◆ The equals() Method *(continued)*

```

    }
}
public int hashCode()
{
    return this.number;
}
}

```

Testing for a null reference is a good idea because it is a possibility. The reference passed in is then cast to an `Employee` type, which is necessary because we want to treat this object as an `Employee`. (Casting down the hierarchy such as this is risky, and typically we should use `instanceof` to ensure that `x` is of type `Employee`. I have not discussed this yet, though, so I have omitted it for now. The details of casting down the hierarchy tree are discussed in Chapter 8, “Polymorphism and Abstraction.”)

Notice that the `hashCode()` method is also added to the `Employee` class. The general rule of hash codes that should be followed is that if two objects are equal, they should generate the same hash code; therefore, classes that override the `equals()` method typically need to override the `hashCode()` method as well.

The following statements instantiate two `Employee` objects and test for equality.

```

Employee e1 = new Employee();
Employee e2 = new Employee();
e1.number = 101;
e2.number = 102;
if(e1.equals(e2))
    System.out.println("This will not print.");
e2.number = 101;
if(e2.equals(e1))
    System.out.println("This will print.");
if(e1 == e2)
    System.out.println("This will not print either.");

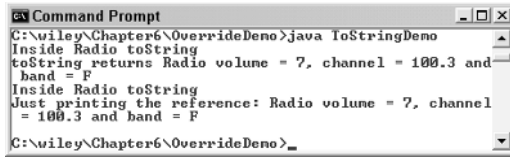
```

In the previous statements, two `Employee` objects are instantiated, so two `equals()` methods are available to us: `e1`'s and `e2`'s. It does not matter if you invoke `e1.equals(e2)` or `e2.equals(e1)`, the result will be the same.

The `equals()` method compares two objects to see if they are equal. The `==` comparison operator checks to see if two references point to the same object, which is an entirely different comparison. If `e1` and `e2` point to different objects, the `==` operator will be false, no matter if `e1` equals `e2` or not.

note

**The `toString()` method in the `Object` class is declared as public in `Object`; therefore, `toString()` must be declared public in `Radio`. If a weaker access privilege is attempted for `toString()` in `Radio`, then the `Radio` class will not compile.**



```

C:\wiley\Chapter6\OverrideDemo>java ToStringDemo
Inside Radio toString
toString returns Radio volume = 7, channel = 100.3 and
band = F
Inside Radio toString
Just printing the reference: Radio volume = 7, channel
= 100.3 and band = F
C:\wiley\Chapter6\OverrideDemo>_

```

**Figure 6.3** The overridden toString() method in the Radio class is invoked.

Now when toString() is invoked on a Radio object, the toString() method in the Radio class will be invoked, not the toString() method in the Object class. The toString() method in the Object class is essentially hidden for Radio objects, which is the result we wanted.

Let's run the ToStringDemo program again, this time using our new Radio class. Try to determine how the output will change. Figure 6.3 shows the output.

**note**

**The toString() method in the Radio class of Figure 6.3 demonstrates a child class that wanted to completely change the behavior of an inherited method, in this case, toString() from Object. There are times when a child class might want to add to the behavior of an inherited method and not completely replace the parent method. A child class can use the super keyword to invoke the overridden method in the parent. The details of using super are discussed next.**

## The super Keyword

Every object has a reference to itself called the *this* reference, and there are situations where a class needs to explicitly use the this reference when referring to its fields or methods. Similarly, a class can use the super keyword to explicitly refer to a field or method that is inherited from a parent. You can think of super as a child object's reference to its parent object.

To demonstrate using super, the following Employee class explicitly uses the super keyword to invoke the toString() method inherited from Object. (Notice that the Employee class also explicitly uses the this reference to access its fields name and address, although it is not necessary in this particular instance.)

```

public class Employee
{
    public String name;
    public String address;
    public int SSN;
    public int number;

```

```

public void mailCheck()
{
    System.out.println("Inside Employee mailCheck: "
        + super.toString());
    System.out.println("Mailing a check to " + this.name + " "
        + this.address);
}
}

```

note

**In the Employee class, the super keyword is not needed to invoke toString(). Not only is super not needed, but the call to toString() could have used the this reference instead:**

```
this.toString()
```

**The toString() method can be invoked using this because Employee inherits toString(). It can be invoked using super because toString() is in the parent class.**

The super keyword can also be used in the child class when the child wants to invoke an overridden method in the parent. This allows the child class to override a method when the child needs to add to the parent method, not completely change the behavior.

The following Salary class extends Employee and overrides the mailCheck() method. Within mailCheck(), the Salary class uses super to invoke mailCheck() in Employee.

```

public class Salary extends Employee
{
    public float salary;    //Annual salary
    public float computePay()
    {
        System.out.println("Computing salary pay for " + super.name);
        return salary/52;
    }
    public void mailCheck()
    {
        System.out.println("Inside Salary mailCheck");
        super.mailCheck();
        System.out.println("Mailed check to " + this.name
            + " with salary " + this.salary);
    }
}

```

The following SuperDemo program instantiates a Salary object and invokes the mailCheck() method. Study the program carefully and try to determine the output, which is shown in Figure 6.4.

```

C:\wiley\Chapter6\super>java SuperDemo
Instantiating an Employee
Instantiating a Salary
*** Invoking mailCheck on e ***
Inside Employee mailCheck: Employee@17182c1
Mailing a check to Robert Smith 111 Java Street

*** Invoking mailCheck on s ***
Inside Salary mailCheck
Inside Employee mailCheck: Salary@13f5d07
Mailing a check to Jane Smith 222 Oak Drive
Mailed with check to Jane Smith with salary 100000.0
C:\wiley\Chapter6\super>

```

**Figure 6.4** The output of the SuperDemo program.

```

public class SuperDemo
{
    public static void main(String [] args)
    {
        System.out.println("Instantiating an Employee");
        Employee e = new Employee();
        e.name = "Robert Smith";
        e.address = "111 Java Street";
        e.SSN = 999001111;
        e.number = 1;
        System.out.println("Instantiating a Salary");
        Salary s = new Salary();
        s.name = "Jane Smith";
        s.address = "222 Oak Drive";
        s.SSN = 111009999;
        s.number = 2;
        s.salary = 100000.00F;
        System.out.println("*** Invoking mailCheck on e ***");
        e.mailCheck();
        System.out.println();
        System.out.println("*** Invoking mailCheck on s ***");
        s.mailCheck();
    }
}

```

note

The `super` keyword is required when a child method wants to invoke an overridden method in the parent. For example, if the `super` keyword was omitted in the `mailCheck()` method of the `Salary` class in Figure 6.4, the compiler would add *this*:

```
this.mailCheck()
```

The previous statement creates an infinite recursion because `this.mailCheck()` invokes `mailCheck()` in the `Salary` class. Your program would keep calling `mailCheck()` in `Salary` until it eventually crashed when it ran out of memory.

## Classroom Q & A

**Q:** I noticed in the Salary class you used `this.name` in the `mailCheck()` method and `super.name` in the `computePay()` method. How do you know which one to use?

**A:** It doesn't matter, as I demonstrated in the Salary class when I used both `this` and `super`. The `name` field is inherited from the Employee class. I can use `super` to access fields or methods in the parent.

**Q:** Then why can you use `this.name` also?

**A:** Because a Salary object has a field called `name`, and an object can use `this` to access its own fields.

**Q:** So `super` and `this` refer to the same thing?

**A:** No! When a child object is referring to an inherited field or method, then `this` or `super` can be used. However, when a child is accessing a field or method from its own class, then only the `this` reference can be used, as with `this.salary` in the `mailCheck()` method of the Salary class. Using `super.salary` in that case would not compile because the parent class does not have a `salary` field.

**Q:** Then why is the `super` reference needed?

**A:** The `super` reference must be used when a child class wants to invoke an overridden parent class method.

note

**The `super` keyword has another use that is not related to overridden methods. This other use of the `super` keyword is discussed later in this chapter in the section *Invoking a Parent Class Constructor*.**

---

## The final Keyword

We have seen the `final` keyword used for creating constant variables. A final variable cannot be changed after it has been assigned a value.

Now that we have seen inheritance and method overriding, I can show you the other two uses of the `final` keyword.

**Final class.** A class can be declared `final`. A final class cannot be subclassed.

**Final method.** A method can be declared `final`. A final method cannot be overridden.

Several of the classes in the Java API are declared final. For example, the `String` class is final, so you cannot write a class that extends `String`.

The `final` keyword appears before the class keyword when declaring a final class. For example:

```
public final class Hourly extends Employee
{
    //Class definition...
}
```

A compiler error is generated if you try to write a class that subclasses `Hourly`. The following class declaration will not compile:

```
public class PartTime extends Hourly           //error!
{
    //Class definition...
}
```

**note**

**You won't write final classes every day, but there are certain situations where you might write a class that you do not want anyone to subclass. For example, the designers of Java decided that no one should extend the `String` class because it is such a fundamental class in the Java language.**

**If a class was allowed to extend `String`, this child class could override the methods of `String`, changing the behaviors with undesired results. By making `String` final, you can be assured that the implementation of `String` objects is consistent and reliable.**

## final Methods

When a child class overrides a method in the parent class, the overridden method is essentially hidden. The only way the parent method can be invoked is if the child method explicitly invokes it. If you write a method with important behavior that you do not want a child class to override, you can declare the method final. A final method cannot be overridden by a child class.

**note**

**The `getClass()`, `wait()`, and `notify()` methods of the `Object` class are declared final. Their implementations are essential for the proper behavior of an object, and these methods cannot be overridden by any class.**



When declaring a method final, the final keyword can appear anywhere before the return value. (Typically it appears after the access specifier.) The following Employee class demonstrates declaring a final method.

```
public class Employee
{
    public String name;
    public String address;
    public int SSN;
    public int number;
    public final void mailCheck()
    {
        System.out.println("Inside Employee mailCheck: "
            + super.toString());
        System.out.println("Mailing a check to " + this.name + " "
            + this.address);
    }
}
```

**note**

The Employee class declares its mailCheck() method as final. By doing this, the Salary class will no longer compile because it attempts to override mailCheck().

## The Instantiation Process

A child object is an extension of its parent. When a child class is instantiated, the parent class object needs to be constructed first. More specifically, a constructor in a parent class must execute before any constructor in the child class executes.

In addition, if the child class has a grandparent, the grandparent object needs to be constructed first. This process continues up the tree.

When an object is instantiated, the following sequence of events occurs:

1. The new operator invokes a constructor in the child class.
2. The child class may use the this keyword to invoke another constructor in the child class. Eventually, a constructor in the child class will be invoked that does not have this() as its first line of code.
3. Before any statements in the child constructor execute, a parent class constructor must be invoked. This is done using the super keyword. If a constructor does not explicitly make a call using super, the compiler will call super() with empty parentheses, thereby invoking the no-argument parent class constructor.

4. If the parent class is also a child of another class, the parent class constructor must invoke a constructor in its parent before executing any statements. Again, this is done using the `super` keyword.
5. This process continues until we reach the top of the hierarchy tree, which must be the `Object` class.
6. The constructor in the `Object` class executes, then the flow of control returns to the constructor in the class just below `Object` in the inheritance hierarchy tree.
7. The constructors execute their way down the hierarchy tree. The last constructor to execute is actually the one that was invoked first in Step 1.

Let's look at an example. Suppose we have the following `BigScreenTV` class that extends the following `Television` class, and `Television` extends `Object`.

```
public class Television
{
    public int channel, volume;
    public Television()
    {
        this(4,5);
        System.out.println("Inside Television()");
    }
    public Television(int c, int v)
    {
        System.out.println("Inside Television(int, int)");
        channel = c;
        volume = v;
    }
}
public class BigScreenTV extends Television
{
    public String aspectRatio;
    public short size;
    public BigScreenTV()
    {
        super();
        aspectRatio = "unknown";
        size = 40;
        System.out.println("Inside BigScreenTV()");
    }
}
```

Notice that the `BigScreenTV` constructor explicitly invokes the no-argument constructor in `Television` using the statement:

```
super();
```

note

A call to `super()` or `this()` must be the first line of code in every constructor. If a constructor does not contain either, the compiler adds `super()` with empty parentheses as the first line of code in the constructor. In the `BigScreenTV` class, if the call to `super()` did not appear, the compiler would have added it for us.

In the `Television` class, the constructor with two `int` parameters does not call `super()` or `this()`, so the compiler adds `super()` as the first line of code, thereby invoking the no-argument constructor in the `Object` class.

The following `ConstructorDemo` program instantiates a `BigScreenTV` object. Follow the flow of control carefully and try to determine the output, which is shown in Figure 6.5.

```
public class ConstructorDemo
{
    public static void main(String [] args)
    {
        System.out.println("Constructing a big screen TV");
        BigScreenTV tv = new BigScreenTV();
        System.out.println("Done constructing TV");
    }
}
```

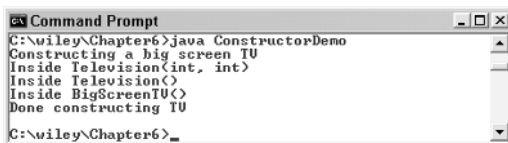
Within `main()` of `ConstructorDemo`, we instantiate a `BigScreenTV` object:

```
BigScreenTV tv = new BigScreenTV();
```

This statement invokes the no-argument constructor in the `BigScreenTV` class. The constructor in `BigScreenTV` invokes the no-argument constructor in `Television`. The no-argument `Television` constructor invokes the other `Television` constructor, which does not have a `this()` or `super()` statement. Therefore, the compiler added `super()`, causing the no-argument constructor in `Object` to be invoked.

When the `Object` constructor is finished, control returns to the `Television` constructor with two arguments, which executes and outputs:

```
Inside Television(int, int)
```



```
Command Prompt
C:\wiley\Chapter6>java ConstructorDemo
Constructing a big screen TV
Inside Television(int, int)
Inside Television()
Inside BigScreenTV()
Done constructing TV
C:\wiley\Chapter6>
```

**Figure 6.5** The output of the `ConstructorDemo` program.

Control then returns to the no-argument Television constructor, which executes and outputs:

```
Inside Television()
```

Control then returns to the BigScreenTV constructor, which executes last and outputs:

```
Inside BigScreenTV()
```

After all three constructors are done, the object is instantiated and the new operator returns a reference to the new BigScreenTV object. The entire output of the ConstructorDemo program is shown in Figure 6.5.

## Invoking a Parent Class Constructor

The super keyword is used to invoke a parent class constructor. The compiler adds super() with empty parentheses if a constructor does not explicitly use the super keyword, causing the parent's no-argument constructor to be invoked.

What happens, however, if the parent class does not have a no-argument constructor? Calling super() with empty parentheses does not compile, and the child class constructors need to explicitly invoke a parent constructor, passing in the appropriate arguments to the parent class constructors.

For example, suppose we modify the Television class as follows so that it no longer has a no-argument constructor.

```
public class Television
{
    public int channel, volume;
    public Television(int c)
    {
        this(c,5);
        System.out.println("Inside Television(int)");
    }
    public Television(int c, int v)
    {
        System.out.println("Inside Television(int, int)");
        channel = c;
        volume = v;
    }
}
```

Figure 6.6 shows what happens when the BigScreenTV class is compiled using this new Television class.

```

C:\wiley\Chapter6\ParentDemo>javac BigScreenTV.java
C:\wiley\Chapter6\ParentDemo>javac BigScreenTV.java
BigScreenTV.java:13: cannot resolve symbol
symbol:   constructor Television (<)
location: class Television
1 error
C:\wiley\Chapter6\ParentDemo>_

```

**Figure 6.6** The call to `super()` no longer works, since `Television` does not have a no-argument constructor.

The `BigScreenTV` constructors must explicitly invoke one of the constructors in the parent class. The following class shows a whole new `BigScreenTV` class that uses the `super()` keyword and passes in arguments to a specific `Television` constructor.

```

public class BigScreenTV extends Television
{
    public String aspectRatio;
    public short size;
    public BigScreenTV(int channel)
    {
        this("unknown", (short) 40, channel);
        System.out.println("Inside BigScreenTV(int)");
    }
    public BigScreenTV(String r, short s, int channel)
    {
        super(channel);
        System.out.println("Inside BigScreenTV(String, short, int)");
        aspectRatio = r;
        size = s;
    }
    public BigScreenTV(String r, short s, int channel, int volume)
    {
        super(channel, volume);
        System.out.println("Inside BigScreenTV(String, short, int,
int)");
        aspectRatio = r;
        size = s;
    }
}

```

The following `ParentDemo` program invokes two `BigScreenTV` objects using the new `Television` and `BigScreenTV` classes. Try to determine what the output will be. Compare your answer with the actual output shown in Figure 6.7.

```

public class ParentDemo
{
    public static void main(String [] args)
    {

```

```

        System.out.println("*** big screen #1 ***");
        int channel = 4;
        new BigScreenTV(channel);
        short size = 53;
        channel = 3;
        String ratio = "16:9";
        System.out.println("\n*** big screen #2 ***");
        new BigScreenTV(ratio, size, channel);
        ratio = "5:4";
        size = 42;
        channel = 4;
        int volume = 7;
        System.out.println("\n*** big screen #3 ***");
        new BigScreenTV(ratio, size, channel, volume);
    }
}

```

## ◆ The Default Constructor

In Chapter 5, “Methods,” I discussed how the compiler generates a default constructor for any class that does not explicitly declare a constructor. I mentioned that the default constructor does not contain any statements, but that is only partially true. Because the default constructor is a constructor that does not invoke `this()` or `super()`, the compiler adds a call to `super()` for you.

For example, suppose the `BigScreenTV` class looked similar to:

```

public class BigScreenTV extends Television
{
    public String aspectRatio;
    public short size;
}

```

The compiler would then generate and add the following constructor to this `BigScreenTV` class:

```

public BigScreenTV()
{
    super();
}

```

This `BigScreenTV` class will not compile for the `Television` class that did not include a no-argument constructor. The `BigScreenTV` default constructor does not work in this situation.

By writing a class without a no-argument constructor, you are forcing all child classes to explicitly add a constructor that contains a call to `super()`. For the most part, this is not a major concern; however, this is another instance of why you should have a specific reason to write a class that does not contain a no-argument constructor.

```

C:\wiley\Chapter6\ParentDemo>java ParentDemo
*** big screen #1 ***
Inside Television(int, int)
Inside Television(int)
Inside BigScreenTV(String, short, int)
Inside BigScreenTV(int)

*** big screen #2 ***
Inside Television(int, int)
Inside Television(int)
Inside BigScreenTV(String, short, int)

*** big screen #3 ***
Inside Television(int, int)
Inside BigScreenTV(String, short, int, int)

C:\wiley\Chapter6\ParentDemo>

```

**Figure 6.7** The output of the ParentDemo program.



## Lab 6.1: Implementing Inheritance

The purpose of this lab is to become familiar with implementing inheritance in Java. You will write a class named Polygon that is subclassed by a Triangle class.

1. Write a class called Polygon that has two fields: an int for the number of sides and a double for the area. Add a method called getNumberOfSides() that prints out and returns the number of sides.
2. Override the toString() method in Polygon to return a nice string representation of your Polygon class.
3. Add a constructor to Polygon that takes in an int to represent the number of sides and prints out the message “Inside Polygon constructor.”
4. Save and compile the Polygon class.
5. Write a class called Triangle that extends Polygon. Add two int fields: one for the base and one for the height. (Triangles have a base and a height.)
6. Add a constructor to Triangle that takes in two int’s for the base and height. The constructor needs to use super() to invoke the constructor in Polygon, passing in 3 for the number of sides. Print out the message “Inside Triangle constructor.”
7. Add a toString() method to Triangle that prints out the triangle’s base and height.
8. Add a getArea() method to Triangle that computes and returns the area. The formula for the area of a triangle is:

**area = 1/2 (base \* height)**

9. Save and compile the Triangle class.
10. Write a program that instantiates at least one Polygon object and one Triangle object. Invoke the various methods to ensure that everything is working.

When you instantiate a Triangle object, the output should display “Inside Polygon constructor” before displaying “Inside Triangle constructor.”

---



## Lab 6.2: Overriding Methods

This lab is a continuation of Lab 6.1 and demonstrates overriding methods.

1. Write a class called RightTriangle that extends your Triangle class from Lab 6.1.
2. Add a field of type double called hypotenuse to represent the longest side of a right triangle.
3. Add a constructor that takes in two int’s to represent the base and height. Pass these two values up to the Triangle constructor and then use these two values in the constructor to compute the hypotenuse field. The formula is:  

```
hypotenuse = sqrt(base*base + height*height)
```
4. Use the Math.sqrt() function to compute the square root. Math.sqrt() takes in a double and returns a double. Also, print out a message stating “Inside RightTriangle constructor.”
5. Add the toString() method to RightTriangle. Use super to invoke toString() in the parent and concatenate the result with the hypotenuse.
6. Save and compile the RightTriangle class.
7. Write a program that instantiates a RightTriangle object and invokes the toString(), getArea(), and getNumberOfSides() methods. Run your program, and verify that everything is working correctly.

When you instantiate a RightTriangle object, the output of the constructors should be in the following order: “Inside Polygon constructor,” “Inside Triangle constructor,” and “Inside RightTriangle constructor.”

---



## Summary

---

- Inheritance is the most important feature of object-oriented programming. It allows a new class to be written that extends an existing class. This new class inherits all of the attributes and behaviors of its parent.
- The *is a* relationship is a simple but important step used to determine if inheritance is a good design. You should be able to say “A child object *is a* parent object.”
- The `extends` keyword is used to implement inheritance. A class in Java can only extend one class.
- If a class does not explicitly extend another class, then its parent class is `java.lang.Object`. The `Object` class is at the top of the entire Java hierarchy, and it contains useful methods that can be invoked on any object, such as `toString()`, `equals()`, and `hashCode()`.
- Method overriding is when a child class contains the same method as its parent class.
- The `super` keyword is used by a child class to explicitly access a field in the parent class or explicitly invoke a method in the parent class.
- A final class cannot be extended. A final method cannot be overridden.
- A child class can invoke a constructor in its parent using the `super()` syntax, which must be the first statement in a constructor. If a constructor does not explicitly invoke `super()` or `this()`, then the compiler adds a call to `super()` (with no arguments) to the constructor.

## Review Questions

1. In OOP, a class can extend another class. This concept is referred to as \_\_\_\_\_.

2. Suppose a class is declared as

```
public class Rectangle extends Triangle
```

What might be wrong with this design?

3. True or False: A child inherits all the fields of its parent class.
  4. True or False: The first line of code in every constructor is either a call to `this()` or a call to `super()` (possibly with arguments in both cases).
  5. True or False: The compiler adds a call to `this()` if a constructor does not add it explicitly.
  6. If a class does not declare that it extends another class, the parent of this class is \_\_\_\_\_.
  7. True or False: A class in Java can extend more than one class.
- Use the following class definitions to answer the next four questions.

```
public class Vehicle
{
    public int numOfWeeks;
    public Vehicle(int x)
    {
        numOfWeeks = x;
    }
    public void drive()
    {
        System.out.println("Driving a vehicle");
    }
}
public class Car extends Vehicle
{
    public int numOfWeeks;
    public Car(int d, int w)
    {
        numOfWeeks = d;
        super(w);
    }
    public void drive()
    {
        System.out.println("Driving a car");
    }
}
```

8. The Car class does not compile. Why? How can it be corrected?
9. (Assume from here on that the problem with the Car class has been corrected.) What is the output of the following statements?

```
Car audi = new Car();  
Car.drive();
```

10. What is the output of the following statements?

```
Car porsche = new Car(2, 4);  
porsche.drive();
```

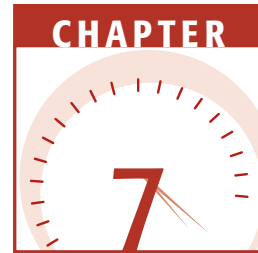
11. What is the output of the following statements?

```
Vehicle v = new Vehicle(18);  
v.drive();  
v.numOfDoors = 2;
```

## Answers to Review Questions

1. Inheritance.
2. A rectangle is not a triangle, so the *is a* relationship is not satisfied.
3. True. Child classes inherit all fields from their parent class, no matter what the access specifier of a parent field is.
4. Except for the constructor in the Object class, this statement is true.
5. False. The only call the compiler adds is `super()` if `this()` or `super()` does not appear explicitly.
6. `java.lang.Object`.
7. False. Multiple inheritance is not allowed in Java, so a Java class can only have one parent.
8. The statement `super(w)` must be the first line of code in the Car constructor. Move it to before the `numOfDoors = d` statement, and the class will work fine.
9. Neither of those two statements compiles. The statement `new Car()` implies that the Car class has a no-argument constructor, but it does not. The statement `Car.drive()` tries to invoke `drive()` using the name of the class, which does not make any sense.
10. The output is "Driving a car."
11. The output is "Driving a vehicle" for the first two statements. The third statement does not compile. A Vehicle object does not have a field of type `numOfDoors`.





# Advanced Java Language Concepts

This chapter is a potpourri of Java topics that are essential to your understanding of how Java works. Topics discussed in this chapter include packages, the access specifiers, encapsulation, the static keyword, and Java documentation.

## An Overview of Packages

---

Every class belongs to a package. Packages have two basic purposes:

- Packages provide a mechanism for organizing classes.
- Packages provide a namespace for the classes within the package.

When developing a Java program, you put classes that go together in the same package. For example, in the J2SE, the classes that are used to perform input and output are in the `java.io` and `java.nio` packages. The fundamental classes of the Java language are in the `java.lang` package. The classes used for networking and sockets are in the `java.net` package. The classes used for creating GUI applications are in the `java.awt`, `javax.swing`, and other packages. I can go on and on because there are about 136 packages in the J2SE, depending on the version of J2SE.

## Classroom Q & A

**Q:** You said every class is in a package. What package are all the classes we have written so far in?

**A:** Good question. You use the package keyword to declare a class in a package, and I haven't shown you how to do this yet. If you do not explicitly declare a class in a package, the class belongs to the *default package*. The default package contains every Java class ever written that does not use the package keyword, and that includes every class we have written so far.

**Q:** Is there something wrong with having a class in the default package?

**A:** It's not the end of the world, but I will say this: If you write a Java class for a real-world application (as opposed to code you are just playing around with or learning with), you will declare the class in a package. Packages are a fundamental but essential part of the Java language.

**Q:** Why are packages necessary? What if I have a small program that is only a dozen classes?

**A:** Packages are more than just a mechanism for organizing your classes. A more important aspect of packages is the namespace they create. For example, suppose that your small program contains a class named `Vehicle`. What if I wrote a `Vehicle` class as well? How would you be able to tell them apart? What if someone wanted to use my `Vehicle` class and your `Vehicle` class in their program?

**Q:** I have seen this problem before. Why don't you change the names of the classes, such as `Vehicle1` and `Vehicle2`?

**A:** No thanks. I would have to rewrite and recompile a bunch of code, and with packages I don't have to worry about it. If the two `Vehicle` classes are in different packages (which they will be, see the sidebar on package names), my Java program can distinguish the two `Vehicle` classes. I will discuss the namespace feature of packages in detail after I show you how to create a package and put classes in it.

---

## Adding a Class to a Package

A class is added to a package using the package keyword. The package statement must be the first statement in your source code file (except for comments). For example, suppose we wanted to create a package named `payroll` for the `Employee`, `Salary`, and `Hourly` classes used in our program to pay employees.

```

package payroll;
public class Employee
{
    public String name;
    public String address;
    public int SSN;
    public int number;
    public Employee(String name, String address, int SSN, int number)
    {
        System.out.println("Constructing an Employee");
        this.name = name;
        this.address = address;
        this.SSN = SSN;
        this.number = number;
    }
    public void mailCheck()
    {
        System.out.println("Mailing a check to " + this.name
                           + " " + this.address);
    }
}

```

The payroll package is not something that needs to be instantiated or physically created. The compiler sees the package declaration and treats `Employee` as being in the payroll package, even if `Employee` is the first class written in payroll.



**The package declaration must appear first in the class file. Notice the following statement, which is the first statement in the `Employee` source code. The class will not compile if an attempt is made to put any statement besides comments before the package declaration.**

```
package payroll;
```

If we want `Salary` and `Hourly` in the payroll package also, we simply add the package statement to their class definition as well. For example, the `Salary` class would look similar to:

```

package payroll;
public class Salary extends Employee
{
    //Salary definition...
}

```

and the `Hourly` class would look similar to:

```

package payroll;
public class Hourly extends Employee
{

```



```
    //Hourly definition...  
}
```

The Employee, Salary, and Hourly classes are all now in the same package, which is named payroll. These classes do not need to be in the same package; this was a design decision. Part of designing a Java application is deciding what packages to create and in which package each class will belong.

**tip**

**The Java naming convention recommends package names be all lowercase, unless an acronym is part of the name. Typically, your package name will be multiple terms, and each term is separated by the dot operator. Examples include java.lang, java.awt.event, org.omg.CORBA, and org.omg.stub.java.rmi.**

## The Namespace Created by Packages

Packages create a namespace for all classes. If a class is in a package, *the name of the package becomes a part of the name of the class*. The package name is prefixed to the name of your class, separated by the dot operator.

For example, the Employee class is declared in the payroll package. The Employee class is now referred to:

```
payroll.Employee
```

The Employee class can no longer be referred to as simply Employee. The package name payroll must be used by any other class outside of the payroll package that wants to use the Employee class. Similarly, the Salary and Hourly classes become:

```
payroll.Salary  
payroll.Hourly
```

**note**

**Classes in the same package do not need to use the package name when referring to each other. This is one of the benefits of putting classes that go together in the same package. For example, the Salary class extends Employee and can use the name Employee instead of payroll.Employee because Salary is also in the payroll package.**

Packages create a namespace that is useful in avoiding naming conflicts. Two classes named Employee that both appear in the default package cannot be distinguished from each other; however, if one of the Employee classes is in

the payroll package and the other is in a package named people, the two classes no longer have a naming conflict. The Employee class in payroll is referred to as payroll.Employee, while the other class is referred to using people.Employee:

```
payroll.Employee e1 = new payroll.Employee();
people.Employee e2 = new people.Employee();
```

In the previous statements, e1 refers to a payroll.Employee object, while e2 refers to a people.Employee object.

## ◆ Naming Packages

Packages create a namespace, with the name of the package becoming the prefix for the name of the class. The purpose of a namespace is to avoid naming conflicts where two classes have the same name.

Suppose that you write a Vehicle class, and I write a Vehicle class. How can we tell them apart? That's easy if they are in two different packages. For example, I can put my Vehicle class in a package named rich:

```
package rich;
public class Vehicle
{
    //My vehicle class
}
```

Your Vehicle class can be in a different package:

```
package student;
public class Vehicle
{
    //Your vehicle class
}
```

The name of my Vehicle class is now rich.Vehicle, and the name of your Vehicle class is now student.Vehicle. The following statements instantiate one of each type:

```
rich.Vehicle mine = new rich.Vehicle();
student.Vehicle yours = new student.Vehicle();
```

Of course, this works fine if you and I use different package names; but what if we both choose rich for the package name? We are then right back where we started with the naming conflict because both of our classes would be named rich.Vehicle.

Thankfully, this is not a problem in the world of Java development because companies use a universally agreed-upon naming convention of including the company's Web site URL as part of the package name. Because Web site URLs are unique, the only possible naming conflicts that can occur are within a company.

*continued*

## ◆ Naming Packages *(continued)*

For example, Wiley Publishing's Web site URL is `www.wiley.com`. If you write a `Vehicle` class as an employee of Wiley, your package name would be similar to:

```
package com.wiley.rich;
public class Vehicle
{
    //Your Vehicle class
}
```

I work for a company whose Web site is `www.javalicense.com`. The package name for my `Vehicle` class would include `com.javalicense`:

```
package com.javalicense.rich;
public class Vehicle
{
    //My vehicle class
}
```

Even though we both picked `rich` as part of our package name, the two `Vehicle` classes no longer have a naming conflict. One of the classes is named `com.javalicense.rich.Vehicle`, and the other is named `com.wiley.rich.Vehicle`.

Many of the packages in the J2SE begin with `java`, to denote their role in the standard Java language. Most of the packages in the J2EE APIs begin with `javax` in their name, such as `javax.servlet` and `javax.ejb`. The "x" is for extension, denoting that the package is an extension of the Java language.

## The import Keyword

If a class wants to use another class in the same package, the package name does not need to be used. Classes in the same package "find each other" without any special syntax.

For example, suppose a class named `Boss` is added to the `payroll` package that already contains `Employee`. The `Boss` can then refer to the `Employee` class without using the `payroll` prefix, as demonstrated by the following `Boss` class.

```
package payroll;
public class Boss
{
    public void payEmployee(Employee e)
    {
        e.mailCheck();
    }
}
```

The `payEmployee()` method of the `Boss` class has a parameter of type `Employee`. The parameter is actually of type `payroll.Employee`, but because `Boss` is in the `payroll` package, the `payroll` prefix of `Employee` is not needed.

What happens if `Boss` is not in the `payroll` package? The `Boss` class must then use one of the following techniques for referring to a class in a different package.

- The fully qualified name of the class can be used. For example,
 

```
payroll.Employee
```
- The package can be imported using the `import` keyword and the wild card (`*`). For example,
 

```
import payroll.*;
```
- The class itself can be imported using the `import` keyword. For example,
 

```
import payroll.Employee;
```

tip

**A class file can contain any number of import statements. The import statements must appear after the package statement and before the class declaration.**

Suppose that the `Boss` class is in a package named `management`. The following `Boss` class uses the fully qualified name when referring to the `Employee` class in the `payroll` package.

```
package management;
public class Boss
{
    public void payEmployee(payroll.Employee e)
    {
        e.mailCheck();
    }
}
```

Because `Boss` uses the full name `payroll.Employee`, the `payroll` package does not need to be imported. If the `Boss` class imports the `payroll` package, however, it can refer to `Employee` without using the `payroll` prefix, as demonstrated by the following `Boss` class.

```
package management;
import payroll.*;
public class Boss
{
    public void payEmployee(Employee e)
    {
        e.mailCheck();
    }
}
```

```

    public void payEmployee(Salary s)
    {
        s.computePay();
    }
    public void payEmployee(Hourly h)
    {
        h.computePay();
    }
}

```

This Boss class has three overloaded versions of `payEmployee()`, one for each type of employee, and therefore needs to refer to three classes in the payroll package: `Employee`, `Salary`, and `Hourly`. The statement:

```
import payroll.*;
```

tells the compiler to look in the payroll package when attempting to locate the classes used by Boss; therefore, this Boss class does not need to use the payroll prefix when referring to classes from the payroll package.

**note**

**You never need to use import statements, because you can always refer to a class by its fully qualified name (the name of the class prefixed with its package name). The import keyword is strictly a convenience. In fact, when compiling your classes, the compiler removes all import statements and replaces all class names with their fully qualified name. That said, you will use import statements all the time. Using the fully qualified name for every class is tedious and can actually make your code more difficult to read.**

The third option when using a class from another package is to import the class itself. The following Boss class individually imports each class that it is using from another package.

```

package management;
import payroll.Employee;
import payroll.Hourly;
import payroll.Salary;
public class Boss
{
    public void payEmployee(Employee e)
    {
        e.mailCheck();
    }
    public void payEmployee(Salary s)
    {
        s.computePay();
    }
}

```

```
public void payEmployee(Hourly h)
{
    h.computePay();
}
}
```

Importing each class individually is obviously more typing, but this option is actually preferred over using the import statement with the wild card. There are some rare instances where importing the wild card either does not work or causes a naming conflict. These situations will not arise in anything we do in this book, however, so I will use the wild card import statement regularly.

note

**There is no size or performance benefit gained from importing classes individually versus importing an entire package. The compiled bytecode will look the exact same because the compiler removes your import statements and replaces all class names with their fully qualified name.**

We have been using classes such as String, System, Math, and Object throughout the examples so far in this book. Why did we not have to use the import keyword? Because each of these classes is in the java.lang package. The java.lang package is implicitly imported in every source code file.

In fact, you can import java.lang if you like, as the following class does, but it is never necessary to do so:

```
package electronics;
import java.lang.*;
public class Radio extends Object
{
    public String make, model;
}
```

The Radio class imports java.lang.\* explicitly. The Radio class uses both Object and String from java.lang, but the code will compile just fine without the import statement.

## The Directory Structure of Packages

---

Two major results occur when a class is placed in a package:

- The name of the package becomes a part of the name of the class, as we just discussed in the previous section.
- The name of the package must match the directory structure where the corresponding bytecode resides.

In other words, the name of the package affects where you store your bytecode. Suppose, for example, a class named `Vehicle` is in the `com.wiley.trans` package, which contains classes representing modes of transportation. The fully qualified name of this class is:

```
com.wiley.trans.Vehicle
```

The corresponding bytecode for the `Vehicle` class is in a file named `Vehicle.class`. Because `Vehicle` is in the `com.wiley.trans` package, `Vehicle.class` needs to be in a directory named `trans`, which is a subdirectory of `wiley`, which is a subdirectory of `com`.

The `com` directory can appear anywhere on your hard drive. For example, if `com` is in a folder called `c:\my_bytecode`, the path for `Vehicle.class` would be:

```
c:\my_bytecode\com\wiley\trans\Vehicle.class
```

The folder `c:\my_bytecode` is arbitrary, but the `\com\wiley\trans` directory structure must exist and contain the file `Vehicle.class`.

 warning

**Java is case sensitive. Because the package name `com.wiley.trans` is all lowercase, the directory names need to be all lowercase, even though directory names in Windows and DOS are not case sensitive.**

The necessary file structure can be created in two ways:

- You can manually create the directories and save your `*.java` files in the same folder as your `*.class` files. The `*.java` files can then be compiled in the usual manner, with the `*.class` being generated in the same directory as the `*.java` file.
- You can use the `-d` flag of the `javac` compiler, specifying an output directory where you want your bytecode to go. If the required package directory structure does not exist, the `-d` flag will create the directories and put the `*.class` file in the appropriate folder.

Both options have their advantages. The first option is convenient in terms of managing your source code on your hard drive, plus the files are in the required format for using the `javadoc` tool (discussed later in this chapter). The second option is convenient if you want to separate your bytecode from your source code, a common need in Java when deploying applications (because the bytecode is all that is needed).

Whichever method you choose, the process of creating the directories can be confusing and frustrating, especially when classes no longer can find each other; therefore, I want to go through an example so that you can see how the process works first hand. Open your text editor, and follow along with me through the following steps.

## Step 1: Write and Save the Source Code for Vehicle

Begin by writing the following Vehicle class, declaring it in a package named com.wiley.trans. Type in the class, but do not save it yet.

```
package com.wiley.trans;
public class Vehicle
{
    public String make, model;
    public int year;
    public double purchasePrice;
    public Vehicle(String make, String model, int year)
    {
        System.out.println("Constructing Vehicle");
        this.make = make;
        this.model = model;
        this.year = year;
    }
    public double sellVehicle(double sellPrice)
    {
        System.out.println("Selling " + this.toString());
        return purchasePrice - sellPrice;
    }
    public String toString()
    {
        return year + " " + make + " " + model;
    }
}
```

Save the Vehicle.java file in a directory you create named c:\src (src is short for source code).

## Step 2: Compile the Source Code Using the -d Flag

Before you compile Vehicle.java, create a folder on your hard drive named c:\my\_bytecode.

note

**The -d flag allows you to specify an output directory for the bytecode; however, for the -d flag to work successfully, the output directory must already exist.**

Open a DOS window and use the cd command to change directories into the c:\src directory you created in the previous step. To compile Vehicle.java, use the -d flag of javac, specifying the output directory as c:\my\_bytecode. The command to enter follows.:

```
javac -d c:\my_bytecode Vehicle.java
```



Notice that the bytecode file `Vehicle.class` is not in the `c:\src` folder. To find the bytecode, look in your `c:\my_bytecode` folder. You should see a `com` directory, and in `com` a `wiley` directory, and in `wiley` a `trans` directory.

By the way, we are not done with this example. `Vehicle.class` is where it needs to be, but how does the compiler or JVM find it, especially because we put it in an arbitrary folder named `c:\my_bytecode`? The compiler and JVM need to know about `c:\my_bytecode`, and they find it by checking the `CLASSPATH` environment variable, discussed next.

Suppose that we write a class called `CarDealer` that uses our `Vehicle` class in the `com.wiley.trans` package. No matter what package we put `CarDealer` in, the compiler is going to have to find `Vehicle.class` before `CarDealer` compiles.

The compiler knows that `Vehicle` is in the `com.wiley.trans` package, because we are going to import that package. The compiler therefore knows to look for a directory structure `\com\wiley\trans`; however, and this is an important point in Java that tends to cause much frustration, the compiler does not know to look in the `c:\my_bytecode` folder. That directory was arbitrarily named, and in fact, `\com\wiley\trans` could easily be somewhere else on our hard drive or out on a network file system somewhere.

The Java compiler and JVM look for bytecode located in directories found in the `CLASSPATH` environment variable. With the `CLASSPATH` environment variable, the bytecode can be in arbitrary directories. In our `Vehicle` example, because we put the bytecode in the `c:\my_bytecode` directory, we need to add this directory to our `CLASSPATH`.

note

**Why have we not had to use `CLASSPATH` until now? Because in all of the examples and labs up until now, all of the bytecode needed has been in the same folder, and if no `CLASSPATH` environment variable is found, the compiler and JVM look in the current directory.**

The best way to see how `CLASSPATH` works is hands on, so let's finish our example with the `Vehicle` class that we started earlier. Continue with the following steps.

### Step 3: Write the `CarDealer` Class

The following `CarDealer` class is a program that uses the `Vehicle` class. `CarDealer` is in a different package, so the import statement is used to import the `Vehicle` class. Type in the `CarDealer` class, and save it in the `c:\src` directory with `Vehicle.java`.

```
package com.wiley.programs;
import com.wiley.trans.Vehicle;
public class CarDealer
```

```

{
    public static void main(String [] args)
    {
        Vehicle porsche = new Vehicle("Porsche", "911", 2003);
        porsche.purchasePrice = 45000.00;
        System.out.println(porsche);
    }
}

```

## Step 4: Set the CLASSPATH

Try to compile the CarDealer class using the -d flag:

```
javac -d c:\my_bytecode CarDealer.java
```

You should get a compiler error stating that the com.wiley.trans package cannot be found and also that the Vehicle symbol cannot be resolved, similar to the compiler errors in Figure 7.1.

The compiler cannot find the com.wiley.trans package because the compiler does not know to look in c:\my\_bytecode. The CLASSPATH environment variable needs to be set.

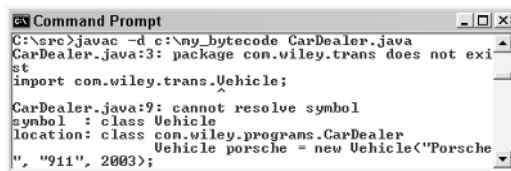
note

**CLASSPATH contains all the directories and JAR files where the necessary bytecode can be found. A collection of bytecode files can be compressed into a single file known as a Java Archive (JAR). If the bytecode you need is in a JAR file, the JAR file needs to appear in the CLASSPATH environment variable.**

The easiest way to set the CLASSPATH variable is to use the set command, as shown in Figure 7.2. Keep in mind that setting CLASSPATH in this manner only sets it for that particular command prompt window.

tip

**The DOS command echo is used to see the current definition of an environment variable, as demonstrated in Figure 7.2.**

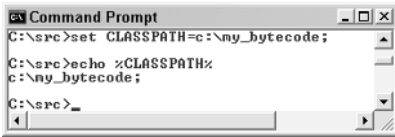


```

C:\src>javac -d c:\my_bytecode CarDealer.java
CarDealer.java:3: package com.wiley.trans does not exist
import com.wiley.trans.Vehicle;
CarDealer.java:9: cannot resolve symbol
symbol : class Vehicle
location: class com.wiley.trans.CarDealer
"    Vehicle porsche = new Vehicle("Porsche
", "911", 2003);

```

**Figure 7.1** CarDealer will not compile until the CLASSPATH is set.



**Figure 7.2** The DOS command set is used to define an environment variable.

## Step 5: Compile and Run the CarDealer Program

After your CLASSPATH is set, try to compile the CarDealer class again. Be sure to use the `-d` flag, as follows:

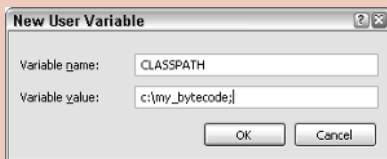
```
javac -d c:\my_bytecode CarDealer.java
```

### ◆ Setting the CLASSPATH Environment Variable

There are several ways to set environment variables, depending on your operating system. If you are using a version of Windows that is Windows NT/2000/XP, then CLASSPATH can be set in the Control Panel.

Open your Control Panel, and open the System icon. (Windows XP users need to click Performance and Maintenance first.) You will see either the Environment or Environment Variables tab. XP users need to click the Advanced tab and then click the Environment Variables button. In either case, you should see the Environment Variables dialog window.

Click the New button for User Variables, or Edit if you already have a CLASSPATH environment variable defined, and define your CLASSPATH. The window will look similar to Figure 7.3.



**Figure 7.3** Setting the CLASSPATH using the Environment Variables dialog window.

Click the OK button when you are finished. The settings will not take place in command prompts that are currently open, but will take effect in all subsequent command prompts.

Be aware that setting the CLASSPATH in the Control Panel sets it for all command prompt windows that you open. We might not want to set our CLASSPATH in the Control Panel to only include `c:\my_bytecode` because we only want this directory in our CLASSPATH for our Vehicle example. Notice that I included a `.` in the CLASSPATH. The dot (`.`) represents the current directory.

There are many situations where you want folders and JAR files in your CLASSPATH all the time. In these cases, set the CLASSPATH in the Control Panel so you do not have to set it every time you open a command prompt.

It should work fine this time. (If not, make sure that your CLASSPATH is set correctly.) Notice the `-d` flag created the directory `\programs` in the `\com\wiley` directory, then output the `CarDealer.class` file there.

Now that both the `Vehicle` and `CarDealer` classes are compiled, try running the `CarDealer` program by entering the command:

```
java CarDealer
```

You should get the following error message:

```
Exception in thread "main" java.lang.NoClassDefFoundError: CarDealer
```

The JVM cannot find the `CarDealer` class. The reason is not a CLASSPATH problem because we set the CLASSPATH environment variable to include `c:\my_bytecode`, which is the correct directory. The reason the `CarDealer` class cannot be found is that we are using the wrong name.

The `CarDealer` class is in the `com.wiley.programs` package, so the name of the class is `com.wiley.programs.CarDealer`. Try entering the command:

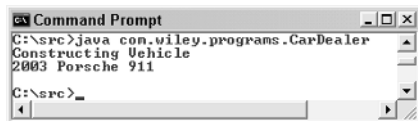
```
java com.wiley.programs.CarDealer
```

Figure 7.4 shows the output of running the `CarDealer` program. Notice that you can run the `CarDealer` from any directory of the command prompt because the JVM is not looking in the current directory but the CLASSPATH.

Do not include any part of the package directory structure in your CLASSPATH. For example, the compiler and JVM will not be able to find `Vehicle` or `CarDealer` if your CLASSPATH is set to:

```
set CLASSPATH=c:\my_bytecode\com\wiley;
```

There are no situations where CLASSPATH should contain package directories. It should only contain the directories where the package directories can be found. If you are having a problem with classes not being found, it is likely a CLASSPATH problem. Be sure to check your CLASSPATH carefully for any mistakes or typos.



**Figure 7.4** Run the `CarDealer` program by using its fully qualified name.

tip

**I want to point out that this directory structure for packages absolutely must be used. There is no shortcut or simple technique to bypass it. The bytecode for a class in a package must appear in a directory structure that matches the package name.**

## The Access Specifiers

---

Every member of a class (that is, the fields, methods, and constructors) has an *access specifier* that determines who has access to the member. Access specifiers allow the design of your programs to take into account who should be accessing the various attributes and behaviors of an object.

For example, you might want to add a method to your class that performs repetitive tasks and that is only invoked by the other methods in the class. You can declare this method private, thereby hiding the method from anyone outside the class.

Similarly, you might have a method that needs to be available to any other objects. Then you should declare this method as public, which allows universal access to members.

Java provides four levels of access for members of a class:

**Public access.** Granted using the public keyword, public access is often referred to as universal access because public members are accessible to any other object.

**Private access.** Granted using the private keyword, private is the most restrictive of the four access specifiers. A private member cannot be accessed outside of the class.

**Protected access.** Granted using the protected keyword, a protected member is accessible to any other class in the same package and also child classes, no matter which package the child class is in.

**Default access.** Also referred to as package access, you grant default access by not using any of the other three access specifiers. (There is no keyword used to grant the default access.) A member with default access is accessible to any other classes in the same package.

note

**Notice that protected and default are similar because they grant access to other classes in the same package. Protected is actually less restricted than the default because protected also grants access to child classes that may be outside of the package.**

Let's look at an example that demonstrates how the access specifier of a member controls access to the members of an object. The `InventoryItem` class defined in the following code is in the `products` package. Review the class and determine its fields, methods, and constructors, and their corresponding access.

```
package products;
public class InventoryItem
{
    private long partNumber;
    public String description;
    public InventoryItem(long n, String d)
    {
        partNumber = n;
        description = d;
    }
    protected InventoryItem()
    {
        partNumber = 0;
        description = "N/A";
    }
    long getPartNumber()
    {
        return partNumber;
    }
}
```

The `DVDPlayer` class in the following extends `InventoryItem`, but is in a different package. `DVDPlayer` can therefore only access the public and protected members of `InventoryItem`, and does not have access to the private or default members.

```
package electronics;
import products.InventoryItem;
public class DVDPlayer extends InventoryItem
{
    public String make, model;
    private double retailPrice;
    public DVDPlayer(String make, String model, long partNumber)
    {
        super(partNumber, "DVD player");
        this.make = make;
        this.model = model;
    }
    public DVDPlayer(String make, String model)
    {
        super();
    }
}
```

```
        this.make = make;
        this.model = model;
        //partNumber = 11223344L;    //Does not compile
    }
    private void setRetailPrice(double p)
    {
        //System.out.println(getPartNumber());    //Does not compile
        retailPrice = p;
    }
}
```

In the DVDPlayer class, the commented-out statement that follows does not compile because `partNumber` is private in the parent. A DVDPlayer object gets a field named `partNumber` of type `long` in memory, but the DVDPlayer class does not have access to `partNumber` because it is private. The only place `partNumber` can be accessed is within the `InventoryItem` class.

```
partNumber = 11223344L;
```

Similarly, the attempt to invoke `getPartNumber()` fails in the statement:

```
System.out.println(getPartNumber());
```

The `getPartNumber()` method has default access and is only accessible from classes in the `products` package. The DVDPlayer class is in the `electronics` package and therefore cannot invoke `getPartNumber()`.

The `InventoryItem` class has a protected constructor. Only classes in the `products` package or that subclass `InventoryItem` can access this constructor. The child class DVDPlayer invokes the protected constructor in `InventoryItem` with the statement:

```
super();
```

This is allowed because DVDPlayer is a child of `InventoryItem`. In the following `AccessDemo` program, an attempt is made to use the protected `InventoryItem` constructor with the statement:

```
InventoryItem x = new InventoryItem();
```

This statement in `AccessDemo` does not compile because the `AccessDemo` class is not a subclass of `InventoryItem`, nor is it in the `products` package.

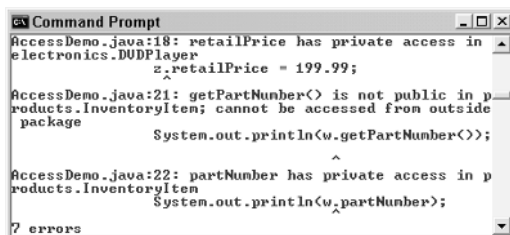
The `AccessDemo` program attempts to instantiate and use objects of type `InventoryItem` and `DVDPlayer`. The `AccessDemo` class is in a different package than both classes and does not extend either class; therefore, `AccessDemo` only has access to the public members of both classes.

The AccessDemo class does not compile, and generates seven compiler errors, all access violations. Study the AccessDemo program carefully and see if you can spot all seven errors. Figure 7.5 shows the output when an attempt is made to compile AccessDemo.

```
package programs;
import electronics.*;
import products.*;
public class AccessDemo
{
    public static void main(String [] args)
    {
        InventoryItem x = new InventoryItem();
        InventoryItem y = new InventoryItem(5005678L, "cell phone");
        y.partNumber = -1;
        System.out.println(y.getPartNumber());
        DVDPlayer z = new DVDPlayer("Acme", "2000DV", 11223344L);
        z.setRetailPrice(199.99);
        z.retailPrice = 199.99;
        DVDPlayer w = new DVDPlayer("Acme", "1000DV");
        System.out.println(w.getPartNumber());
        System.out.println(w.partNumber);
    }
}
```

AccessDemo is compiled in Figure 7.5 using the -d flag, which is needed since AccessDemo is in a package (named programs). The dot (.) following -d represents the current directory. This means that a program's directory will be created as a subdirectory of whatever directory the AccessDemo.java file is in.

Attempting to access the partNumber field or invoke the getPartNumber() method accounts for four of the compiler errors in AccessDemo. Neither the field nor the method is public, so they cannot be accessed from within AccessDemo.



**Figure 7.5** The AccessDemo class generates seven compiler errors.



Two of the compiler errors stem from the `retailPrice` field and the `setRetailPrice()` method of `DVDPlayer`, both of which are private. Neither of the following two statements compiles:

```
z.setRetailPrice(199.99);  
z.retailPrice = 199.99;
```

A `DVDPlayer` object has a field named `retailPrice` and a method `setRetailPrice()`, but making them private hides them in the class, not allowing access to them by any other class.

There seems to be a design flaw with `DVDPlayer`; the `DVDPlayer` class does not change `retailPrice` from its initial value of zero, and no one else can change or view the `retailPrice` field. Making a field private and hiding it in the class is a common OOP practice known as encapsulation (discussed in the next section), and has many positive side effects. Encapsulation, however, typically involves adding public methods that allow the field to be viewed or changed, something our `DVDPlayer` class does not contain.

note

**A class can also have an access specifier. The only two access specifiers that can be used for a class are public and the default. Every class we have seen up until now has been declared. A public class can be used by any other class. A class with the default access can only be used by other classes in the same package.**

## Encapsulation

---

Encapsulation is the technique of making the fields in a class private and providing access to the fields via public methods. If a field is declared private, it cannot be accessed by anyone outside the class, thereby hiding the fields within the class. For this reason, encapsulation is also referred to as data hiding.

note

**Encapsulation is one of the four fundamental OOP concepts. The other three are inheritance, polymorphism, and abstraction.**

The `SalesPerson` class in the following code demonstrates encapsulation. Each of its fields is marked private, and there are public methods to access the fields. The get methods that allow a field to be viewed are known as accessor methods. The set methods that allow a field to be changed are known as mutator methods.

note

Naming the accessor and mutator methods with the get and set convention is not only widely used, but is highly recommended by the Java naming convention. Other Java technologies such as JavaBeans and Enterprise JavaBeans rely on the set and get notation for accessor and mutator methods.

```
public class SalesPerson
{
    private String name;
    private int id;
    private double commissionRate;
    private double sales;
    public SalesPerson(String name, int id, double commissionRate)
    {
        setName(name);
        this.id = id;
        setCommissionRate(commissionRate);
    }
    public void setName(String n)
    {
        name = n;
    }
    public String getName()
    {
        return name;
    }
    public void setCommissionRate(double newRate)
    {
        if(newRate >= 0.0 && newRate <= 0.20)
        {
            commissionRate = newRate;
        }
        else
        {
            System.out.println("Rate must be between 0 and 20%");
        }
    }
    public double getCommissionRate()
    {
        return commissionRate;
    }
    public int getId()
    {
        return id;
    }
    public void addToSales(double s)
    {
        sales += s;
    }
}
```

```
    }  
    public double computeCommission()  
    {  
        double commission = 0.0;  
        if(sales > 0.0)  
        {  
            commission = sales * commissionRate;  
        }  
        sales = 0.0;    //Start over  
        return commission;  
    }  
}
```

Here are a few points I want to make about the SalesPerson class:

- All of the fields are private, which is commonly done even if the accessor and mutator methods of a field do not do anything special. For example, setName() and getName() simply change and return the name field, respectively.
- The id field only has a corresponding accessor method, but no mutator method. In other words, you can get the contents of the id field, but it cannot be changed. This makes id a read-only field. A field can also be made write-only by including only a set method.
- The only way to change commissionRate is to invoke setCommissionRate(), and this method only changes the field when the value passed in is between 0 and 20 percent.
- The constructor invoked the set methods for the name and commissionRate fields, even though the constructor can access these fields directly. The advantage of a constructor using the accessor methods is that the logic for assigning a value to a field is centralized in one location and does not need to be repeated. For example, if the constructor did not invoke setCommissionRate(), the constructor would have needed to check the rate passed in to ensure that it was in the proper range of 0 to 20 percent. But setCommissionRate() already contains this logic.
- The sales field has neither a set nor a get method; however, the field is still an important part of the class because it keeps track of the amount of sales for the salesperson.

## Benefits of Encapsulation

There are many benefits of encapsulation, including:

- The fields of a class can be made read-only or write-only, as was done with the `id` field in the `SalesPerson` class. There is no way to change the `id` field of a `SalesPerson` object after the object has been instantiated.
- A class can have total control over what is stored in its fields. The `SalesPerson` class demonstrates this with the `commissionRate` field, which can only be a value between 0.0 and 0.20.
- The users of a class do not know how the class stores its data. A class can change the data type of a field, and users of the class do not need to change any of their code.

Let me demonstrate that last benefit of encapsulation with the `SalesPerson` class. Notice that the `commissionRate` field is a `double`. Because it only can be a value between 0.0 and 0.20, there is no reason we couldn't have used a `float`; however, changing the data type of a field can have serious repercussions on any other class that relies on the `commissionRate` field.

note

**Because we used encapsulation, we can change the `commissionRate` field to a `float`, and no existing code elsewhere will be affected or need to be changed (or even recompiled, for that matter). The users of the `SalesPerson` class did not know that the `commissionRate` was stored as a `double` because the field is hidden in the class.**

**The only thing the users of `SalesPerson` know is that `setCommissionRate()` takes in a `double` and `getCommissionRate()` returns a `double`. As long as we leave the signatures of these two methods alone, existing code that invokes these methods does not need to be modified.**

The following code shows a modified `SalesPerson` class with the `commissionRate` changed from a `double` to a `float`. Notice that we did not change the signatures of any of the methods. The only changes made were within the `setCommissionRate()` method with the statement:

```
commissionRate = (float) newRate;
```

The cast operator was added to cast the incoming `double` to a `float` because the `commissionRate` field is now a `float`.

```
public class SalesPerson
{
    private float commissionRate;    //Changed to a float
    public void setCommissionRate(double newRate)
    {
```

```

        if(newRate >= 0.0 && newRate <= 0.20)
        {
            commissionRate = (float) newRate;
        }
        else
        {
            System.out.println("Rate must be between 0 and 20%");
        }
    }
    //Remainder of the SalesPerson class stays the same
}

```

## Understanding Static Members

I have repeatedly mentioned that Java is strictly an object-oriented programming language and that all code must appear within a class; however, there are situations where it would be nice if a field or method did not have to be associated with instances of a class. Sometimes a global-type field or method is needed or would perhaps result in a better design.

The static keyword allows a field or method to not be associated with any particular instance of a class. Instead, the field or method can be thought of as global, and any other class can access the field or invoke the method without requiring an instance of the class.

note

**The important point to remember about static is that static members are associated with the class, not particular instances of the class. In fact, a static field or method can be accessed without any instances of the class existing.**

A static member of a class is often referred to as a *class member* because static members are associated with the class and not with individual instances of the class. Fields and methods that are not static are often referred to as *instance members* because nonstatic fields and methods only exist within instances of the class.

We have used static many times because it is a required attribute of the main() method, as seen in the following ConstructorDemo program.

```

public class ConstructorDemo
{
    public static void main(String [] args)
    {
        System.out.println("Constructing a big screen TV");
        BigScreenTV tv = new BigScreenTV();
        System.out.println("Done constructing TV");
    }
}

```

To run this program, you enter the following command:

```
java ConstructorDemo
```

The `ConstructorDemo` class has no fields and one method. At no point in time did we instantiate a `ConstructorDemo` object. So how can the JVM invoke a method in a class if no instances of the class exist? Remember, a class is only a definition. Fields and methods do not exist until the class is instantiated.

Well, actually, that last sentence is only partly true. To be more accurate, the *nonstatic* fields and methods of a class do not exist until the class is instantiated. However, static members are associated with the class. Static fields and methods are allocated in memory and can be used once the class is loaded by the JVM. (Class loading takes place throughout a program's execution as classes are needed by the JVM.)

Because `main()` is static in `ConstructorDemo`, `main()` can be invoked without requiring a new `ConstructorDemo` object to be instantiated. The question now becomes: If we don't need an instance of a class to invoke a static method or access a static field, what is the syntax for accessing a static member? The answer is to use the name of the class, which is discussed next.

## Accessing Static Fields and Methods

Static fields and methods are not accessed using a reference because references refer to instances of the class, and we do not need instances of the class to access static members. Instead, the name of the class is used to access a static member.

For example, if you wanted to invoke `main()` in the `ConstructorDemo` class, you would use the syntax:

```
ConstructorDemo.main(null); //Or replace null with an array of String's
```

Of course, `main()` is not a method we typically invoke because the JVM does that for us. Notice that if the JVM wants to invoke `main()`, the JVM needs the name of the class. But we give the JVM the name of the class when we run the program:

```
java ConstructorDemo
```

And now you know why the `java` command requires the name of the class that contains `main()`.

We have used static fields and methods in the examples and labs. You can tell when a field or method is static whenever you see it being accessed with a class name. For example, throughout the book we have used:

```
System.out
```

Because `System` is a class, we can assume (correctly) that `out` is a static field in the `System` class. The `out` field represents the standard output, and you do not need to instantiate a `System` object to access this field.

Let's look at an example that demonstrates writing and accessing static fields and methods. The following `Employee` class contains a static field, a counter, and a static method, `getCounter()`.

```
public class Employee
{
    private String name;
    private String address;
    private int SSN;
    private int number;
    public static int counter;
    public Employee(String name, String address, int SSN)
    {
        System.out.println("Constructing an Employee");
        this.name = name;
        this.address = address;
        this.SSN = SSN;
        this.number = ++counter;
    }
    public void mailCheck()
    {
        System.out.println("Mailing a check to " + name
            + ", number " + number);
    }
    public static int getCounter()
    {
        System.out.println("Inside getCounter");
        return counter;
    }
}
```

note

**Notice that the `Employee` constructor accesses `counter` without using the class name prefix, assigning it to the `number` field. The methods in a class can access the static fields in the same class without using the class name; therefore, in the `Employee` class, we can simply use `counter` instead of `Employee.counter`.**

The following `StaticDemo` program accesses the `counter` field and `getCounter()` method of `Employee`. Because these members are static, you use the `Employee` class name when referring to them. For example, to access the `counter` field, use:

```
Employee.counter
```

To invoke the `getCounter()` method, use the syntax:

```
Employee.getCounter()
```

Study the `StaticDemo` and try to determine its output, which is shown in Figure 7.6.

```
public class StaticDemo
{
    public static void main(String [] args)
    {
        Employee.counter = 100;
        System.out.println("Counter = " + Employee.getCounter());
        Employee e = new Employee("John Wayne",
            "101 Hollywood Blvd.", 123456789);
        System.out.println("Counter now = " + Employee.getCounter());

        System.out.println("Using e: " + e.getCounter());
    }
}
```

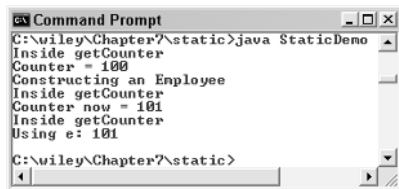
The first statement within `main()` of the `StaticDemo` class is:

```
Employee.counter = 100;
```

Notice that there are no `Employee` objects in memory yet. We can only access `counter` because it is static and the `counter` field was created in memory when the `Employee` class was loaded (which would be right away when the program begins executing). The `counter` field is 100, which is printed out with the statement:

```
System.out.println("Counter = " + Employee.getCounter());
```

Again, we used the class name to invoke `getCounter()`, which exists in memory even though no `Employee` objects have been instantiated yet.



```
Command Prompt
C:\wiley\Chapter7\static>java StaticDemo
Inside getCounter
Counter = 100
Constructing an Employee
Inside getCounter
Counter now = 101
Inside getCounter
Using e: 101
C:\wiley\Chapter7\static>
```

**Figure 7.6** Output of the `StaticDemo` program.



note

Notice you can access a static field using a reference to an instance of that particular class. For example, you can access the counter and `getCounter()` fields using any `Employee` reference, as demonstrated by the method call:

```
e.getCounter()
```

in the `StaticDemo` program. Although it is valid, I would discourage using a reference instead of the preferred syntax of using the class name. Using a class name makes it clear that the field or method is static.

## ◆ Static Methods Cannot Access Instance Members

What happens if an instance field or method is accessed from within a static method? For example, the `getCounter()` method in the following `Employee` class attempts to set the name field to "Rich."

```
public class Employee
{
    private String name;
    private String address;
    private int SSN;
    private int number;
    public static int counter;
    public Employee(String name, String address, int SSN)
    {
        System.out.println("Constructing an Employee");
        this.name = name;
        this.address = address;
        this.SSN = SSN;
        this.number = ++counter;
    }
    public static int getCounter()
    {
        System.out.println("Inside getCounter");
        name = "Rich";    //does not compile!
        return counter;
    }
}
```

I want to make a couple of important observations about the `getCounter()` method's setting name to "Rich." The `getCounter()` method is static, so the method does not belong to any particular instance of `Employee`. In fact, we can invoke `getCounter()` with zero `Employee` objects in memory. If there are no `Employee` objects in memory, there are no name fields in memory; therefore, in this case, it does not make sense to set name equal to "Rich" because there are no name fields anywhere.

Similarly, what happens if there are 100 Employee objects in memory? There are 100 name fields in memory. Which name am I setting equal to "Rich?" All of them? That would be an interesting company to work for. Again, it does not make sense to set name equal to "Rich" because it is not clear which name is being changed.

For these reasons, static methods cannot access nonstatic fields or methods. It does not make any sense, as we can see with trying to change the name field to "Rich." Also, accessing a nonstatic member requires the this reference. Static methods do not have a this reference.

Therefore, static methods cannot access nonstatic fields. The statement:

```
name = "Rich";
```

does not compile in the static getCount() method. The compiler generates the following error:

```
Employee.java:26: non-static variable name cannot be
referenced from a static context
        name = "Rich";
        ^
1 error
```

This compiler error would have occurred whether or not the this reference was explicitly used to access name.

## Static Initializers

The static keyword has another use outside of declaring a field or method static. A Java class can contain a static initializer, which is a group of statements that execute when the class is being loaded by the class loader of the JVM.

Classes are loaded once by the class loader, and the purpose of a static initializer is to allow the class to perform any necessary setup tasks that would only need to occur once.

A static initializer is declared by using the static keyword followed by a set of curly brackets to enclose the statements of the initializer:

```
static {
    //Statements appear here.
}
```

The following Radio class contains a static initializer.

```
public class Radio
{
    private int station;
    public Radio(int x)
    {
        System.out.println("Constructing a Radio");
    }
}
```

```
        station = x;
    }
    static {
        System.out.println("Inside static initializer");
    }
}
```

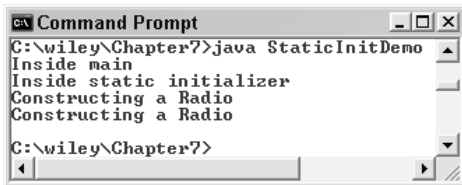
The following StaticInitDemo program instantiates a Radio object. Study the program and try to determine the output. Pay particular attention to when the static initializer executes. The output is shown in Figure 7.7.

```
public class StaticInitDemo
{
    public static void main(String [] args)
    {
        System.out.println("Inside main");
        Radio r1 = new Radio(1380);
        Radio r2 = new Radio(850);
    }
}
```

**note**

The only purpose I have seen for static initializers occurs when a Java program is using the Java Native Interface (JNI) to invoke a native function (typically written in C or C++). For the Java program to be able to invoke a native function, the function needs to appear in a function library, which needs to be loaded exactly once.

We will not be discussing JNI, nor will we ever have a reason to use static initializers in this book. I just wanted you to be aware that static initializers exist, in case you run into one someday or find a need to use one in a class.



```
Command Prompt
C:\wiley\Chapter7>java StaticInitDemo
Inside main
Inside static initializer
Constructing a Radio
Constructing a Radio
C:\wiley\Chapter7>
```

**Figure 7.7** The output of the StaticInitDemo program.

## Instance Initializers

---

An instance initializer is similar to a static initializer, except that an instance initializer executes each time an object of the class is instantiated. The difference between an instance initializer and a constructor is that an instance initializer executes before the constructor is invoked.

An instance initializer is simply a block of code within curly braces that appears in a class. For example, the CDPlayer class in the following contains an instance initializer. Notice that no keyword is used and no name is given to the instance initializer.

```
public class Electronics
{
    public Electronics()
    {
        System.out.println("Constructing an Electronics");
    }
}
public class CDPlayer extends Electronics
{
    private int songNumber;

    public CDPlayer(int x)
    {
        super();
        System.out.println("Constructing a CDPlayer");
        songNumber = x;
    }
    {
        System.out.println("Inside instance initializer");
    }
}
```

The instance initializer in the CDPlayer is the following block of code:

```
{
    System.out.println("Inside instance initializer");
}
```

The statements in an instance initializer execute after any parent class constructors are invoked, but before the child class constructor executes. When an object is instantiated and the class contains an instance initializer, the following events occur in the order shown:

1. The appropriate constructor in the child class is invoked.
2. A call to `super()` is made, and the flow of control jumps to the appropriate parent class constructor.
3. When the parent class constructor completes, the flow of control jumps back to the child class constructor.
4. Before any statements that follow `super()` within this child constructor execute, the instance initializer executes.
5. Finally, the statements in the child class constructor following the call to `super()` execute.

The following `InstanceInitDemo` program demonstrates this series of events by instantiating two `CDPlayer` objects. Study the program carefully and try to determine the output, which is shown in Figure 7.8.

```
public class InstanceInitDemo
{
    public static void main(String [] args)
    {
        System.out.println("Inside main");
        CDPlayer c1 = new CDPlayer(1);
        CDPlayer c2 = new CDPlayer(7);
    }
}
```

As with static initializers, I wanted to discuss instance initializers just in case you run into one someday or a situation comes up where you need to use one. You will not see or use them everyday, since in almost all situations a constructor or other method in the class can accomplish the same result without needing an instance initializer.



```
Command Prompt
C:\wiley\Chapter7>java InstanceInitDemo
Inside main
Constructing an Electronics
Inside instance initializer
Constructing a CDPlayer
Constructing an Electronics
Inside instance initializer
Constructing a CDPlayer
C:\wiley\Chapter7>
```

**Figure 7.8** The output of the `InstanceInitDemo` program.



## Lab 7.1: Working with Packages

The purpose of this lab is to become familiar with writing, compiling, and running Java classes that appear in packages.

1. After completing Lab 6.2, you had written four classes: Polygon, Triangle, RightTriangle, and a class with main() in it that you executed to test the other three classes. Copy and paste the four source code files (the \*.java files) for these four classes into a directory named c:\src (or similar folder).
2. Add the Polygon, Triangle, and RightTriangle classes to the geometry.shapes package, and compile these classes using the -d flag. The output directory can be any folder you choose, or you can use the c:\my\_bytecode folder from the example in this chapter.
3. Add the fourth class (the program you wrote) in a package named geometry.programs. Compile this class using the -d flag.
4. Set your classpath to include the directory where the compiler output the bytecode.
5. Run the program again.

The output of running your program will be the same. However, you now need to include the package name when running the program from the DOS prompt, and you should be able to run the program from any directory at the DOS prompt.



## Lab 7.2: Using Encapsulation

In this lab you will write a class that takes advantage of the benefits of encapsulation.

1. Declare a class named Television. Add two fields: channel and volume. Declare both fields as private.
2. Add accessor and mutator methods for both fields. The valid values for volume are 0 to 10, so disregard any attempts to set the volume to a value outside that range. Similarly, the channel field should only be set to a value between 2 and 999.

3. Add a constructor to `Television` that takes in two ints, one for channel and one for volume. Be sure to invoke the mutator methods instead of having the constructor assign the parameters to the fields.
4. Save and compile the `Television` class.
5. Write a class named `WatchTelevision` that contains `main()`. The first command-line argument will be the volume, and the second one will be the channel. Use the `Integer.parseInt()` method to parse these values into ints.
6. Instantiate a `Television` using the two command-line arguments; then print out the channel and volume using the accessor methods of `Television`.
7. Try to change the volume or channel to an invalid value.
8. Save, compile, and run the `WatchTelevision` class.

Run the program several times with different values for the volume and channel. You should not be able to set the volume outside of the range 0 to 10 nor set the channel outside the range 2 to 999.

---



## Lab 7.3: Using static Methods

The purpose of this lab is to become familiar with writing and using static methods.

1. Begin by declaring a class named `FormatTest`. This class is going to be used to verify that data fits a certain format.
2. Add a static field of type `int` named `maxLength`. Also add a static field of type `double` named `minSize`.
3. Add a static method named `testStringLength()` that has a `String` parameter and returns a `boolean`. This method should return `true` if the string passed in is less than or equal to the `maxLength` field; otherwise, the method should return `false`.
4. Add a static method named `testDouble()` that has a `double` parameter and returns a `boolean`. It should return `true` if the double parameter is greater than or equal to the `minSize` field; otherwise, it should return `false`.
5. Save and compile the `FormatTest` class.

6. Write a class named `TestProgram` that contains `main()`. The first command-line argument (`args[0]`) is going to be the `String` to be tested, and the second command-line argument (`args[1]`) is going to be the double to be tested. Use the `Double.parseDouble()` method to parse the second command-line argument into a double.
7. Within `main()`, assign the `maxLength` field of `FormatTest` to 12 and the `minSize` field to 100.00.
8. Invoke the `testStringLength()` and `testDouble()` methods, passing in the corresponding command-line argument. Display the result.
9. Save, compile, and run the `TestProgram` class several times, making sure that everything is working correctly.

If you enter a `String` for `args[0]` that is longer than 12 characters, the result of `testStringLength()` should be `false`. If `args[1]` is a double that is smaller than 100.00, the result of `testDouble()` should be `false`.

---

## Summary

---

- Every class belongs to a package. The package keyword is used to declare a class within a package, and the package declaration must be the first statement in a `.java` source file. If a class is not declared within a package, then the class appears in the default package.
- A package creates a namespace. The package name becomes a part of the class name.
- The `import` keyword is used to import a package into a source file. Importing a package allows you to use a class from that package without prefixing the package name to the class name. The `java.lang` package is implicitly imported into each source file.
- The `.class` file for a class must appear in a directory structure that matches the package name that the class is declared in. You can use the `-d` flag of the `javac` tool to have the compiler generate these folders for you automatically.
- The compiler and JVM use the `CLASSPATH` environment variable to determine where to look for bytecode.
- There are four levels of access in Java that can be applied to the fields and methods of a class: `public`, `protected`, `private`, and default.



- Hiding the fields in a class by making them private is referred to as encapsulation.
- A static field or method is not associated with each instance of the class. Instead, there is only a single instance of the field or method, and it is shared among all instances of the class and also the other classes in your program.
- A static initializer is executed when a class is loaded. An instance initializer is executed right when a class is instantiated and is invoked right before the constructor is invoked.

## Review Questions

1. What are the two basic purposes of packages?
2. If you do not declare a class in a package, the class is in the \_\_\_\_\_ package.

Use the following A and B class definitions to answer the ensuing five questions:

```
//filename A.java
package a;
public class A
{
    private int x;
    public int y;
    protected int z;
    double d;

    public A()
    {
    }
}

//filename B.java
package b;
import a.A;
public class B extends A
{
    private byte s;
    public byte t;
    public B()
    {
    }
}
```

3. Which variables are accessible within the constructor of the B class?
4. Suppose that a class, C, is declared in a package named c. Which variables in A and B will C have access to?
5. In what directory does A.class need to appear? In what directory does B.class need to appear?
6. What are the fully qualified names of the A and B classes?
7. Suppose that A.class is in a directory named c:\code\review\a\. What directory should appear in the CLASSPATH environment variable so that the A class can be found in the classpath?
8. What package never needs to be explicitly imported?
9. Hiding the fields of a class by making them private is referred to as \_\_\_\_\_.
10. True or False: If a class has a static field, the field cannot be accessed until at least one instance of the class is created in memory.

## Answers to Review Questions

1. Packages allow you to organize your classes that share a common theme or are closely associated with each other. Packages also create a namespace for the classes within the package.
2. The default package.
3. The fields of B, along with the public and protected fields of A, so the answer is y, z, s, and t.
4. C will only have access to the public fields of A and B, so C will only have access to the fields y and t.
5. The directory names must match the package names. A.class must be in a folder named \a, and B.class must be in a folder named \b.
6. The fully qualified names include the package name, so the answer is a.A and b.B.
7. The folder that represents the package name should not appear in the CLASSPATH environment variable, so the answer is c:code\review.
8. java.lang is implicitly imported into each source file.
9. encapsulation.
10. False because no instance of a class is needed.



# Polymorphism and Abstraction

Two important effects that stem from inheritance in object-oriented programming (OOP) are polymorphism—where an object can take on many forms—and abstraction—allowing for the creation of abstract classes. This chapter discusses both of these OOP concepts in detail and how they are implemented in Java.

## An Overview of Polymorphism

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When we discussed inheritance, I explained how the *is a* relationship is used to determine if your inheritance is a good design. The *is a* relationship is also helpful when learning polymorphism. Polymorphism is the term used to describe how an object can take on many forms. An object takes on many forms because an object can be treated as a child type, a parent type, a grandparent type, and so on up the hierarchy tree.

**note**

The term *polymorphism* is a combination of *poly*, meaning many or multiple, and *morph*, meaning shapes or forms. Polymorphism in OOP refers to the ability of an object to have many forms, which is a direct result of inheritance.

Suppose, for example, that a Salary class extends an Employee class. We discussed in Chapter 6, “Understanding Inheritance,” how this was a good design because a salaried employee is an employee. From the point of view of polymorphism, a Salary object is an Employee object. This means a Salary object can be treated as an Employee object.

A child object being treated as a parent class type has the following benefits:

- Using a parent class reference to a child object.
- Using polymorphic parameters and return values.
- Creating heterogeneous collections of objects, where not all objects in the collection are of the same type.

We will now discuss each of these benefits of polymorphism in detail.

## Using Parent Class References to Child Objects

A child object can be referenced using a parent class reference. This is the most fundamental use of polymorphism because using a parent class reference to refer to a child object is what allows you to take advantage of the benefits of polymorphism.

To demonstrate polymorphism in action, I will use the following Employee and Salary classes, which represent classes used to pay employees of a company. (I am going to use this employee example throughout this chapter.)

Notice in Listing 8.1 that the Employee class has three fields: name, address, and number; one constructor; a mailCheck() method; the toString() method; and various accessor methods. There are no fields in the Employee class used to represent the employee’s pay because we decided in Chapter 6 that this data should appear in the child classes.

```
public class Employee
{
    private String name;
    private String address;
    private int number;

    public Employee(String name, String address, int number)
    {
        System.out.println("Constructing an Employee");
        this.name = name;
        this.address = address;
        this.number = number;
    }
}
```

**Listing 8.1** The Employee class extends Object implicitly.

```
    }

    public void mailCheck()
    {
        System.out.println("Mailing a check to " + this.name
+ " " + this.address);
    }

    public String toString()
    {
        return name + " " + address + " " + number;
    }

    public String getName()
    {
        return name;
    }

    public String getAddress()
    {
        return address;
    }

    public void setAddress(String newAddress)
    {
        address = newAddress;
    }

    public int getNumber()
    {
        return number;
    }
}
```

**Listing 8.1** (continued)

The Salary class, shown in Listing 8.2, has one field named salary to represent the annual pay of an employee. The Salary class also has one constructor, a computePay() method, and various accessor and mutator methods. The idea behind this design is that not every Employee has a salary, so adding a salary field in the Employee class would cause issues later; therefore, the child classes of Employee will contain the fields and methods needed to compute the employee's pay. Later in this chapter, we will define the Hourly class that also extends Employee, and it will have fields for an hourly wage and number of hours worked, as well as a computePay() method.

```
public class Salary extends Employee
{
    private double salary;    //Annual salary

    public Salary(String name, String address, int number,
                  double salary)
    {
        super(name, address, number);
        setSalary(salary);
    }

    public double getSalary()
    {
        return salary;
    }

    public void setSalary(double newSalary)
    {
        if(newSalary >= 0.0)
        {
            salary = newSalary;
        }
    }

    public double computePay()
    {
        System.out.println("Computing salary pay for " + getName());
        return salary/52;
    }
}
```

**Listing 8.2** The Salary class extends the Employee class.

Now, let's look at a couple of statements that might be familiar to you. Suppose that we instantiated an Employee object as follows:

```
Employee e = new Employee("George W. Bush", "Houston, TX", 43);
```

The previous statement creates two entities in memory: the Employee reference *e*, and the Employee object to which the *e* gets assigned. The reference is of type Employee, and so is the object it refers to.

Similarly, the following statement is valid:

```
Salary s = new Salary("George Washington", "Valley Forge, DE", 1, 5000.00);
```

In this statement, *s* is a Salary reference, and it is assigned to a new Salary object. Both the reference and the object are of type Salary.

Now, take a look at a new type of statement that at first glance might not seem valid:

```
Employee p = new Salary("Rich Raposa", "Rapid City, SD", 47, 250000.00);
```

The left side of the equation creates a reference `p` of type `Employee`. The right side of the equation is a new `Salary` object. Can you assign an `Employee` reference to point to a `Salary` object? Are they compatible data types?

The answer to both questions is yes. The *is a* relationship carries over to polymorphism. The right side of the equation is a `Salary` object. A `Salary` object is an `Employee` object. Can `p` refer to an `Employee` object? Certainly. In fact, `p` can refer to an `Employee` object, and because a `Salary` object is an `Employee` object, `p` can refer to a `Salary` object as well.

note

**An `Employee` reference can refer to any `Employee` object. Because a `Salary` object is an `Employee` object, an `Employee` reference can be used to refer to a `Salary` object. This is an example of a parent class reference referring to a child class object.**

## Classroom Q & A

**Q:** Why use an `Employee` reference to refer to a `Salary` object? Why not just use a `Salary` reference?

**A:** There are situations where using a parent class reference can make your code easier to write and easier to maintain. You can think of an `Employee` reference as a more generic reference than a `Salary` reference. Suppose that we have `Hourly` and `Salary` classes that both extend `Employee`. I can then reference each employee's object as either a `Salary` or `Hourly`, using a `Salary` or an `Hourly` reference. Or I can treat each employee's object as an `Employee`, and use an `Employee` reference to refer to any employee, no matter what data type the employee actually is.

**Q:** OK, but what do you gain from doing this?

**A:** By treating `Hourly` and `Salary` objects as type `Employee`, I can store them in the same data structure (an example of a heterogeneous collection). I can also write a method that has an `Employee` parameter, which allows both `Salary` and `Hourly` objects to be passed in to that method (an example of polymorphic parameters).

**Q:** If you treat a `Salary` object as an `Employee`, don't you lose the `Salary` part of the object?



- A:** No. The object does not change, just the data type of the reference to it. This is an important point to understand. If I instantiate a Salary object, I get a Salary object, no matter what data type its reference is.
- Q:** So why not always use a parent class reference if it doesn't change anything?
- A:** Well, be careful. I said the *object* does not change, but *how it is viewed* does change. If I use an Employee reference to a Salary object, the object does not lose any data, but I lose the ability to access those fields and methods from the Salary class using the parent class reference.
- Q:** You can never access them? Then you have lost something.
- A:** No, you can still access them, but you have to cast the Employee reference to a Salary reference. Let's discuss the casting process first. I will then show you examples where using a parent class reference to a child object is advantageous.

## Casting References

We saw in Chapter 2, “Java Fundamentals,” that the cast operator can be used to cast primitive data types. For example, suppose that you have a double that you want to store in a float. Even if the double fits easily in the float, the compiler still requires you to use the cast operator:

```
double pi = 3.14159;
float a = pi;           //Does not compile!
float b = (float) pi;  //Works fine
```

You might think the compiler should be smart enough to realize that 3.14159 fits into a float, so no casting is necessary; however, it is important to realize that the compiler only knows data types. When you assign a 64-bit double to a 32-bit float, the compiler only sees a larger piece of data being stored in a smaller piece. Because data could be lost, the cast operator tells the compiler you know what you are doing, and any loss of data is acceptable.

note

**By the way, casting a float to a double is acceptable because they are compatible data types. You cannot cast a String to a float, a boolean to an int, an Employee to a char, and so on, because these data types are not compatible.**

I want to relate the casting of references to the casting of primitive data types. Using the `Employee` and `Salary` classes in Listings 8.1 and 8.2, suppose we instantiate two `Salary` objects as follows:

```
Salary s = new Salary("George Washington",
                    "Valley Forge, DE", 1, 5000.00);
Employee e = new Salary("Rich Raposa", "Rapid City, SD", 47, 250000.00);
```

I want to emphasize that these two statements create *two* `Salary` objects; each object consumes the same amount of memory and has the same methods and fields allocated in memory. The only difference between these two objects is the particular data stored in their respective fields.

Because `s` is a `Salary` reference, we can use `s` to invoke the accessible fields and methods of both the `Salary` and `Employee` class. For example, the following statements are valid:

```
s.setSalary(100000.00);    //A Salary method
s.computePay();           //A Salary method
s.mailCheck();           //An Employee method
```

note

**When going up the inheritance hierarchy, no casting is needed. For example, a `Salary` reference can be used to invoke an `Employee` method without casting because `Employee` is a parent of `Salary`. Going down the hierarchy, however, requires an appropriate cast, as we will see next.**

Because `e` is an `Employee` reference, we can use `e` to only invoke the accessible methods of the `Employee` class. For example, we can use `e` to invoke `mailCheck()`, but we cannot use `e` to invoke `setSalary()` or `computePay()`:

```
e.setSalary(500000.00);    //Does not compile!
e.computePay();           //Does not compile!
e.mailCheck();           //An Employee method, so this compiles
```

The `Salary` object referenced by `e` has a `setSalary()` and a `mailCheck()` method; however, the compiler thinks `e` refers to an `Employee`, and attempting to invoke `setSalary()` or `mailCheck()` generates a compiler error. We need to use the cast operator on `e`, casting `e` to a `Salary` reference, before the `Salary` methods can be invoked using `e`. The following statements demonstrate two techniques for casting `e` to a `Salary` reference:

```
((Salary) e).computePay();
Salary f = (Salary) e;
f.computePay();
```

In the first statement, *e* is cast to a *Salary* reference, but the resulting *Salary* reference is not preserved. Notice that an extra set of parentheses is required because of the order of operations. We want the cast to occur before the method call. In the second statement, *e* is cast to a newly declared *Salary* reference *f*, and *f* is subsequently used to invoke `computePay()`. This technique is more convenient if you need to invoke more than one method after casting.

The `CastDemo` program shown in Listing 8.3 demonstrates polymorphism and casting references down the hierarchy tree. Study the program and try to determine the output, which is shown in Figure 8.1.

```
public class CastDemo
{
    public static void main(String [] args)
    {
        Salary s = new Salary("George Washington", "Valley Forge, DE",
1, 5000.00);
        System.out.println(s.getName() + " " + s.computePay());

        Employee e = new Salary("Rich Raposa", "Rapid City, SD",
47, 250000.00);
        System.out.println(e.getName());

        //e.computePay();      //Does not compile!
        Salary f = (Salary) e;
        System.out.println(f.getName() + " " + f.computePay());

        s.mailCheck();
        e.mailCheck();
        f.mailCheck();
    }
}
```

**Listing 8.3** The `CastDemo` program casts an *Employee* reference to a *Salary* reference.

The *Salary* object in `CastDemo` for George Washington is referenced by a *Salary* reference, so we can invoke methods like `getName()` and `computePay()` with *s*. The *Salary* object for Rich Raposa is referenced by an *Employee* reference, so we can only invoke the *Employee* class methods like `getName()` using *e*; however, when *e* is cast to a *Salary* reference:

```
Salary f = (Salary) e;
```

we can now use *f* to invoke any method in *Salary* or *Employee*.

```

C:\wiley\Chapter08\polymorphism>java CastDemo
Constructing an Employee
Computing salary pay for George Washington
George Washington 96.15384615384616
Constructing an Employee
Rich Raposa
Computing salary pay for Rich Raposa
Rich Raposa 4807.692307692308
Mailing a check to George Washington Valley Forge,
DE
Mailing a check to Rich Raposa Rapid City, SD
Mailing a check to Rich Raposa Rapid City, SD
C:\wiley\Chapter08\polymorphism>

```

**Figure 8.1** The output of the CastDemo program.

**note**

In the CastDemo program, there are two references to the Rich Raposa object: `e` and `f`. We can use either reference to access the object. With `e`, only the Employee methods can be invoked. With `f`, we can invoke methods from either Salary or Employee. Invoking `mailCheck()` with both references, as in:

```

e.mailCheck();
f.mailCheck();

```

simply invokes the method twice on the same object, since `e` and `f` point to the same Salary object. Notice in Figure 8.1 that the output from invoking `mailCheck()` with `e` or `f` is identical.

## The instanceof Keyword

In the CastDemo program in Listing 8.3, an Employee reference was cast to a Salary reference. The cast was successful because the object being cast was actually a Salary object. If we had attempted to cast the object to something that it wasn't, however, an exception would have occurred.

Let me demonstrate this with a specific example. The Salary class extends Employee. Suppose that in Listing 8.4, the class named Hourly also extended Employee.

```

public class Hourly extends Employee
{
    private double hourlyRate, hoursWorked;

    public Hourly(String name, String address, int number,
                  double hourlyRate)
    {

```

**Listing 8.4** The Hourly class extends the Employee class. (continued)

```
        super(name, address, number);
        setHourlyRate(hourlyRate);
    }

    public double getHourlyRate()
    {
        return hourlyRate;
    }

    public void setHourlyRate(double newRate)
    {
        if(newRate >= 0.0 && newRate <= 200.00)
        {
            hourlyRate = newRate;
        }
    }

    public double getHoursWorked()
    {
        return hoursWorked;
    }

    public void setHoursWorked(double h)
    {
        if(h >= 0 && h <= 80)
        {
            hoursWorked = h;
        }
    }

    public double computePay()
    {
        System.out.println("Computing hourly pay for " + getName());
        if(hoursWorked <= 40)
        {
            return hourlyRate * hoursWorked;
        }
        else
        {
            return hourlyRate * 40.0
+ hourlyRate * 1.5 * (hoursWorked - 40);
        }
    }
}
```

**Listing 8.4** (continued)

The following valid statements instantiate an Hourly object, referring to it with an Employee reference. The reference is cast to Hourly to invoke the methods of the Hourly class.

```
Employee h = new Hourly("Abe Lincoln", "Springfield, IL", 16, 8.00);
((Hourly) h).setHoursWorked(40);
((Hourly) h).computePay();
h.mailCheck();
```

However, suppose I tried to cast the Hourly object to a Salary object:

```
Salary s = (Salary) h;           //This compiles OK!
s.computePay();                 //Which computePay() gets invoked?
```

Keep in mind that the compiler thinks `h` is an `Employee`, and casting an `Employee` to a `Salary` is a compatible cast. This statement compiles, but there is a problem looming. At run time, when `h` is cast to an `Hourly` object, a `ClassCastException` will occur and the program will terminate. Java is very strict about data types, and casting an `Hourly` object to type `Salary` is not valid because the two types are not compatible.

note

**By the way, invoking `computePay()` with `s` also compiles. Notice, too, in the comment, that I asked “Which `computePay()` method gets invoked?” More specifically, is it the `computePay()` in `Hourly` or the one in `Salary`? The answer is neither because the cast one line above causes an exception, and any ensuing statements will not execute.**

What if you are not sure of the actual data type of `h`? Because `h` is of type `Employee`, `h` can refer to an `Employee` object, a `Salary` object, or an `Hourly` object. The `instanceof` keyword can be used to determine the data type of a reference. The syntax for using `instanceof` looks like:

```
reference instanceof ClassName
```

The `instanceof` operator returns `true` if the reference is of the given class type, and `false` otherwise. For example, before casting `h` to a `Salary` object, we should have made the following check:

```
if(h instanceof Salary)
{
    Salary s = (Salary) h;
    s.computePay();
    //And so on
}
```

The cast above occurs only when `h` actually refers to a `Salary` object, so we are guaranteed to avoid a `ClassCastException` when using the `instanceof` operator in this manner.

tip

**ClassCastException** is the type of exception that occurs from poorly written code. You should always use the instanceof operator to check the data type of a reference before casting the reference, thereby averting any chance of a **ClassCastException**.

The following InstanceOfDemo program in Listing 8.5 demonstrates the instanceof operator. Study the program carefully and try to determine the output, which is shown in Figure 8.2.

```
public class InstanceOfDemo
{
    public static void main(String [] args)
    {
        Employee h = new Hourly("Abe Lincoln", "Springfield, IL",
16, 8.00);
        System.out.println(h.getName() + " " + h.getNumber());

        if(h instanceof Salary)
        {
            System.out.println("Casting to a Salary reference");
            Salary x = (Salary) h;
            System.out.println("Pay = " + x.computePay());
            x.mailCheck();
        }
        else if(h instanceof Hourly)
        {
            System.out.println("Casting to an Hourly reference");
            Hourly x = (Hourly) h;
            x.setHoursWorked(80);
            System.out.println("Pay = " + x.computePay());
            x.mailCheck();
        }

        System.out.println("\nDeliberately cast to the wrong type");
        Salary s = (Salary) h;
        s.computePay();
        s.mailCheck();
        System.out.println("End of main");
    }
}
```

**Listing 8.5** The InstanceOfDemo program uses instanceof to verify the data type of a reference before casting.

```

C:\wiley\Chapter08\polymorphism>java InstanceOfDemo
Constructing an Employee
Abe Lincoln 16
Casting to an Hourly reference
Computing hourly pay for Abe Lincoln
Pay = 800.0
Mailing a check to Abe Lincoln Springfield, IL
Deliberately cast to the wrong type
Exception in thread "main" java.lang.ClassCastException
    at InstanceOfDemo.main<InstanceOfDemo.java:25>

```

**Figure 8.2** The output of the InstanceOfDemo program.

note

Notice in the output of the InstanceOfDemo program in Figure 8.2 that the statement “End of main” is not displayed. A `ClassCastException` occurs at the statement:

```
Salary s = (Salary) h;
```

This exception causes `main()` to stop executing, and your program terminates. Therefore, the last three statements in `main()` do not execute. The flow of control when an exception occurs is discussed in detail in Chapter 11, “Exception Handling.”

## Polymorphic Parameters

Now that we have discussed parent class references and the `instanceof` keyword, I want to show you a benefit of polymorphism known as polymorphic parameters. When a method has a parameter that is a reference, any object that is compatible with that reference can be passed in, allowing a method to accept parameters of different data types.

For example, suppose that a method has an `Employee` parameter:

```
public void payEmployee(Employee e)
```

An `Employee` object needs to be passed in to the `payEmployee()` method. If `Salary` and `Hourly` extend `Employee`, a `Salary` or `Hourly` object could also be passed in to `payEmployee()` because through polymorphism a `Salary` or `Hourly` object is also an `Employee` object.

note

Having an `Employee` parameter makes `payEmployee()` a more generic method in that its parameter is loosely defined. Presently, it can accept `Employee`, `Hourly`, and `Salary` objects. If a new class came along that extended `Employee`, say a class named `Contractor`, `Contractor` objects could also be passed in to `payEmployee()`.



The Boss class in Listing 8.6 contains a `payEmployee()` method with an `Employee` parameter. The method casts the parameter to its appropriate data type and invokes `computePay()`.

```
public class Boss
{
    public void payEmployee(Employee e)
    {
        double pay = 0.0;

        if(e instanceof Salary)
        {
            pay = ((Salary) e).computePay();
        }
        else if(e instanceof Hourly)
        {
            pay = ((Hourly) e).computePay();
        }

        System.out.println("Pay = " + pay);
        e.mailCheck();
    }
}
```

**Listing 8.6** The Boss class demonstrates polymorphic parameters.

The `payEmployee()` method in the Boss class cannot simply invoke `computePay()` on the parameter `e` because the parameter is an `Employee` type, and the `Employee` class does not contain a `computePay()` method. To invoke `computePay()`, the reference `e` must be cast to its appropriate data type, which is determined by using the `instanceof` keyword. Notice that the `mailCheck()` method can be invoked without casting because `mailCheck()` is in the `Employee` class and `e` is an `Employee` reference.

note

**The `payEmployee()` method can be invoked passing in any `Employee` object. If a new `Contractor` class is written that extends `Employee`, `Contractor` objects can be passed into the existing `payEmployee()` method without changing the method's signature.**

**Unfortunately, we would have to modify the body of `payEmployee()` and add an `instanceof` check for `Contractor`, which is not good design. In the upcoming *Taking Advantage of Virtual Methods* section, I will show you a `payEmployee()` method that can pay all `Employee` objects without requiring modification when new child classes of `Employee` come along.**

The PayDemo program in Listing 8.7 instantiates one Hourly object, two Salary objects, and one Employee object, and passes them to the payEmployee() method. Study the program and try to determine its output.

```
public class PayDemo
{
    public static void main(String [] args)
    {
        Boss boss = new Boss();

        Hourly h = new Hourly("Abe Lincoln", "Springfield, IL",
16, 8.00);
        Salary s = new Salary("George Washington", "Valley Forge, DE",
1, 5000.00);
        Employee x = new Salary("Rich Raposa", "Rapid City, SD",
47, 250000.00);
        Employee y = new Employee("George W.", "Houston, TX", 43);

        System.out.println("** Paying Abe Lincoln **");
        boss.payEmployee(h);
        System.out.println("\n** Paying George Washington **");
        boss.payEmployee(s);
        System.out.println("\n** Paying Rich Raposa **");
        boss.payEmployee(x);
        System.out.println("\n** Paying George W. **");
        boss.payEmployee(y);
    }
}
```

**Listing 8.7** The PayDemo program passes Employee objects to the payEmployee() method of a Boss.

In the PayDemo program, an Hourly reference h and a Salary reference s are passed in to the payEmployee() method. This is valid because the parameter is of type Employee and Salary and Hourly objects are Employee objects:

```
boss.payEmployee(h);
boss.payEmployee(s);
```

The reference x is of type Employee but refers to a Salary object. When this object is paid:

```
boss.payEmployee(x);
```

the instanceof statement in payEmployee() is true when compared to Salary, even though the reference is of type Employee, because x actually refers to a Salary object.

The last Employee object in PayDemo is neither a Salary nor Hourly employee, but simply an Employee object. It can certainly be passed in to payEmployee():

```
boss.payEmployee(y);
```

Notice, though, that the pay is 0.0 for this object, as shown in the output in Figure 8.3. In a real-world use, we likely would not allow any objects that were only of type Employee (and not one of the child class types) because there is no way to determine that employee's pay. I will make this observation again in the upcoming sections on abstraction.

note

**Because java.lang.Object is a parent of all classes, every object in Java can take on the form of type Object. There are many instances in the Java API where Object is used as either a parameter or return value of a method. For example, the following method signature is found in the java.util.Vector class:**

```
public Object elementAt(int index)
```

**Because this method declares a return value of type Object, it can return any type of object it wants; all objects in Java are of type Object. Similarly, if a method has an Object parameter, any type of object can be passed in to that parameter. For example, the java.util.Hashtable class contains the method:**

```
public boolean contains(Object value)
```

**Any reference of any data type can be passed in to this method. In fact, the only variables that cannot be passed in to the contains() method are the eight primitive data types. (See the following sidebar titled *The Wrapper Classes*.)**

```

C:\wiley\Chapter08\polymorphism>java PayDemo
Constructing an Employee
Constructing an Employee
Constructing an Employee
Constructing an Employee
*** Paying Abe Lincoln ***
Computing hourly pay for Abe Lincoln
Pay = 0.0
Mailing a check to Abe Lincoln Springfield, IL

*** Paying George Washington ***
Computing salary pay for George Washington
Pay = 96.15384615384616
Mailing a check to George Washington Ualley Forge, DE

*** Paying Rich Raposa ***
Computing salary pay for Rich Raposa
Pay = 4887.692387692388
Mailing a check to Rich Raposa Rapid City, SD

*** Paying George W. ***
Pay = 0.0
Mailing a check to George W. Houston, TX

C:\wiley\Chapter08\polymorphism>

```

**Figure 8.3** The output of the PayDemo program.

## ◆ The Wrapper Classes

There are situations in Java programming where you want a primitive data type to take on the form of `java.lang.Object`. The developers of Java realized this and created what is referred to as the wrapper classes. There are eight wrapper classes, one for each of the eight primitive data types. We use the term *wrapper* because their main purpose is to wrap a primitive data type into a Java object.

For example, the `java.lang.Integer` class is used to wrap an `int`. The `Integer` class has two constructors:

```
public Integer(int value)
public Integer(String value) throws NumberFormatException
```

(Note that the `String` parameter is parsed into an `int`.) Whichever constructor you use, the `int` value is stored as a field in the class and is retrieved using the method:

```
public int intValue()
```

Why create an object to store a simple 32-bit `int`? Because the wrapper class `Integer` is just that—a class. It therefore extends `Object`, and can be used in any situation where an `Object` is required.

Wrapper classes are commonly used with the Java Collections Framework, a set of classes that represent commonly used data structures such as sets, trees, and hash tables. (Collections are discussed in detail in Chapter 9, “Collections.”) These data structures only store objects of type `Object`, which is great when working with Java objects, but unfortunate when you want to use the data structures for storing primitive data types.

The solution is to wrap each primitive type in its corresponding wrapper class. The wrapper classes are found in the `java.lang` package, and include:

- Byte
- Short
- Integer
- Long
- Float
- Double
- Character
- Boolean

Each wrapper class has constructors similar to the two in `Integer`, and each class has methods for retrieving the primitive type from the wrapper object. View the J2SE documentation to view the constructors and methods of each class.

## Heterogeneous Collections

A common use of polymorphism is to create a collection of data that is not all the same type, but has a common parent. A collection of different objects is referred to as a *heterogeneous collection*.

For example, suppose that we wanted to use an array to keep track of the employees of a company. When creating an array, all the data in the array must be of the same type. Because there are two types of employees, we could create an array for Salary objects and a second array for Hourly objects.

Because Salary and Hourly objects are Employee objects, however, we can create a single array for Employee objects that can contain both Salary and Hourly objects. This is an example of a heterogeneous collection because the elements in the array will not all be the same. They will all be Employee objects, but some will be Salary objects and some will be Hourly objects. While Salary and Hourly have a common parent, they are different objects.

**note**

**Chapter 9 discusses arrays and other data structures used for containing large amounts of data. In that chapter, I will show you how to create an array of Employee objects and fill it with different Object types.**

**The data structures in the Java Collections Framework, such as Vector and Hashtable, store java.lang.Object types. These collections can be quite heterogeneous because you can store Employee objects alongside Radio objects alongside Vehicle objects alongside anything because every object is of type Object.**

## Virtual Methods

In this section, I will show you how the behavior of overridden methods in Java allows you to take advantage of polymorphism when designing your classes. In Chapter 6, “Understanding Inheritance,” we discussed method overriding, where a child class can override a method in its parent. An overridden method is essentially hidden in the parent class, and is not invoked unless the child class uses the super keyword within the overriding method.

For example, suppose that we modify the Salary class in Listing 8.8, and override the mailCheck() method from the Employee class.

```
public class Salary extends Employee
{
    private double salary;    //Annual salary

    public Salary(String name, String address, int number, double
salary)
    {
```

**Listing 8.8** This Salary class overrides the mailCheck() method of Employee.

```

        super(name, address, number);
        setSalary(salary);
    }

    public void mailCheck()
    {
        System.out.println("Within mailCheck of Salary class");
        System.out.println("Mailing check to " + getName()
+ " with salary " + salary);
    }
    //The remainder of the class definition...
}

```

**Listing 8.8** (continued)

The `mailCheck()` method in the `Employee` class displays a message containing the employee's name and address. The `mailCheck()` in `Salary` displays a message stating that execution is in `Salary` as well as the employee's name and salary. Try to determine the output of the following statements:

```

Salary s = new Salary("Thomas Jefferson", "Monticello, VA", 3, 2400.00);
s.mailCheck();

```

Because `s` refers to a `Salary` object, `mailCheck()` from the `Salary` class is invoked and the output is:

```

Within mailCheck of Salary class
Mailing check to Thomas Jefferson with salary 2600.0

```

Now, let me put a spin on this example. Suppose that I instantiate a `Salary` object and use an `Employee` reference to it, as I did in the `VirtualDemo` program in Listing 8.9. I want you to study this program carefully and try to determine its output. In particular, I want you to pay close attention to the statement:

```

e.mailCheck();

```

where `e` is an `Employee` reference referring to a `Salary` object.

```

public class VirtualDemo
{
    public static void main(String [] args)
    {
        Salary s = new Salary("Thomas Jefferson", "Monticello, VA",
                               3, 2600.00);
    }
}

```

**Listing 8.9** The `VirtualDemo` program demonstrates virtual methods in Java. (continued)

```

        Employee e = new Salary("John Adams", "Boston, MA",
                                2, 2400.00);

        System.out.println("*** Call mailCheck using
                               Salary reference ***");
        s.mailCheck();
        System.out.println("\n*** Call mailCheck using
                               Employee reference ***");
        e.mailCheck();
    }
}

```

**Listing 8.9** (continued)

The output of the VirtualDemo program is shown in Figure 8.4. The program instantiates two Salary objects—one using a Salary reference *s*, and the other using an Employee reference *e*.

We saw earlier that invoking `mailCheck()` with *s* causes `mailCheck()` to execute in the Salary class. In the following statement, the compiler sees `mailCheck()` in the Salary class at compile time, and the JVM invokes `mailCheck()` in the Salary class at run time:

```
s.mailCheck();
```

Invoking `mailCheck()` on *e* is quite different because *e* is an Employee reference. When the compiler sees the following statement, the compiler sees the `mailCheck()` method in the Employee class:

```
e.mailCheck();
```

Does this mean that `mailCheck()` in the Employee class is invoked at run time? No! Look closely at the output in Figure 8.4. At compile time, the compiler used `mailCheck()` in Employee to validate this statement. At run time, however, the JVM invokes `mailCheck()` in the Salary class.

note

**This behavior is referred to as *virtual method invocation*, and the methods are referred to as *virtual methods*. All methods in Java behave in this manner, whereby an overridden method is invoked at run time, no matter what data type the reference is that was used in the source code at compile time.**

With virtual methods, the JVM must look down the inheritance hierarchy and determine if a method is overridden. If the method is overridden, the child method executes at run time, not the one in the parent that was invoked at compile time.

```

C:\wiley\Chapter08\virtual>java VirtualDemo
Constructing an Employee
Constructing an Employee
** Call mailCheck using Salary reference **
Within mailCheck of Salary class
Mailing check to Thomas Jefferson with salary 2600.0

** Call mailCheck using Employee reference **
Within mailCheck of Salary class
Mailing check to John Adams with salary 2400.0

C:\wiley\Chapter08\virtual>_

```

**Figure 8.4** The output of the VirtualDemo program.

For example, when the statement executes in the VirtualDemo program, the JVM searches down the inheritance hierarchy and discovers that Salary overrides mailCheck() in Employee. The JVM then invokes mailCheck() on Salary, resulting in a virtual method invocation.

```
e.mailCheck();
```

note

**In C++, virtual methods are not the default behavior of methods. In fact, you must use the C++ keyword virtual to “turn on” the behavior of virtual method invocation. The opposite is true in Java. All methods in Java are virtual by default (virtual is not a keyword in Java), and the only way to avoid this behavior of virtual methods is to declare a method final.**

**Final methods cannot be overridden, so the JVM does not need to worry about looking down the inheritance hierarchy and trying to determine if a child has overridden the method. For this reasons, final methods have a performance benefit because the overhead of virtual method invocation is avoided.**

**That being said, you should only declare a method as final if it is truly part of the design of your class.**

## Taking Advantage of Virtual Methods

Let’s look at an example where virtual methods are used to simplify and improve the design of a Java application. In the Boss class discussed earlier, the payEmployee() method has an Employee parameter, allowing any type of Employee object to be passed in to the method. Within payEmployee(), we needed to know what type of Employee was passed in so we could cast the Employee parameter and invoke the appropriate computePay() method.

I like the Boss example because it demonstrates the instanceof keyword, an important operator in Java that is necessary at times; however, anytime I can



avoid casting down the hierarchy tree, I will. It saves me from having to use `instanceof`, and it improves the maintenance of my code when I do have to worry about what type an object is.

## Classroom Q & A

- Q:** In the `Boss` class, why did you cast the `Employee` parameter to either a `Salary` or `Hourly` reference?
- A:** I wanted to invoke `computePay()` on the parameter, but there are two `computePay()` methods: one in `Salary`, and one in `Hourly`. I had to cast the parameter to its appropriate type so I could explicitly invoke the proper `computePay()` method.
- Q:** But you just said all methods in Java are virtual by default. Why didn't the JVM at runtime figure out which `computePay()` method to invoke?
- A:** You cannot invoke `computePay()` with an `Employee` reference because there is no `computePay()` method in the `Employee` class. Plus, virtual methods only apply to overridden methods. The `computePay()` methods in `Salary` and `Hourly` are not overriding anything.
- Q:** Why don't we just put `computePay()` in the `Employee` class so that we can take advantage of virtual methods?
- A:** Good idea. In fact, we will do that next and see what happens. This points out a flaw in the design of our employee program. We started this example with the idea that our program would pay employees on a weekly basis. We quickly discovered that we had different types of employees, depending on how they were paid. Subclassing `Employee` is a good design because it allows us to write multiple `computePay()` methods, instead of a single, decision-filled `computePay()` method that has to determine which formula to use based on how the employee is paid. In the process of subclassing `Employee`, however, we removed `computePay()` from our `Employee` class entirely.
- Q:** That's because all the information to compute an employee's pay is in one of the child classes. Why put `computePay()` in the `Employee` class when the data it needs is down in a child class?
- A:** It's a design issue. I think we should put `computePay()` back in the `Employee` class because, from the outset, we wanted to be able to compute an employee's pay. Therefore, one of the behaviors of the `Employee` class should be `computePay()`.

**Q:** What is the `computePay()` method in `Employee` going to do?

**A:** For now, we will add a `computePay()` method to `Employee` that returns `0.0`, as seen in Listing 8.10, just so we can override it in `Salary` and `Hourly` and take advantage of virtual methods.

```
public class Employee
{
    private String name;
    private String address;
    private int number;

    public double computePay()
    {
        System.out.println("Inside Employee computePay");
        return 0.0;
    }

    public void mailCheck()
    {
        System.out.println("Mailing a check to " + this.name
+ " " + this.address);
    }
    //Remainder of class definition...
}
```

**Listing 8.10** The `Employee` class with a `computePay()` method added.

With `computePay()` in the `Employee` class and also in the `Salary` and `Hourly` classes, the following statements now compile:

```
Employee e = new Salary("George Washington", "Valley Forge, DE",
                        1, 5000.00);
e.computePay();
```

More important than compiling, the previous statements behave in the manner that we want. The compiler sees `computePay()` in the `Employee` class, which simply returns `0.0`. At run time, however, the JVM invokes `computePay()` in the `Salary` class because `e` references a `Salary` object.

The `SmartBoss` class in Listing 8.11 is a modification of the `Boss` class. The `payEmployee()` method in the `SmartBoss` takes advantage of virtual methods, and does not need to worry about casting or using the `instanceof` operator.

```

public class SmartBoss
{
    public void payEmployee(Employee e)
    {
        double pay = e.computePay();
        System.out.println("Just paid " + e.getName() + " $" + pay);
        e.mailCheck();
    }
}

```

**Listing 8.11** The SmartBoss class takes advantage of virtual methods.

The `payEmployee()` method in the `SmartBoss` class simply invokes `computePay()` on the `Employee` reference passed in, and at no time does the `SmartBoss` know or care what type of `Employee` object was actually passed in. At run time, virtual method invocation occurs, and the appropriate `computePay()` method is invoked in one of the child classes of `Employee`.

note

**Notice that the `SmartBoss` also invokes `mailCheck()`, which appears in the `Employee` class. The `Salary` class overrides `mailCheck()`, so when the argument passed in is of type `Salary`, `mailCheck()` in `Salary` is invoked. The `Hourly` class does not override `mailCheck()`, so the `mailCheck()` method in `Employee` is invoked when an `Hourly` object is passed in to `payEmployee()`.**

The `PayDemo2` program in Listing 8.12 instantiates and pays several employees. Study the program carefully, determine when virtual methods apply, and try to determine the output, which is shown in Figure 8.5.

```

public class PayDemo2
{
    public static void main(String [] args)
    {
        SmartBoss boss = new SmartBoss();

        Salary s = new Salary("Thomas Jefferson", "Monticello, VA",
3, 2600.00);
        Hourly h = new Hourly("John Adams", "Boston, MA", 2, 2.50);
        h.setHoursWorked(40);
        Employee e = new Employee("George W.", "Houston, TX", 43);

        System.out.println("*** Paying Salary object ***");
    }
}

```

**Listing 8.12** The `PayDemo2` program pays employees using the `SmartBoss`.

```

        boss.payEmployee(s);

        System.out.println("\n** Paying Hourly object **");
        boss.payEmployee(h);

        System.out.println("\n** Paying Employee object **");
        boss.payEmployee(e);
    }
}

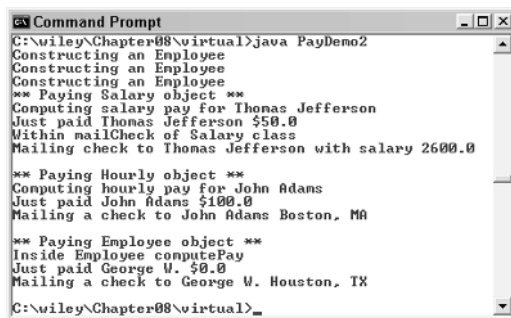
```

**Listing 8.12** (continued)

Within the PayDemo2 program, a Salary, Hourly, and Employee object are instantiated and passed in to the payEmployee() method of SmartBoss. (We can pass each type in to payEmployee() because it has an Employee parameter.) The payEmployee() method invokes computePay() which, by the nature of virtual methods, invokes computePay() in the appropriate child class. The same occurs when invoking mailCheck(), and the output is shown in Figure 8.5.

warning

**You should have a good reason to override a parent class method. Due to the behavior of virtual method invocation, which is the default behavior of all Java methods, overriding a method hides the parent class version of the method. In most cases, that is the desired result; however, if you just want to add to the tasks being performed in the parent method, be sure to invoke the parent method using the super keyword in the child method.**



```

C:\wiley\Chapter08\virtual>java PayDemo2
Constructing an Employee
Constructing an Employee
Constructing an Employee
** Paying Salary object **
Computing salary pay for Thomas Jefferson
Just paid Thomas Jefferson $50.0
Within mailCheck of Salary class
Mailing check to Thomas Jefferson with salary 2600.0

** Paying Hourly object **
Computing hourly pay for John Adams
Just paid John Adams $100.0
Mailing a check to John Adams Boston, MA

** Paying Employee object **
Inside Employee computePay
Just paid George W. $0.0
Mailing a check to George W. Houston, TX

C:\wiley\Chapter08\virtual>_

```

**Figure 8.5** The output of the PayDemo2 program.

## An Overview of Abstraction

Abstraction refers to the ability to make a class abstract in OOP. An abstract class is one that cannot be instantiated. All other functionality of the class still exists, and its fields, methods, and constructors are all accessed in the same manner. You just cannot create an instance of the class.

An abstract class might initially seem like an odd design. Why write a class and not allow anyone to create instances of it? In most situations, the design of the class is such that no one would want an instance of the class.

The Employee class is a perfect example of a class that really does not need to be instantiated from a design perspective. The PayDemo2 program created an Employee object, but notice that the employee did not have any information about how much it is paid, and its computePay() method simply returns 0.0. It is safe to say that no employee of our company would want to be strictly an Employee object (and not one of the child class types).

We can make it so that no one can instantiate an Employee object, by declaring the Employee class abstract. This has no effect on how the fields, methods, and constructors behave. The only result of making Employee abstract is that we can no longer create an instance of Employee. For example, the following new statement would not compile:

```
Employee e;           //This statement is OK.
e = new Employee("George W.", "Houston, TX", 43); //Compiler error!
```

You can still create Employee references, such as the reference e in the previous statements; however, you cannot use the new operator on Employee if the Employee class is declared abstract.

note

**If Employee is abstract, this does not affect the child classes Salary and Hourly. Assuming that Salary and Hourly are not abstract, you can create any number of instances of them. When a Salary object is instantiated, its Employee part is constructed, and an Employee constructor is invoked, just as before.**

**Similarly, the methods and fields of the Employee class behave the same and are accessed in the same manner as before. The only change that occurs by making the Employee class abstract is that we can no longer instantiate a new Employee object. Everything else about Employee remains the same.**

If a class is abstract and cannot be instantiated, the class does not have much use unless it is subclassed. This is typically how abstract classes come about during the design phase. A parent class contains the common functionality of a collection of child classes, but the parent class itself is too abstract to be used on its own.

I like to use an example about mammals when discussing abstraction. If I asked you to draw me a picture of a mammal, you would likely draw a dog, horse, person, or something similar. If I asked you to draw a picture of something that was just a mammal, you can see how the concept of a mammal is abstract. Many animals are mammals, but no animal is *just* a mammal. It seems logical, therefore, that no one would need to instantiate an object of type `Mammal` (assuming that we wrote a `Mammal` class). Similarly, all of our employees in our company are of type `Employee`, but no one is *just* an `Employee`. It seems logical that no one would need to instantiate an object of type `Employee`, and it should be declared abstract.

Let's now look at how to declare a class abstract in Java.

## Abstract Classes

Use the `abstract` keyword to declare a class abstract. The keyword appears in the class declaration somewhere before the class keyword. For example, the `Employee` class in Listing 8.13 is declared as abstract.

```
public abstract class Employee
{
    private String name;
    private String address;
    private int number;

    public Employee(String name, String address, int number)
    {
        System.out.println("Constructing an Employee");
        this.name = name;
        this.address = address;
        this.number = number;
    }

    public double computePay()
    {
        System.out.println("Inside Employee computePay");
        return 0.0;
    }

    public void mailCheck()
    {
        System.out.println("Mailing a check to "
            + this.name + " " + this.address);
    }

    public String toString()

```

**Listing 8.13** The `Employee` class is declared abstract by using the `abstract` keyword.

```
        {
            return name + " " + address + " " + number;
        }

        public String getName()
        {
            return name;
        }

        public String getAddress()
        {
            return address;
        }

        public void setAddress(String newAddress)
        {
            address = newAddress;
        }

        public int getNumber()
        {
            return number;
        }
    }
}
```

**Listing 8.13** (continued)

Notice that nothing else has changed in this `Employee` class. The class is now abstract, but it still has three fields, seven methods, and one constructor. We cannot instantiate a new `Employee`, but if we instantiate a new `Salary` object, the `Salary` object will inherit the three fields and seven methods from `Employee`. Similarly, an `Hourly` object will inherit the fields and methods as well.

The `AbstractDemo` program in Listing 8.14 contains one compiler error. See if you can find it, and try to determine the output of the program, assuming that the statement with the compiler error is omitted.

```
public class AbstractDemo
{
    public static void main(String [] args)
    {
        Employee s = new Salary("Thomas Jefferson", "Monticello, VA",
3, 2600.00);
        Employee h = new Hourly("John Adams", "Boston, MA", 2, 2.50);
    }
}
```

**Listing 8.14** The `AbstractDemo` program declares an `Employee` reference but cannot instantiate an `Employee` object.

```

        Employee e = new Employee("George W.", "Houston, TX", 43);

        System.out.println(s.getName() + "'s pay is $"
+ s.computePay());

        ((Hourly) h).setHoursWorked(50);
        System.out.println(h.getName() + "'s pay is $"
+ h.computePay());
    }
}

```

**Listing 8.14** (continued)

The only problem with the AbstractDemo program is the statement:

```
Employee e = new Employee("George W.", "Houston, TX", 43);
```

This statement does not compile, and generates the following compiler error:

```

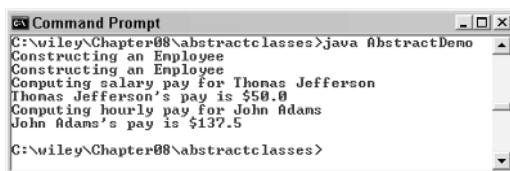
C:\wiley\Chapter8\abstract\AbstractDemo.java:7: Employee is abstract;
cannot be instantiated
        Employee e = new Employee("George W.", "Houston, TX", 43);
                        ^
1 error

```

If this statement is commented out, the program will compile and execute fine, and the output is shown in Figure 8.6.

## Abstract Methods

The abstract Employee class still has one peculiarity that I want to fix, and that is the computePay() method that does not do anything except return 0.0. Don't get me wrong—I want the computePay() method in the Employee class. Having it there allowed us to take advantage of virtual method invocation in the SmartBoss class.



```

C:\wiley\Chapter08\abstractclasses>java AbstractDemo
Constructing an Employee
Constructing an Employee
Computing salary pay for Thomas Jefferson
Thomas Jefferson's pay is $50.0
Computing hourly pay for John Adams
John Adams's pay is $137.5
C:\wiley\Chapter08\abstractclasses>

```

**Figure 8.6** The output of the AbstractDemo program.



If you think about it, we really don't care what `computePay()` does in the `Employee` class because it will not ever be invoked. Our assumption is that child classes of `Employee` will override `computePay()`, so the implementation of `computePay()` is insignificant.

This is where abstract methods become useful. If you want a class to contain a particular method but you want the actual implementation of that method to be determined by child classes, you can declare the method in the parent class as abstract. Abstract methods consist of a method signature, but no method body.

Listing 8.15 shows the `computePay()` method declared abstract. Notice the method has no definition, and its signature is followed by a semicolon, not curly braces.

```
public abstract class Employee
{
    private String name;
    private String address;
    private int number;

    public abstract double computePay();

    //Remainder of class definition
}
```

**Listing 8.15** The `computePay()` method is declared abstract and has no implementation in the `Employee` class.

Declaring a method as abstract has two results:

- The class must also be declared abstract. If a class contains an abstract method, the class must be abstract as well.
- Any child class must either override the abstract method or declare itself abstract.

From a design point of view, putting an abstract method in a parent class forces that particular behavior onto any child classes. A child class that inherits an abstract method must override it. If they do not, they must be abstract, and any of their children must override it. Eventually, a descendant class has to implement the abstract method; otherwise, you would have a hierarchy of abstract classes that cannot be instantiated.

Why make a method abstract then? Because forcing a behavior on other classes has its benefits. For example, suppose if `computePay()` is abstract in the `Employee` class, we can be guaranteed that any nonabstract child class of `Employee` will contain a `computePay()` method.

The Salary and Hourly classes already contain computePay(), so we can declare computePay() abstract in Employee without modifying Salary or Hourly. If we write a new class named Contractor that extends Employee, the Contractor must override computePay() or be declared abstract. If we want to instantiate Contractor objects, our only option is to override computePay(), as demonstrated by the following Contractor class in Listing 8.16.

```
public class Contractor extends Employee
{
    private double dailyRate;
    private int daysWorked;

    public Contractor(String name, String address, int number,
double dailyRate)
    {
        super(name, address, number);
        setDailyRate(dailyRate);
    }

    public double computePay()
    {
        System.out.println("Computing contractor pay for "
+ getName());
        return dailyRate * daysWorked;
    }

    public void setDailyRate(double newRate)
    {
        if(newRate >= 0.0 && newRate <= 2000.00)
        {
            dailyRate = newRate;
        }
    }

    public double getDailyRate()
    {
        return dailyRate;
    }

    public void setDaysWorked(int daysWorked)
    {
        if(daysWorked >= 0)
        {
            this.daysWorked = daysWorked;
        }
    }
}
```

**Listing 8.16** The Contractor extends Employee and overrides the abstract computePay() method.

## ◆ The Benefits of Using Abstract Classes and Methods

Declaring the `Employee` class as abstract has several important benefits in terms of the overall design of our program to pay employees. First, no `Employee` objects can be instantiated. This makes sense in our application because no employee of the company probably wants to be just an `Employee` object anyway. The `Employee` class does not contain any information about how that employee is paid.

In addition, if we used a heterogeneous collection of `Employee` references to manage the company's employees, every object in the data structure needs to be of type `Employee`. This is fine for `Salary` and `Hourly` employees because these two classes extend `Employee`. Suppose, however, that a new `Contractor` class is needed. If the `Contractor` objects want to appear in the company's data structure, they need to be of type `Employee`. This forces the `Contractor` class to extend the `Employee` class.

This leads to another benefit of abstraction, in which a method can be declared abstract. If `Contractor` extends `Employee`, `Contractor` must override the abstract `computePay()` method, thereby forcing the `Contractor` class to contain a `computePay()` method. That fits in perfectly with our design because we want the `Contractor` class to have a `computePay()` method anyway. Forcing behavior on a class is a typical and widely used OOP design. (Interfaces, discussed in Chapter 10, "Interfaces," are used extensively for this purpose.)

By using inheritance, abstraction, and polymorphism, the design of our employee program is now taking advantage of the fundamental benefits of OOP. If a new type of employee is needed, the class written to represent these new employees has to extend `Employee` if it wants to appear in the data structure. Because this new class must extend `Employee`, it must contain a `computePay()` method, which is what we wanted to accomplish in the first place with this program.

Most importantly, none of the existing classes are affected when a new type of employee comes along. When the `Contractor` class is added, the `Employee` class does not change; it is merely extended. The `Salary` and `Hourly` classes are not affected at all. The `SmartBoss` class that pays employees doesn't have to worry about new types of employees either because virtual methods are used, so the `SmartBoss` has no problem paying `Contractor` objects.

The `PayEmployees` class in Listing 8.17 uses the `SmartBoss` class to pay some `Employee` objects. What I want you to notice about this example is that the `SmartBoss` class discussed earlier does not need any modifications, even though the `Employee` class has since been made abstract and we added an entirely new class named `Contractor`.

Study the `PayEmployees` program, which compiles and executes successfully, and try to determine its output, which is shown in Figure 8.7.

```

public class PayEmployees
{
    public static void main(String [] args)
    {
        Salary s = new Salary("Thomas Jefferson", "Monticello, VA",
3, 2600.00);

        Hourly h = new Hourly("John Adams", "Boston, MA", 2, 2.50);
        h.setHoursWorked(40);

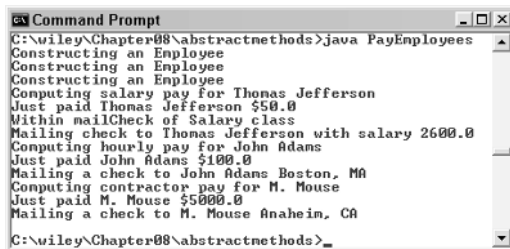
        Contractor c = new Contractor("M. Mouse", "Anaheim, CA",
44, 1000.00);
        c.setDaysWorked(5);

        SmartBoss boss = new SmartBoss();

        boss.payEmployee(s);
        boss.payEmployee(h);
        boss.payEmployee(c);
    }
}

```

**Listing 8.17** The PayEmployees program instantiates and pays several types of employees.



```

C:\wiley\Chapter08\abstractmethods>java PayEmployees
Constructing an Employee
Constructing an Employee
Constructing an Employee
Computing salary pay for Thomas Jefferson
Just paid Thomas Jefferson $50.0
Within mailCheck of Salary class
Mailing check to Thomas Jefferson with salary 2600.0
Computing hourly pay for John Adams
Just paid John Adams $100.0
Mailing a check to John Adams Boston, MA
Computing contractor pay for M. Mouse
Just paid M. Mouse $5000.0
Mailing a check to M. Mouse Anaheim, CA
C:\wiley\Chapter08\abstractmethods>_

```

**Figure 8.7** The output of the PayEmployees program.



## Lab 8.1: Using Polymorphism

The purpose of this lab is to become familiar with using polymorphism in Java. You will use polymorphic parameters to write a class that can “draw” any shape, and use virtual method invocation so that the appropriate shape is drawn without requiring any casting of references.

1. Write a class called `Shape` that only contains a method named `draw()`, with no parameters and a void return value. The `draw` method should print out “Drawing a Shape”.
2. Save and compile the `Shape` class.
3. Write a class named `Rectangle` that extends `Shape`. Add two `int` fields for the width and height of the rectangle, and add a constructor with two `int` parameters that are used to initialize these fields. Use encapsulation to ensure that the value of width and height are between 1 and 15.
4. In your `Rectangle` class, override the `draw()` method in `Shape`. Using nested for loops and asterisks (\*), print out the rectangle using its proper width and height. For example, if width is 7 and height is 3, the `draw()` method should display:

```
*****  
*       *  
*****
```

5. Save and compile the `Rectangle` class.
6. Write a class named `RightTriangle` that extends `Shape`. Add two `int` fields for the base and height of the triangle, and add a constructor with two `int` parameters that are used to initialize these fields. Use encapsulation to ensure that the value of base and height are between 1 and 20.
7. In your `RightTriangle` class, override the `draw()` method in `Shape`. Using nested for loops and asterisks, print out the triangle similarly to the way you printed out the `Rectangle`. For example, if the base is 8 and the height is 4, the output should look similar to:

```
*  
***  
*****  
*****
```

8. Save and compile the `RightTriangle` class.
9. Write a class named `Artist` that contains a method named `drawShape()`. The method has one parameter of type `Shape` and returns void. The `drawShape()` method should invoke the `draw()` method on whatever `Shape` object is passed in.
10. Save and compile the `Artist` class.
11. Write a class named `ArtistDemo` that contains `main()`. Within `main()`, instantiate a `Shape`, a `Rectangle`, and a `RightTriangle` object.

12. Within `main()`, also instantiate an `Artist` object. Using your `Artist` object, invoke `drawShape()` three times, once for each of the three shapes instantiated in the previous step.
13. Save, compile, and run the `Artist` class.



## Lab 8.2: Abstract Classes

The purpose of this lab is to become familiar with writing abstract classes and methods. This lab is a continuation of the work you did in Lab 8.1.

1. Within your `Shape` class from the previous lab, remove the body of the `draw()` method, and declare the method abstract.
2. Save and compile your `Shape` class.
3. Write a class named `Ladder` that extends `Shape`. Add a field of type `int` to represent the number of rungs in the ladder, and add a constructor that initializes this field. Use encapsulation to ensure the number of rungs is between 1 and 10.
4. Your `Ladder` class needs to override `draw()`. Using asterisks, draw a ladder with the appropriate number of rungs. For example, a five-rung ladder should look similar to:

```
*****
 *   *   *   *   *
 *   *   *   *   *
*****
```

5. Save and compile the `Ladder` class.
6. Modify your `ArtistDemo` program so that it also instantiates and draws a `Ladder` object.

## Summary

- Polymorphism is the ability of an object to take on many forms. The most common use of polymorphism in OOP occurs when a parent class reference is used to refer to a child class object.
- There are two key benefits to polymorphism: polymorphic parameters and heterogeneous collections.

- The `instanceof` keyword is used to determine if an object is an instance of a particular class.
- Methods in Java are virtual methods, meaning that an overridden method invoked at run time is dynamically invoked in the appropriate child class.
- Abstraction is the ability to write an abstract class. An abstract class is a class that cannot be instantiated. The `abstract` keyword is used to declare a class as abstract.
- The `abstract` keyword is also used to declare a method as abstract. An abstract method does not have any method body, and a class that contains an abstract method must be declared abstract as well. An abstract method must be overridden in any child classes, or the child class must also be abstract.

## Review Questions

1. Where does the term polymorphism originate?
2. What is the only effect that the abstract keyword has on a class?
3. What are the two main effects of declaring a method abstract?

Use the following three class definitions to answer the remaining questions.

```
//filename: Mammal.java
public abstract class Mammal
{
    public void breathe()
    {
        System.out.println("Mammal is breathing");
    }

    public abstract void nurse();
}

//filename: Cat.java
public class Cat extends Mammal
{
    public void breathe()
    {
        System.out.println("Cat is breathing");
    }

    public void nurse(int t)
    {
        System.out.println("Cat is nursing");
    }
}

//filename: Whale.java
public class Whale extends Mammal
{
    public void breathe()
    {
        System.out.println("Whale is breathing");
        super.breathe();
    }

    public void nurse()
    {
        System.out.println("Whale is nursing");
    }
}
```



4. The Whale class compiles fine, but the Cat class does not. Why not? What can be done to fix the problem?

5. Is the following statement valid?

```
Mammal m = new Whale();
```

6. What is the output of the following statements?

```
Whale w = new Whale();  
w.breathe();  
w.nurse();  
Mammal m = w;  
m.breathe();  
m.nurse();
```

7. Using the statements in the previous question, is the expression *m instanceof Whale* true or false?

8. Similarly, is the expression *w instanceof Mammal* true or false?

9. What about the expression *m instanceof Cat*?

## Answers to Review Questions

1. Poly means many, and morph means form. Polymorphism refers to the ability of an object to take on many forms.
2. A class declared abstract cannot be instantiated.
3. The class must also be declared abstract, and any child classes either must override the abstract method or declare themselves abstract as well.
4. The Cat class does not override the abstract nurse() method in Mammal. The Cat class contains a nurse() method, but it has a different parameter list than nurse() in Mammal. This is an example of method overloading, not method overriding. The Cat class can either be declared abstract or define a nurse() method that is public, void, and has no parameters, similar to the one in Mammal.
5. Yes. A Mammal reference can refer to a Whale object because a Whale *is a* Mammal.
6. Invoking breathe() and nurse() on a Whale object has the same result, whether the reference used is of type Whale (like w) or of type Mammal (like m). The output is:

```
Whale is breathing
Mammal is breathing
Whale is nursing
Whale is breathing
Mammal is breathing
Whale is nursing
```

7. True. m is a reference of type Mammal, but it is an instance of a Whale.
8. True. w refers to a Whale object. A Whale object *is a* Mammal object, so w is an instance of Mammal.
9. False. m refers to a Whale, and a Whale object is not a Cat object.





# Collections

A *collection* is an object that represents a group of objects. In this chapter, we will discuss the various types of collections in Java. I will begin with a discussion on arrays, including how to declare, instantiate, and access arrays. Then, I will discuss the *Java Collections Framework*, which is the term used to denote the various classes and interfaces in the J2SE that represent collections. Collections discussed in this chapter include vectors, hash tables, maps, and sets.

## Arrays

---

An *array* is a collection of elements stored in a contiguous block of memory. Each element in an array must be the same data type, and they are distinguished by an index. The first element in the array is at index 0.

Creating an array involves two steps, as follows:

1. Declaring a reference for the array.
2. Instantiating the array using the `new` keyword and specifying the size of the array.

note

**In Java, data is either a primitive data type or an object. Because arrays are not one of the eight primitive data types, they must be objects. Therefore, an array requires a reference to access it and the new keyword to instantiate it.**

Use square brackets to declare an array reference. For example, the following statement declares a reference to an array of ints:

```
int [] sums;
```

note

**In Java, you can also declare an array with the square brackets following the variable name, instead of preceding it. For example, sums can be declared as follows:**

```
int sums [];
```

**This is for compatibility with C and C++. My preference is to place the square brackets before the variable name, because it makes the reference declaration clearer.**

The sums reference can refer to any array of ints, no matter how many elements are in the array. Because sums is a reference, it can also be assigned to null.

The size of the array is determined when the array is instantiated. An int is placed in square brackets to specify the size. For example, the following statement assigns sums to a new array of 20 ints:

```
sums = new int[20];
```

Because an array must be in contiguous memory, its size cannot be changed after the memory is initially allocated. If the array of size 20 is deemed too small, a new larger array is instantiated and the old array is garbage collected. For example, the following statement assigns sums to a larger array of 30 ints:

```
sums = new int[30];
```

The array of 20 ints is garbage collected, assuming that it is no longer being referenced in your program. Assigning sums to an array of 20 ints and then to an array of 30 ints demonstrates how sums can refer to any size array of ints.

An array reference can be declared and the array object can be instantiated in the same statement. The following statement declares temps a reference to an array of doubles, and temps is assigned to a new array of 31 doubles in the same statement:

```
double [] temps = new double[31];
```

As with sums, temps can refer to any size array of doubles. Right now, it refers to an array of 31 doubles.

**note**

**Because an array object is instantiated using the new keyword, the memory is zeroed after it is allocated. Therefore, the initial values of the elements in the array will be their zero value (these values were found in Table 4.5). For example, the 31 doubles in the temps array are initially 0.0, and the 30 ints in the sums array are initially 0.**

## Accessing Arrays

The elements in an array are accessed by using a reference to the array and an index, an int value denoting which element in the array you want to access. The first element in the array is index 0, the second element is index 1, and so on.

For example, the following statements declare an array of 20 ints and place 1 in the first element, 2 in the second element, and 191 in the last element:

```
int [] sums = new int[20];
sums[0] = 1;
sums[1] = 2;
sums[19] = 191;
```

It takes 20 statements to assign the 20 ints in sums to a value, so for loops go hand in hand with arrays, as you might imagine. The following for loop assigns the values in sums to the sum of the first  $n + 1$  numbers, where  $n$  is the index:

```
sums[0] = 1;
for(int i = 1; i < 20; i++)
{
    sums[i] = sums[i-1] + i;
}
```

The first element in sums is assigned to 1; the for loop initializes the remaining 19 elements.

## The length Attribute

Suppose that the size of the sums array is 20 ints:

```
int [] sums = int[20];
```

The index of the last element in `sums` is 19. Using the index 20 is not valid, although the compiler will let you. Be careful because the following statement compiles:

```
sums[20] = 211;
```

However, at run time this statement causes an `ArrayIndexOutOfBoundsException` to occur. Java arrays are different from arrays in other languages in that Java arrays are objects. One benefit of this is that every array in Java has a *length attribute* that contains the size of the array.

By using the `length` attribute, you can greatly reduce the likelihood of inadvertently accessing elements beyond the end of an array. The following for loop prints out the elements in the `sums` array, using the `length` attribute as the upper limit of the loop control variable:

```
for(int i = 0; i < sums.length; i++)
{
    System.out.println("sums[" + i + "] = " + sums[i]);
}
```

warning

**Notice that the Boolean expression in the for loop uses “less than” `sums.length`, as opposed to “less than or equal to” `sums.length`. Because `sums` is of size 20, the value of `sums.length` is 20. If we use less than or equal to, we would access `sums[20]` in the loop and cause an exception to occur. This is a common programming error.**

## Arrays of References

There are nine types of arrays in Java: There is an array type for each of the eight primitive data types, and there is an array type for arrays of references. The `sums` and `temps` array are examples of arrays of primitive data types. The other type of array is an array of references. You can declare an array of any reference type.

For example, the following statement declares a reference to an array of `Employee` references:

```
Employee [] myCompany;
```

The variable `myCompany` can refer to any array of `Employee` references. The following statement assigns `myCompany` to a new array of 500 `Employee` references:

```
myCompany = new Employee[500];
```

This array is 500 references of type `Employee`, each initialized to null.

note

**If you want 500 `Employee` objects, you need 500 new statements. The following statement uses `new` only once, and the object that is instantiated is the array. No `Employee` objects are instantiated.**

```
new Employee[500]
```

The elements in `myCompany` are accessed just like any other array element: by using an index. The following statement assigns the 228th element to a new `Employee` object:

```
myCompany[227] = new Employee("George Washington", "Mount Vernon", 1);
```

To invoke a method on this `Employee` object, you use both the index and the dot operator. Assuming that the `Employee` class has a `mailCheck()` method, the following statement invokes it on the 228th element in the `myCompany` array:

```
myCompany[227].mailCheck();
```

To demonstrate using an array of references, we will create an array for a collection of `Employee` objects, using the following `Employee` class:

```
public class Employee
{
    public String name;
    public String address;
    public int number;

    public Employee(String name, String address, int number)
    {
        System.out.println("Constructing an Employee");
        this.name = name;
        this.address = address;
        this.number = number;
    }

    public void mailCheck()
    {
        System.out.println("Mailing a check to " + this.name
            + " " + this.address);
    }
}
```

The following `ArrayDemo` program instantiates and uses several arrays. Study the program and try to determine its output:



```
public class ArrayDemo
{
    public static void main(String [] args)
    {
        int [] sums;
        sums = new int[20];

        sums[0] = 1;
        for(int i = 1; i < 20; i++)
        {
            sums[i] = sums[i-1] + i;
        }

        for(int i = 0; i < sums.length; i++)
        {
            System.out.println("sums[" + i + "] = " + sums[i]);
        }

        System.out.println(sums.toString());

        double [] temps = new double[31];
        temps[0] = 85.0;
        temps[1] = 79.5;
        temps[2] = 76.0;

        Employee [] myCompany;
        myCompany = new Employee[500];
        myCompany[227] = new Employee("George Washington",
                                     "Mount Vernon", 1);
        myCompany[227].mailCheck();
        System.out.println("The length of myCompany is "
                          + myCompany.length);

        System.out.println(temps[31]);
    }
}
```

I want to make a few observations about the ArrayDemo program:

- Because arrays are objects, their class types extend `java.lang.Object`. This means that you can invoke any of the `Object` methods on arrays. In `ArrayDemo`, the `toString()` method is invoked on the `sums` array.
- The statement “Constructing an `Employee`” prints out only once, meaning that only one `Employee` object is created in memory.
- The final statement in `main()` accesses `temps[31]`, which compiles but causes an `ArrayIndexOutOfBoundsException`, as shown in the output in Figure 9.1.

```

C:\wiley\Chapter9\arrays>java ArrayDemo
sums[0] = 1
sums[1] = 2
sums[2] = 4
sums[3] = 7
sums[4] = 11
sums[5] = 16
sums[6] = 22
sums[7] = 29
sums[8] = 37
sums[9] = 46
sums[10] = 56
sums[11] = 67
sums[12] = 79
sums[13] = 92
sums[14] = 106
sums[15] = 121
sums[16] = 137
sums[17] = 154
sums[18] = 172
sums[19] = 191
[!E]ha34f2
Constructing an Employee
Mailing a check to George Washington Mount Vernon
The length of myCompany is 500
Exception in thread "main" java.lang.ArrayIndexOutOfBoundsException: 31
    at ArrayDemo.main(ArrayDemo.java:32)
C:\wiley\Chapter9\arrays>_

```

**Figure 9.1** Output of the ArrayDemo program.

## Array Initializers

In Java, you can declare an array reference, instantiate an array, and fill the array with elements all in a single statement. This process, which is referred to as an *array initializer*, is useful when creating small arrays that contain predetermined data.

An array initializer creates an array without using the `new` keyword. The elements in the array are listed within curly braces, separated by commas. For example, the following array initializer creates an array of five ints:

```
int [] odds = {1, 3, 5, 7, 9};
```

The first element in the `odds` array is 1, the second element is 3, and so on. Note that a semicolon is required after the right curly brace.

The following `ArrayInitDemo` program demonstrates array initializers. Study the program and try to determine its output, which is shown in Figure 9.2.

```
public class ArrayInitDemo
{
    public static void main(String [] args)
    {
        int [] odds = {1, 3, 5, 7, 9};
        System.out.println("odds.length = " + odds.length);
        for(int i = 0; i < odds.length; i++)

```

```

    {
        System.out.println("odds[" + i + "] = " + odds[i]);
    }

    String [] daysOfWeek = {"Saturday", "Sunday", "Monday",
        "Tuesday", "Wednesday", "Thursday", "Friday"};
    System.out.println("\ndaysOfWeek.length = " +
daysOfWeek.length);
    for(int i = 0; i < daysOfWeek.length; i++)
    {
        System.out.println("daysOfWeek[" + i + "] = "
            + daysOfWeek[i]);
    }

    Employee [] employees = {
        new Employee("M. Mouse", "Main St. USA", 1),
        new Employee("D. Duck", "Lake Buena Vista", 2),
        new Employee("W. Pooh", "100 Acre St.", 3)
    };

    System.out.println("\nemployees.length = " +
employees.length);
    for(int i = 0; i < employees.length; i++)
    {
        employees[i].mailCheck();
    }
}
}

```

note

An array initializer can be used only when a new array reference is being declared. For example, the following statement is a valid use of array initializers:

```
String [] weekend = {"Saturday", "Sunday"};
```

However, declaring the reference in one statement and assigning it to an array initializer in another statement is not valid:

```
String [] weekend;
weekend = {"Saturday", "Sunday"};           //Does not compile!
```

An array initializer can be used only when assigning it to a newly declared array reference, all in a single statement.

```

C:\wiley\Chapter09\arrays>java ArrayInitDemo
odds.length = 5
odds[0] = 1
odds[1] = 3
odds[2] = 5
odds[3] = 7
odds[4] = 9

daysOfWeek.length = 7
daysOfWeek[0] = Saturday
daysOfWeek[1] = Sunday
daysOfWeek[2] = Monday
daysOfWeek[3] = Tuesday
daysOfWeek[4] = Wednesday
daysOfWeek[5] = Thursday
daysOfWeek[6] = Friday
Constructing an Employee
Constructing an Employee
Constructing an Employee
employees.length = 3
Mailing a check to M. Mouse Main St. USA
Mailing a check to D. Duck Lake Buena Vista
Mailing a check to W. Pooh 100 Acre St.
C:\wiley\Chapter09\arrays>

```

**Figure 9.2** Output of the ArrayInitDemo program.

## Copying Arrays

Because arrays are fixed in size, it is not unusual when working with arrays to have to create a larger or smaller array and then copy the contents of an existing array into a new one. You can write a *for* loop that copies the contents of one array to another. An alternative to a *for* loop is the static method `arraycopy()` in the `System` class.

The `arraycopy()` method has the following signature:

```

public static void arraycopy(Object source, int sourcePos,
                             Object destination, int destinationPos,
                             int length)

```

The `arraycopy()` method is a good example of using `Object` as a polymorphic parameter. Because arrays are objects, they are of type `Object`. Therefore, any array reference can be passed in to the source and destination parameters.

`sourcePos` indicates where in the source array to copy from, and `destinationPos` indicates the location in the destination array to copy to. The `length` parameter represents the number of elements to copy.

The `ArrayCopyDemo` program demonstrates the use of the `arraycopy()` method by copying 10 ints from one array to another. Study the program and try to determine its output, which is shown in Figure 9.3.

```

public class ArrayCopyDemo
{
    public static void main(String [] args)
    {
        int [] odds = {1, 3, 5, 7, 9, 11, 13, 15, 17, 19};
        System.out.println("*** odds the first time ***");
        for(int i = 0; i < odds.length; i++)

```

```

    {
        System.out.println("odds[" + i + "] = "
            + odds[i]);
    }

    System.out.println("*** odds the second time ***");
    int [] temp = odds;
    odds = new int[20];

    System.arraycopy(temp, 0, odds, 4, temp.length);
    for(int i = 0; i < odds.length; i++)
    {
        System.out.println("odds[" + i + "] = "
            + odds[i]);
    }
}
}

```

In the `ArrayCopyDemo` program, the `odds` reference is assigned to the `temp` reference:

```
int [] temp = odds;
```

At the time of this assignment, `odds` is referring to an array of 10 ints. Therefore, `temp` is also referring to the array of 10 ints. Then, `odds` is assigned to a new array of 20 ints, all of which are initially 0. The first 10 elements in `temp` (which is all of the elements in `temp`) are copied into the new array of 20 ints, starting at the element at index 4. Notice in the output in Figure 9.3 that the other elements in `odds` are 0 because they have not been assigned a value yet.

```

C:\wiley\Chapter09\arrays>java ArrayCopyDemo
*** odds the first time ***
odds[0] = 1
odds[1] = 3
odds[2] = 5
odds[3] = 7
odds[4] = 9
odds[5] = 11
odds[6] = 13
odds[7] = 15
odds[8] = 17
odds[9] = 19
*** odds the second time ***
odds[0] = 0
odds[1] = 0
odds[2] = 0
odds[3] = 0
odds[4] = 1
odds[5] = 3
odds[6] = 5
odds[7] = 7
odds[8] = 9
odds[9] = 11
odds[10] = 13
odds[11] = 15
odds[12] = 17
odds[13] = 19
odds[14] = 0
odds[15] = 0
odds[16] = 0
odds[17] = 0
odds[18] = 0
odds[19] = 0
C:\wiley\Chapter09\arrays>

```

**Figure 9.3** Output of the `ArrayCopyDemo` program.

## Multidimensional Arrays

The arrays discussed up until now have been one-dimensional (often referred to as single arrays). In Java, you can create an array of any dimension. For example, a two-dimensional array (or double array) can be used to store data that is viewed as rows and columns. A three-dimensional array can be used to store data that is viewed as rows, columns, and a height dimension. You can create higher-dimensional arrays as well, although they become hard to visualize.

As with single arrays, multidimensional arrays are objects and require a reference. The reference is declared using multiple square brackets between the data type and the variable name. For example, the following statement declares a reference to a double array of ints:

```
int [] [] sums;
```

When instantiating a double array, two ints are used to specify the number of rows and columns. The following statement assigns sums to a new 10 x 12 array of ints:

```
sums = new int[10][12];
```

This array consists of 120 ints because in memory there will be 10 arrays of 12 ints. The sums reference points to an array of size 10, which contains 10 int array references. Each of the 10 int array references points to an array of 12 ints, resulting in 120 ints.

note

**A three-dimensional reference looks similar to the following:**

```
String [] [] [] dims;
```

**Instantiating the array involves three values, one for each dimension, as follows:**

```
dims = new String[5][5][4];
```

**The dims array consists of  $5 * 5 * 4 = 100$  String objects.**

Each element in a two-dimensional array requires two indexes to access it. In the sums array, the first value is between 0 and 9, and the second value is between 0 and 11. The following statement assigns the value 5 to the element in the third column of the fourth row:

```
sums[3][2] = 5;
```

Working with double arrays often involves nested loops because rows and columns are involved. The `DoubleArray` program contains nested for loops that fill the `sums` array with values. (The values are the sum of the row index plus the column index.) Study the program carefully and try to determine its output, which is shown in Figure 9.4.

```
public class DoubleArray
{
    public static void main(String [] args)
    {
        System.out.println("Instantiating a double array");
        int [] [] sums = new int[10][12];

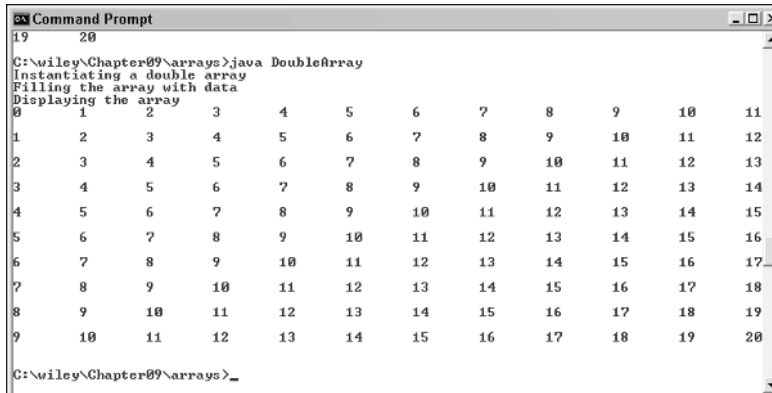
        System.out.println("Filling the array with data");
        for(int row = 0; row < 10; row++)
        {
            for(int col = 0; col < 12; col++)
            {
                sums[row][col] = row + col;
            }
        }

        System.out.println("Displaying the array");
        for(int row = 0; row < sums.length; row++)
        {
            for(int col = 0; col < sums[row].length; col++)
            {
                System.out.print(sums[row][col] + "\t");
            }
            System.out.println();
        }
    }
}
```

**note**

Notice in the `DoubleArray` program that the first set of nested for loops used 10 and 12 as the upper limits of their respective loop control variables. This works fine, but with arrays you should take advantage of the `length` attribute.

Notice that the second set of nested for loops uses `sums.length` for the row loop control variable. The value of `sums.length` is 10 because `sums` refers to an array of 10 references. For the column loop control variable, the for loop uses `sums[row].length`, which is 12 for each value of `row`.



```

C:\wiley\Chapter09\arrays>java DoubleArray
Instantiating a double array
Filling the array with data
Displaying the array
0      1      2      3      4      5      6      7      8      9      10     11
1      2      3      4      5      6      7      8      9      10     11     12
2      3      4      5      6      7      8      9      10     11     12     13
3      4      5      6      7      8      9      10     11     12     13     14
4      5      6      7      8      9      10     11     12     13     14     15
5      6      7      8      9      10     11     12     13     14     15     16
6      7      8      9      10     11     12     13     14     15     16     17
7      8      9      10     11     12     13     14     15     16     17     18
8      9      10     11     12     13     14     15     16     17     18     19
9      10     11     12     13     14     15     16     17     18     19     20
C:\wiley\Chapter09\arrays>_

```

**Figure 9.4** Output of the DoubleArray program.

## Example of a Heterogeneous Collection

I promised in Chapter 8, “Polymorphism and Abstraction,” that I would show you how to create a heterogeneous collection of Employee objects. The abstract Employee class has three child classes: Salary, Hourly, and Contractor. Suppose that we have a company that never has more than 200 employees. We could create three different arrays to keep track of the employees:

```

Salary [] salaries = new Salary[200];
Hourly [] hourlies = new Hourly[200];
Contractor [] contractors = new Contractor[200];

```

Using three different arrays has its disadvantages. First of all, using 200 references of each type is a waste of memory. But how do we distribute the employee types? What if one year we have 100 salaried, 100 hourly, and no contract employees, but a year later we have 150 salaried, 25 hourly, and 25 contract employees? I suppose we could start out with smaller arrays, but if any one of three arrays was too small, a larger array would have to be instantiated and the data from the smaller array copied into the larger array.

The second disadvantage has to do with changes to our program in the future. What if a new type of Employee is added? Then, a new array is needed, and the question about how big it should be arises again.



We can avoid these types of situations by creating a single heterogeneous collection that contains all the employees of the company, no matter how the employee is paid. The `Employee` class is a common parent, so we can create an array of `Employee` references by polymorphism:

```
Employee [] company = new Employee[200];
```

The company array consists of 200 `Employee` references, and each reference can refer to either a `Salary`, `Hourly`, or `Contractor` object. If a new child class of `Employee` comes along in the future, these objects can appear in the company array as well.

The `MyCompany` program uses the `Employee` class and instantiates 200 employees of random pay types, storing them in a single heterogeneous collection:

```
public class MyCompany
{
    public static void main(String [] args)
    {
        Employee [] company = new Employee[200];

        System.out.println("Randomly fill the array with employees");
        for(int i = 0; i < company.length; i++)
        {
            int random = (int) (Math.random() * 3);
            if(random == 0)
            {
                company[i] = new Salary("Salary " + i,
                                         "New York, NY", i, 50000.00);
            }
            else if(random == 1)
            {
                company[i] = new Hourly("Hourly " + i,
                                         "Chicago, IL", i, 10.00);
                ((Hourly) company[i]).setHoursWorked(40);
            }
            else
            {
                company[i] = new Contractor("Contractor " + i,
                                             "Denver, CO", i, 200.00);
            }
        }
    }
}
```

```

        ((Contractor) company[i]).setDaysWorked(5);
    }
}

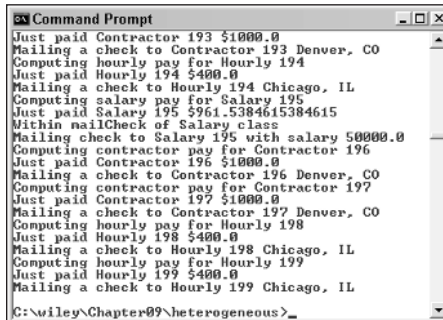
SmartBoss boss = new SmartBoss();

System.out.println("Paying each employee");
for(int i = 0; i < company.length; i++)
{
    boss.payEmployee(company[i]);
}
}
}

```

The `payEmployee()` method in `SmartBoss` has an `Employee` parameter, so all the elements in the `company` array can be passed in. Within `payEmployee()`, the `computePay()` and `mailCheck()` methods are invoked on the `Employee` passed in; and by virtual method invocation, the appropriate `computePay()` method is invoked on each of the 200 `Employee` objects.

Because the `MyCompany` program generates random types of employees, the output will look different each time the program is executed. Figure 9.5 shows an example of its output.



```

Command Prompt
Just paid Contractor 193 $1000.0
Mailing a check to Contractor 193 Denver, CO
Computing hourly pay for Hourly 194
Just paid Hourly 194 $400.0
Mailing a check to Hourly 194 Chicago, IL
Computing salary pay for Salary 195
Just paid Salary 195 $961.5384615384615
Within mailCheck of Salary class
Mailing check to Salary 195 with salary 50000.0
Computing contractor pay for Contractor 196
Just paid Contractor 196 $1000.0
Mailing a check to Contractor 196 Denver, CO
Computing contractor pay for Contractor 197
Just paid Contractor 197 $1000.0
Mailing a check to Contractor 197 Denver, CO
Computing hourly pay for Hourly 198
Just paid Hourly 198 $400.0
Mailing a check to Hourly 198 Chicago, IL
Computing hourly pay for Hourly 199
Just paid Hourly 199 $400.0
Mailing a check to Hourly 199 Chicago, IL
C:\wiley\Chapter09\heterogeneous>_

```

**Figure 9.5** Sample output of the `MyCompany` program.

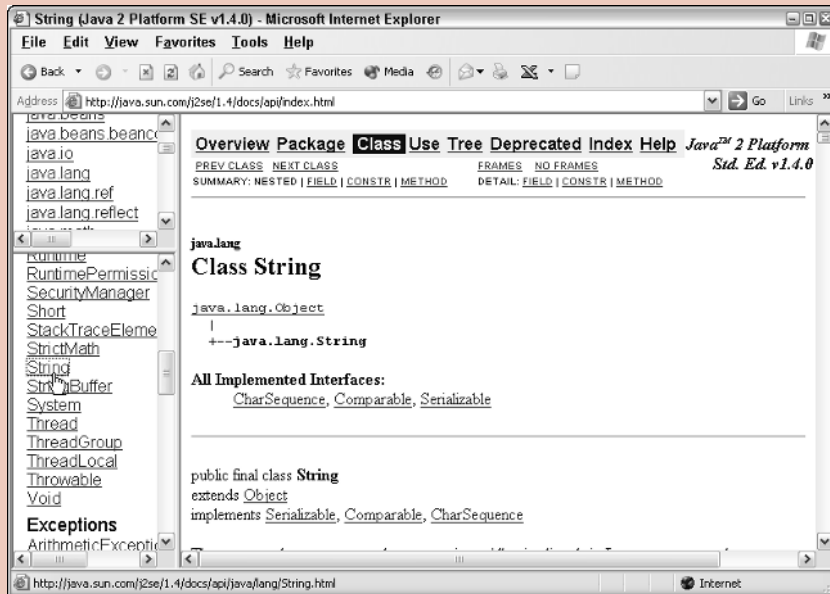
## ◆ Java Documentation

A unique and extremely useful feature of the Java language is the javadoc tool, which takes comments from Java source code and generates HTML pages. This encourages developers to add comments to their code because the HTML pages can be used by fellow developers and anyone else who wants to know about a class, without looking at the actual source code.

The documentation for the J2SE APIs was generated using the javadoc tool. This documentation is found on Sun's Web site at <http://java.sun.com/j2se/> at the same URL from which the SDK is downloaded (refer to Figure 1.1). You can download the documentation or view it online. Note that the documentation does not come with the J2SE SDK that you downloaded earlier.

Running the javadoc tool creates an HTML page for each class, which contains details about the class. Information on a class's page includes its inheritance hierarchy; a summary of the fields, constructors, and methods in the class; and detailed descriptions of each field, constructor, and method.

Figure 9.6 shows a portion of the javadoc page for the `java.lang.String` class.



**Figure 9.6** Documentation page for the `String` class.

Notice that the J2SE documentation is split into three frames. In the upper-left frame is a list of all the packages in the J2SE. Click on a package name, and all the interfaces and classes in that package appear in the lower-left frame. Clicking on a class name in the lower-left frame causes that class's documentation page to appear in the large frame in the center.

Find the documentation online and either download it or bookmark the Web page so that you can access it quickly. Throughout the remainder of the book, I will ask you to view the J2SE documentation for further information about specific classes and interfaces. The javadoc looks for special comments in your source code that appear in the following format:

```
/**
 */
```

Comments that contain general information about the class appear directly before the class declaration. Comments about a field, method, or constructor appear directly before the member's declaration in the class. In addition, you can use one of the following javadoc tags for specific types of comment information:

**@author.** Represents the name of the author or authors of the source code.

**@deprecated.** Denotes a member of the class as deprecated, meaning that the API should no longer be used.

**{@docRoot}.** Represents the relative path to root directory where the current page will be output. This is useful when providing a link to an outside URL.

**@exception.** Used by a method to list thrown exceptions.

**{@link package.class#member label}.** Creates a link to specified class member.

**{@linkplain package.class#member label}.** Same as {@link}, except that the plain text font is used instead of the code font.

**@param.** Used to describe the parameters of a method.

**@return.** Used to describe the return value of a method.

**@see.** Creates a "See also" heading for providing links or comments about other information the reader can check.

**@since.** Denotes a version number indicating when the member existed or was changed.

**@serial, @serialField, and @serialData.** Used for serialization purposes.

**@throws.** Same as the @exception tag.

**{@value}.** Used for displaying the value of a constant static field.

**@version.** Denotes the software version of the class.

The Television class, shown in the following listing, contains javadoc comments and demonstrates using the javadoc tags:

```
package electronics;

/** The Television class is used to represent a standard TV
 * that contains a channel and volume setting. This
 * particular javadoc comment will appear at the beginning
 * of the documentation page.
 */
```

*continued*

## ◆ Java Documentation *(continued)*

---

```
*      @author Rich Raposa
*      @version 1.2
*/

public class Television
{
    /**
     *      The channel field represents the current channel
     *      being watched.
     */
    public int channel;

    /**
     *      This field is private and by default will not
     *      appear on the documentation page.
     */
    private int volume;

    /**
     *      Constructs a Television object with a channel of
     *      4 and a volume 5.
     */
    public Television()
    {
        this(4,5);
        System.out.println("Inside Television()");
    }

    /**
     *      Constructs a Television object with a channel c
     *      and volume v.
     *      @param c The initial channel.
     *      @param v The initial volume.
     */
    public Television(int c, int v)
```

```
{
    System.out.println("Inside Television(int, int)");
    channel = c;
    setVolume(v);
}

/**
 *   Accessor method for the volume field.
 *   @return the current volume.
 */
public int getVolume()
{
    return volume;
}

/**
 *   Changes the volume as long as the parameter is
 *   a value between 0 and 10.
 *   @param v The new volume of the television. This value
 *   should be between 0 and 10.
 */
public void setVolume(int v)
{
    if(v >= 0 && v <= 10)
    {
        volume = v;
    }
}
}
```

You can run javadoc on one or more classes or on one or more packages. There are many options for javadoc. To view them, open a command prompt, type in javadoc, and press Enter.

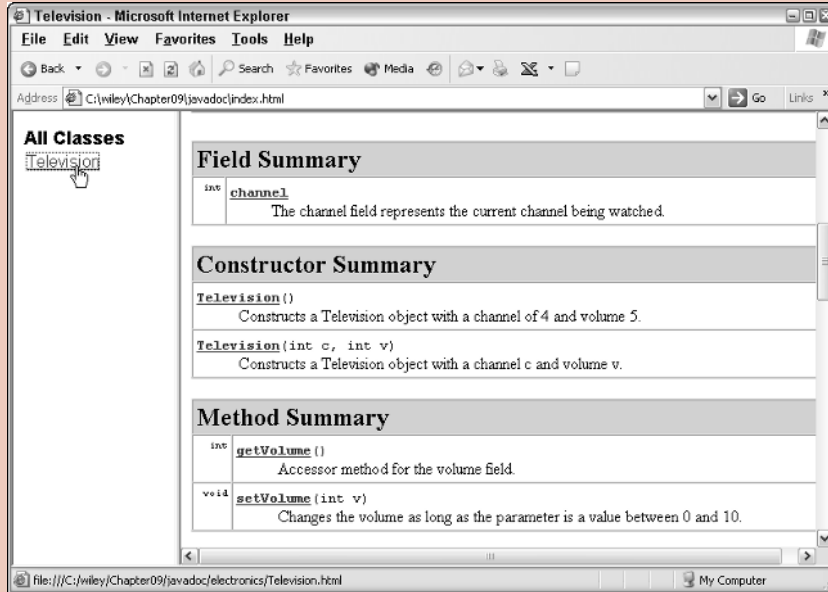
The following javadoc command is used to generate the HTML pages for the electronics package, with the author and version tags included:

```
javadoc -author -version -d . electronics
```

Many pages are generated from this command. The Television documentation page is shown in Figure 9.7. Notice that the private field volume does not appear because we did not specify private as one of the javadoc options.

*continued*

## ◆ Java Documentation (continued)



**Figure 9.7** Documentation page for the Television class.

In Lab 9.2, you will practice using javadoc comments within a class and running the javadoc tool.

tip

**For the javadoc tool to work successfully, your source code must be saved in a directory structure that matches the package name of the classes (the same rule that applies for bytecode). For example, a class named Television in an electronics package must be saved in a file named `.\electronics\Television.java`.**

## Overview of the Java Collections Framework

The J2SE 9 contains a collection of classes and interfaces referred to as a collections framework. The goal of the *collections framework* is to provide a common architecture for working with collections, reducing the amount of code that developers need to write by providing the basic data structures. Data structures fit into three basic categories:

**Lists.** Also known as a *sequence*, a *list* is a collection of ordered elements, and each element is accessed by an index. Lists allow for multiple entries and multiple null elements. An array is an example of a list, and other examples include the `Vector`, `ArrayList`, and `LinkedList` classes (all in the `java.util` package).

**Sets.** A *set* is similar to a list except that a set does not allow multiple elements to appear in the set. More specifically, if `x1` and `x2` are in the set, `x1.equals(x2)` must result in `false`. In addition, sets can contain at most one null element. Examples of sets include the `HashSet`, `TreeSet`, and `LinkedHashSet` classes (all in the `java.util` package).

**Maps.** Elements in a *map* consist of (key, value) pairs, in which each value in the collection has a key associated with it. Each key can map to at most one element, and duplicate keys are not allowed. Examples of maps include `Hashtable`, `HashMap`, `IdentityHashMap`, `TreeMap`, and `WeakHashMap` classes (all in the `java.util` package).

note

**Notice that the same type of data structure can be used to implement different types of collections. For example, sets are implemented as a hash table using the `HashSet` class and as a balanced tree using the `TreeSet` class. Lists are implemented as a resizable array with the `ArrayList` class and a linked list with the `LinkedList` class. Maps are implemented as both hash tables (`Hashtable` and `HashMap`) and trees (`TreeMap`).**

Different collections result in different levels of performance, depending on how the data structure is being used. For example, an element in a list can be quickly accessed by using its index, but lists take longer when searching for an element. Trees have more overhead when accessing elements, but can be extremely efficient when searching for an element. Adding elements to a tree or list takes longer than adding elements to a hash table.

The purpose of the collections framework is to create a collection of classes that have similar methods, so using the classes is simple and makes code more manageable. My goal in the remainder of this chapter is for you to become familiar with the way these classes work. After you have worked with the more popular classes such as `Vector` and `Hashtable`, you will find that the other classes in the collections framework are similar.

## The Vector Class

---

The `java.util.Vector` class is a list implementation that represents a growable array of elements. In fact, you can think of a `Vector` as an array that (after it reaches its capacity) simply grows larger the next time an element is added to it.



The elements in a `Vector` are accessed by using an index, just like array elements. The elements also must be of type `java.lang.Object`, which means that the only elements that cannot appear in a `Vector` are the eight primitive data types.

 tip

**If you want to add a primitive data type to a `Vector`, use its corresponding wrapper class found in the `java.lang` package. For example, if you want to add an `int` to a `Vector`, wrap the `int` in an `Integer` object and add the `Integer` object to the `Vector`.**

A `Vector` has two attributes: a capacity and a capacity increment. The capacity represents the size of the `Vector` in memory. After the capacity is reached, the `Vector` must grow before a new element can be added. The capacity increment denotes how much the `Vector` should grow by when the `Vector` needs to increase in size. If the capacity increment is 0, the array simply doubles in capacity each time it needs to grow.

For example, if a `Vector` has a capacity of 50 and a capacity increment of 10, the `Vector` does not need to grow in memory until after 50 elements are added. When the 51st element is added, the `Vector` will grow to size 60 because its capacity increment is 10.

The `Vector` class has four constructors:

**`public Vector()`.** Creates an empty `Vector` with an initial capacity of 10 and a capacity increment of 0.

**`public Vector(int initialCapacity)`.** Creates an empty `Vector` with the given initial capacity and a capacity increment of 0.

**`public Vector(int initialCapacity, int capacityIncrement)`.** Creates an empty `Vector` with the given initial capacity and capacity increment.

**`public Vector(Collection c)`.** Creates a `Vector` that initially contains the elements in the given `Collection`. `Collection` is an interface that all of the data structures in the collections framework have in common. This constructor allows you to create a new `Vector` from the elements stored in a hash table or tree, for example, because the hash table or tree will be of type `Collection` through polymorphism.

The following statement instantiates an empty `Vector` with an initial capacity of 50 and a capacity increment of 10:

```
Vector employees = new Vector(50, 10);
```

The `employees` `Vector` is initially empty, so its size is 0. Elements are added to the `Vector` by using the various methods in the `Vector` class, which we will discuss next.

note

**Size and capacity are two entirely different attributes of a Vector. The size of a Vector is the number of elements in the Vector. The capacity of a Vector is the amount of room available for adding elements before the Vector needs to grow. For example, the employees Vector is empty, so its size is 0. However, it has room for 50 objects, so its capacity is 50.**

## Adding Elements to a Vector

The following methods from the Vector class are used to add elements to a Vector. Keep in mind that Vectors are similar to arrays, and each element is associated with an index in the array. Also notice that there are some redundancies in the functionality of some of these methods, which was done to make the Vector class similar to the other classes in the collections framework. (The Vector class has been around since JDK 1.0, whereas the collections framework is new as of Java 2.)

**public void add(int index, Object element).** Inserts the Object at the specified index within the Vector.

**public boolean add(Object element).** Appends the Object to the end of the Vector. The method returns true if the element is added successfully.

**public void addElement(Object element).** Appends the Object to the end of the Vector and is identical to the add(Object) method.

**public void insertElementAt(Object element, int index).** Inserts the Object at the specified index, causing elements after the index to be shifted down one index spot in the Vector. This method is identical to the add(int, Object) method.

**public boolean addAll(Collection c).** Adds the elements in the given Collection to the end of the Vector and returns true if the Vector changed.

**public boolean addAll(int index, Collection c).** Adds the elements in the given Collection to the Vector at the specified index and returns true if the Vector changed.

The VectorDemo program, shown in the following listing, creates a Vector of capacity 50 and capacity increment 10. Then, a for loop randomly inserts 51 Employee objects. Study the program carefully and try to determine not only the output of the program, but what the Vector will look like at the end of the for loop.

```
import java.util.Vector;  
  
public class VectorDemo  
{
```

```

public static void main(String [] args)
{
    Vector employees = new Vector(50, 10);

    System.out.println("Add some Employee objects
        to the vector...");
    int numSalary = 0;
    for(int i = 1; i <= 51; i++)
    {
        Employee e = null;
        int random = (int) (Math.random() * 3);
        if(random == 0)
        {
            e = new Salary("Salary " + i,
                "Palo Alto, CA", i, 100000.00);
            employees.add(0, e);
            numSalary++;
        }
        else if(random == 1)
        {
            e = new Hourly("Hourly " + i,
                "Cupertino, CA", i, 100.00);
            employees.insertElementAt(e, numSalary);
        }
        else
        {
            e = new Contractor("Contractor " + i,
                "Milpitas, CA", i, 1000.00);
            employees.add(e);
        }
    }

    System.out.println("The size of the vector is "
        + employees.size());
    System.out.println("The capacity of the vector is "
        + employees.capacity());
}
}

```

note

**The Vector class contains a `size()` method that returns the current number of elements in the Vector and a `capacity()` method that returns the current capacity of the Vector().**

In the VectorDemo program, if the employee added to the Vector is of type Salary, the object is added to the first element in the Vector:

```
employees.add(0, e);
```

This is not an efficient add because all subsequent elements in the employees Vector must shift down one to make room for the new employee. The Hourly objects are added to the Vector using the following statement:

```
employees.insertElementAt(e, numSalary);
```

The same result could have been achieved by using the following statement:

```
employees.add(numSalary, e);
```

The numSalary value is the number of Salary objects already added to the Vector, so the Hourly objects are inserted somewhere in the middle of the employees Vector.

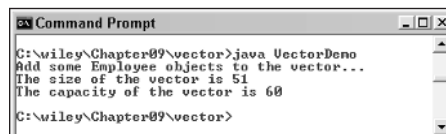
The Contractor objects are added to the Vector by using the following statement:

```
employees.add(e);
```

This appends the Contractor object to the end of the employees Vector. The result is a Vector of 51 Employee objects with the Salary objects appearing at the beginning, the Hourly objects in the middle, and the Contractor objects at the end. This means that the size of employees is 51, because 51 objects were added. Because its capacity was initially 50, employees needed to grow once by its capacity increment of 10, so the capacity of employees is 60, as shown by the output of the VectorDemo program shown in Figure 9.8.

## Accessing and Removing Elements in a Vector

Elements can be removed from a Vector, which causes the remaining elements to move up one (subtracting one from their index) in the Vector to fill in the space made by the removed element. The Vector class contains the following methods for removing elements:



```
Command Prompt
C:\wiley\Chapter09\vector>java VectorDemo
Add some Employee objects to the vector...
The size of the vector is 51
The capacity of the vector is 60
C:\wiley\Chapter09\vector>
```

**Figure 9.8** Output of the VectorDemo program.

- public void clear().** Removes all the elements from the Vector, causing the Vector to become empty. The same result can be achieved by using the public void removeAllElements() method.
- public Object remove(int index).** Removes the Object at the specified index and returns a reference to the Object. The same result can be achieved by using the public void removeElementAt(int index) method.
- public boolean remove(Object element).** Removes the first occurrence of the specified Object, as determined by the equals() method. The return value is true if the Vector actually contained and removed the element Object. The same result can be achieved by using the public boolean removeElement(Object element) method.
- public boolean removeAll(Collection c).** Removes the elements in the Vector that appear in the given Collection. The method returns true if the Vector is changed.
- public boolean retainAll(Collection c).** Removes the elements in the Vector that do not appear in the given Collection. The method returns true if the Vector is changed.
- public void setElementAt(Object element, int index).** Adds the given Object to the Vector at the specified index, removing any previous element at that index.

The Vector class also contains various methods for accessing the elements in the Vector, as well as obtaining general information about the Vector. Some of these methods include the following:

- public Object get(int index).** Returns a reference to the element in the Vector at the specified index. The public Object elementAt(int index) method can also be used for this purpose.
- public Object firstElement().** Returns the element at index 0.
- public Object lastElement().** Returns the last element in the Vector.
- public int indexOf(Object element).** Returns the index in the Vector of the first occurrence of the given element as determined by the equals() method. The return value is -1 if the element is not found.
- public Object [] toArray().** Returns an Object array containing all the elements in the Vector.

The VectorDemo2 program, shown in the following listing, demonstrates using some of these methods for accessing and removing elements from a Vector. Note that the Employee class was modified to include an equals() method that returns true if two Employee objects have the same number. Study the program and try to determine its output, which is shown in Figure 9.9.

```
import java.util.Vector;

public class VectorDemo2
{
    public static void main(String [] args)
    {
        Vector employees = new Vector(10); //initial capacity of 10

        System.out.println("Add some Employee
            objects to the vector...");

        int numSalary = 0;
        for(int i = 1; i <= 10; i++)
        {
            Employee e = null;
            int random = (int) (Math.random() * 3);
            if(random == 0)
            {
                e = new Salary("Salary " + i,
                    "Palo Alto, CA", i, 100000.00);
                employees.add(0, e);
                numSalary++;
            }
            else if(random == 1)
            {
                e = new Hourly("Hourly " + i,
                    "Cupertino, CA", i, 100.00);
                employees.insertElementAt(e, numSalary);
            }
            else
            {
                e = new Contractor("Contractor " + i,
                    "Milpitas, CA", i, 1000.00);
                employees.add(e);
            }
        }

        System.out.println("The size of the vector is "
            + employees.size());
        System.out.println("The capacity of the vector is "
            + employees.capacity());

        Salary s = new Salary("", "", 4, 0.0);
        if(employees.remove(s))
        {
            System.out.println("Just removed employee number 4");
        }

        employees.remove(7);
        System.out.println("Just removed employee number 7");
    }
}
```

```

int size = employees.size();
System.out.println("The size is now " + size);
System.out.println("The capacity is now "
    + employees.capacity());

for(int i = 0; i < size; i++)
{
    Employee current = (Employee) employees.elementAt(i);
    if(current instanceof Hourly)
    {
        ((Hourly) current).setHoursWorked(40);
    }
    else if(current instanceof Contractor)
    {
        ((Contractor) current).setDaysWorked(5);
    }

    current.computePay();
    current.mailCheck();
}
}
}

```

The VectorDemo2 program adds 10 Employee objects to the employees Vector. The following statement removes employee number 4:

```
employees.remove(s)
```

```

C:\wiley\Chapter09\vector>java VectorDemo2
Add some Employee objects to the vector...
The size of the vector is 10
The capacity of the vector is 10
Just removed employee number 4
Just removed employee number 7
The size is now 8
The capacity is now 10
Computing salary pay for Salary 10
Within mailCheck of Salary class
Mailing check to Salary 10 with salary 100000.0
Computing salary pay for Salary 7
Within mailCheck of Salary class
Mailing check to Salary 7 with salary 100000.0
Computing salary pay for Salary 3
Within mailCheck of Salary class
Mailing check to Salary 3 with salary 100000.0
Computing hourly pay for Hourly 9
Mailing a check to Hourly 9 Cupertino, CA
Computing hourly pay for Hourly 8
Mailing a check to Hourly 8 Cupertino, CA
Computing hourly pay for Hourly 6
Mailing a check to Hourly 6 Cupertino, CA
Computing hourly pay for Hourly 2
Mailing a check to Hourly 2 Cupertino, CA
Computing contractor pay for Contractor 5
Mailing a check to Contractor 5 Milpitas, CA
C:\wiley\Chapter09\vector>_

```

**Figure 9.9** Output of the VectorDemo2 program.

The `remove()` method searches the `Vector` for the first `Employee` that is equal to `s`. Because two `Employee` objects are equal if they have the same number, the employee who is number 4 is removed. The index of the removed employee is different each time the program is executed because of the random order in which the `Vector` is filled.

The following statement removes the object at index 7 from the employees `Vector`:

```
employees.remove(7);
```

The size of the vector is now 8, and the for loop uses the `elementAt()` method to traverse through the `Vector` and pay each `Employee` object. Figure 9.9 shows the output.

note

**The `Vector` class is useful in situations in which you need a resizable array. However, in terms of performance, `Vectors` can be quite inefficient if elements are continually being added and removed. Each time an element is added in the middle, all the elements beyond the inserted element need to be moved up one (index increases by one). Similarly, deleting an element causes all elements beyond the deleted element to be moved back one (their index decreases by one). When using a `Vector`, try to limit the number of arbitrary insertions and deletions.**

## The Hashtable Class

A *hash table* is a data structure that maps keys to values. A hash table can be viewed as a collection of buckets, with each bucket able to hold any number of entries. Adding an object to a hash table involves deciding which bucket to place the object in, a process referred to as hashing.

Every object in the hash table generates a hash code as determined by its `hashCode()` method. Every object has a `hashCode()` method that it inherits from `java.lang.Object`. A class that is to be used with hash tables should override the `hashCode()` method and return a unique value for different objects. The general rule is that if two objects are not equal as determined by their `equals()` method, the two objects should return different hash codes.

For example, the `Employee` class in the following listing uses the employee's number as its hash code. Notice that the `equals()` method in `Employee` defines two `Employee` objects as equal if they have the same number, which means that different `Employee` objects have a different hash code.



```
public abstract class Employee
{
    private String name;
    private String address;
    private int number;

    public Employee(String name, String address, int number)
    {
        this.name = name;
        this.address = address;
        this.number = number;
    }

    public int hashCode()
    {
        return number;
    }

    public boolean equals(Object x)
    {
        if(x == null || !(x instanceof Employee))
        {
            return false;
        }
        else
        {
            return this.number == ((Employee) x).number;
        }
    }
}
```

The `java.util.Hashtable` class implements a hash table. A `Hashtable` object has two attributes that determine how the hash table grows in memory: an initial capacity and a load factor. The initial capacity of a `Hashtable` is similar to the initial capacity of a `Vector`. It is the initial available memory for the hash table.

Vectors grow by a fixed amount when they become completely filled. Hash tables would be terribly inefficient if they behaved in this manner. Instead, a hash table grows when the buckets start to get too many elements in them. How many is too many? This is determined by the load factor, a value between 0 and 1 that is used in the following formula:

$$\text{number of entries} > \text{capacity} * \text{load factor}$$

When the number of entries in the hash table is greater than the load factor times the capacity, the hash table automatically resizes and rehashes itself. Suppose, for example, that you have a hash table whose capacity is 100 and whose load factor is 0.75. The point it resizes occurs after  $100 * 0.75 = 75$  elements are added to the hash table. After 75 elements, the hash table grows in

size, which means that there are more buckets. Each entry has to be rehashed because it will likely now appear in a different bucket. This entire process happens automatically in the `Hashtable` class.

**note**

**A load factor of 0.75 tends to be a good balance between the amount of memory consumed by the hash table and the amount of time it takes to add, remove, and access elements. If a hash table is too large, memory is wasted. If a hash table is too small, a performance loss occurs, especially if the table has to be rehashed.**

The `Hashtable` class has four constructors:

**`public Hashtable()`.** Creates an empty `Hashtable` with an initial capacity of 11 and a load factor of 0.75.

**`public Hashtable(int initialCapacity)`.** Creates an empty `Hashtable` with the given initial capacity and a load factor of 0.75.

**`public Hashtable(int initialCapacity, float loadFactor)`.** Creates an empty `Hashtable` with the given initial capacity and load factor.

**`public Hashtable(Map t)`.** Creates a `Hashtable` from the given `Map`, with an initial capacity large enough to hold the contents of the `Map` and a load factor of 0.75.

The following statement creates a hash table with an initial capacity of 10 and a load factor of 0.75:

```
Hashtable myCompany = new Hashtable(10);
```

The `myCompany` hash table will support 7.5 (actually 7) insertions before needing to be rehashed.

## Adding Elements to a Hashtable

A mapping is added to a hash table by “putting” the mapping into the hash table using the `put()` method in the `Hashtable` class:

```
public Object put(Object key, Object value);
```

The `key` parameter is the hash code of the `value` parameter. Neither of these two parameters can be null. Notice that the `key` is an `Object`, so using a primitive data type requires using the corresponding wrapper class.

The `HashtableDemo` program, shown in the following listing, creates a `Hashtable` and puts some `Employee` objects in it by using the previous `Employee` class listing.

```

import java.util.Hashtable;

public class HashtableDemo
{
    public static void main(String [] args)
    {
        Hashtable myCompany = new Hashtable(10);

        System.out.println("Add some Employee
            objects to the hash table...");

        Salary e1 = new Salary("Salary1", "Palo Alto, CA",
            1, 100000.00);
        Hourly e2 = new Hourly("Hourly2", "Cupertino, CA",
            2, 100.00);
        Contractor e3 = new Contractor("Contractor3", "Milpitas, CA",
            3, 1000.00);

        myCompany.put(new Integer(e1.hashCode()), e1);
        myCompany.put(new Integer(e2.hashCode()), e2);
        myCompany.put(new Integer(e3.hashCode()), e3);

        System.out.println("The size of the hash table is "
            + myCompany.size());
    }
}

```

note

**The Hashtable class has a `size()` method that returns the number of mappings in the hash table.**

In the `HashtableDemo`, three `Employee` objects are put into the `myCompany` `Hashtable`. The following statement adds the `Salary` object `e1`, whose `hashCode()` returns an `int` equal to 1 (because that is the employee number of `e1`):

```
myCompany.put(new Integer(e1.hashCode()), e1);
```

The `int` is wrapped in an `Integer` object and used as the key.

Notice that the other two `Employee` objects are put into the `Hashtable` using their respective hash codes:

```
myCompany.put(new Integer(e2.hashCode()), e2);
myCompany.put(new Integer(e3.hashCode()), e3);
```

The result is a `myCompany` hash table of size three, which you can see in the output shown in Figure 9.10.

```

C:\wiley\Chapter09\hashtable>java HashTableDemo
Add some Employee objects to the hash table...
The size of the hash table is 3
C:\wiley\Chapter09\hashtable>_

```

**Figure 9.10** Output of the HashTableDemo program.

## Accessing Elements in a Hashtable

To view an element in a hash table, you “get” it using the `get()` method of the `Hashtable` class:

```
public Object get(Object key)
```

The `key` parameter is the key of the `Object` you are looking for. The `get()` method returns a reference to the `Object` that matches the given key, or `null` if the key is not found.

Here are some other useful methods in the `Hashtable` class for determining information about the hash table and the elements within it:

**public boolean isEmpty().** Returns true if there are no mappings in the `Hashtable`.

**public void clear().** Removes all mappings from the `Hashtable`.

**public boolean containsValue(Object value).** Returns true if the `Hashtable` contains at least key mapping to the specified value.

**public boolean containsKey(Object key).** Returns true if the `Hashtable` contains a mapping for the specified key.

**public Object remove(Object key).** Removes the object mapped to by the specified key (and the key as well) and returns a reference to the value.

The `HashtableDemo2` program, shown in the following listing, demonstrates getting values using keys and also removes an element from the `Hashtable`. Study the program and try to determine its output, which is shown in Figure 9.11.

```

import java.util.Hashtable;

public class HashtableDemo2
{
    public static void main(String [] args)
    {
        Hashtable myCompany = new Hashtable(10);

        System.out.println("Add some Employee
                           objects to the hash table...");
    }
}

```

```

Salary e1 = new Salary("Salary1", "Palo Alto, CA",
                      1, 100000.00);
Hourly e2 = new Hourly("Hourly2", "Cupertino, CA", 2, 100.00);
Contractor e3 = new Contractor("Contractor3", "Milpitas, CA",
                               3, 1000.00);

myCompany.put(new Integer(e1.hashCode()), e1);
myCompany.put(new Integer(e2.hashCode()), e2);
myCompany.put(new Integer(e3.hashCode()), e3);

System.out.println("The size of the hash table is "
                  + myCompany.size());

int size = myCompany.size();
for(int i = 1; i <= size; i++)
{
    Employee current =
        (Employee) myCompany.get(new Integer(i));
    if(current instanceof Hourly)
    {
        ((Hourly) current).setHoursWorked(40);
    }
    else if(current instanceof Contractor)
    {
        ((Contractor) current).setDaysWorked(5);
    }

    current.computePay();
    current.mailCheck();
}

myCompany.remove(new Integer(2));
System.out.println("The size is now " + myCompany.size());
}
}

```

```

C:\wiley\Chapter09\hashtable>java HashtableDemo2
Add some Employee objects to the hash table...
The size of the hash table is 3
Computing salary pay for Salary1
Within mailCheck of Salary class
Mailing check to Salary1 with salary 100000.0
Computing hourly pay for Hourly2
Mailing a check to Hourly2 Cupertino, CA
Computing contractor pay for Contractor3
Mailing a check to Contractor3 Milpitas, CA
The size is now 2
C:\wiley\Chapter09\hashtable>_

```

**Figure 9.11** Output of the HashtableDemo2 program.

note

The other classes in the Java collections framework are similar to the `Vector` and `Hashtable` class in terms of construction and adding, deleting, and accessing elements. If you are interested in the other list, set and map classes, browse the Java documentation in the `java.util` package, which is where the collections framework classes are found.

## Classroom Q & A

**Q:** Why have all these different classes in the collections framework? Why not just use a class like `Vector` all the time?

**A:** The `Vector` class is a list data structure, like an array or a linked list. The `Hashtable` class is a map data structure and is entirely different internally from a `Vector`, even though the two classes are similar to use. Your question really should be: Why not just use a list all the time?

**Q:** Sounds good. Why not just use a list all the time?

**A:** Good question! Well, with a list, all the elements are accessed by using an index, which means you can iterate (traverse) a list quickly. However, insertions and deletions all take linear time, which can really add up if you are performing lots of these types of operations or working with a large list.

**Q:** Why not use a map all the time then?

**A:** A map is very efficient when adding and removing elements, but iterating a map is time-consuming. So there is the trade-off between lists and maps.

**Q:** So which is better to use?

**A:** You can answer that question only on a case-by-case basis because it depends entirely on your situation. If you are looking for a good data structure to use, my advice is to browse the `java.util` package in the Java documentation and read up on some of the different types of classes provided in the collections framework.



## Lab 9.1: Using Arrays

In this lab, you will modify your Powerball class from Lab 5.3 so that it keeps track of each colored ball in a single array.

1. In your text editor, open your Powerball class from Lab 5.3.
2. Remove the five fields that represent the five white balls. Add a single field that is a reference to an array of type `int`.
3. Within the Powerball constructor, assign the field in the previous step to a new `int` array of size five.
4. Modify the remainder of the Powerball class so that it uses the array of `ints` to store the values of the five white balls. Use a `for` loop and the `length` attribute of arrays when displaying the results in the `displayResults()` method.



## Lab 9.2: Using javadoc

In this lab, you will run the `javadoc` tool to create documentation for your Powerball class.

1. First off, delete the bytecode file `Powerball.class` from your hard drive. (I do not want the `Powerball.java` and `Powerball.class` files in the same directory.)
2. Add your Powerball class to a package named `lottery`.
3. Compile the class using the `-d` flag, which will create a `\lottery` directory.
4. Move `Powerball.java` from its current directory to the `\lottery` directory.
5. Add `javadoc` comments throughout your Powerball class, for all fields, methods, and constructors. Use the `@param` tag for any method parameters, and the `@return` tag for any return values. Add an `@author` and `@version` tag as well, and any other `javadoc` tags that you want to experiment with.
6. Run `javadoc` on the `lottery` package using the command line:

```
javadoc -author -version -private lottery
```

7. If you get an error stating that a package or class is not found, either run the command from the directory that contains `\lottery` or add the directory that contains `\lottery` to your CLASSPATH.
  8. Open the `index.html` file that was created, and check out your comments!
- 



## Lab 9.3: Using the Vector Class

This lab demonstrates using the Vector class. You will write a class called `GuessingGame` that randomly tries to “guess” a number you pick between 1 and 100. Each guess is saved in a Vector.

1. Write a new class named `GuessingGame`. Add a field of type `int` named `target` and a field of type `Vector` named `guesses`.
  2. Add a constructor that takes in an `int`, which is stored in the `target` field. Within the constructor, initialize `guesses` to a new `Vector` with an initial capacity of 100 and a capacity increment of 25.
  3. Add a public void method named `startGuessing()`. This method should contain a while loop that randomly generates an `int` between 1 and 100. If the random number matches the `target`, then break out of the for loop. If it doesn't, add the random number to the `guesses` Vector and keep repeating the loop.
  4. Add a public void method named `printGuesses()` that uses a for loop to print out all of the elements in the `guesses` Vector. After the for loop, print out the size of the Vector.
  5. Add `main()` to the `GuessingGame` class. Within `main()`, parse the first command-line argument to an `int` to represent the `target`. Use the method `Integer.parseInt()`.
  6. Within `main()`, instantiate a new `GuessingGame` object, passing in the `int` from the command line. Invoke `startGuessing()`, and then `printGuesses()`.
  7. Save and compile the `GuessingGame` class.
  8. Run the `GuessingGame` program, making sure that you pass in an `int` on the command line. The `startGuessing()` method will randomly generate `ints` between 1 and 100 until your number is guessed. You should see the output of the Vector after your number is guessed.
-





## Lab 9.4: Using the LinkedList Class

The purpose of this lab is to become familiar with using a collection class for the first time. The class you will use is `java.util.LinkedList`, which represents a linked list. A *linked list* is a list implementation in which each element contains a reference to both the next and previous elements in the list.

1. Find the `java.util.LinkedList` class in the Java documentation. Look over its constructors and methods.
2. Write a class named `StringSorter`. Add a field of type `LinkedList` and initialize this field in the `StringSorter` constructor.
3. Add a method named `addString()` that has a `String` parameter. This method should add the given `String` to the `LinkedList`, maintaining the list in alphabetical order. You will need to search the list and determine where in the list the `String` should appear.
4. Write a method named `printList()` that displays all the `String` objects in the `LinkedList`.
5. Save and compile the `StringSorter` class.
6. Write a program named `AddString` that contains `main()`. Within `main()`, instantiate a `StringSorter` object.
7. Add about a dozen `Strings` using the `addString()` method.
8. Print out the list using the `printList()` method.
9. Save, compile, and run the `StringSorter` program. Verify that it is working properly.

---

## Summary

- An array is a collection of elements stored in a contiguous block of memory. Arrays are fixed in length and cannot grow or shrink once they are declared. Every array has a `length` attribute that contains the size of the array.
- Arrays can be initialized using the `new` keyword or by using an array initializer.
- Java allows for multidimensional arrays of any dimension.

- The javadoc tool generates HTML pages that contain information about your classes, including any javadoc comments that may appear in your source code.
- The `java.util.Vector` class is a useful class for creating a heterogeneous collection of type `Object` that grows and shrinks dynamically.
- The `java.util.Hashtable` class represents a hash table of elements of type `Object`.
- The Java collections framework consists of the classes in the `java.util` package that represent the various data structures.

## Review Questions

1. True or False: An array object can be made smaller, but not larger.
2. How many String objects are instantiated in memory after the following statement:

```
String [] values = new String[10];
```

3. In the previous question, what is the value of values.length?
4. Suppose that we declare the following array. What is the value of types[1]?


```
char [] types = {'a', 'b', 'c', 'd'};
```

5. In the previous example, what is the value of types.length?
6. If a Vector is instantiated with an initial capacity of 20 and a capacity increment of 0, how many elements can be added to the Vector?
7. If a Vector is instantiated with an initial capacity of 20 and a capacity increment of 0, how many elements can be added to the Vector before it needs to be resized? What will the capacity of the Vector be after the first resizing?
8. Where does the add(Object) method from the Vector class add the given Object in the Vector?
9. Suppose that a Hashtable has a capacity of 50 and a load factor of 0.8. At what point does the hash table need to grow and be rehashed?

## Answers to Review Questions

1. False. Arrays are not resizable.
2. Zero. You get a reference to an array (values) and an array of 10 String references, but no String objects.
3. 10
4. 'b'
5. 4
6. A Vector is only limited in size by memory constraints. You can add as many elements as you have room for. The initial capacity and increment are not relevant in this question.
7. When the 21st element is added, the Vector will need to be resized. Vectors with a capacity increment of 0 double in size, so the capacity of the Vector after the 21st element is added will be 40.
8. The `add(Object)` method adds the given element at the end of the Vector.
9.  $50 * 0.80 = 40$ , so adding the 41st element causes the Hashtable to be resized and rehashed.





# Interfaces

Interfaces are a fundamental feature of the Java language and need to be understood before we can delve much further into the Java language. This chapter discusses what an interface is and how it is used, including writing and implementing an interface, declaring constants in interfaces, extending interfaces, and the effect of interfaces on polymorphism.

## An Overview of Interfaces

---

An *interface* is a collection of abstract methods. A class implements an interface, thereby inheriting the abstract methods of the interface. Unless the class that implements the interface is abstract, all the methods of the interface need to be defined in the class. An interface is similar to a class in the following ways:

- An interface can contain any number of methods.
- An interface is written in a file with a .java extension, with the name of the interface matching the name of the file.
- The bytecode of an interface appears in a .class file.
- Interfaces appear in packages, and their corresponding bytecode file must be in a directory structure that matches the package name.

However, an interface is different from a class in several ways, including:

- You cannot instantiate an interface.
- An interface does not contain any constructors.
- All of the methods in an interface are abstract.
- An interface cannot contain instance fields. The only fields that can appear in an interface must be declared both static and final.
- An interface is not extended by a class; it is implemented by a class.
- An interface can extend multiple interfaces.

note

**An interface is not a class. Writing an interface is similar to writing a class, but they are two different concepts. A class describes the attributes and behaviors of an object. An interface contains behaviors that a class implements.**

Interfaces have many uses and benefits. For example, an interface can be used to expose certain behaviors of a class, without exposing all of the behaviors of a class. Interfaces can be used to force behavior on other objects, ensuring that certain methods are implemented by an object. Interfaces can be used for polymorphism reasons, since an object can take on the form of an interface type.

We will discuss all of these uses and benefits of interfaces throughout this chapter. I want to start by discussing the details of writing interfaces and writing classes that implement interfaces.

## Declaring Interfaces

---

The interface keyword is used to declare an interface. The source code file for an interface has the format shown here:

```
//A package declaration
package package_name;
//Any number of import statements
import java.lang.*;
public interface NameOfInterface
{
    //Any number of final, static fields
    //Any number of abstract method declarations
}
```

Interfaces have the following properties:

- An interface is implicitly abstract. You do not need to use the abstract keyword when declaring an interface (although it is acceptable to use it).
- Each method in an interface is also implicitly abstract, so the abstract keyword is not needed. You can explicitly declare a method in an interface as abstract, but typically the abstract keyword is left off.
- Methods in an interface are implicitly public. It is common practice to use the public keyword when writing an interface, but if you do not explicitly declare a method in an interface as public, it will be public anyway.

The Java API contains hundreds of interfaces. For example, the following Runnable interface is defined in the java.lang package.

```
package java.lang;
public interface Runnable
{
    public void run();
}
```

The Runnable interface contains one method named run(). The Runnable interface is abstract, as is the run() method, even though the abstract keyword was not explicitly used in either place.

note

**The Runnable interface is used when writing multithreaded applications. The design of threads in Java requires a class to implement Runnable to be a thread, thereby forcing the class to have a run() method. When the thread is started, the run() method is invoked. You will see the Runnable interface again when we discuss threads in Chapter 15, “Threads.”**

The MouseListener interface is another example of an interface in the Java API. It is defined in the java.awt.event package and contains five methods:

```
package java.awt.event;
public interface MouseListener extends java.util.EventListener
{
    public void mouseClicked(MouseEvent m);
    public void mouseEntered(MouseEvent m);
    public void mouseExited(MouseEvent m);
    public void mousePressed(MouseEvent m);
    public void mouseReleased(MouseEvent m);
}
```



Any class implementing `MouseListener` needs to implement all five of these methods. Notice that the `MouseListener` interface has a parent interface named `EventListener`. Any class that implements `MouseListener` must also implement the methods of `EventListener`; however, `EventListener` does not contain any methods:

```
package java.util;
public interface EventListener
{}
```

The `EventListener` interface is an example of a *tagging interface*, which is discussed in a sidebar later in this chapter.

note

**The `EventListener` interface is the parent class of all listener interfaces (such as `MouseListener`). The listener interfaces are used extensively in handling GUI events, discussed in Chapter 13, “GUI Components and Event Handling.” Listener interfaces are also an essential element of JavaBeans, discussed in Chapter 19, “JavaBeans.”**

## User-Defined Interfaces

---

You can also write your own interfaces. The following `PhoneHandler` interface is a user-defined interface that contains three methods:

```
package customer.service;
public interface PhoneHandler
{
    public void answer();
    public boolean forward(int extension);
    public void takeMessage(String message, String recipient);
}
```

The `PhoneHandler` interface must be saved in a file named `PhoneHandler.java`, and the bytecode file `PhoneHandler.class` needs to appear in a `\customer\service` directory.

Let’s work through an example where you write your own interface and then a class that implements it. Open your text editor and follow along through the following steps.

## Write the Interface Source Code

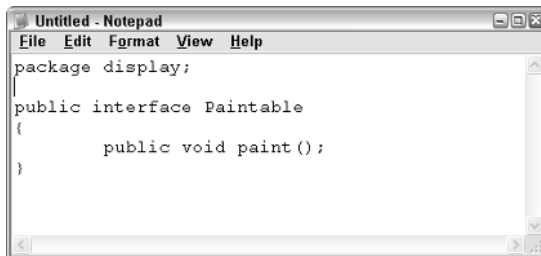
Start by writing the .java file for an interface named Paintable. The interface will represent any object that can be painted onscreen. It will contain a single method named paint().

Type in the Paintable interface shown in Figure 10.1.

## Compile the Interface

Save the source code file in a new directory named c:\interface\display. Create this directory, and save the file there as Paintable.java. Interfaces are compiled the same way as classes, using the javac compiler. Because you already created the \display directory, and Paintable.java is saved in that directory, you do not need to use the -d flag. You can compile it using the command line shown in Figure 10.2.

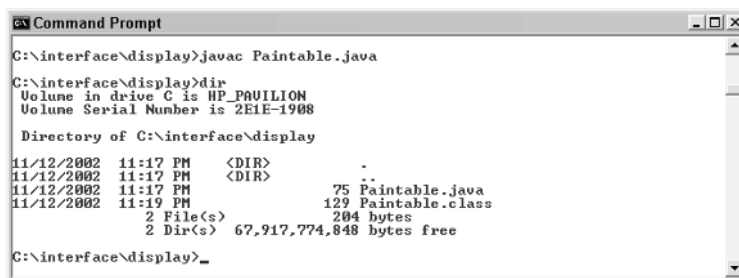
You should see a new file named Paintable.class in the \display folder. The display.Paintable interface is now ready to be implemented by a class, which we will do next.



```
Untitled - Notepad
File Edit Format View Help
package display;

public interface Paintable
{
    public void paint();
}
```

**Figure 10.1** The Paintable interface is in the display package.



```
Command Prompt
C:\interface\display>javac Paintable.java
C:\interface\display>dir
Volume in drive C is HP_PAULION
Volume Serial Number is 2E1E-1908

Directory of C:\interface\display

11/12/2002 11:17 PM <DIR>      .
11/12/2002 11:17 PM <DIR>      ..
11/12/2002 11:17 PM                75 Paintable.java
11/12/2002 11:19 PM                129 Paintable.class
                2 File(s)      204 bytes
                2 Dir(s)  67,917,774,848 bytes free

C:\interface\display>_
```

**Figure 10.2** Compile the interface using the javac compiler.

## Implementing an Interface

---

When a class implements an interface, you can think of the class as signing a contract, agreeing to perform the specific behaviors of the interface. If a class does not perform all the behaviors of the interface, the class must declare itself as abstract.

More specifically, when a class implements an interface, the class has two options:

- Implement all of the methods in the interface
- Be declared as abstract

A class uses the `implements` keyword to implement an interface. The `implements` keyword appears in the class declaration following the `extends` portion of the declaration. The format for `implements` looks similar to:

```
public class ClassName extends ParentClassName implements InterfaceName
```

For example, the following `HelloWorld` class declares that it implements the `Runnable` interface:

```
public class HelloWorld implements Runnable
```

The `HelloWorld` class is not declared as abstract, so it must contain the `run()` method declared in the `Runnable` interface.

A class can implement more than one interface, in which case a comma is used to separate the multiple interfaces. For example, the following `HelloWorld` class implements both the `Runnable` interface and the `java.awt.event.MouseListener` interface:

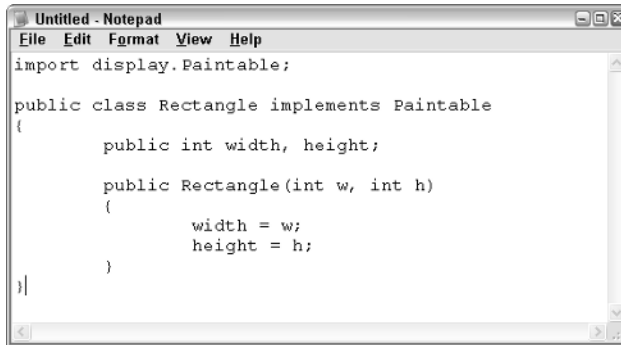
```
public class HelloWorld implements Runnable,  
java.awt.event.MouseListener
```

Again, because `HelloWorld` is not abstract, it must contain the `run()` method declared in the `Runnable` interface and the five methods declared in the `MouseListener` interface.

Now that we have seen the `implements` keyword, write a class that implements our `Paintable` interface written in the previous section.

## Write a Class That Implements `Paintable`

In this step, we will write a class named `Rectangle` that implements the `Paintable` interface. Open your text editor, and type in the class shown in Figure 10.3.



```

Untitled - Notepad
File Edit Format View Help
import display.Paintable;

public class Rectangle implements Paintable
{
    public int width, height;

    public Rectangle(int w, int h)
    {
        width = w;
        height = h;
    }
}

```

**Figure 10.3** The Rectangle class implements the Paintable class.

Notice that the Rectangle class declares that it implements Paintable, but it does not contain the paint() method. I did this intentionally to show you the compile error that is generated. Continue on to the next step, and see what happens.

## Save and Compile the Rectangle Class

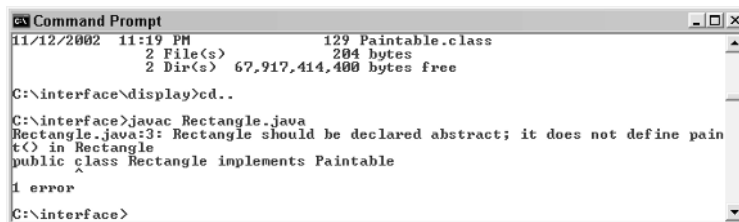
Save the Rectangle class in your c:\interface directory. Compile Rectangle.java using javac. You should get a compiler error similar to the one in Figure 10.4 because Rectangle is neither declared abstract, nor implements the paint() method in Paintable.

note

**If you want a quick fix for your Rectangle class, simply declare it as abstract:**

```
public abstract Rectangle implements Paintable
```

**It will compile now, but you will not be able to create any Rectangle objects because it is an abstract class.**



```

Command Prompt
11/12/2002 11:19 PM          129 Paintable.class
                2 File(s)      204 bytes
                2 Dir(s)      67,917,414,400 bytes free

C:\interface\display>cd..

C:\interface>javac Rectangle.java
Rectangle.java:3: Rectangle should be declared abstract; it does not define paint() in Rectangle
public class Rectangle implements Paintable
    ^
1 error
C:\interface>

```

**Figure 10.4** The Rectangle class does not properly implement Paintable.

## Add the paint() Method

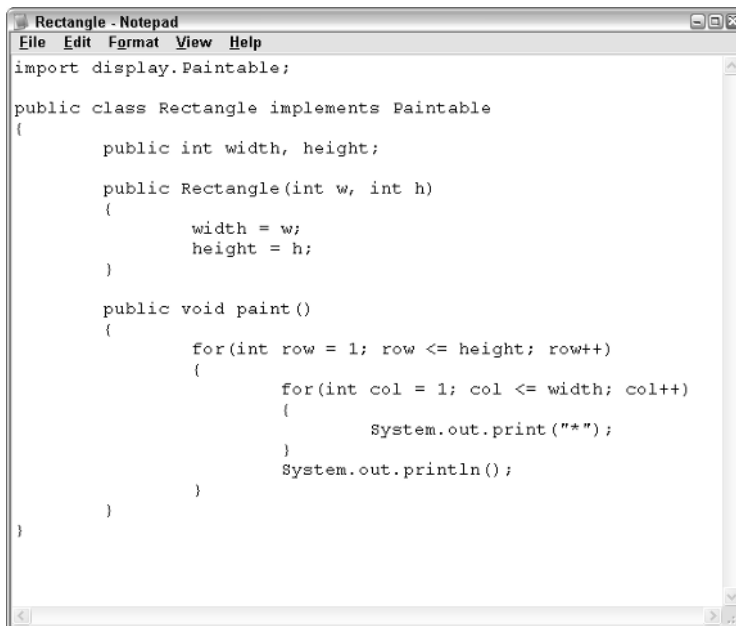
Let's add the `paint()` method to the `Rectangle` class so that it compiles properly. Add the `paint()` method shown in Figure 10.5, and compile your `Rectangle` class again. It should compile successfully this time.

You have now written both an interface and a class that implements the interface. Next, you will write a class that paints `Paintable` objects, and we will use the `Rectangle` class as an example.

## Write a Class That Uses Paintable

Write the `MyPainter` class in Figure 10.6, which contains a `paintAnything()` method. The `paintAnything()` method can paint any object of type `Paintable`. Within `main()`, a `MyPainter` object paints a `Rectangle` object passed in to the `paintAnything()` method. Type in the `MyPainter` program and save it in your `c:\interface` directory.

Run the `MyPainter` program, and you should see output similar to that in Figure 10.7.



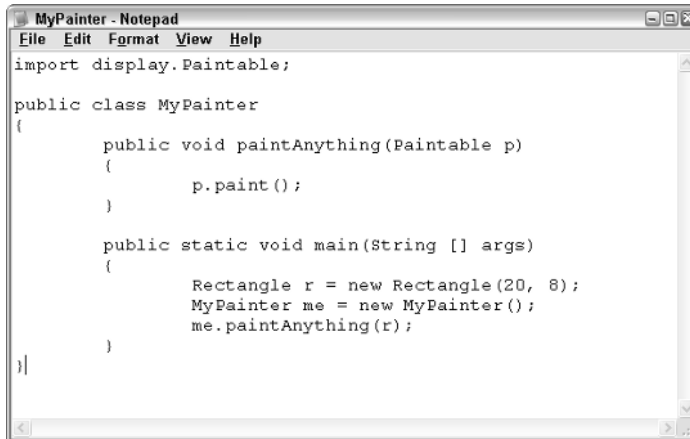
```
Rectangle - Notepad
File Edit Format View Help
import display.Paintable;

public class Rectangle implements Paintable
{
    public int width, height;

    public Rectangle(int w, int h)
    {
        width = w;
        height = h;
    }

    public void paint()
    {
        for(int row = 1; row <= height; row++)
        {
            for(int col = 1; col <= width; col++)
            {
                System.out.print("*");
            }
            System.out.println();
        }
    }
}
```

**Figure 10.5** The `Rectangle` class properly implementing `Paintable`.



```
MyPainter - Notepad
File Edit Format View Help
import display.Paintable;

public class MyPainter
{
    public void paintAnything(Paintable p)
    {
        p.paint();
    }

    public static void main(String [] args)
    {
        Rectangle r = new Rectangle(20, 8);
        MyPainter me = new MyPainter();
        me.paintAnything(r);
    }
}
```

**Figure 10.6** The MyPainter class uses the Paintable interface as a method parameter.



```
Command Prompt
C:\interface>javac Rectangle.java
C:\interface>javac MyPainter.java
C:\interface>java MyPainter
*****
*****
*****
*****
*****
*****
*****
C:\interface>
```

**Figure 10.7** Output of the MyPainter program.

## Using Interfaces

Interfaces have two equally important but different usages:

- An interface can be used to expose the methods of a class, allowing users of the class to interface with objects of the class via the methods in the interface.
- An interface can be used to force certain behaviors on a class.

These two usages create a wealth of design opportunities for Java applications. Being able to expose methods of a class using an interface is used extensively in distributed computing, which includes technologies such as RMI (Remote Method Invocation), CORBA, and Web Services.

Forcing certain behaviors on a class allows you to create more generic and flexible classes that can communicate with completely different objects that share a common interface. The `MouseListener` interface is an example of forcing behavior on an object. When a mouse is clicked, a `MouseEvent` object is instantiated and passed to a listener, invoking the `mouseClicked()` method. How can the source of the `MouseEvent` know that a listener has even bothered to write the `mouseClicked()` method? Because the listener was forced to implement the `MouseListener` interface, or it would not have been allowed to listen for mouse clicks. Because the listener was forced to implement `MouseListener`, the `mouseClicked()` method must have been written, so the source of the event is guaranteed to be able to invoke `mouseClicked()` successfully on the listener.

Let's look at examples of both of these interface uses. We will start with a class that uses an interface to expose certain methods in the class.

## Exposing Methods via an Interface

---

The following code shows an `Employee` class that contains various methods for accessing an employee's personal information, as well as methods for paying an employee. The details of the methods have been kept simple so as to not detract from the emphasis of this example.

```
public class Employee
{
    private String name, address;
    private double weeklyPay;
    public Employee(String name, String address)
    {
        this.name = name;
        this.address = address;
    }
    public String getName()
    {
        return name;
    }
    public void setName(String n)
    {
        name = n;
    }
    public String getAddress()
    {
        return address;
    }
    public void setAddress(String a)
    {
        address = a;
    }
}
```

```

public double getWeeklyPay()
{
    return weeklyPay;
}
public void computePay(int hoursWorked)
{
    weeklyPay = hoursWorked * 6.50;
    System.out.println("Weekly pay for " + name
                       + " is $" + weeklyPay);
}
public void mailCheck()
{
    System.out.println("Mailing check to " + name
                       + " at " + address);
}
}

```

Imagine that we have two departments in our company—a Payroll Department for handling the weekly payroll duties, and a Human Resources Department for managing general information about employees. The Payroll Department does not need to access or change the personal information about an employee. The Human Resources Department needs access to an employee’s information, but should not be accessing any paycheck details.

The methods pertinent to the Payroll Department can be exposed in one interface, and the methods pertinent to the Human Resources Department can be exposed in another interface.

For example, the following interface named `Payable` exposes three methods from the `Employee` class.

```

public interface Payable
{
    public void computePay(int hoursWorked);
    public void mailCheck();
    public double getWeeklyPay();
}

```

The following interface named `EmployeeInfo` exposes four methods from the `Employee` class.

```

public interface EmployeeInfo
{
    public String getName();
    public void setName(String n);
    public String getAddress();
    public void setAddress(String a);
}

```



Now that we have written the interfaces, we need a class to implement them. But in our example, the `Employee` class already implements these methods, so all we need to do is make a quick change to `Employee`, having it declare that it implements both `Payable` and `EmployeeInfo`, as shown here:

```
public class Employee implements Payable, EmployeeInfo
{
    //The class definition remains the same.
}
```

note

**By implementing `Payable` and `EmployeeInfo`, through polymorphism an `Employee` object becomes a `Payable` object and an `EmployeeInfo` object. If someone in payroll needs an `Employee` object, we can pass the `Employee` object to a `Payable` reference. If someone in human resources needs an `Employee` object, we can pass the `Employee` object to an `EmployeeInfo` reference. With a `Payable` reference, only the `Payable` methods can be invoked. With an `EmployeeInfo` reference, only the methods in `EmployeeInfo` can be invoked.**

In Chapter 8, “Polymorphism and Abstraction,” we saw a `SmartBoss` class that has a `payEmployee()` method with an `Employee` parameter. This allows `SmartBoss` to pay any `Employee` object. The `SmartBoss` can use the `Employee` reference and invoke any of the methods in the `Employee` class.

The following `Payroll` class represents the Payroll Department of the company. The parameter of `payEmployee()` in `Payroll` is a `Payable` reference, meaning that we can pass in any object that is of type `Payable`. Because the `Employee` class implements `Payable`, `Employee` objects are of type `Payable`, so we can pass `Employee` objects in to the `Payable` reference of the `payEmployee()` method.

```
public class Payroll
{
    public void payEmployee(Payable p)
    {
        p.computePay(40);
        p.mailCheck();
    }
    public void printPaycheck(Payable p)
    {
        System.out.println("Printing check for $" + p.getWeeklyPay());
    }
}
```

note

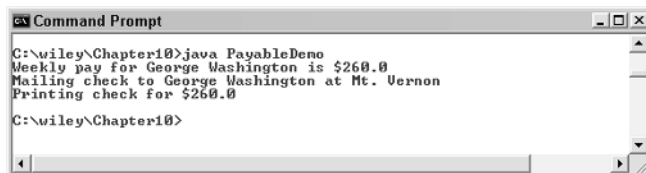
In the `Payroll` class, the `payEmployee()` method uses the `Payable` parameter to invoke `computePay()` and `mailCheck()`. The `Payroll` class cannot invoke any of the methods in `Employee` that do not appear in `Payable`. For example, within `payEmployee()`, the statement:

```
p.setName("Bill Gates"); //Will not compile
```

is not valid. Only the three methods in `Payable` can be invoked using `p` because `p` is a `Payable` reference. This was the whole purpose of this example, to create a situation in which specific methods of the `Employee` class are exposed through an interface. The way the `Payroll` class is designed, `Payroll` objects cannot access or change an employee's name or address. `Payroll` objects can only invoke the three methods in `Payable` that pertain to an employee's weekly paycheck.

The following `PayableDemo` program instantiates a `Payroll` object and pays an `Employee`. Study the program and try to determine its output, which is shown in Figure 10.8.

```
public class PayableDemo
{
    public static void main(String [] args)
    {
        Employee e = new Employee("George Washington", "Mt. Vernon");
        Payroll payroll = new Payroll();
        payroll.payEmployee(e);
        payroll.printPaycheck(e);
    }
}
```



```
Command Prompt
C:\wiley\Chapter10>java PayableDemo
Meekly pay for George Washington is $260.0
Mailing check to George Washington at Mt. Vernon
Printing check for $260.0
C:\wiley\Chapter10>
```

**Figure 10.8** The output of the `PayableDemo` program.

note

The Payroll class is a more generic and adaptable class than the SmartBoss class. The SmartBoss can only pay Employee objects, which limits it to child classes of Employee. The Payroll class can pay any Payable object, which is any object whose class implements Payable. This includes all Employee objects because Employee implements Payable.

Imagine that the Payroll Department needs to send checks to members of the Board of Directors, who are represented by a Director class. If the Director class does not extend Employee, the SmartBoss will not be able to pay Director objects. However, if the Director class implements Payable:

```
public class Director implements Payable
```

Director objects can be passed in to the payEmployee() method of Payroll without any modifications to the Payroll class. The payEmployee() method does not know the actual data type of the Payable argument passed in. It only knows that the argument is Payable, and therefore has a computePay() and mailCheck() method.

The Payable interface demonstrates how an interface is used to expose methods of a class. The Payroll class is handed Payable objects, not Employee objects. Similarly, suppose that we have a class named HumanResources to represent the Human Resources Department. If we want the human resources department to only be able to invoke the methods of Employee that are exposed in the EmployeeInfo interface, we can design the methods of the HumanResources class so that they use EmployeeInfo references.

The following HumanResources class contains methods for changing an employee's name and address. Notice that a HumanResources object cannot invoke methods like computePay() or mailCheck() in Employee. It can only invoke those methods in the EmployeeInfo interface.

```
public class HumanResources
{
    public String getInfo(EmployeeInfo e)
    {
        return e.getName() + " " + e.getAddress();
    }
    public void changeName(EmployeeInfo e, String name)
```

```

    {
        System.out.println("Changing name for " + e.getName());
        e.setName(name);
        System.out.println("New name is " + e.getName());
    }
    public void updateAddress(EmployeeInfo e, String address)
    {
        System.out.println("Changing address for " + e.getName());
        e.setAddress(address);
        System.out.println("New address is " + e.getAddress());
    }
}

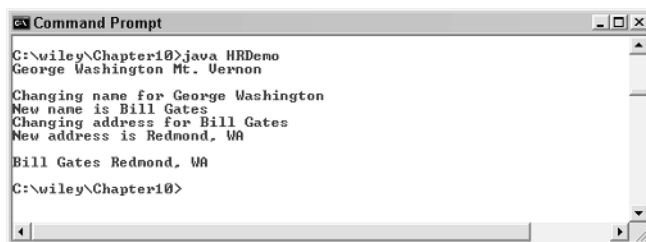
```

The following HRDemo program instantiates a HumanResources object and demonstrates changing an employee's name and address. Study the program and try to determine its output, which is shown in Figure 10.9.

```

public class HRDemo
{
    public static void main(String [] args)
    {
        Employee e = new Employee("George Washington", "Mt. Vernon");
        HumanResources hr = new HumanResources();
        System.out.println(hr.getInfo(e) + "\n");
        hr.changeName(e, "Bill Gates");
        hr.updateAddress(e, "Redmond, WA");
        System.out.println("\n" + hr.getInfo(e));
    }
}

```



```

C:\wiley\Chapter10>java HRDemo
George Washington Mt. Vernon
Changing name for George Washington
New name is Bill Gates
Changing address for Bill Gates
New address is Redmond, WA
Bill Gates Redmond, WA
C:\wiley\Chapter10>

```

**Figure 10.9** The output of the HRDemo program.

## Forcing Behavior on a Class

An interface can be used as a parameter to a method, as we saw earlier in this chapter in both the Payroll class and the HumanResources class. For example, the `payEmployee()` method in Payroll has a `Payable` parameter. In that example, the purpose of using the `Payable` interface was to expose certain methods of the `Employee` class to the Payroll object, while hiding the other methods in `Employee`.

You can also use interface parameters to force classes to implement a particular interface. By forcing a class to implement a particular interface, you are forcing the class to contain certain methods.

note

**This type of design is used throughout Java programming, and we will see several important examples of interface parameters in the remainder of this book, including GUI event handling, threads, and JavaBeans.**

For example, imagine that we have written a program that updates an audience of a football game every time the score changes. The audience in this example could be someone waiting for updates on a cell phone or PDA, or someone at home watching the scores change on a Web site, or a restaurant that flashes the scores on an electronic scoreboard for its customers. In these examples, each audience is an example of a listener. They are waiting and listening for a particular event to occur. We need to keep track of all these different types of listeners. This heterogeneous collection of listeners will not be too difficult to create because we saw in Chapter 9, “Collections,” that the collections framework classes hold objects of type `Object`, which is any object.

However, we need a mechanism for notifying listeners when the score of the football game changes. How do we do this? Well, we are going to invoke a method on each listener. Which method will we invoke? And more importantly, how do we know that the listener has implemented the method we want to invoke?

By using an interface parameter, we will force anyone who wants an update of the football score to implement an interface named `FootballListener`. The `FootballListener` interface is defined as follows:

```
public interface FootballListener
{
    public void homeTeamScored(int points);
    public void visitingTeamScored(int points);
    public void endOfQuarter(int quarter);
    public void setHomeTeam(String name);
    public void setVisitingTeam(String name);
}
```

The following `FootballGame` class represents a live football game, and as events happen during the game, the listeners of the game receive updated information. Notice that the `FootballGame` class uses a `Vector` to keep track of all listeners. More importantly, notice how listeners get into the `Vector`.

```
import java.util.Vector;
public class FootballGame
{
    private String homeTeam, visitingTeam;
    private int homeScore, visitingScore;
    private Vector audience;
    public FootballGame(String homeTeam, String visitingTeam)
    {
        this.homeTeam = homeTeam;
        this.visitingTeam = visitingTeam;
        audience = new Vector(10);
    }
    public void addFootballListener(FootballListener f)
    {
        //Add the listener to the Vector.
        audience.add(f);
        //Tell them who is playing.
        f.setHomeTeam(homeTeam);
        f.setVisitingTeam(visitingTeam);
    }
    public void homeTeamScored(int points)
    {
        //Notify the audience that the home team scored.
        int size = audience.size();
        for(int i = 0; i < size; i++)
        {
            FootballListener current =
                (FootballListener) audience.elementAt(i);
            current.homeTeamScored(points);
        }
    }
    public void visitingTeamScored(int points)
    {
        //Notify the audience that the visiting team scored.
        int size = audience.size();
        for(int i = 0; i < size; i++)
        {
            FootballListener current =
                (FootballListener) audience.elementAt(i);
            current.visitingTeamScored(points);
        }
    }
    public void quarterEnded(int quarter)
    {
```

```
        //Notify the audience which quarter just ended.
        int size = audience.size();
        for(int i = 0; i < size; i++)
        {
            FootballListener current =
                (FootballListener) audience.elementAt(i);
            current.endOfQuarter(quarter);
        }
    }
}
```

## Classroom Q & A

- Q:** How do listeners get into the audience Vector of the FootballGame class?
- A:** Look at the addFootballListener() method and you will see a call to add() invoked on the Vector. This adds the parameter f to the audience Vector.
- Q:** Sure, but how exactly does the FootballGame class force its audience to implement the FootballListener interface?
- A:** Two specific design techniques are used. The audience Vector is a private field, so no one outside the class can access the audience Vector directly. The only changes to audience occur in the addFootballListener() method. Also, the parameter is a FootballListener, so the only way to invoke addFootballListener() is to pass in a FootballListener object.
- Q:** But FootballListener is an interface. I thought you couldn't instantiate a FootballListener object.
- A:** True, you can't. But you can write a class that implements FootballListener, and instances of this class will be of type FootballListener.
- Q:** Why?
- A:** Because of polymorphism. If a class named CellPhone implements FootballListener, a CellPhone object is a FootballListener object. The design of our FootballGame class is such that the only way to be a member of the audience Vector is to write a class that implements FootballListener.

**Q:** What did we gain from this design?

**A:** We gained a benefit that might seem subtle but is an important aspect of the Java language—one that demonstrates the robustness and structure of the Java programming language. When it comes time to inform the objects in the audience Vector of a change in the score, we know that every object in that Vector is of type `FootballListener`. This means we can invoke any method in the `FootballListener` interface on every object in audience, and we are guaranteed that each object has implemented the method. Through this design, I have forced members of the audience Vector to have certain behaviors, and it was all accomplished using an interface parameter of type `FootballListener`.

**Q:** Can you do this in other programming languages?

**A:** This type of benefit with interfaces is difficult to accomplish in languages that are not object oriented. We are using two key OOP concepts: encapsulation and polymorphism. Interfaces in Java are a powerful design tool, as long as you understand how they can be used to improve the design of your applications. Read on!

Let's write a class that wants to listen to a football game. The following `ScoreBoard` class implements the `FootballListener` interface.

```
public class ScoreBoard implements FootballListener
{
    private String home, visitors;
    private int homePoints, visitorPoints;
    private int currentQuarter;
    public ScoreBoard()
    {
        currentQuarter = 1;
    }
    public void updateScore()
    {
        System.out.println("*****");
        System.out.println(home + ": " + homePoints);
        System.out.println(visitors + ": " + visitorPoints);
        displayQuarter();
        System.out.println("*****");
    }
    public void displayQuarter()
    {
        if(currentQuarter > 0)
        {
            System.out.println("Game is in quarter "
                + currentQuarter);
        }
    }
}
```



```
        }
        else
        {
            System.out.println("Final score");
        }
    }
    public void homeTeamScored(int points)
    {
        System.out.println("The home team just scored " + points);
        homePoints += points;
        updateScore();
    }
    public void visitingTeamScored(int points)
    {
        System.out.println("The visiting team just scored " + points);
        visitorPoints += points;
        updateScore();
    }
    public void endOfQuarter(int quarter)
    {
        if(quarter >= 1 && quarter <= 3)
        {
            currentQuarter++;
        }
        else
        {
            currentQuarter = -1;    //game is over
        }
        System.out.println("Quarter " + quarter + " just ended.");
        updateScore();
    }
    public void setHomeTeam(String name)
    {
        home = name;
    }
    public void setVisitingTeam(String name)
    {
        visitors = name;
    }
}
```

The ScoreBoard class contains an implementation for each method in FootballListener, and therefore successfully implements the FootballListener interface. The following OaklandAtDenver program demonstrates a ScoreBoard object adding itself to the audience Vector of a FootballGame object. Whenever an event occurs in the DenverAtOakland game, the ScoreBoard object is notified.

Study the OaklandAtDenver program and try to determine its output. Run the program to verify the output.

```

public class OaklandAtDenver
{
    public static void main(String [] args)
    {
        System.out.println("Instantiating a new FootballGame");
        FootballGame game = new FootballGame("Broncos", "Raiders");
        System.out.println("Instantiating a listener");
        ScoreBoard scoreBoard = new ScoreBoard();
        System.out.println("Registering a listener to the game");
        game.addFootballListener(scoreBoard);
        System.out.println("Simulating a game...");
        game.homeTeamScored(7);
        game.quarterEnded(1);
        game.visitingTeamScored(3);
        game.visitingTeamScored(7);
        game.quarterEnded(2);
        game.quarterEnded(3);
        game.homeTeamScored(3);
        game.homeTeamScored(7);
        game.quarterEnded(4);
    }
}

```

In the `OaklandAtDenver` program, a `ScoreBoard` object was added to the audience `Vector` of the `FootballGame` object with the statement:

```
game.addFootballListener(scoreBoard);
```

This line of code is the key to the football example. If you want to watch the game, you need to invoke `addFootballListener()`, which requires a `FootballListener` object, of which `ScoreBoard` is one. As the `OaklandAtDenver` game is played, the `ScoreBoard` object is updated of all score changes and end of quarters, since `ScoreBoard` is in the audience `Vector`.

note

**The `ScoreBoard` object can do anything it wants with the information about the football game. It displays the score to `System.out`, but a Web page could convert it to HTML and generate a Web page. A cell phone company could send a text message to a Broncos or Raiders fan. A television station could display the scores on a scrolling ticker across the bottom of the screen.**

**The `FootballGame` class is not concerned with how the audience is listening or what the audience is doing with the information about score changes and so on. `FootballGame` simply notifies everyone who is listening to the game of any score changes because everyone in the audience has implemented the `FootballListener` methods.**

## Declaring Fields in Interfaces

Interfaces can contain fields, as long as the fields are declared both static and final. They must be static because an interface cannot be instantiated, and the requirement to be final is for program reliability.

note

**It does not make sense for an interface to contain a nonstatic field because the interface can never be instantiated. Recall that static fields do not require an instance of a class to exist in memory, but nonstatic fields are created only when an object is instantiated. Static fields in an interface are allocated in memory when the interface is loaded by the JVM's class loader.**

The following PhoneHandler interface demonstrates an interface with three fields. As with the PhoneHandler example, most examples of fields in an interface are some type of enumeration.

```
package customer.service;
public interface PhoneHandler
{
    public static final int LOCAL = 0;
    public static final int LONG_DISTANCE = 1;
    public static final int COLLECT = 2;
    public void answer();
    public boolean forward(int extension);
    public void takeMessage(String message, String recipient);
}
```

Static members of a class are accessed using the class name. Similarly, static fields in an interface are accessed using the name of the interface. For example, the following statement accesses the LOCAL field of the PhoneHandler interface:

```
if(x == PhoneHandler.LOCAL)
```

The following FieldDemo program demonstrates using the fields of the PhoneHandler interface. Study the program and try to determine its output, which is shown in Figure 10.10.



**Figure 10.10** The output of the FieldDemo program.

```
import customer.service.PhoneHandler;
public class FieldDemo
{
    public static void main(String [] args)
    {
        int call = 1;

        switch(call)
        {
            case PhoneHandler.LOCAL :
                System.out.println("Dial 9");
                break;
            case PhoneHandler.LONG_DISTANCE :
                System.out.println("Dial 8");
                break;
            case PhoneHandler.COLLECT :
                System.out.println("Dial 0");
                break;
        }
    }
}
```

---

## Extending Interfaces

---

An interface can extend another interface, similarly to the way that a class can extend another class. The `extends` keyword is used to extend an interface, and the child interface inherits the methods of the parent interface.

The following `SportsListener` interface is extended by `HockeyListener` and `FootballListener` interfaces.

```
//Filename: SportsListener.java
public interface SportsListener
{
    public void setHomeTeam(String name);
    public void setVisitingTeam(String name);
}

//Filename: FootballListener.java
public interface FootballListener extends SportsListener
{
    public void homeTeamScored(int points);
    public void visitingTeamScored(int points);
    public void endOfQuarter(int quarter);
}

//Filename: HockeyListener.java
```

```
public interface HockeyListener extends SportsListener
{
    public void homeGoalScored();
    public void visitingGoalScored();
    public void endOfPeriod(int period);
    public void overtimePeriod(int ot);
}
```

The HockeyListener interface has four methods, but it inherits two from SportsListener; thus, a class that implements HockeyListener needs to implement all six methods. Similarly, a class that implements FootballListener needs to define the three methods from FootballListener and the two methods from SportsListener.

The following SportsTicker class implements the HockeyListener interface. Notice that the required six methods are defined to avoid declaring SportsTicker abstract.

```
public class SportsTicker implements HockeyListener
{
    private String home, visitors;
    private int homeGoals, visitorGoals;
    private int period, overtimePeriod;
    public void homeGoalScored()
    {
        homeGoals++;
    }
    public void visitingGoalScored()
    {
        visitorGoals++;
    }
    public void endOfPeriod(int period)
    {
        this.period = ++period;
    }
    public void overtimePeriod(int ot)
    {
        overtimePeriod = ot;
    }
    public void setHomeTeam(String name)
    {
        home = name;
    }
    public void setVisitingTeam(String name)
    {
        visitors = name;
    }
}
```

## Extending Multiple Interfaces

In Java, a class can only extend one parent class. (Multiple inheritance is not allowed.) Interfaces are not classes, however, and an interface can extend more than one parent interface. The `extends` keyword is used once, and the parent interfaces are declared in a comma-separated list.

For example, if the `HockeyListener` interface extended both `SportsListener` and `EventListener`, it would be declared as:

```
public interface HockeyListener extends SportsListener, EventListener
```

Any class that implements this `HockeyListener` interface must implement all the methods in `HockeyListener`, `SportsListener`, and `EventListener`.

note

**You might be curious to know what happens if an interface has two parents and each parent declares the exact same method. For example, if `SportsListener` and `EventListener` both contain a `playBall()` method, how does this affect a class that implements `HockeyListener`? Well, because it does not make sense for a class to have two `playBall()` methods, only one is required of the class.**

## ◆ Tagging Interfaces

The most common use of extending interfaces occurs when the parent interface does not contain any methods. For example, the `MouseListener` interface in the `java.awt.event` package extended `java.util.EventListener`, which is defined as:

```
package java.util;
public interface EventListener
{ }
```

An interface with no methods in it is referred to as a *tagging interface*. Why define an interface with no methods in it? Well, there are two basic design purposes of tagging interfaces:

**Creates a common parent.** As with the `EventListener` interface, which is extended by dozens of other interfaces in the Java API, you can use a tagging interface to create a common parent among a group of interfaces. For example, when an interface extends `EventListener`, the JVM knows that this particular interface is going to be used in an event delegation scenario.

**Adds a data type to a class.** This situation is where the term tagging comes from. A class that implements a tagging interface does not need to define any methods (since the interface does not have any), but the class becomes an interface type through polymorphism.

*continued*

## ◆ Tagging Interfaces *(continued)*

`java.io.Serializable` is an example of a tagging interface that is not designed to be a parent interface, but instead is meant to be implemented by a class so that objects of the class become Serializable objects. The Serializable interface is defined as:

```
package java.io;
public interface Serializable
{
    public static final long serialVersionUID;
}
```

Notice Serializable has one field, but no methods. A class can implement Serializable simply by declaring *implements Serializable*, and no additional changes are required of the class. The following Employee class implements the Serializable interface.

```
public class Employee implements java.io.Serializable
{
    public String name, address;
    public double weeklyPay;
    public void computePay(int hoursWorked)
    {
        weeklyPay = hoursWorked * 6.50;
        System.out.println("Weekly pay for " + name
+ " is $" + weeklyPay);
    }
    public void mailCheck()
    {
        System.out.println("Mailing check to " + name
+ " at " + address);
    }
}
```

Why implement an interface with no methods in it? What is different about the Employee class now that it implements Serializable? Well, the answer is based on polymorphism. If a class implements an interface, objects of the class can be treated as the interface data type. For example, an Employee object can be treated as a Serializable object.

Consider the following statements that use this Employee class. What is the output?

```
Employee e = new Employee();
if(e instanceof Employee)
{
    System.out.println("e is an Employee object");
}
if(e instanceof java.io.Serializable)
{
    System.out.println("e is a Serializable object");
}
```

The output of these statements is:

```
e is an Employee object
e is a Serializable object
```

If the `Employee` class did not implement `Serializable`, the second line of the output would not be displayed; therefore, implementing a tagging interface, although requiring no extra work of the class, adds a data type to objects of that class.

By the way, the `Serializable` interface is an important tagging interface in the Java language. A JVM can serialize an object, saving its state to a file or other output, and deserialize the object at a later time. This can only be done with objects that are of type `java.io.Serializable`, meaning it can only be done with objects whose class implements the `Serializable` interface. This allows the designer of a class to decide whether or not the class should be tagged as `Serializable`.

## Interfaces and Polymorphism

If a class implements an interface, objects of the class can take on the form of the interface data type. The capability of an object to take on the form of an interface is an example of polymorphism. I have used this fact several times throughout this chapter, but now I want to formally discuss the details of polymorphism and interfaces.

For example, in the `OaklandAtDenver` program discussed earlier, a `ScoreBoard` object is passed in to a method that has a `FootballListener` reference. The reason that this is valid is that the `ScoreBoard` class implements the `FootballListener` interface.

note

**In fact, we could have instantiated a `ScoreBoard` object using a `FootballListener` reference:**

```
FootballListener scoreBoard = new ScoreBoard();
```

**The *is a* relationship carries over to interfaces. `ScoreBoard` implements `FootballListener`, so a `ScoreBoard` object *is a* `FootballListener` object.**

Let's look at an example that demonstrates how interfaces affect polymorphism. We will look at a `Dog` class that both has a parent class and implements an interface. The following `Mammal` class is the parent class.

```
public class Mammal
{
    public void breathe()
    {
        System.out.println("Mammal is breathing");
    }
}
```



The following Play interface represents objects that can play fetch and play catch, which is implemented by our Dog class.

```
public interface Play
{
    public void playFetch();
    public void playCatch();
}
```

The following Dog class extends Mammal and implements the Play interface.

```
public class Dog extends Mammal implements Play
{
    public void playFetch()
    {
        System.out.println("Dog is fetching");
    }
    public void playCatch()
    {
        System.out.println("Dog is catching");
    }
    public void sleep()
    {
        System.out.println("Dog is sleeping");
    }
}
```

I want to point out the various forms that a Dog object can take on. Through polymorphism, a Dog can take on the following forms:

**Dog.** Certainly a Dog object can take the form of a Dog.

**Mammal.** A Dog object is a Mammal object because Dog extends the Mammal class.

**Play.** A Dog object is a Play object because Dog implements Play.

**Object.** A Dog object is an Object because Dog extends Mammal and Mammal extends Object.

Therefore, the following four statements are all valid:

```
Dog fido = new Dog();
Mammal rover = new Dog();
Play spot = new Dog();
Object pooch = new Dog();
```

Each of these four Dog objects looks the same in memory; however, each is being viewed in a different form, depending on its reference. For example, with the Dog reference `fido`, all the methods of Dog, Mammal, Object, and Play can be invoked without requiring any casting of the Dog reference.

```
fido.sleep();
fido.playFetch();
fido.breathe();
fido.toString();
```

Compare this to the Mammal reference `rover`. Without casting, which methods can be invoked? Aside from the Object methods, only the `breathe()` method can be invoked on `rover`:

```
rover.breathe();
```

Similarly, what methods (aside from the Object methods) can be invoked using the Play reference `spot`, without casting? Because it is a Play reference, only the two methods of Play can be invoked:

```
spot.playCatch();
spot.playFetch();
```

Using the Object reference `pooch`, only the methods in Object can be invoked without casting. For example, the following code is valid.

```
pooch.toString();
```

However, to invoke any of the methods in Mammal, Play, or Dog requires the `pooch` reference to be cast. For example, the following statement is valid:

```
((Dog) pooch).sleep();
```

The following `FourDogs` program demonstrates a Dog object taking on these four different forms through polymorphism. Study the program, which compiles and executes successfully, and try to determine its output, which is shown in Figure 10.11.

```
public class FourDogs
{
    public static void main(String [] args)
    {
```

```
        System.out.println("Instantiating four dogs");
        Dog fido = new Dog();
        Mammal rover = new Dog();
        Play spot = new Dog();
        Object pooch = new Dog();
        System.out.println("Invoking Dog methods");
        fido.sleep();
        fido.playFetch();
        fido.breathe();
        System.out.println("fido is " + fido.toString());
        System.out.println("\nInvoking Mammal methods");
        rover.breathe();
        System.out.println("\nInvoking Play methods");
        spot.playCatch();
        spot.playFetch();
        System.out.println("\nInvoking Object methods");
        System.out.println("pooch is " + pooch.toString());
        ((Dog) pooch).sleep();
    }
}
```



```
Command Prompt
C:\wiley\Chapter10\polymorphism>java FourDogs
Instantiating four dogs
Invoking Dog methods
Dog is sleeping
Dog is fetching
Mammal is breathing
fido is Dog@13f5d07

Invoking Mammal methods
Mammal is breathing

Invoking Play methods
Dog is catching
Dog is fetching

Invoking Object methods
pooch is Dog@f4a24a
Dog is sleeping

C:\wiley\Chapter10\polymorphism>
```

**Figure 10.11** The output of the FourDogs program.



## Lab 10.1: Implementing an Interface

In this lab, you will write your own version of a `FootballListener` and use the `FootballGame` class from this chapter to add your `FootballListener` to the audience.

1. Start by copying the `FootballGame.class` and `FootballListener.class` files into a new directory you create, named `c:\football`.
2. Declare a class named `CellPhone` that implements `FootballListener`.
3. Within your `CellPhone` class, add fields for the names of the teams, their scores, and the current quarter.
4. Add all of the methods in the `FootballListener` interface. The assumption here is that a `CellPhone` display has limited resources, so only display an output (to `System.out`) when the score changes or when the game is over. Try to limit the text of your output as much as possible, while still displaying the information in an informative manner.
5. Save the `CellPhone` class in your `c:\football` directory and then compile it.
6. Write a program that simulates a football game. You will need to instantiate a `FootballGame` object and a `CellPhone` object, and invoke `addFootballListener()`, passing in your `CellPhone` object.
7. Simulate a game by having the two teams score and the quarters change; then run your program to verify that your `CellPhone` object is listening and displaying the proper results of the game.

As the football game is played, you should be able to watch it on the `CellPhone`, seeing the output at the command prompt.



## Lab 10.2 Interfaces and javadoc

This lab demonstrates how the `javadoc` tool creates documentation for interfaces.

1. In Lab 10.1, you created a directory named `c:\football`. Make sure that directory has the following four `.class` files in it: `FootballGame`, `FootballListener`, `CellPhone`, and your program that simulates a football game.

2. In your `CellPhone` class, add javadoc comments for the class and the methods, including author and version information.
3. From the command prompt, run the following javadoc command from the `c:\football` directory:

```
javadoc -author -version *.java
```

4. If successful, the javadoc tool should have created a file in the `c:\football` directory named `index.html`. Open this file in your Web browser, and view the documentation, specifically the `FootballListener` interface.

The page `index.html` should contain a link to each of the four classes and interfaces that you added javadoc comments to. You should be able to see your comments as you browse through the documentation.

---

## Summary

---

- An interface is a collection of abstract methods that are implemented by a class. A class uses the `implements` keyword to implement an interface.
- A class that implements an interface must implement each method defined in the interface. If not, the class must be declared `abstract`.
- An interface can be used to expose certain methods of a class. An interface can also be used to force a class to contain certain methods.
- An interface can contain fields. Each field in an interface is implicitly `public`, `static`, and `final`.
- An interface can extend one or more interfaces.
- An interface with no methods in it is referred to as a tagging interface.

## Review Questions

1. True or False: An interface is saved in a .java file that must match the name of the interface.
2. True or False: The bytecode for an interface appears in a .intf file, where the filename matches the name of the interface.
3. True or False: All methods in an interface are abstract.
4. True or False: Methods in an interface can be public, protected, or the default access, but not private.
5. If a class declares that it implements an interface but the class does not define all the methods of the interface, the class must be declared \_\_\_\_\_.
6. What is the term used to describe an interface with no methods in it?
7. Name two important uses of interfaces.
8. True or False: A class can implement more than one interface.
9. True or False: An interface can extend more than one parent interface.
10. A field in an interface must be declared as \_\_\_\_\_ and \_\_\_\_\_.

## Answers to Review Questions

1. True, as with classes.
2. False. Interfaces are not classes, but their bytecode appears in .class files. The name of the .class file matches the name of the interface, and the directory structure must match the package name, as with classes.
3. True. Even if you do not use the abstract keyword, all methods in an interface are abstract.
4. False: Methods in an interface are public, even if you leave off the public keyword. Attempting to declare a method in an interface as private or protected generates a compiler error.
5. Abstract. A class that does not implement all the methods of an interface must declare itself as abstract.
6. A tagging interface is an interface with no methods in it.
7. There are many, but two that I emphasized in this chapter are exposing methods of a class, and forcing behavior on a class.
8. True. The interfaces are separated by commas after the implements keyword.
9. True. The parent interfaces are separated by commas after the extends keyword.
10. Final and static. Only final and static fields can be declared in any interface.

# Exception Handling

Exception handling is yet another fundamental aspect of Java that must be understood before the more advanced APIs of the language can be used. This chapter discusses how exception handling works in Java, including try/catch blocks, the Handle or Declare Rule, declaring exceptions, throwing exceptions, the finally keyword, and writing your own exceptions.

## Overview of Exception Handling

---

An exception is a problem that arises during the execution of a program. An exception can occur for many different reasons, including the following: a user has entered invalid data, a file that needs to be opened cannot be found, a network connection has been lost in the middle of communications, or the JVM has run out of memory.

Some of these exceptions are caused by user error, others by programmer error, and others by physical resources that have failed in some manner. In this chapter, I will discuss the various types of exceptions, when you should throw one, and when you should catch one (and times when you do not have a choice), and how to write and throw your own exceptions.



To understand how exception handling works in Java, you need to understand the three categories of exceptions:

**Checked exceptions.** A checked exception is an exception that is typically a user error or a problem that cannot be foreseen by the programmer. For example, if a file is to be opened, but the file cannot be found, an exception occurs. Because this type of exception is a checked exception, it must be dealt with in Java and cannot simply be ignored (as we will see when we discuss the Handle or Declare Rule).

**Runtime exceptions.** A runtime exception is an exception that occurs that probably could have been avoided by the programmer. As opposed to checked exceptions, runtime exceptions can be ignored (and should be, in most cases). You should let a runtime exception crash your program, then find the problem and change your code so that the exception does not arise again. Examples of runtime exceptions include running off the end of an array, integer division by zero, referencing a null reference, and casting a reference to an invalid data type.

**Errors.** Actually, these are not exceptions at all, but problems that arise beyond the control of the user or the programmer. Errors are typically ignored in your code because you can rarely do anything about an error, even if you wanted your program to fix the problem. For example, if a stack overflow occurs, an error will arise. However, because you are out of memory, your program will be unable to continue executing. Any code you have written that attempts to fix the problem won't get a chance to execute anyway, so errors are often ignored when designing and writing Java applications.

Even though errors are not exceptions, they behave similarly to exceptions in terms of the flow of the control when they arise. Both exceptions and errors can crash your program, as we will now discuss.

## Flow of Control of Exceptions

---

Exceptions in Java are objects that are thrown by a method. When a method is invoked, it is pushed onto the method call stack. When a method throws an exception, the method is popped off the call stack, and the exception is thrown to the previous method on the stack.

For example, suppose that `main()` is at the bottom of the call stack, followed by `method1()` and then `method2()`. If `method2()` throws an exception, `method2()` is popped off the call stack, and the exception is thrown down to `method1()`.

With an exception heading its way, `method1()` has three choices:

- Catch the exception so that it does not go any further down the call stack.
- Catch the exception, then throw it back down the call stack.
- Not catch the exception, thereby causing `method1()` to be popped off the call stack, with the exception continuing down the call stack to `main()`.

This flow of control continues down the call stack, no matter how many methods appear on the call stack. Each method further down the call stack either catches the exception and stops this process, catches the exception and throws it again, or simply does nothing and lets the exception fall through to the next method.

What happens when we reach the bottom of the call stack? Well, if an exception is thrown to `main()`, then `main()` had better catch the exception or the program will terminate. When an exception reaches the bottom of a call stack and no method has stopped it along the way, the JVM will crash and inform you of the details of the exception.

Let's look at an example of what happens when an exception is ignored all the way down the call stack. The following `CrashDemo` program has three methods: `main()`, `method1()`, and `method2()`. Study the program and try to determine what happens when it executes. The output is shown in Figure 11.1.

```
public class CrashDemo
{
    public static void main(String [] args)
    {
        System.out.println("Inside main...");
        int [] values = {1, 2, 3, 4};
        System.out.println("Invoking method1...");
        method1(values);
        System.out.println("*** Back in main ***");
    }
    public static void method1(int [] x)
    {
        System.out.println("\nInside method1...");
        method2(x);
        System.out.println("*** Back in method1 ***");
    }
    public static void method2(int [] y)
    {
        System.out.println("\nInside method2");
        System.out.println(y[5]);
        System.out.println("*** Leaving method2 ***");
    }
}
```



```

C:\wiley\Chapter11>java CrashDemo
Inside main...
Invoking method1...
Inside method1...
Inside method2
Exception in thread "main" java.lang.ArrayIndexOutOfBoundsException: 5
    at CrashDemo.method2(CrashDemo.java:22)
    at CrashDemo.method1(CrashDemo.java:15)
    at CrashDemo.main(CrashDemo.java:8)
C:\wiley\Chapter11>_

```

**Figure 11.1** Output of the CrashDemo program.

The CrashDemo program crashes when an `ArrayIndexOutOfBoundsException` occurs, which is an example of a runtime exception. As I mentioned earlier, runtime exceptions are typically the result of programmer error; therefore, you want the exception to crash your program so you can find and fix the problem.

Notice from the output in Figure 11.1 of the CrashDemo program that the following statement does not execute in `method2()`:

```
System.out.println("*** Leaving method2 ***");
```

This is because the previous statement (shown as follows) causes an `ArrayIndexOutOfBoundsException`:

```
System.out.println(y[5]);
```

The array referenced by `y` has only four elements, so three is the largest index that can be used. This exception is thrown by the JVM, which pops `method2()` off the call stack. Because `method1()` does not do anything with the exception, `method1()` is popped off the call stack. Because `main()` ignores the exception as well, `main()` is popped off the call stack.

The exception is then passed on to the JVM, and the JVM prints out the stack trace before terminating. Notice that the stack trace contains useful information, including the line numbers in the source code where the exception occurred.

You can print this stack trace yourself with any exception you catch by using the `printStackTrace()` method of the `Throwable` class. You will notice that I use `printStackTrace()` method in almost every catch block in the examples.

**note**

**Later in this chapter, I will discuss the Handle or Declare Rule, which specifies when an exception must be handled. In the earlier CrashDemo program, if `method1()` catches the exception and does not throw it again, the exception is considered handled (no matter what `method1()` actually does to fix the problem). If `method1()` catches the exception and then**

turns right around and throws it at `main()`, this is not considered handling the exception. Similarly, if `method1()` does nothing but let the exception continue on to `main()`, the exception has not been handled either.

An exception is considered handled by a method if the method stops the exception from continuing down the method call stack.

## Throwable Classes

---

The three types of exceptions share a common parent: the `java.lang.Throwable` class. Only objects of type `Throwable` can be thrown by the JVM. The `Throwable` class has two child classes: `Exception` and `Error`.

The inheritance hierarchy of exceptions is based on the three categories. The `Error` class is the parent class of all Java errors; the `Exception` class is the parent class of all exceptions, both run time and checked.

Runtime exceptions and checked exceptions are further distinguished by where they fit in the inheritance hierarchy. If a class is a child of `RuntimeException`, this child class represents a runtime exception. If a class is a child of `Exception` but not a child of `RuntimeException`, this class is a checked exception.

For example, `ArrayIndexOutOfBoundsException` and `ArithmeticException` are runtime exceptions because they are both child classes of `RuntimeException`, whereas `IOException` and `ClassNotFoundException` are checked exceptions because they are child classes of `Exception`.

**note**

**Why make the distinction between runtime and checked exceptions when all the classes are children of `Exception`? Because the Handle or Declare Rule (discussed later in this chapter) is an essential Java feature that applies only to checked exceptions.**

## Methods of the Throwable Class

---

Exceptions are Java objects of type `Throwable`. When you catch an exception, you catch a reference to a Java object. Each exception class is different and has its own set of useful methods, but because all exceptions extend from `Throwable`, you can also invoke the methods of the `Throwable` class on any caught exception.

The following is a description of some of the methods in `Throwable`. Be sure to check the documentation for a complete description of all the methods in the `Throwable` class.

**public String getMessage().** Returns a detailed message about the exception that has occurred. This message is initialized in the Throwable constructor.

**public Throwable getCause().** Returns the cause of the exception as represented by a Throwable object. This cause is initialized using either one of the Throwable constructors or the `initCause()` method.

**public String toString().** Returns the name of the class concatenated with the result of `getMessage()`.

**public void printStackTrace().** Prints the result of `toString()` along with the stack trace to `System.err`, the error output stream. (`System.err` is the command prompt for your Java programs running on Windows.) This method is overloaded for sending the stack trace to an output stream that you specify.

**public StackTraceElement [] getStackTrace().** Returns an array containing each element on the stack trace. The element at index 0 represents the top of the call stack, and the last element in the array represents the method at the bottom of the call stack. This method allows your application to programmatically iterate through each line of the call stack.

**public Throwable fillInStackTrace().** Fills the stack trace of this Throwable object with the current stack trace, adding to any previous information in the stack trace.

The methods in Throwable are designed to assist you in determining how and where the problem occurred. In the next section, we will discuss how an exception is caught using a try/catch block.

## Catching Exceptions

---

A method catches an exception using a combination of the try and catch keywords. A try/catch block is placed around the code that might generate an exception. Code within a try/catch block is referred to as protected code, and the syntax for using try/catch looks like the following:

```
try
{
    //Protected code
}catch(ExceptionName e1)
{
    //Catch block
}
```

A catch statement involves declaring the type of exception you are trying to catch. If an exception occurs in protected code, the catch block (or blocks) that

follows the try is checked. If the type of exception that occurred is listed in a catch block, the exception is passed to the catch block much as an argument is passed into a method parameter.

A try/catch block does not catch everything. If you say you want to catch a football and a baseball is thrown, you will not catch it. If you say you want to catch a NullPointerException and an ArithmeticException occurs, you will not catch the ArithmeticException.

tip

**If you say you want to catch an Exception, then you will catch every exception that might arise. Remember, all exceptions are child classes of Exception, so through polymorphism, all exceptions are of type Exception.**

The following try/catch block tries to catch a FileNotFoundException when attempting to open a file:

```
try
{
    System.out.println("Opening file for reading...");
    file = new FileInputStream(fileName);
} catch (FileNotFoundException f)
{
    System.out.println("*** Could not find " + fileName + " ***");
    f.printStackTrace();
    return -1;
}
```

If the file is found, no exception occurs, and the catch block is skipped. If the file is not found, the constructor of FileInputStream throws a new FileNotFoundException, which is caught in the variable f of our catch block. I suppose in a real-world situation, we would give the user an opportunity to try another filename, but this catch block simply prints out the stack trace and returns a -1, causing the method to stop executing and the flow of control to return to the previous method on the call stack.

## Writing try/catch Blocks

The following MyFileUtilities class has a readOneByte() method that contains two try/catch blocks. Study the method and try to determine the flow of control if an exception does or does not occur in each try/catch block.

```
import java.io.*;
public class MyFileUtilities
{
    private String fileName;
    public MyFileUtilities(String name)
    {
```

```
        fileName = name;
    }
    public byte readOneByte()
    {
        FileInputStream file = null;
        try
        {
            System.out.println("Opening file for reading...");
            file = new FileInputStream(fileName);
        } catch (FileNotFoundException f)
        {
            System.out.println("*** Could not find "
                + fileName + " ***");
            f.printStackTrace();
            return -1;
        }
        System.out.println("Just opened file: " + fileName);
        byte x = -1;
        try
        {
            System.out.println("Reading one byte from file...");
            x = (byte) file.read();
        } catch (IOException i)
        {
            System.out.println("*** Error reading one byte ***");
            i.printStackTrace();
            return -1;
        }
        System.out.println("Just read " + x);
        return x;
    }
}
```

The following CatchDemo program uses a filename input from the command line. The output in Figure 11.2 shows what occurs when the filename does not exist and the readOneByte() method attempts to open this non-existent file.

```
public class CatchDemo
{
    public static void main(String [] args)
    {
        System.out.println("Instantiating a
MyFileUtilities object...");
        MyFileUtilities util = new MyFileUtilities(args[0]);
        System.out.println("Invoking readOneByte() method...");
        System.out.println(util.readOneByte());
    }
}
```

```

C:\wiley\Chapter11>java CatchDemo not_there.txt
Instantiating a MyFileUtilities object...
Invoking readOneByte() method...
Opening file for reading...
** Could not find not_there.txt **
java.io.FileNotFoundException: not_there.txt (The system cannot find the file sp
ecified)
   at java.io.FileInputSteam.open(Native Method)
   at java.io.FileInputSteam.<init>(FileInputSteam.java:103)
   at java.io.FileInputSteam.<init>(FileInputSteam.java:66)
   at MyFileUtilities.readOneByte(MyFileUtilities.java:19)
   at CatchDemo.main(CatchDemo.java:9)
-1
C:\wiley\Chapter11>_

```

**Figure 11.2** Output of the CatchDemo program when the file is not found.

In Figure 11.2, the file `not_there.txt` does not exist, and a `FileNotFoundException` occurs at the following statement:

```
file = new FileInputStream(fileName);
```

The `FileNotFoundException` catch block catches the exception in the variable `f`, uses `f` to print out the stack trace, and returns a `-1`. That ends the method call and flow of control returns back to `main()`.

Now let's run the program again, this time where the file exists and the `read()` is also successful; hence no exceptions occur. Figure 11.3 shows an example of what the output will look like.

## Multiple catch Blocks

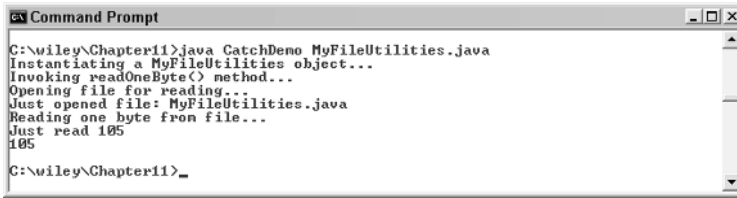
A try block can be followed by multiple catch blocks. The syntax for multiple catch blocks looks like the following:

```

try
{
    //Protected code
}catch(ExceptionType1 e1)
{
    //Catch block
}catch(ExceptionType2 e2)
{
    //Catch block
}catch(ExceptionType3 e3)
{
    //Catch block
}

```





```

C:\wiley\Chapter11>java CatchDemo MyFileUtilities.java
Instantiating a MyFileUtilities object...
Invoking readOneByte() method...
Opening file for reading...
Just opened file: MyFileUtilities.java
Reading one byte from file...
Just read 105
105
C:\wiley\Chapter11>_

```

**Figure 11.3** Output of the CatchDemo program when no exception occurs.

The previous statements demonstrate three catch blocks, but you can have any number of them after a single try. If an exception occurs in the protected code, the exception is thrown to the first catch block in the list. If the data type of the exception thrown matches *ExceptionType1*, it gets caught there. If not, the exception passes down to the second catch statement. This continues until the exception either is caught or falls through all catches, in which case the current method stops execution and the exception is thrown down to the previous method on the call stack.

In the `readOneByte()` method of the `MyFileUtilities` class, there are two try/catch blocks: one for the `FileNotFoundException` and one for the `IOException`. I created two try/catch blocks to demonstrate both the flow of control of exceptions and how to use try and catch. However, I would typically write a single try block that has two catch blocks, as shown in the `readOneByte()` method of the following `MyFileUtilities2` class.

```

import java.io.*;
public class MyFileUtilities2
{
    private String fileName;
    public MyFileUtilities2(String name)
    {
        fileName = name;
    }
    public byte readOneByte()
    {
        FileInputStream file = null;
        byte x = -1;
        try
        {
            System.out.println("Opening file for reading...");
            file = new FileInputStream(fileName);
            System.out.println("Just opened file: " + fileName);
            System.out.println("Reading one byte from file...");
            x = (byte) file.read();
        } catch (FileNotFoundException f)
        {
            System.out.println("*** Could not find "

```

```

        + fileName + " ***");
        f.printStackTrace();
        return -1;
    }catch(IOException i)
    {
        System.out.println("*** Error reading one byte ***");
        i.printStackTrace();
        return -1;
    }
    System.out.println("Just read " + x);
    return x;
}
}

```

note

**With multiple catch blocks, the order in which the catch blocks are listed is the order they are checked when an exception occurs. This has an important side effect that I discuss in detail in the sidebar titled *Catching Exceptions and Polymorphism*.**

Notice in the `MyFileUtilities2` class that all the statements involved with opening and reading the file appear in the same try block. This makes the code more readable in my opinion, yet the result of the method is identical to the one in the `MyFileUtilities` program.

The following `CatchDemo2` program invokes `readOneByte()` in `MyFileUtilities2` by using a file that does not exist, thereby generating a `FileNotFoundException` at the following statement:

```
file = new FileInputStream(fileName);
```

Study the `CatchDemo2` program and try to determine its output, which is shown in Figure 11.4. Compare this to the output of the `CatchDemo` program in Figure 11.2.

```

public class CatchDemo2
{
    public static void main(String [] args)
    {
        System.out.println("Instantiating a MyFileUtilities2
object...");
        MyFileUtilities2 util = new MyFileUtilities2(args[0]);
        System.out.println("Invoking readOneByte() method...");
        System.out.println(util.readOneByte());
    }
}

```

```

C:\wiley\Chapter11>java CatchDemo2 not_there.txt
Instantiating a MyFileUtilities2 object...
Invoking readOneByte() method...
Opening file for reading...
** Could not find not_there.txt **
java.io.FileNotFoundException: not_there.txt (The system cannot find the file sp
ecified)
    at java.io.FileInputStream.open(Native Method)
    at java.io.FileInputStream.<init>(FileInputStream.java:103)
    at java.io.FileInputStream.<init>(FileInputStream.java:66)
    at MyFileUtilities2.readOneByte(MyFileUtilities2.java:20)
    at CatchDemo2.main(CatchDemo2.java:9)
-1
C:\wiley\Chapter11>

```

**Figure 11.4** Output of the CatchDemo2 program when a nonexistent file is used.

## ◆ Catching Exceptions and Polymorphism

Although a try block can have multiple catch blocks, the catch blocks cannot simply appear in a random order. When an exception occurs, the catch blocks are checked in the order in which they appear. Because of polymorphism, it is possible to write a catch block that cannot be reached.

For example, the following try block has two catch blocks following it. The `IOException` is listed first, followed by a `FileNotFoundException`.

```

try
{
    file = new FileInputStream(fileName);
    x = (byte) file.read();
} catch (IOException i)
{
    i.printStackTrace();
    return -1;
} catch (FileNotFoundException f) //Not valid!
{
    f.printStackTrace();
    return -1;
}

```

The preceding try/catch block does not compile because the `FileNotFoundException` block is an unreachable block of code. Why? Because `FileNotFoundException` is a child class of `IOException`. Therefore, a `FileNotFoundException` is an `IOException` (don't forget the *is a* relationship with polymorphism). If a `FileNotFoundException` occurs in the try block, the `IOException` will catch it because the `IOException` catch block is checked first.

Another result of exceptions and polymorphism is that you can write a "catch all" block. All exception classes are child classes of `Exception`, so catching an `Exception` catches all exceptions, both checked and run time.

For example, the following try/catch block corrects the earlier problem with `IOException` and `FileNotFoundException` by placing them in the correct order, and it also adds a third catch block to catch any other type of exception that might occur.

```
try
{
    file = new FileInputStream(fileName);
    x = (byte) file.read();
} catch (IOException i)
{
    i.printStackTrace();
    return -1;
} catch (FileNotFoundException f) //Not valid!
{
    f.printStackTrace();
    return -1;
} catch (Exception e)
{
    e.printStackTrace();
}
```

Notice that the Exception catch block does not contain a return statement, so the current method keeps executing. The other two catch blocks cause the method to pop off the call stack because they return a value to the calling method. These are merely design decisions.

I want you to look at the next try/catch block and try to determine how it filters exceptions that may occur.

```
try
{
    //Protected code
} catch (RuntimeException r)
{
    System.out.println("Just caught a runtime exception");
    r.printStackTrace();
} catch (Exception e)
{
    System.out.println("Just caught a checked exception");
    e.printStackTrace();
}
```

You can tell by the println() statements that the first catch block catches all runtime exceptions, whereas the second catch block catches all checked exceptions. The RuntimeException catch block has to appear before the Exception catch block because RuntimeException is a child class of Exception.

---

## Handle or Declare Rule

Java has a rule that is strictly enforced regarding checked exceptions; it is referred to as the Handle or Declare Rule. The rule states simply that a checked exception must be either handled or declared. *Handling* an exception involves catching the exception. *Declaring* an exception involves a method using the

throws keyword in its signature, declaring any checked exceptions that the method is not going to handle.

note

**The Handle or Declare Rule does not apply to runtime exceptions. If you do something in your program that can generate a runtime exception, you have the option of catching that exception or simply ignoring it and letting it crash your program. As I mentioned earlier, in most cases you do not try to catch runtime exceptions because they are often the result of poor code design. Let them crash your program, then find the problem and fix it.**

I want to emphasize the difference between runtime exceptions and checked exceptions, and explain why runtime exceptions do not have to adhere to the Handle or Declare Rule. For example, using the dot operator to access a field or method may generate a `NullPointerException` if the reference is null. The `NullPointerException` class is a child of `RuntimeException` and therefore is a runtime exception. If I had to try and catch a `NullPointerException` every time I used the dot operator, my code would contain more try/catch code than other code. Thankfully, you can ignore potential runtime exceptions in your code.

However, if I try to open a file and that file is not found, how does that affect the rest of my program if I simply ignore the fact that the file was not found? In Java, you cannot ignore a situation such as a file not being found. You must handle the potential checked exception, or your Java code will not compile.

warning

**Protected code has a higher overhead for the JVM than unprotected code. Avoid putting statements in protected code unless they need to be. That being said, often when I am in a hurry to test something, I will put my entire program in one large try/catch block that catches `Exception`, just so I can avoid compiler errors from the Handle or Declare Rule. Of course, I won't do that if I am writing "serious" code.**

The following `Lazy` class contains a `readOneByte()` method that does not contain any try/catch code. Because the `readOneByte()` method does not follow the Handle or Declare Rule, the class does not compile. Figure 11.5 shows the compiler error that is generated.



```

C:\wiley\Chapter11>javac Lazy.java
Lazy.java:18: unreported exception java.io.FileNotFoundException; must be caught
or declared to be thrown
    file = new FileInputStream(fileName);
Lazy.java:21: unreported exception java.io.IOException; must be caught or declar
ed to be thrown
    x = <byte> file.read();

2 errors
C:\wiley\Chapter11>_

```

**Figure 11.5** Compiler error generated by compiling the `Lazy` class.

```

import java.io.*;
public class Lazy
{
    private String fileName;
    public Lazy(String name)
    {
        fileName = name;
    }
    public byte readOneByte()
    {
        FileInputStream file = null;
        byte x = -1;
        System.out.println("Opening file for reading...");
        file = new FileInputStream(fileName);
        System.out.println("Just opened file: " + fileName);
        System.out.println("Reading one byte from file...");
        x = (byte) file.read();
        System.out.println("Just read " + x);
        return x;
    }
}

```

The compiler is telling us that the `readOneByte()` method either has to catch the `FileNotFoundException` that can occur from the statement `new FileInputStream()` or declare it. The compiler is saying the same about the `IOException` that can occur from the `read()` statement.

You have seen how to handle an exception using a `try/catch` block. Now, let's look at our other option in the Handle or Declare Rule: declaring an exception.

## Declaring Exceptions

If a method does not handle a checked exception, the method must declare it using the `throws` keyword. The `throws` keyword appears at the end of a method's signature. For example, the following method declares that it throws a `RemoteException`:

```
public void deposit(double amount) throws RemoteException
```

A method can declare that it throws more than one exception, in which case the exceptions are declared in a list separated by commas. For example, the following method declares that it throws a `RemoteException` and an `InsufficientFundsException`:

```
public void withdraw(double amount) throws RemoteException,
InsufficientFundsException
```

## Classroom Q & A

**Q:** When do you handle an exception and when do you declare an exception?

**A:** A good question. The answer is based on design decisions. Do you want a method to deal with a problem, or do you want the problem to be passed on to the caller of the method?

**Q:** I would think a method should deal with its own problems.

**A:** I agree, if the problem is related to the method. For example, suppose that I walk into my bank and make a deposit (by invoking a `deposit()` method), but the teller is having problems with his computer. That should not be my problem. In this case, the `deposit()` method should handle this exception and fix the problem without notifying me, the caller of the method.

**Q:** Then why would the `deposit()` method throw an exception?

**A:** Well, if I am in the middle of a deposit and the bank's computer system fails, that might be my problem. In that case, I want to be informed that my deposit did not successfully go through. The `deposit()` method can tell me that the transaction was unsuccessful by throwing an exception back to me.

**Q:** Why not just have the method return a boolean? If the deposit worked, it returns true. Otherwise, it returns false.

**A:** Well, that is a common programming design. In fact, the C and C++ Windows API is filled with methods that return true or false. What I don't like about getting back an answer like false is that it doesn't tell me what went wrong. If I make a deposit and the teller simply says, "Sorry, that didn't work," I have no idea why. If the teller throws me an exception instead, I can catch the exception (which is a Java object) and determine all sorts of information about what went wrong.

**Q:** Throwing the exception seems like too much overhead. Is it worth it?

**A:** Exception handling is a part of Java, and the minimal overhead involved should not be a concern compared to the design benefits. For example, if I try to withdraw more money than I have in my checking account, just telling me that it did not work with a return value of false does not stop me from ignoring my overdraft. I can just go right on spending more money and then plead ignorance when my overdraft statement comes in the mail. I can tell the bank that I didn't check the return value of the `withdraw()` method.

**Q:** Why does an exception make that situation a better design?

**A:** Because I cannot ignore an exception if it is a checked exception. If the `withdraw()` method throws an `InsufficientFundsException`, I must try to handle it every time I invoke `withdraw()`. Sure, I can do nothing once I catch the exception, and keep spending money I don't have, but I can no longer plead ignorance to the bank and say that I had no idea I was overdrawn. Just as interfaces can be used to force behavior on a class, the Handle or Declare Rule can be used to force callers of a method to deal with potential problems and not simply ignore them.

## The throws Keyword

Let's revisit the `Lazy` class that did not handle nor declare the two exceptions. From a design point of view, the `readOneByte()` method should probably not catch the exceptions anyway; otherwise, the caller of the method does not know what happened or is not given a chance to fix any problems. Therefore, the `readOneByte()` method should declare the exceptions, which is done using the `throws` keyword:

```
public byte readOneByte() throws FileNotFoundException, IOException
```

The following `NotSoLazy` class fixes the compiler errors of the `Lazy` class by declaring the exceptions.

```
import java.io.*;
public class NotSoLazy
{
    public byte readOneByte() throws FileNotFoundException, IOException
    {
        //Same as before
    }
    //Remainder of class definition
}
```

note

Because `FileNotFoundException` is a child class of `IOException`, the `readOneByte()` method can declare just the `IOException`:

```
public byte readOneByte() throws IOException
```

A method that invokes `readOneByte()` can still try to catch the `FileNotFoundException` and `IOException` separately or try to catch just the `IOException`.



I want to show you an example that demonstrates how declaring a method forces it to eventually be handled. Because the `readOneByte()` method declares that it throws two checked exceptions, the Handle or Declare Rule applies to any method that wants to invoke `readOneByte()`. Look carefully at the following `HandleOrDeclareWrong` program. The class does not compile. See if you can determine where the problem is.

```
public class HandleOrDeclareWrong
{
    public static void main(String [] args)
    {
        System.out.println("Inside main");
        method1(args[0]);
    }
    public static void method1(String fileName)
    {
        System.out.println("Inside method1");
        method2(fileName);
        System.out.println("Leaving method1");
    }
    public static void method2(String fileName)
    {
        System.out.println("Inside method2");
        NotSoLazy util = new NotSoLazy(fileName);
        System.out.println(util.readOneByte());
        System.out.println("Leaving method2");
    }
}
```

The compiler error occurs within `method2()`, as shown in Figure 11.6. Within `method2()`, a call to `readOneByte()` is made, so `method2()` must handle or declare the exceptions declared by `readOneByte()`.

We have two ways to fix the compiler error in Figure 11.6:

- `method2()` can try and catch the `FileNotFoundException` and `IOException`, thereby handling the exceptions.
- `method2()` can declare the two exceptions.



**Figure 11.6** The compiler is enforcing the Handle or Declare Rule.

If `method2()` declares the two exceptions, `method2()` will compile, but `method1()` now has the same problem. If `method1()` decides to simply declare the two exceptions, the problem gets pushed all the way back to `main()`. However, `main()` is at the bottom of this calling sequence, so `main()` does not have anyone to declare the exceptions to. Eventually, the two exceptions need to be handled, which means that either `method2()`, `method1()`, or `main()` needs to contain a `try/block` for the `FileNotFoundException` and `IOException`.

The following `HandleOrDeclare` class shows the case in which `method2()` decided to declare the exceptions and `method1()` decided to handle the exceptions. That means `main()` does not have to worry about anything because there is no way for either exception to work its way down to `main()`.

```
import java.io.*;
public class HandleOrDeclare
{
    public static void main(String [] args)
    {
        System.out.println("Inside main");
        method1(args[0]);
    }
    public static void method1(String fileName)
    {
        System.out.println("Inside method1");
        try
        {
            method2(fileName);
        } catch (IOException e)
        {
            System.out.println("Something went wrong!");
            e.printStackTrace();
        }
        System.out.println("Leaving method1");
    }
    public static void method2(String fileName) throws IOException
    {
        System.out.println("Inside method2");
        NotSoLazy util = new NotSoLazy(fileName);
        System.out.println(util.readOneByte());
        System.out.println("Leaving method2");
    }
}
```

note

**The `readOneByte()` method declares `FileNotFoundException` and `IOException`. The `method2()` method declares only `IOException`, which covers both `FileNotFoundException` and `IOException` because `FileNotFoundException` is a child class of `IOException`. I would recommend in this type of situation to simply declare the parent exception, as `method2()` demonstrates.**

```

C:\wiley\Chapter11>java HandleOrDeclare not_there.txt
Inside main
Inside method1
Inside method2
Opening file for reading...
Something went wrong!
java.io.FileNotFoundException: not_there.txt (The system cannot find the file sp
pecified)
    at java.io.FileInputStream.open(Native Method)
    at java.io.FileInputStream.<init>(FileInputStream.java:103)
    at java.io.FileInputStream.<init>(FileInputStream.java:66)
    at NotSoLazy.readOneByte(NotSoLazy.java:18)
    at HandleOrDeclare.method2(HandleOrDeclare.java:29)
    at HandleOrDeclare.method1(HandleOrDeclare.java:16)
    at HandleOrDeclare.main(HandleOrDeclare.java:8)
Leaving method
C:\wiley\Chapter11>_

```

**Figure 11.7** Output of the HandleOrDeclare program when a FileNotFoundException occurs.

Try to determine the output of the HandleOrDeclare program, both when an exception occurs and when no exception occurs. An example of the output when an exception occurs is shown in Figure 11.7. Study the output carefully and follow the flow of control.

## Throwing Exceptions

You can throw an exception, either a newly instantiated one or an exception that you just caught, by using the throw keyword. A throw statement causes the current method to immediately stop executing, much like a return statement, and the exception is thrown to the previous method on the call stack.

For example, the following statement throws a new ArrayIndexOutOfBoundsException, with 5 being the invalid index:

```
throw new ArrayIndexOutOfBoundsException(5);
```

You can also instantiate an exception object and then throw it in a separate statement:

```

ArrayIndexOutOfBoundsException a =
    new ArrayIndexOutOfBoundsException(5);
//Some time later
throw a;

```

**note**

**You can throw only objects of type java.lang.Throwable. In almost all situations, you will throw an object that is a child of java.lang.Exception. Recall that the Exception class extends the Throwable class.**

The following ThrowDemo program is similar to the HandleOrDeclare program, except that method1() catches the IOException, does something with it, and then uses the throw keyword to throw the exception after catching it using the following statement:

```
throw e;
```

Even though method1() catches the IOException, it also throws it; so, method1() must declare the IOException in order to adhere to the Handle or Declare Rule.

```
import java.io.*;
public class ThrowDemo
{
    public static void main(String [] args)
    {
        System.out.println("Inside main");
        if(args.length == 0)
        {
            throw new ArrayIndexOutOfBoundsException(5);
        }
        try
        {
            method1(args[0]);
        }catch(IOException e)
        {
            System.out.println("Sorry, but an exception occurred.");
            return;
        }
        System.out.println("End of main");
    }
    public static void method1(String fileName) throws IOException
    {
        System.out.println("Inside method1");
        try
        {
            method2(fileName);
        }catch(IOException e)
        {
            System.out.println("Something went wrong!");
            e.printStackTrace();
            throw e;
        }
        System.out.println("Leaving method1");
    }
    public static void method2(String fileName) throws IOException
    {
```

```

        System.out.println("Inside method2");
        NotSoLazy util = new NotSoLazy(fileName);
        System.out.println(util.readOneByte());
        System.out.println("Leaving method2");
    }
}

```

So how come `main()` does not need to declare that it throws an `ArrayIndexOutOfBoundsException`? Because it is a runtime exception, and runtime exceptions are not affected by the Handle or Declare Rule (only checked exceptions are).

By the way, I used an index of 5 just to demonstrate that the value of 5 is a part of the message that is displayed when the `ArrayIndexOutOfBoundsException` occurs. Figure 11.8 shows the output of the `ThrowDemo` program when no command-line argument is entered.

Figure 11.9 shows the output of the `ThrowDemo` program when the file cannot be found by the `readOneByte()` method.

note

**The `throws` keyword is used for declaring an exception, and it is used only in method signatures. The `throw` keyword is for throwing an exception, and it can be used anywhere you want to throw an exception.**

```

C:\wiley\Chapter11>java ThrowDemo
11/14/2002 01:31 PM          962 ThrowDemo.java
11/14/2002 01:31 PM        1,121 ThrowDemo.class
          2 File(s)          2,083 bytes
          0 Dir(s)  67,873,079,296 bytes free

C:\wiley\Chapter11>java ThrowDemo
Inside main
Exception in thread "main" java.lang.ArrayIndexOutOfBoundsException: Array index
out of range: 5
    at ThrowDemo.main(ThrowDemo.java:10)
C:\wiley\Chapter11>_

```

**Figure 11.8** The `main()` method threw a new `ArrayIndexOutOfBoundsException`.

```

C:\wiley\Chapter11>java ThrowDemo not_there.txt
Inside main
Inside method1
Inside method2
Opening file for reading...
Something went wrong!
java.io.FileNotFoundException: not_there.txt (The system cannot find the file sp
ecified)
    at java.io.FileInputStream.open(Native Method)
    at java.io.FileInputStream.<init>(FileInputStream.java:103)
    at java.io.FileInputStream.<init>(FileInputStream.java:66)
    at NotSoLazy.readOneByte(NotSoLazy.java:18)
    at ThrowDemo.method2(ThrowDemo.java:43)
    at ThrowDemo.method1(ThrowDemo.java:29)
    at ThrowDemo.main(ThrowDemo.java:15)
Sorry, but an exception occurred
C:\wiley\Chapter11>_

```

**Figure 11.9** The `readOneByte()` method threw a `FileNotFoundException`.

## The finally Keyword

The finally keyword is used to create a block of code that follows a try block. A finally block of code always executes, whether or not an exception has occurred. Using a finally block allows you to run any cleanup-type statements that you want to execute, no matter what happens in the protected code. A finally block appears at the end of the catch blocks and has the following syntax:

```
try
{
    //Protected code
}catch(ExceptionType1 e1)
{
    //Catch block
}catch(ExceptionType2 e2)
{
    //Catch block
}catch(ExceptionType3 e3)
{
    //Catch block
}finally
{
    //The finally block always executes.
}
```

You can even write a try block that does not have any corresponding catch blocks, only a finally block:

```
try
{
    //Protected code
}finally
{
    //The finally block always executes.
}
```

Why use a finally block? Well, you may want to close a file that has been opened, even if your program could not read from the file for some reason. In other words, if a read is successful, you want to close the file, and if the read fails, you still want to close the file. A finally block can be used to simplify the way this code will look.

```
try
{
    //Try to read from a file.
}catch(IOException e)
{
```

```
        //Read failed
        return;
    }finally
    {
        //Close the file.
    }
}
```

If no `IOException` occurs in this `try/catch/finally` block, the `catch` block is skipped and the `finally` block executes. If an `IOException` does occur, the `catch` block executes and a `return` statement is reached. The method will return, but before it does, the `finally` block will execute.

I want you to study the `readOneByte()` method in the following `MyFileUtilities3` class. The `try/catch` block contains a `finally` block that closes the file opened in the `try` block.

```
import java.io.*;
public class MyFileUtilities3
{
    private String fileName;
    public MyFileUtilities3(String name)
    {
        fileName = name;
    }
    public byte readOneByte() throws FileNotFoundException
    {
        FileInputStream file = null;
        byte x = -1;
        try
        {
            System.out.println("Opening file for reading...");
            file = new FileInputStream(fileName);
            System.out.println("Just opened file: " + fileName);
            System.out.println("Reading one byte from file...");
            x = (byte) file.read();
        }catch(FileNotFoundException f)
        {
            System.out.println("Could not find " + fileName);
            throw f;
        }catch(IOException i)
        {
            System.out.println("Error reading one byte");
            i.printStackTrace();
            return -1;
        }finally
        {
            System.out.println("*** Inside finally block ***");
            try
            {
                if(file != null)
                {
```

```

        file.close();
    }
    }catch(IOException e)
    {}
}
System.out.println("Just read " + x);
return x;
}
}

```

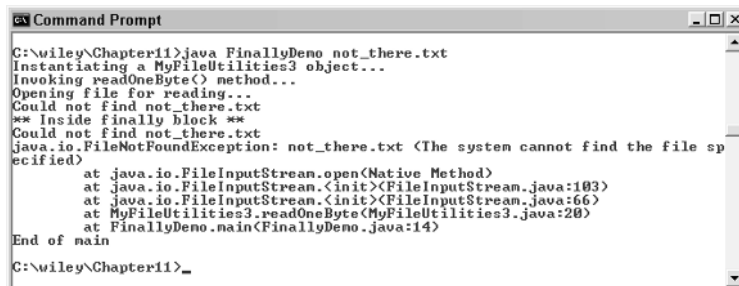
The following FinallyDemo program instantiates a MyFileUtilities3 object and invokes its readOneByte() method. See if you can determine its output when no exception occurs. What happens when a FileNotFoundException occurs? What happens when an IOException occurs?

Figure 11.10 shows the output when the file is not found.

```

import java.io.FileNotFoundException;
public class FinallyDemo
{
    public static void main(String [] args)
    {
        System.out.println("Instantiating a MyFileUtilities3
object...");
        MyFileUtilities3 util = new MyFileUtilities3(args[0]);
        System.out.println("Invoking readOneByte() method...");
        try
        {
            byte b = util.readOneByte();
            System.out.println(b);
        }catch(FileNotFoundException e)
        {
            System.out.println("Could not find " + args[0]);
            e.printStackTrace();
        }
        System.out.println("End of main");
    }
}

```



```

C:\wiley\Chapter11>java FinallyDemo not_there.txt
Instantiating a MyFileUtilities3 object...
Invoking readOneByte() method...
Opening file for reading...
Could not find not_there.txt
** Inside finally block **
Could not find not_there.txt
java.io.FileNotFoundException: not_there.txt (The system cannot find the file sp
ecified)
    at java.io.FileInputStream.open(Native Method)
    at java.io.FileInputStream.<init>(FileInputStream.java:193)
    at java.io.FileInputStream.<init>(FileInputStream.java:66)
    at MyFileUtilities3.readOneByte(MyFileUtilities3.java:28)
    at FinallyDemo.main(FinallyDemo.java:14)
End of main
C:\wiley\Chapter11>_

```

**Figure 11.10** Output of the FinallyDemo program when a FileNotFoundException occurs.



note

A finally block is like any block of code, and can perform any operations. Notice that the finally block in `MyFileUtilities3` contains another try/catch block because closing a file possibly throws an `IOException`.

## Overridden Methods and Exceptions

In Chapter 6, “Understanding Inheritance,” we discussed method overriding, in which a method in a child class can override a method in the parent class. One of the rules I mentioned about method overriding is that a child method cannot throw “more” exceptions than the overridden parent method. We are now ready to discuss the details of this rule.

When I say “more” exceptions, I am not referring to the number of exceptions thrown by the child method, even though that is part of it. What I mean by “more” is that a child class cannot throw an exception that, by polymorphism, is not at least declared by the overridden method in the parent.

This is best seen by an example. Suppose that we have a class named `Parent` that contains a method named `connect()`. The `connect()` method declares that it throws a `java.io.IOException`.

```
import java.io.IOException;
public class Parent
{
    public void connect() throws IOException
    {
        System.out.println("Inside connect() in Parent");
        throw new IOException();
    }
}
```

The following `Child1` class extends the `Parent` class and overrides the `connect()` method. The `connect()` method in `Child1` declares that it throws a `java.net.SocketException`. Is this valid method overriding? Yes, because `SocketException` is a child class of `IOException`, so `SocketException` is a “lesser” exception than `IOException`.

```
import java.net.SocketException;
public class Child1 extends Parent
{
    public void connect() throws SocketException
    {
        System.out.println("Inside connect() in Child1");
        throw new SocketException();
    }
}
```

You can override a parent method that throws an exception and not declare any exceptions in the child class method. For example, the following Child2 class extends Parent and overrides the connect() method. The child method does not declare any exceptions, which is certainly not “more” than an IOException.

```
public class Child2 extends Parent
{
    public void connect()
    {
        System.out.println("Inside connect() in Child2");
    }
}
```

Let’s see an example that does not work. The following Child3 class extends Parent and attempts to override connect(). A compiler error is generated because connect() in Child3 declares that it throws Exception, which is “more” of an exception than IOException.

```
public class Child3 extends Parent
{
    public void connect() throws Exception //Does not compile!
    {
        System.out.println("Inside connect() in Child3");
        throw new Exception();
    }
}
```

## ◆ Why Does This Rule Exist When Overriding a Method?

A method in a child class, overriding a method in its parent class, cannot throw more exceptions or greater exceptions than what the parent class has declared. This might seem like an odd rule in Java, but there is a specific reason for it. If you were allowed to have a child method throw a greater exception, you could create a situation in which a checked exception avoided the Handle or Declare Rule.

Let me show you with a simple example. Suppose that we have the following class named Parent:

```
public class Parent
{
    public void connect()
    {
        System.out.println("Inside connect() in Parent");
    }
}
```

*continued*

## ◆ Why Does This Rule Exist When Overriding a Method? *(continued)*

Notice that it has a `connect()` method that does not declare any exceptions. The following Child class extends Parent and overrides the `connect()` method:

```
public class Child extends Parent
{
    public void connect() throws java.io.IOException
    {
        System.out.println("Inside connect() in Child");
        throw new java.io.IOException();
    }
}
```

The Child class does not compile because its `connect()` method declares an `IOException`, and the overridden `connect()` method in Parent does not declare any exceptions.

Let's assume, however, that this rule involving exceptions and overriding methods did not exist and that the Child class compiled successfully. Then, through polymorphism, the following statement is valid:

```
Parent p = new Child();
```

The reference `p` is of type Parent, but the object is of type Child. This is valid because a Child object is a Parent object. Now, consider the following program, which invokes the `connect()` method using this reference `p`:

```
public class Test
{
    public static void main(String [] args)
    {
        Parent p = new Child();
        p.connect();
    }
}
```

This program compiles because the Parent class has a `connect()` method. However, because of virtual method invocation, which method executes at run time? Not the one in Parent, but the overridden method in Child. The `connect()` method in Child throws an `IOException`, but who catches it? Nobody. And we just created a situation in which a checked exception went unchecked. The compiler thought I was invoking `connect()` in Parent, which did not declare any exceptions. However, at run time, the `connect()` method in Child is what executes, and that method throws an `IOException`.

The compiler cannot predict the Handle or Declare Rule in this situation. This is why child class methods that override parent class methods cannot throw "more" exceptions than the parent class method; if they could, a checked exception could occur that might never be caught.

## User-Defined Exceptions

You can create your own exceptions in Java. In fact, because of the way Java is designed, you are encouraged to write your own exceptions to represent problems that can arise in your classes. Keep the following points in mind when writing your own exception classes:

- All exceptions must be a child of Throwable.
- If you want to write a checked exception that is automatically enforced by the Handle or Declare Rule, you need to extend the Exception class.
- If you want to write a runtime exception, you need to extend the RuntimeException class.

note

**You will likely never write a class that directly extends Throwable because then it will be neither a checked nor a runtime exception. Most user-defined exception classes are designed to be checked exceptions and therefore will extend the Exception class. However, if you want to write an exception that you don't want users to have to handle or declare, make it a runtime exception by extending the RuntimeException class.**

The following `InsufficientFundsException` class is a user-defined exception that extends the `Exception` class, making it a checked exception. An exception class is like any other class, containing useful fields and methods. In our case, the name of the exception class pretty much explains it all, but we have added a field to store the amount of insufficient funds and an accessor method for viewing this field.

```
public class InsufficientFundsException extends Exception
{
    private double amount;
    public InsufficientFundsException(double amount)
    {
        this.amount = amount;
    }
    public double getAmount()
    {
        return amount;
    }
}
```

To demonstrate using our user-defined exception, the following CheckingAccount class contains a withdraw() method that throws an InsufficientFundsException. Because this is a checked exception, it must be declared in the signature of withdraw(). Notice that the throw keyword is used to throw an InsufficientFundsException.

```
public class CheckingAccount
{
    private double balance;
    private int number;
    public CheckingAccount(int number)
    {
        this.number = number;
    }
    public void deposit(double amount)
    {
        balance += amount;
    }
    public void withdraw(double amount) throws
    InsufficientFundsException
    {
        if(amount <= balance)
        {
            balance -= amount;
        }
        else
        {
            double needs = amount - balance;
            throw new InsufficientFundsException(needs);
        }
    }
    public double getBalance()
    {
        return balance;
    }
    public int getNumber()
    {
        return number;
    }
}
```

The following BankDemo program demonstrates invoking the deposit() and withdraw() methods of CheckingAccount. Notice that the deposit() method is invoked without a try/catch block around it, whereas the withdraw() method can be invoked only within a try/catch block. Study the program and try to determine its output, which is shown in Figure 11.11.

```

C:\wiley\Chapter11\userdefined>java BankDemo
Depositing $500...
Withdrawing $100...
Withdrawing $600...
Sorry, but you are short $200.0
InsufficientFundsException
    at CheckingAccount.withdraw(CheckingAccount.java:25)
    at BankDemo.main(BankDemo.java:15)
C:\wiley\Chapter11\userdefined>_

```

**Figure 11.11** Output of the BankDemo program.

```

public class BankDemo
{
    public static void main(String [] args)
    {
        CheckingAccount c = new CheckingAccount(101);
        System.out.println("Depositing $500...");
        c.deposit(500.00);
        try
        {
            System.out.println("\nWithdrawing $100...");
            c.withdraw(100.00);
            System.out.println("\nWithdrawing $600...");
            c.withdraw(600.00);
        } catch (InsufficientFundsException e)
        {
            System.out.println("Sorry, but you are short $"
+ e.getAmount());
            e.printStackTrace();
        }
    }
}

```



## Lab 11.1: Writing try/catch Blocks

The purpose of this lab is to become familiar with writing a try/catch block.

1. Write a class named Multiply and add main() within the class. The Multiply class is going to multiply two numbers together that are input by using the command-line arguments.
2. Within main(), declare a try block that parses the first two command-line arguments into ints by using the Integer.parseInt() method.

3. Your try block is going to have two catch blocks, one for an `ArrayIndexOutOfBoundsException` and one for a `NumberFormatException`.
4. If an `ArrayIndexOutOfBoundsException` occurs, the user has not input two command-line arguments. Inform the user of this, print the stack trace, and have `main()` end using a return statement.
5. If a `NumberFormatException` occurs, the user has input invalid command-line arguments. Inform the user that the program expects two ints; then print the stack trace and have `main()` end.
6. If no exception occurs, print out the two ints and also their product.
7. Save, compile, and run the `Multiply` program several times by using the following command lines:

```
java Multiply 10 5
java Multiply 10
java Multiply 7 hello
```

---



## Lab 11.2: Exceptions and Polymorphism

In this lab, you will replace the two catch blocks in the `Multiply` program of Lab 11.1 with a single catch block.

1. Start off by making a copy of the `Multiply.java` file, renaming it `MultiplyLazy.java`. You will also need to rename the class `MultiplyJava`.
2. Remove the two catch blocks and replace them with a single catch block that catches `RuntimeException`.
3. Within the catch block, print out a message that an error has occurred and also print the stack trace.
4. The remainder of the `MultiplyLazy` class can remain the same. Save, compile, and run the program three times, using the following command lines:

```
java MultiplyLazy 10 5
java MultiplyLazy 10
java MultiplyLazy 7 hello
```

---



## Lab 11.3: Checked Exceptions

In Lab 10.1, you wrote a program that instantiated a `FootballGame` object and simulated a football game, having the home and visiting teams score

and the quarters end. In this lab, you will modify the `FootballGame` so that it uses the `Thread.sleep()` method to slow the program down.

1. Open the source code file for the program you wrote in Lab 10.1 that simulated a football game.
2. The `sleep()` method in the `Thread` class is a static method that causes the current thread of execution to sleep for a specified number of milliseconds. For example, the following statement pauses the current thread for 5 seconds:

```
Thread.sleep(5000);
```

3. Add a call to `Thread.sleep()` before each score change and each end of quarter in your football game. You can specify any sleep time you want. This will slow down your game so you can “watch” it being played.
4. Save and compile your program. It should not compile successfully. Why not?
5. The `sleep()` method throws a checked exception named `InterruptedException`, so you need to add a try/catch block around each call to `Thread.sleep()`. Print out the stack trace in each catch block.
6. Save and compile your football program again. This time, it should compile successfully.
7. Run the program and watch your football game being played.

note

**The `InterruptedException` will never occur in your program. The only way to cause this exception is to have a second thread interrupt your current thread.**

## Summary

---

- Exception handling is an important aspect of Java programming because the Java language defines checked exceptions. A checked exception is an exception that fits under the Handle or Declare Rule, meaning that it must be either handled by a method using a try/catch block or declared using the `throws` keyword.
- The keywords `try` and `catch` are used to create protected code. If an exception occurs in a try block, the corresponding catch blocks attempt to catch the exception. If the data type of the exception does not match the catch blocks, then the exception falls through to the next method on the call stack.



- The `java.lang.Throwable` class is the parent class of all exceptions. It has two child classes: `java.lang.Exception` and `java.lang.Error`.
- There are two types of exceptions: runtime exceptions and checked exceptions. Runtime exceptions are those exceptions that are child classes of `java.lang.RuntimeException`. All other exceptions are checked exceptions.
- A try block can have any number of corresponding catch blocks. A try block can also contain a finally block that executes whether or not an exception occurs.
- The `throws` keyword is used to declare that a method throws an exception.
- The `throw` keyword is used to throw an exception.
- A child class method that overrides a parent class method cannot declare that it throws more exceptions than the parent class method.
- A user-defined exception must extend the `java.lang.Throwable` class, although typically it will extend either the `Exception` or `RuntimeException` class.

## Review Questions

1. What are the two types of exceptions in Java? How are they distinguished?
2. If an object is to be thrown using the throw keyword, the object must be what data type?
3. True or False: A try block must be followed by exactly one corresponding catch block.
4. What is the term used to refer to code within a try block?
5. True or False: A finally block always executes, whether or not an exception occurs in the corresponding try block.
6. The following try/catch block does not compile. Why not?

```
try
{
}catch(Exception e)
{
}catch(RuntimeException r)
{
}
```

7. Suppose that a RemoteException occurs in the following try block. What will be displayed next?

```
try
{
    //A RemoteException occurs here
}catch(RemoteException r)
{
    System.out.println("Something went wrong.");
    throw r;
}finally
{
    System.out.println("Finally!");
}
```

8. What is the output of the try/catch block in the previous question if no RemoteException occurs?
9. What is the output of the same try/catch block if a NullPointerException occurs in the protected code (instead of a RemoteException)?
10. The Handle or Declare Rule applies only to what type of exception?
11. What keyword is used by a method to declare an exception?
12. What keyword is used by a method to throw an exception?

13. True or False: If a parent class method declares an Exception, a child class method overriding this parent method can declare an IOException.
14. True or False: If a parent class method declares an IOException, a child class method overriding this parent method can declare an Exception.
15. All user-defined exceptions must be a child of what class?
16. If you want a user-defined exception to be a checked exception, what class should you *not* extend?

## Answers to Review Questions

1. Checked exception and runtime exceptions. There is a third category—errors—but technically, an error is not an exception. A runtime exception is a child of the `RuntimeException` class, whereas checked exceptions are child classes of `Exception` (that do not also extend `RuntimeException`).
2. The object must be of type `java.lang.Throwable` to be thrown using the `throw` keyword.
3. False. A try block can be followed by any number of catch blocks, including zero.
4. Protected code.
5. True. A finally block always executes, no matter what happens in the try block or any previous catch blocks.
6. `RuntimeException` is a child of `Exception`, so the `RuntimeException` catch block is unreachable code and should appear before the `Exception` catch block.
7. The string “Something went wrong.” will be displayed next, followed by “Finally!”, even though the catch block threw the exception again.
8. The catch block will be skipped, but the finally block always executes, and the string “Finally!” will be displayed.
9. The catch block will be skipped because it is not trying to catch a `NullPointerException`. The `NullPointerException` will therefore be thrown down the call stack, but not before the finally block executes and the string “Finally!” is displayed.
10. Checked exceptions. Runtime exceptions are not affected by the Handle or Declare Rule.
11. `throws`.
12. `throw`.
13. True, because `IOException` is a child of `Exception`, making it a lesser exception.
14. False, because `Exception` is the parent of `IOException`, making it more of an exception, which is not allowed in method overriding.
15. `java.lang.Throwable` (although most will extend `Exception`).
16. `RuntimeException`. If you extend `RuntimeException`, the class will be a runtime exception and therefore not a checked exception.



# An Introduction to GUI Programming

We are now ready to discuss one of my favorite aspects of Java: GUI programming. I started my programming career as a Windows programmer using Visual C++ and MFC, which can take months to learn how to use and longer to understand. When I learned how to write GUI programs in Java, I was relieved to find that Sun had used a logical and object-oriented design for creating a GUI and handling its events. In this chapter, I will focus on creating the window portion of the GUI by discussing containers, layout managers, and panels. (The next chapter, “GUI Components and Event Handling,” discusses the details of various GUI components and how to handle their events.)

## **AWT versus Swing**

---

GUI, which stands for *graphical user interface*, refers to that portion of a program that the user visually sees and interacts with. The GUI is an essential part of programs that run on windows-based operating systems such as Windows, Macintosh, and Unix. Almost every Windows program you have used is probably a GUI program: for example, Microsoft Word or Internet Explorer.

When Java was first released in 1995, it contained a GUI API referred to as the Abstract Windowing Toolkit (AWT). This API contained classes like `Frame` to represent a typical window, `Button` to represent buttons, `Menu` to represent a window's menu, and so on. The classes and interfaces of the AWT are in the `java.awt` packages.

Although it is a useful and important API, the AWT had its shortcomings, including a lack of support for many popular GUI components. It's not that the AWT is not useful, but it was a lot of work for those initial Java GUI programmers to create the look and feel that they wanted their GUI programs to have.

**note**

**AWT components are referred to as *heavyweight components* because their implementation relies heavily on the underlying operating system. The look and feel of AWT components depend on the platform the program is running on. For example, an AWT button will look like a Windows button when the program is run on a Windows platform. The same button will look like a Macintosh button when the program is run on a Macintosh platform.**

Aware of the need for a more robust API for creating GUI applications, Sun Microsystems teamed together with Netscape (and other industry partners) and created Swing. Swing is actually a part of the Java Foundation Classes (JFC), a collection of technologies that includes Swing, AWT, Java 2D, Java Drag and Drop, the Accessibility APIs, and others. The classes and interfaces of Swing are found in the `javax.swing` packages.

Swing is different from AWT in that Swing components are 100 percent Java, thereby not relying on the native operating system or platform. This allows Swing components to have a *pluggable look and feel*, meaning that you can decide what you want your GUI components to look like. For example, if you want a button to look like a Windows button, even if the program is executed on a Macintosh or Unix platform, you can denote your Swing program as having the Windows look and feel. With the Windows look and feel, the Swing program will look like a Windows program, no matter what operating system the program runs on.

**note**

**Swing components are referred to as *lightweight components* because their implementation does not rely on the underlying operating system. The JDK 1.1 version of Java defines how a lightweight component is implemented using the Lightweight UI Framework. Because Swing components are lightweight, their appearance is determined by you, the programmer, and not by where the program is running.**

Nowadays, most Java GUI programming is done by using Swing. We will still discuss the AWT in this chapter, though, because it is an important part of GUI programming, and many of the AWT classes are used in Swing, including the layout managers, and event-handling classes and interfaces. After you understand the way a GUI is created, you will find that using AWT and Swing is the same in terms of developing the code. For example, creating and using a Button in AWT is very similar to creating and using a JButton, Swing's version of a GUI button. And the event-handling code behind the scenes is exactly the same, no matter if you are using a Button or JButton.

note

**The names of the Swing classes all begin with a capital J, like JButton. For the most part, an AWT program can be converted to a Swing program by adding a capital J to the class names used in the source code and recompiling the code.**

## Creating Windows

---

The basic starting point of a GUI is the container because you need a container before you can start laying out your components. The `java.awt.Frame` and `javax.swing.JFrame` classes are containers that represent a basic window with a title bar and common windowing capabilities such as resizing, minimizing, maximizing, and closing. The `Frame` class is used for AWT programs and is the parent class of `JFrame`, which is used for Swing programs.

### java.awt.Frame Class

When working with `Frame` objects, there are basically three steps involved to get a `Frame` window to appear on the screen:

1. Instantiate the `Frame` object in memory.
2. Give the `Frame` object a size using `setSize()`, `setBounds()`, or `pack()`.
3. Make the `Frame` appear on the screen by invoking `setVisible(true)`.

Let's look at instantiating a `Frame` object first. The `java.awt.Frame` class has four constructors:

**public Frame().** Creates a new frame with no message in the title bar.

**public Frame(String title).** Creates a new frame with the given `String` appearing in the title bar.



**public Frame(GraphicsConfiguration gc).** Creates a frame with the specified GraphicsConfiguration of a screen device.

**public Frame(String title, GraphicsConfiguration gc).** Creates a frame with the specified title and GraphicsConfiguration.

Each of the preceding constructors creates a new Frame object that is initially invisible and has a size of 0 pixels wide and 0 pixels high. The String passed in to a Frame constructor appears in the title bar, and the GraphicsConfiguration represents where the image is to be displayed. This is useful when working with a multiscreen environment, but in most cases you do not need to worry about a GraphicsConfiguration object. If you do not pass in a GraphicsConfiguration object, your Frame will use the default graphics destination, which in Windows is the computer screen.

The following statement demonstrates instantiating a new Frame object in memory:

```
Frame f = new Frame("My first window");
```

This Frame is not displayed on the screen, and it has an initial size of 0 by 0. You need to give your Frame a size before displaying it, which can be done by invoking one of the following five methods:

**public void setSize(int width, int height).** Sets the size of the Frame to the given width and height, in pixels.

**public void setSize(java.awt.Dimension d).** Sets the size of the Frame to the same width and height as the given Dimension object.

**public void setBounds(int x, int y, int width, int height).** Sets both the size and initial location of the window, where  $x$  represents the number of pixels over from the upper-left corner of the screen, and  $y$  represents the number of pixels down from the upper-left corner of the screen. (See the sidebar titled *GUI Coordinates*.)

**public void setBounds(java.awt.Rectangle r).** Sets the bounds of the Frame to that of the given Rectangle.

**public void pack().** Sets the size of the Frame to be just big enough to display all its components with their preferred size.

note

**I often notice students invoking more than one of the `setSize()`, `setBounds()`, or `pack()` methods to set the size of a window. However, you need to invoke only one of them. For example, if you invoke `setSize(200,200)` and then `setBounds(20,50,200,200)`, the first call to `setSize()` was overridden by the subsequent call to `setBounds()`, making the initial call to `setSize()` a waste of time. Similarly, invoking `pack()` and then invoking `setBounds()` overrides whatever the `pack()` method did to the size of the window.**

## ◆ GUI Coordinates

All components and containers have a size and location, which is denoted in pixels. A pixel is a relative unit of measurement based on the settings of the user's screen. The pixels create a coordinate system, with the upper-left corner of the screen as the origin (0,0). Any point on the screen can be represented as an (x,y) value, where x is the number of pixels to the right of the origin, and y is the number of pixels down from the origin.

For example, the point (100,100) is 100 pixels over and 100 pixels down from the upper-left corner of the screen. Suppose that a Frame is instantiated and given the bounds (100,100, 300, 400):

```
Frame f = new Frame();
f.setBounds(100, 100, 300, 400);
```

The upper-left corner of the Frame is the point (100,100) relative to the computer screen. The width of this Frame is 300 and the height is 400, so the lower-right corner of the Frame is the point (400, 500) of the computer screen.

There is another coordinate system of GUI components referred to as the *relative coordinate system*. The relative coordinate system is based on the upper-left corner of the container that the component is residing in. The upper-left corner of a container is an origin (0,0), and components are placed in a container relative to the container's origin, not the screen's origin.

For example, the following statements instantiate a Button, assign it bounds (20, 200, 60, 40). The Button is then added to the Frame object instantiated earlier:

```
Button ok = new Button("OK");
ok.setBounds(20, 200, 60, 40);
f.add(ok);           //Add the Button to a Frame
```

The upper-left corner of the OK button appears 20 pixels over and 200 pixels down from the upper-left corner of the Frame. The size of the Button is 60 pixels wide and 40 pixels high.

Assuming that Frame f has not been moved, this puts the Button 120 pixels over and 300 pixels down from the upper-left corner of the screen. This point changes if the Frame is moved. However, the relative location of the Button within the Frame does not move, even if the Frame moves. This is the desired result of GUI containers and components. When we move a window, we expect all the components within the window to move along with it. Therefore, we rarely concern ourselves with the actual screen coordinates of a component. The component's relative coordinates are what are important to a programmer laying out components in a container.

After you have instantiated a Frame, given it a size, and laid out the components within it, you display the Frame on the screen by invoking the `setVisible()` method inherited from the `Component` class. The signature of `setVisible()` is:

```
public void setVisible(boolean show)
```

If the boolean passed in is true, the component is made visible. If the value is false, the component is hidden. The following `FrameDemo` program creates a `Frame` object, sets its bounds, and displays it on the screen. Study the program and try to determine its output, which is shown in Figure 12.1.

```
import java.awt.*;
public class FrameDemo
{
    public static void main(String [] args)
    {
        Frame f = new Frame("My first window");
        f.setBounds(100,100, 400, 300);
        f.setVisible(true);
    }
}
```

note

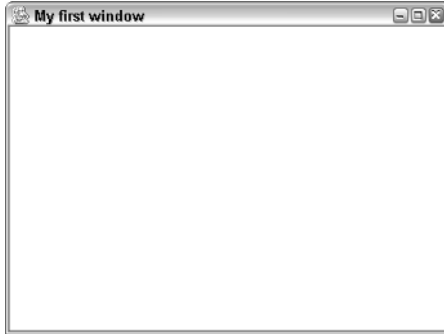
**If you run the `FrameDemo` program, you will see a `Frame` window similar to the one in Figure 12.1. You can move, resize, minimize, and maximize the `Frame` window. However, you can't close the window because closing a window often implies ending the program. If the user needs to save a document or other settings before ending, your program needs a chance to do this.**

**Therefore, the closing of a `Frame` window is left to the programmer and involves handling the `WindowEvent` generated by a user attempting to close the window. I will show you how to do this in the next chapter, so to close the window for now you need to stop the JVM. In Windows, this can be done by pressing `Ctrl+c` from the command prompt.**

## javax.swing.JFrame Class

The `javax.swing.JFrame` class represents a window similar to `Frame`, except that `JFrame` adds support for the Swing component architecture. A `JFrame` is a heavyweight component, meaning that it has the look and feel of the native platform. From a user's point of view, a `JFrame` and a `Frame` look the same. Creating and displaying a `JFrame` is also similar to creating and displaying a `Frame`.

However, a `JFrame` is different in terms of how components are added to the `JFrame`. As opposed to a `Frame`, a `JFrame` has three panes that components can be added to: a content pane, a glass pane, and a root pane. Typically, the content pane will contain all of the components of the `JFrame`. We will see several examples in this chapter of adding components to the content pane of a `JFrame`.



**Figure 12.1** Frame created in the FrameDemo program.

Let's look at the steps involved in creating a JFrame. You start by instantiating a JFrame using one of the following constructors:

**public JFrame().** Creates a new JFrame with no message in the title bar.

**public JFrame(String title).** Creates a new JFrame with the given String appearing in the title bar.

**public JFrame(GraphicsConfiguration gc).** Creates a JFrame with the specified GraphicsConfiguration of a screen device.

**public JFrame(String title, GraphicsConfiguration gc).** Creates a JFrame with the specified title and GraphicsConfiguration.

The constructors are similar to those in the Frame class, and the parameters have the same uses. The following statement instantiates a JFrame with "My first JFrame" in the title bar:

```
JFrame f = new JFrame("My first JFrame");
```

As with Frame objects, this JFrame is initially not visible and has a size of 0 pixels by 0 pixels. You invoke one of the setSize(), setBounds(), or pack() methods to give the JFrame a size and then invoke setVisible() to make it visible. The following JFrameDemo program demonstrates creating and displaying a JFrame object. Study the program and try to determine its output, which is shown in Figure 12.2.

```
import javax.swing.*;
public class JFrameDemo
{
    public static void main(String [] args)
    {
        JFrame f = new JFrame("My first JFrame");
        f.setSize(400, 300);
        f.setDefaultCloseOperation(WindowConstants.EXIT_ON_CLOSE);
        f.setVisible(true);
    }
}
```



**Figure 12.2** JFrame created by the JFrameDemo program.

note

Clicking the X in the title bar of a JFrame causes the window to be hidden by default, but this does not cause your program to stop executing. You need to press Ctrl+c at the command prompt to stop the JVM, even though your JFrame is no longer visible on the screen.

As with Frame objects, you can handle the WindowEvent of the JFrame and use the System.exit() method to stop execution of the program. However, unlike the Frame class, the JFrame class contains a setDefaultCloseOperation() method that allows you to decide what action to take when the user closes the JFrame. The method takes in an int, which can be one of the following values:

**WindowConstants.HIDE\_ON\_CLOSE.** Hides the JFrame. This is the default behavior.

**WindowConstants.DO\_NOTHING\_ON\_CLOSE.** Does nothing, which is similar to the behavior of Frame objects.

**WindowConstants.DISPOSE\_ON\_CLOSE.** Hides and disposes the JFrame, but does not terminate the program.

**WindowConstants.EXIT\_ON\_CLOSE.** Causes the program to stop executing.

Notice that the JFrameDemo program contains the statement:

```
f.setDefaultCloseOperation(WindowConstants.EXIT_ON_CLOSE);
```

The JFrameDemo program terminates when the window is closed, as opposed to the FrameDemo program, which did nothing when the window was closed.

## Containers and Components

There are two basic elements of a GUI: containers and components. A container is for displaying components, and components must be displayed within a container. A Button is an example of a component, whereas a Frame is an example of a container. To display a Button, you place it within a Frame and display the Frame.

Component is an abstract class that is the parent class of the various GUI components of the AWT: Button, Checkbox, Choice, Label, List, and TextComponent. Container is an abstract class that is the parent class of the containers of the AWT: Window, Panel, and ScrollPane. Child objects of Component are placed within child objects of Container. For example, a Button can be placed within a Panel, or a List can be placed within a Frame.

note

**Components are placed inside containers. However, notice that Container is a child of Component. Therefore, a container is a component, which allows a Container object to be placed inside another Container object. For example, a Panel is a Container, and a Frame is a Container. Because Panel is also a Component, a Panel can be placed inside a Frame. (Using the same logic, a Frame can also be placed inside a Panel.)**

**The nesting of containers is an important aspect of creating the look of your GUI. The section *Panels* later in this chapter shows you how to add a Panel to a Frame and demonstrates why this is commonly done.**

The JComponent class is a child of Container, and it is the parent class of all of the Swing components, such as JComboBox, JLabel, JSlider, JSpinner, and JMenuBar. One of the ways that Swing is different from AWT is that not all AWT components are containers. However, all Swing components extend JComponent, which extends Container. Therefore, all Swing components are also containers, allowing them to be nested within each other. For example, a JButton can be placed within a JFrame (a typical use of JButton). However, because JButton is a child of Container, you can place a JFrame inside a JButton (which is not a typical GUI feature, but nonetheless this can be done in Swing).

## Adding Components to a Container

A Component is added to a Container using one of the following add() methods found in the java.awt.Container class:

**public Component add(Component c).** Adds the Component to the Container and returns a reference to the newly added Component

`public Component add(Component c, int index).` Adds the Component to the Container at the position specified by index

`public Component add(Component c, Object constraints).` Adds the Component to the Container using the specified constraints

note

**Components are added to a JFrame differently from the way they are added to a Frame. When using a Frame, you invoke the `add()` method directly on the Frame object, adding the components directly to the Frame. When using a JFrame, you still invoke the `add()` method, but not on the JFrame. Instead, you add the components to the content pane of the JFrame by invoking the `add()` method on the JFrame's content pane.**

**You use the `getContentPane()` method in the JFrame class to obtain a reference to the content pane of a JFrame. For example, the following statements add a JButton to the content pane of a JFrame:**

```
JFrame f = new JFrame();
JButton b = new JButton();
Container contentPane = f.getContentPane();
contentPane.add(b);
```

**Notice that the return value of `getContentPane()` is Container. The `add()` method is invoked on the content pane, adding `b` by using the layout manager of the content pane.**

Which `add()` method you use depends on which layout manager you are using. (Layout managers are discussed in the upcoming section called *Layout Managers*.) To demonstrate using the `add()` method, the following `AddDemo` program creates a Frame object and adds a Button.

```
import java.awt.*;
public class AddDemo
{
    public static void main(String [] args)
    {
        Frame f = new Frame("A simple window");
        Button cancel = new Button("Cancel");
        f.add(cancel); //Add the Button to the Frame
        f.setSize(100,100);
        f.setVisible(true);
    }
}
```

Notice that the cancel Button is added to the Frame `f`. Whenever `f` is displayed, the cancel button is also displayed. Figure 12.3 shows the output of the `AddDemo` program. Notice that the Button consumes the entire interior of the Frame, no matter what size you make the Frame.



**Figure 12.3** The Button is the same size as the Frame.

The button shown in Figure 12.3 looks a little unusual. I haven't seen many GUI programs that are one large button.

## Classroom Q & A

**Q:** OK, I'll ask the obvious question here. Why is the Button the same size as the Frame?

**A:** The answer involves the concept of a layout manager. A container uses a layout manager to determine how components are laid out within the container. The Frame class uses a BorderLayout manager by default, and the BorderLayout manager has placed the Button in the center of the Frame.

**Q:** But that is an unusual layout. What if we want the Button to have a normal size and appear in a certain location?

**A:** In the AddDemo example, I could have given the button a normal size by using a different layout manager such as FlowLayout. However, with layout managers, you do not specify the exact size and location of a GUI component. Instead, you simply add components to your container, and *the layout manager determines where each component will go and what its size will be.*

**Q:** That sounds odd. How does the layout manager know what you want the GUI to look like?

**A:** You determine the look of the GUI by selecting the appropriate layout manager and giving the components to the layout manager in a specific order or with specific constraints.

**Q:** I have done some GUI programming using Visual Basic, and all I did was visually place the components exactly where I wanted them in the window using the Visual Basic IDE. Can you do that in Java?

**A:** You can if you have an IDE like Visual Café or Visual Age. These IDEs have GUI editors that let you place components exactly where you want them. You can also organize components by



assigning a null layout manager to your container and specifying the exact location and size of each component added. There is an example of this later in the chapter in the section *Using No Layout Manager*.

**Q:** So why would you ever use one of the layout managers? Why not just use the IDE or lay out the components exactly where you want them?

**A:** Two reasons: First, you might not have an IDE, and if you do, there is a certain complexity to figuring out how to use it. If you understand layout managers, this will help you comprehend the code that the IDE is generating for you. Second, using a layout manager to lay out your components makes your GUI more portable. You might be surprised to see that a GUI that you created using an IDE looks great on Windows, but not so great on a Unix or Macintosh platform. The same problem can occur if you try to lay out components exactly where you want them.

**Q:** How does the layout manager know how you want your GUI to look?

**A:** Good question. You need to understand the way each type of layout manager behaves. For example, you need to know that the `FlowLayout` manager gives components their preferred size, and that `BorderLayout` places components in specific regions of the container. By using the different layout managers and nesting containers, you have great control over the look of the GUI, while at the same time letting the layout managers determine the exact location and size of your GUI components. Let's look at some of these layout managers so you can get a feel for how they are used.

## Layout Managers

---

A container uses a layout manager to determine both the location and size of the components within the container. A container can be assigned one layout manager, which is done using the `setLayout()` method of the `java.awt.Container` class:

```
public void setLayout(LayoutManager m)
```

`LayoutManager` is an interface that all the layout managers' classes must implement. You can create your own layout manager by writing a class that implements the methods of the `LayoutManager` interface (no small task), or

you can use one of the many layout managers of the AWT and Swing APIs, including the following:

- java.awt.FlowLayout.** Lays out components in a left-to-right flow, with each component given its preferred size. A Panel has FlowLayout by default.
- java.awt.BorderLayout.** Divides a container into five regions, allowing one component to be added to each region. A Frame and the content pane of a JFrame have BorderLayout by default.
- java.awt.GridLayout.** Divides a container into a grid of rows and columns, with one component added to each region of the grid and each component having the same size.
- java.awt.GridBagLayout.** Divides a container into regions similar to GridLayout, except that components do not need to be the same size. Components can span more than one row or column.
- java.awt.CardLayout.** Each component added to the container is treated as a card, with only one card being visible at a time (similar to a deck of cards).
- javax.swing.BoxLayout.** Allows components to be laid out vertically or horizontally. BoxLayout is similar to GridBagLayout, but it is generally easier to use.
- javax.swing.SpringLayout.** Lays out components with a specified distance between the edges of each component.
- javax.swing.OverlayLayout.** Displays components over the top of each other, similarly to CardLayout. This is a useful layout manager for creating tabbed panes.

Any container can use any layout manager. Notice that Frame objects and the content pane of JFrame objects have BorderLayout by default. However, you can assign them any layout manager you need. Similarly, Panel objects have FlowLayout by default, but a Panel can be assigned any other layout manager.

We will not discuss all these layout managers in this book, but I will show you the more commonly used ones, including FlowLayout, BorderLayout, GridLayout, and BoxLayout. After you get a feel for using these layout managers, it will be easier for you to learn how to use the other layout managers.

## FlowLayout Manager

---

The `java.awt.FlowLayout` class represents a layout manager that aligns components in a left-to-right flow, such as words in a sentence. FlowLayout has the following properties:

- Components are given their preferred size.
- The order in which the components are added determines their order in the container. The first component added appears to the left, and subsequent components flow in from the right.
- If the container is not wide enough to display all of the components, the components wrap around to a new line.
- You can control whether the components are centered, left-justified, or right-justified.
- You can control the vertical and horizontal gap between components.

note

**I always emphasize the importance of what a layout manager does to your components in terms of resizing them. What is nice about `FlowLayout` is that components get their preferred size, meaning that a `FlowLayout` manager will not attempt to override the width or height of a component if you have previously declared a specific size for the component.**

To use `FlowLayout` in a `Frame` or `JFrame`, you need to invoke `setLayout()` on the container and pass in a new `FlowLayout` object. The `FlowLayout` class has three constructors:

**`public FlowLayout()`.** Creates a new `FlowLayout` that centers the components with a horizontal and vertical gap of five units (where the unit is pixels in most GUI operating systems).

**`public FlowLayout(int align)`.** Creates a `FlowLayout` object with the specified alignment, which is one of the following values: `FlowLayout.CENTER`, `FlowLayout.RIGHT`, or `FlowLayout.LEFT`. The horizontal and vertical gap between components is five units.

**`public FlowLayout(int align, int hgap, int vgap)`.** Creates a `FlowLayout` object with the specified alignment, horizontal gap, and vertical gap.

For example, the following statement instantiates a new `FlowLayout` manager that justifies components to the right. The horizontal and vertical gap is not specified, so they will have the default value of 5.

```
Frame f = new Frame();  
f.setLayout(new FlowLayout(FlowLayout.RIGHT));
```

What `FlowLayout` does to components in a container is best understood by an example. The following `FlowLayoutDemo` program creates a `Frame` and assigns it `FlowLayout`. Components are then added using the `add()` method. Study the program and see if you can determine its output, which is shown in Figure 12.4.

```
import java.awt.*;
public class FlowLayoutDemo
{
    public static void main(String [] args)
    {
        Frame f = new Frame("FlowLayout demo");
        f.setLayout(new FlowLayout());
        f.add(new Button("Red"));
        f.add(new Button("Blue"));
        f.add(new Button("White"));
        List list = new List();
        for(int i = 0; i < args.length; i++)
        {
            list.add(args[i]);
        }
        f.add(list);
        f.add(new Checkbox("Pick me", true));
        f.add(new Label("Enter name here:"));
        f.add(new TextField(20));
        f.pack();
        f.setVisible(true);
    }
}
```

The `FlowLayoutDemo` demonstrates using some of the AWT components. Three `Button` components are added to the `Frame` first. Then, a `List` is created, filled with the command-line arguments, and added to the `Frame`. Next, a `Checkbox`, `Label`, and `TextField` are added. The `pack()` method sizes the `Frame` so all the components fit nicely, as you can see by the output shown in Figure 12.4.



**Figure 12.4** Output of the `FlowLayoutDemo` program.

## ◆ Pluggable Look and Feel

Swing components have what is referred to as a *pluggable look and feel* (PLAF), allowing their appearance to be independent of the underlying platform. You can create your own look and feel—determining colors, fonts, and backgrounds for all your components. Creating your own look and feel involves a fair amount of coding and artistic skills and is beyond the scope of this book.

However, Swing comes with a built-in look and feel for Windows, Macintosh, and Motif, which is a Unix look and feel. There is also a default Swing look and feel, known as the Metal look and feel. (In its earliest stages, Swing was referred to as Metal.)

The `javax.swing.UIManager` class maintains the current look and feel for a Java program using Swing. In particular, the `UIManager` class contains methods for determining and changing the current look and feel:

**public static void setLookAndFeel(LookAndFeel x).** Throws `UnsupportedLookAndFeelException`. Changes the current look and feel for this Java program to the given `LookAndFeel` object.

**public static void setLookAndFeel(String s).** Throws `UnsupportedLookAndFeelException`. Changes the current look and feel for this Java program to the given class name, which is a class that implements `LookAndFeel`.

**public static LookAndFeel getLookAndFeel().** Returns the current look and feel for this Java program as a `LookAndFeel` object.

The `LookAndFeel` class is in the `javax.swing` package and encapsulates a pluggable look and feel. The following classes represent the four built-in pluggable look-and-feel components of Swing:

**com.sun.java.swing.plaf.motif.MotifLookAndFeel.** Creates a Motif look and feel, which is the user interface for many Unix operating systems.

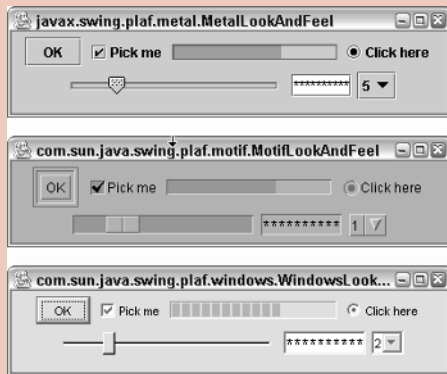
**com.sun.java.swing.plaf.windows.WindowsLookAndFeel.** Creates a Microsoft Windows look and feel.

**com.sun.java.swing.plaf.mac.MacLookAndFeel.** Creates a Macintosh look and feel. (Note that as of J2SE 1.4, this look and feel is not completed yet.)

**javax.swing.plaf.metal.MetalLookAndFeel.** Creates a Metal look and feel, which is the default for Swing applications.

The `PLAFDemo` program available on the Web site demonstrates a Swing `JFrame` with various Swing components added to the `JFrame`. The `JFrame` class represents a typical GUI window, and is one of the few heavyweight Swing components. You will notice in the outputs of the `PLAFDemo` program that the window containing the components is not affected by the selected look and feel.

Figure 12.5 shows the output of the `PLAFDemo` program using the Metal, Motif, and Windows look and feel. Compare the three windows and notice that the components are similar, but each has its own unique “look.” The “feel” comes from the actual response of the components when the user interacts with them.



**Figure 12.5** This figure provides the Metal look and feel of the PLAFDemo program (top), Motif look and feel of the PLAFDemo program (center), and Windows look and feel of the PLAFDemo program (bottom).

You can resize the Frame from `FlowLayoutDemo`, and the components will adjust their location so they remain centered in the window. If the Frame is not wide enough to display all the components, the components will wrap around to a new line.

## BorderLayout Manager

The `java.awt.BorderLayout` class represents a `BorderLayout` manager, which divides a container into five different regions: north, south, east, west, and center. Only one component can be added to a given region, and the size of the component is determined by the region it appears in. `BorderLayout` has the following properties:

- When a component is added, you pass in an `int` to the `add()` method that denotes the region of the container in which the component is to be added. The possible values are `NORTH`, `SOUTH`, `EAST`, `WEST`, and `CENTER`, which are all static fields in the `BorderLayout` class.
- You do not need to add a component to each region. If a region is left empty, the area is filled with the other components in the neighboring regions.
- A component added to the north or south gets its preferred height, but its width will be the width of the container.

- A component added to the east or west will get its preferred width, but its height will be the height of the container minus any components in the north or south.
- A component added to the center gets neither its preferred height nor width, but instead will be the size of the remaining space not filled by components in the other four regions.

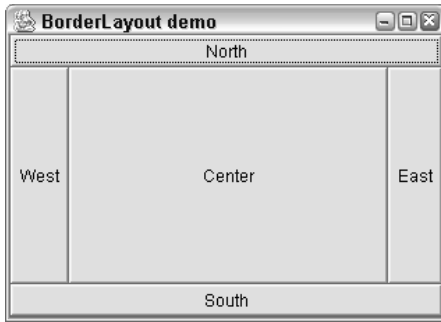
If you want a `Frame` or `JFrame` to use `BorderLayout`, you do not need to invoke `setLayout()` because they use `BorderLayout` by default. If your container does not have `BorderLayout`, you need to instantiate a new `BorderLayout` object using one of its two constructors:

**`public BorderLayout()`.** Creates a new `BorderLayout` with a horizontal and vertical gap of five units between components.

**`public BorderLayout(int hgap, int vgap)`.** Creates a `BorderLayout` object with the specified horizontal and vertical gap.

The following `BorderLayoutDemo` program demonstrates both how to add components to a container with `BorderLayout` and how the five regions look. In the program, a `Button` object is added to each of the five regions. The output is shown in Figure 12.6.

```
import java.awt.*;
public class BorderLayoutDemo extends Frame
{
    private Button north, south, east, west, center;
    public BorderLayoutDemo(String title)
    {
        super(title);
        north = new Button("North");
        south = new Button("South");
        east = new Button("East");
        west = new Button("West");
        center = new Button("Center");
        this.add(north, BorderLayout.NORTH);
        this.add(south, BorderLayout.SOUTH);
        this.add(east, BorderLayout.EAST);
        this.add(west, BorderLayout.WEST);
        this.add(center, BorderLayout.CENTER);
    }
    public static void main(String [] args)
    {
        Frame f = new BorderLayoutDemo("BorderLayout demo");
        f.pack();
        f.setVisible(true);
    }
}
```



**Figure 12.6** Output of the BorderLayoutDemo program.

note

**Notice that the BorderLayoutDemo takes a more object-oriented approach to creating a Frame. The BorderLayoutDemo class extends Frame and uses fields for each of the components in the Frame. I will try to use this object-oriented design with the examples from here on.**

The north and south buttons have their preferred height, but are as wide as the window. The east and west buttons have their preferred width, but run the height of the window. The center button fills in the remaining space, getting neither its preferred width nor height.

Your initial reaction to BorderLayout might be the following: Why would you use it? First, it can contain only five components, which seems like a serious drawback. And the way it resizes your components can make their appearance seem irregular, as with the buttons shown in Figure 12.6. Well, it has been my experience that BorderLayout can be quite useful, especially with top-level containers such as Frame and JFrame (which have BorderLayout by default). You will probably never add a Button to one of the five regions in BorderLayout because of the way BorderLayout resizes buttons. Instead, you will probably add either a component that looks appropriate in the region in which it is placed, or you will nest a panel inside one of the regions, which is demonstrated in the next section, *Panels*. I will show you how BorderLayout can be used along with FlowLayout to create just about any GUI layout you need to create.

## Panels

A *panel* is a simple container used for holding components. A panel is like an invisible container, and it must be placed in a top-level container, such as a Frame or JFrame before it can be viewed. The `java.awt.Panel` class represents



panels in AWT, and the `javax.swing.JPanel` class represents panels in Swing. Panels have the following properties:

- The default layout manager of both `Panel` and `JPanel` is `FlowLayout`, but since they are containers, they can have any layout manager assigned to them.
- A panel can be nested within another panel, a common occurrence when working with complex GUIs.
- A `JPanel` can take advantage of the double-buffering features in Swing, allowing them to be updated quickly and avoid flickering.

The `Panel` class has two constructors:

**`public Panel()`**. Creates a new `Panel` with `FlowLayout`.

**`public Panel(LayoutManager m)`**. Creates a new `Panel` with the specified layout manager.

The `JPanel` class has four constructors:

**`public JPanel()`**. Creates a new `JPanel` with `FlowLayout` and double-buffering turned on.

**`public JPanel(boolean isDoubleBuffered)`**. Creates a new `JPanel` with `FlowLayout`. It will use a double buffer if the boolean parameter is true.

**`public JPanel(LayoutManager m)`**. Creates a new `JPanel` with the specified layout manager and double-buffering turned on.

**`public JPanel(LayoutManager m, boolean isDoubleBuffered)`**. Creates a new `JPanel` with the specified layout manager, with double-buffering used when the boolean parameter is true.

You might be wondering what the purpose of panels is, so let's look at an example that illustrates when a panel is useful. Suppose that you are using a `Frame` with `BorderLayout` and you want three buttons displayed in the south. However, you cannot add three components to the south of a `BorderLayout`, so how can this be done? Well, the trick is to put a panel in the south. We can do this because a panel is a container, and containers are also components. If the one component we add in the south is a panel, we can add three buttons to the panel.

The following `PanelDemo` program does just this. Study the program and see if you can determine what the output will look like. Notice that the layout manager of the `Frame` was not changed, so it is `BorderLayout`. Similarly, the layout manager of the `Panel` was not changed, so it is `FlowLayout` by default. The output of the `PanelDemo` program is shown in Figure 12.7.

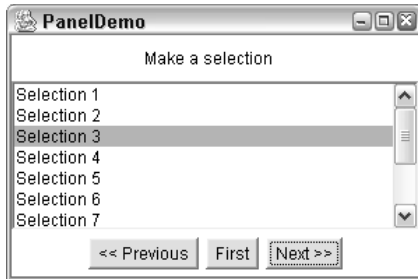


Figure 12.7 Output of the PanelDemo program.

```

import java.awt.*;
public class PanelDemo extends Frame
{
    private Button next, prev, first;
    private List list;
    public PanelDemo(String title)
    {
        super(title);
        next = new Button("Next >>");
        prev = new Button("<< Previous");
        first = new Button("First");
        Panel southPanel = new Panel();
        southPanel.add(prev);
        southPanel.add(first);
        southPanel.add(next);
        this.add(southPanel, BorderLayout.SOUTH);
        Panel northPanel = new Panel();
        northPanel.add(new Label("Make a selection"));
        this.add(northPanel, BorderLayout.NORTH);
        list = new List();
        for(int i = 1; i <= 10; i++)
        {
            list.add("Selection " + i);
        }
        this.add(list, BorderLayout.CENTER);
    }
    public static void main(String [] args)
    {
        Container f = new PanelDemo("PanelDemo");
        f.setSize(300,200);
        f.setVisible(true);
    }
}

```

The `PanelDemo` class extends `Frame` and has four fields: three `Button` references and a `List` reference. Notice in the `PanelDemo` constructor that the three `Button` objects are placed in a `Panel` named `southPanel`, and `southPanel` is added to the south of the `Frame`. Because the `Buttons` are in a `Panel` with `FlowLayout`, they will get their preferred size. A `Label` is put on a `Panel` named `northPanel`, and the `northPanel` is placed in the north of the `Frame`. Putting the `Label` on a `Panel` allows it to be centered along the top of the window because the `FlowLayout` manager of the `northPanel` centers its components.

A `List` is added to the center of the `Frame` to demonstrate that the remaining space in the `Frame` is filled with whatever component is placed there. The `List` is not placed on a `Panel`, but is simply added directly to the `Frame`. If the window in Figure 12.7 is resized, the `Buttons` and `Label` will be recentered, and the `List` will be resized to fill the center region of the `Frame`.

After I discuss the `GridLayout` and `BoxLayout` managers, I will show you an example using `JPanel` that nests panels within each other.

## GridLayout Manager

---

The `java.awt.GridLayout` class represents a layout manager that divides a container into a grid of rows and columns. The `GridLayout` manager has the following properties:

- Only one component can be added to each region of the grid. (Of course, that one component can be a panel containing any number of components.)
- Each region of the grid will have the same size. When the container is resized, each region of the grid will be resized accordingly.
- No components get their preferred height or width. Each component in the container is the same size, which is the current size of the regions of the grid.
- The order in which components are added determines their locations in the grid. The first component added appears in the first row and column, and subsequent components fill in the columns across the first row until that row is filled. Then, the second row is filled, and then the third, and so on.

To instantiate a `GridLayout` object, you use one of its three constructors:

**public GridLayout(int rows, int cols).** Creates a new `GridLayout` with the specified number of rows and columns. The horizontal and vertical gap between components is five units.

**public GridLayout(int rows, int cols, int hgap, int vgap).** Creates a new GridLayout with the specified number of rows and columns and also with the specified horizontal and vertical gap.

**public GridLayout().** Creates a GridLayout object with one row and any number of columns.

One (but not both) of the values for rows and columns can be zero. If the value of columns is 0, the grid will have the specified number of rows, but any number of columns. Similarly, if the value of rows is 0, the grid will have the specified number of columns, but any number of rows.

For example, suppose that we instantiate a GridLayout manager with three columns and 0 rows:

```
GridLayout grid = new GridLayout(0, 3);
```

If 10 components are added to a container with grid as its layout manager, the components will be displayed in four rows and three columns, with the last row containing only one component.

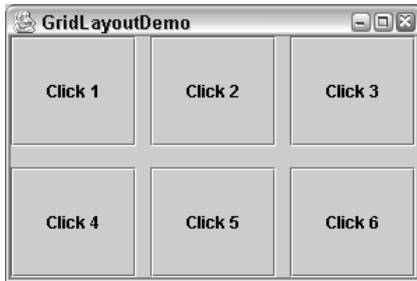
note

**The no-argument constructor of GridLayout creates a GridLayout with one row and an indeterminate number of columns. This is equivalent to the following statement:**

```
new GridLayout(1,0);
```

The following GridLayoutDemo program creates a JPanel, assigns its content pane to have GridLayout, and six JButton components are added to the content pane. Study the program and see whether you can determine what the JFrame will look like. In particular, try to determine the layout of the six buttons relative to the order they are added to the JFrame. The output is shown in Figure 12.8.

```
import java.awt.*;
import javax.swing.*;
public class GridLayoutDemo extends JFrame
{
    private JButton [] buttons;
    public GridLayoutDemo(String title)
    {
        super(title);
        Container contentPane = this.getContentPane();
        contentPane.setLayout(new GridLayout(2,3,10,15));
        buttons = new JButton[6];
        for(int i = 0; i < buttons.length; i++)
        {
```



**Figure 12.8** Output of the GridLayoutDemo program.

```

        buttons[i] = new JButton("Click " + (i + 1));
        contentPane.add(buttons[i]);
    }
}
public static void main(String [] args)
{
    JFrame f = new GridLayoutDemo("GridLayoutDemo");
    f.setSize(300,200);
    f.setVisible(true);
}
}

```

note

**In the GridLayoutDemo program, notice that the components are added to the JFrame's content pane, not to the JFrame directly. If you invoke add() on the JFrame instead of its content pane, your code will still compile. However, you will get an exception at run time, stating that components cannot be added to a JFrame directly.**

## BoxLayout Manager

The `javax.swing.BoxLayout` class represents a box layout, which is used for displaying components either vertically or horizontally. The `BoxLayout` manager has the following properties:

- Components are displayed either vertically or horizontally, depending on the value of its axis, which is set in the constructor of `BoxLayout`.
- The components do not wrap as they do with `FlowLayout`.
- If the components are aligned horizontally, the `BoxLayout` manager attempts to give them all the same height, based on the component with the largest height. Components in this axis get their preferred width.

- If the components are aligned vertically, the `BoxLayout` manager attempts to give them all the same width, based on the component with the largest width. Components in this axis get their preferred height.
- In most situations, the `Box` class is used when working with `BoxLayout`, as opposed to creating a `BoxLayout` manager directly. You can think of a `Box` as a `Panel` with `BoxLayout`.

The `BoxLayout` class has one constructor:

**`public BoxLayout(Container target, int axis)`.** Creates a new `BoxLayout` manager for the specified target and given axis.

The possible values of axis are as follows:

**`BoxLayout.X_AXIS`.** The components are displayed horizontally from left to right.

**`BoxLayout.Y_AXIS`.** The components are displayed vertically from top to bottom.

**`BoxLayout.LINE_AXIS`.** Similar to `X_AXIS`, the components are laid out horizontally. The order they are displayed is different, depending on the container's `ComponentOrientation` property, which allows components to be displayed from right to left or from left to right.

**`BoxLayout.PAGE_AXIS`.** Components are laid out like words on a page based on the container's `ComponentOrientation` property, which allows the components to be laid out from right to left, from left to right, from top to bottom, or from bottom to top.

You can assign `BoxLayout` to a `Panel`, `JFrame`, or any other container, but the simplest way to use `BoxLayout` is to instantiate a `Box` object, place your components in the `Box`, and place the `Box` into your container. The constructor of the `Box` class takes in one of these axis values as well:

```
public Box(int axis)
```

The following `BoxLayoutDemo` program demonstrates how to use the `Box` class to align a collection of components vertically within a `JFrame`. Study the program and try to determine its output, which is shown in Figure 12.9.



**Figure 12.9** Output of the `BoxLayoutDemo` program.

```
import java.awt.*;
import javax.swing.*;
public class BoxLayoutDemo extends JFrame
{
    public BoxLayoutDemo(String title)
    {
        super(title);
        //Create a Box with a vertical axis.
        Box box = new Box(BoxLayout.Y_AXIS);
        //Add some components to the Box.
        box.add(new JButton("OK"));
        box.add(new JCheckBox("Check here."));
        box.add(new JButton("Click here to continue."));
        box.add(new JLabel("Enter your name:"));
        box.add(new JTextField());
        //Add the Box to the content pane of this JFrame.
        Container contentPane = this.getContentPane();
        contentPane.add(box, BorderLayout.CENTER);
    }
    public static void main(String [] args)
    {
        JFrame f = new BoxLayoutDemo("BoxLayoutDemo");
        f.pack();
        f.setVisible(true);
    }
}
```

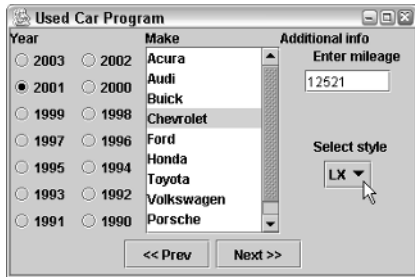
**note**

In the output of the `BoxLayoutDemo` program shown in Figure 12.9, notice that the width of the components was determined by the widest component in the `Box`, which in this example is the `JButton` with the label “Click here to continue.”

## Nesting Panels

---

Now that we have discussed a variety of different layout managers, I want to show you a fairly complex GUI that contains a variety of components. Figure 12.10 shows a GUI similar to a program I worked on about 5 years ago when Swing first started becoming popular.



**Figure 12.10** The UsedCarFrame program is used to compute the book value of used cars.

I want you to study the GUI in Figure 12.10 and try to determine how you might create it by using various layout managers and containers. Of course, there is no single correct answer, but I have provided a solution in the UsedCarFrame class available on the Web site. As you study the code, you will notice that panels were nested within panels to create parts of the GUI.

I want to point out a few of the details of the UsedCarFrame:

- The UsedCarFrame class extends JFrame, and its content pane uses BorderLayout (because it was not changed from its default).
- A JPanel is added to the south, north, and center of the content pane.
- The JPanel in the south has FlowLayout and contains two JButton components.
- The JPanel in the north has GridLayout with one row and three columns and contains three JLabel components.
- The JPanel in the center of the content pane has GridLayout with one row and three columns. The component in the first column is a JPanel named left, the component in the second column is a JScrollPane named scrollPane, and the component in the third column is a Box named right.
- The left JPanel has GridLayout with seven rows and two columns. The 14 components are radio buttons.
- The scrollPane houses a JList containing various strings.
- The right Box contains a JLabel, a JTextField, another JLabel, and a JComboBox.

**note**

**I realize that throughout this chapter I have used the various AWT and Swing components without properly describing them or how they are created and used. In Chapter 13, “GUI Components and Event Handling,” I will discuss these components in detail, including how to handle the various events that each component generates.**



## ◆ Dialog Windows

A *dialog window* is used to interact with the user, either to share information with the user or obtain information from the user. The `java.awt.Dialog` class represents an AWT dialog window, and the `javax.swing.JDialog` class represents a Swing dialog window. Note that the `JDialog` class extends the `Dialog` class.

A dialog window is either modal or modeless. A *modal* dialog window must be closed before the program can continue. A *modeless* dialog window is one that does not need to be closed, and you still can interact with the program or programs behind the modeless dialog.

A dialog window often has an owner window that is either another dialog window or a frame window. The owner of a dialog window is assigned by using one of the constructors of the `Dialog` or `JDialog` classes:

**public Dialog(Frame owner, String title, boolean modal).** Creates a `Dialog` with the given `Frame` as its owner. The `String` appears in the title bar, and if the `boolean` is true, the `Dialog` will be modal.

**public Dialog(Dialog owner, String title, boolean modal).** Creates a `Dialog` with the given `Dialog` as its owner.

**public JDialog(Frame owner, String title, boolean modal).** Creates a `JDialog` with the given `Frame` as its owner.

**public JDialog(Dialog owner, String title, boolean modal).** Creates a `JDialog` with the given `Dialog` as its owner.

**public JDialog().** Creates a `JDialog` with no owner or title. It will be modeless by default.

There are other constructors in the `Dialog` and `JDialog` classes that contain a variation of the parameters listed above.

After you have instantiated a dialog window, you invoke the `show()` method to activate the dialog window and the `hide()` method to hide the dialog window. These methods are defined as follows:

**public void show().** This method makes the `Dialog` visible. If the `Dialog` is modal, this method will not return until the `hide()` or `dispose()` method is invoked on this `Dialog` object. If the `Dialog` is modeless, this method returns immediately.

**public void hide().** This method hides the `Dialog` and causes the `show()` method to return if the `Dialog` is modal.

Notice that the `dispose()` method can also be used to hide a `Dialog` window. (The difference between `hide()` and `dispose()` is that the `dispose()` method frees up any resources involving the screen and other native devices. A disposed window can still be redisplayed using the `show()` method.)

The following `ModalDemo` program creates a `Dialog` window with a `Frame` as its owner. The dialog is modal, so when the dialog is displayed, it must be closed before the user can interact with the `Frame`.

```
import java.awt.*;
import java.awt.event.*;
import javax.swing.*;
```

```
public class ModalDemo extends Frame implements ActionListener
{
    private Dialog modal;
    private JButton go, ok;
    public ModalDemo(String title)
    {
        super(title);
        //Prepare the buttons.
        go = new JButton("Go");
        go.addActionListener(this);
        ok = new JButton("OK");
        ok.addActionListener(this);
        //prepare the Dialog window
        modal = new Dialog(this, "A modal dialog", true);
        modal.setLayout(new FlowLayout());
        modal.add(ok);
        modal.setBounds(60,100,180,60);
        //Add the "Go" button to the Frame.
        JPanel center = new JPanel();
        center.add(go);
        this.add(center, BorderLayout.CENTER);
    }
    //Clicking either button causes this method to be invoked
    public void actionPerformed(ActionEvent e)
    {
        String label = e.getActionCommand();
        if(label.equals("Go"))
        {
            modal.show();
        }
        else if(label.equals("OK"))
        {
            modal.hide();
        }
    }
    public static void main(String [] args)
    {
        Frame f = new ModalDemo("Modal Demo");
        f.setSize(300, 300);
        f.setVisible(true);
    }
}
```

Figure 12.11 shows the output of the ModalDemo program. Notice that true was passed in to the Dialog constructor, making the Dialog modal. The ActionListener interface and actionPerformed() method are discussed in detail in Chapter 13.

*continued*

## ◆ Dialog Windows (continued)

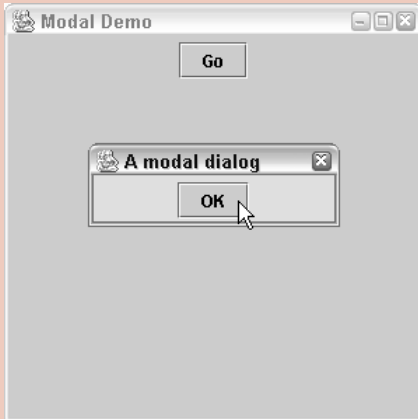


Figure 12.11 Output of the ModalDemo program.

## Using No Layout Manager

You can create a GUI with components in the exact location and size that you want. To do this, you set the layout manager of the container to null and then set the bounds for each component within the container.

The following `JDialogDemo` program creates a `JDialog` and sets its layout manager to null. Three components are added: a `JLabel` and two `JButtons`. The bounds of each component are set using the `setBounds()` method. Because there is no layout manager, the bounds of the component are not overridden (as they often are when you are using a layout manager), causing the components to appear exactly where you specify with `setBounds()`. Study the `JDialogDemo` program and try to determine what the GUI will look like. The output is shown in Figure 12.12.

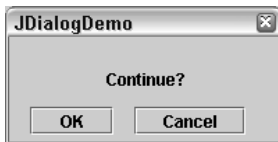


Figure 12.12 Output of the `JDialogDemo` program.

```

import java.awt.*;
import javax.swing.*;
public class JDialogDemo extends JDialog
{
    private JButton ok, cancel;
    public JDialogDemo(String title)
    {
        this.setTitle(title);
        Container contentPane = this.getContentPane();
        contentPane.setLayout(null);
        JLabel message = new JLabel("Continue?");
        message.setBounds(70, 20, 125, 20);
        ok = new JButton("OK");
        ok.setBounds(15,50, 60, 20);
        cancel = new JButton("Cancel");
        cancel.setBounds(90, 50, 80, 20);
        contentPane.add(message);
        contentPane.add(ok);
        contentPane.add(cancel);
    }
    public static void main(String [] args)
    {
        JDialog f = new JDialogDemo("JDialogDemo");
        f.setSize(200,100);
        f.setResizable(false);
        f.setVisible(true);
    }
}

```

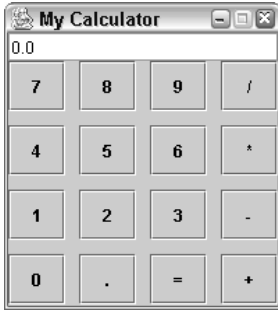


## Lab 12.1: Using JFrame

The purpose of this lab is to become familiar with creating a JFrame.

1. Write a class named Calculator that extends JFrame.
2. Within the constructor of the Calculator class, use panels and layout managers to create a GUI similar to the one shown in Figure 12.13. Note that the class uses JButton for the buttons and JTextField for the display above the buttons.
3. Add a main() method to your Calculator class that instantiates and displays your Calculator GUI.
4. Save, compile, and run the Calculator class.

Your Calculator should be similar to the one in Figure 12.13. Do not worry if it is not exactly the same, especially because we have not discussed the details of the various components yet.



**Figure 12.13** Create a GUI that looks similar to this Calculator window.



## Lab 12.2: The Instant Message Window

This lab is the beginning of a project that you will work on throughout the remainder of this book: You will write an instant messaging program. Instant messaging has become a very popular Internet application because it enables you to “talk” to someone by sending messages back and forth that are instantly read by the receiver. This project will use Swing, and you will create the GUI portion of the program first.

Write a class named `InstantMessageFrame`. Have it extend the `JFrame` class.

1. Add a constructor that contains a single parameter of type `String` to represent the title bar of the `JFrame`. Within the constructor, pass the `String` parameter up to the parent `JFrame` constructor that takes in a `String`.
2. Within the constructor, use the `setDefaultCloseOperation()` method so that your `JFrame` will cause the program to exit when the user closes the `JFrame`.
3. Add a `main()` method within your `InstantMessageFrame` class. Within `main()`, instantiate a new `InstantMessageFrame` object, passing in “My IM Program” for the title bar.
4. Within `main()`, set the size of your window to be 220 pixels wide by 450 pixels high.
5. Within `main()`, invoke `setVisible()` to make your window visible on the screen.
6. Save, compile, and run your `InstantMessageFrame` program.

You should see a `JFrame` that is 220 pixels wide by 450 pixels high. Your program should exit when you close the `JFrame`.



## Lab 12.3: The Instant Message GUI

The purpose of this lab is to become familiar with using layout managers and panels to lay out components in a window. This lab, which is a continuation of Lab 12.2, uses an object-oriented approach to creating the GUI. I will have you write separate methods for each nested container.

1. Open the source code file of your `InstantMessageFrame` class from Lab 12.2.
2. Add the following three fields to the `InstantMessageFrame` class: a `TextField` named `message`, a `JList` named `friends`, and a `Button` named `send`.
3. Add a method to your `InstantMessageFrame` class named `getMessagePanel()` that returns a `JPanel` and has no parameters. (This method will create a `JPanel` that will appear in the south border of your `JFrame`. It will contain a text field in which a message can be entered and a button that sends an instant message to all the friends in the list.)
4. Within `getMessagePanel()`, instantiate a new `JPanel` and give it `BorderLayout`. Assign a message equal to a new `TextField` by using the no-argument constructor of `TextField`. Assign `send` to a new `Button`, passing in “Send” to the constructor. (This will be the label on the button.)
5. Within `getMessagePanel()`, add the message text field to the center of the `JPanel` and add the send button to the east border of the `JPanel`. The panel is now ready, so return the `JPanel` reference.
6. Add a method to your `InstantMessageFrame` class named `getFriendsPane()` that returns a `JScrollPane` and has no parameters. (This method will create a scrollable list that will contain the names of others that you can chat with.)
7. Within `getFriendsPane()`, assign `friends` to a new `JList` by using the no-argument constructor of `JList`.
8. Within `getFriendsPane()`, instantiate a new `JScrollPane` using the following statement:

```
JScrollPane pane = new JScrollPane(friends);
```
9. The scroll pane is ready, so return the reference pane at the end of `getFriendsPane()`.
10. Within the constructor of `InstantMessageFrame`, invoke `getMessagePanel()`, placing the returned panel in the south border of the content pane of `InstantMessageFrame`.

11. Within the constructor of `InstantMessageFrame`, invoke `getFriendsPane()`, placing the returned scroll pane in the center of the content pane of `InstantMessageFrame`.
12. Save, compile, and run the `InstantMessageFrame` class.

Your `InstantMessageFrame` now has three visible components: a `JList`, a `TextField`, and a `JButton`.

---



## Lab 12.4: Creating a Dialog Window

Sending an instant message is typically done using a dialog window in which you enter the message in a text field. In this lab, you will write a class to represent the GUI for this dialog window that will look similar to that shown in Figure 12.14.

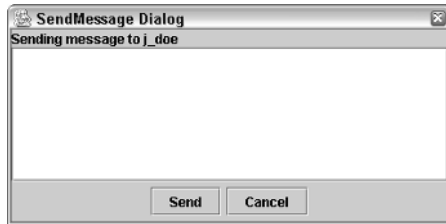
1. Write a class named `InstantMessageDialog` that extends `JDialog`.
2. Add three fields to the `InstantMessageDialog` class: a `JButton` named `send`, a `JButton` named `cancel`, and a `TextField` named `message`.
3. Add a constructor that has two parameters: a `Frame` to represent the owner of the dialog and a `String` to represent the recipient of the instant message. Pass the `Frame` parameter up to the parent constructor, as well as a `String` for the title of the window and `true` to make it a modal dialog.
4. Within the constructor, initialize the two `JButton` fields to be a “Send” and “Cancel” button. Initialize the `message` field to a new `TextField` by using the no-argument constructor of `TextField`.
5. Add the two buttons to the south border of the dialog’s content pane, as shown in Figure 12.14.
6. Add the `TextField` to a new `JScrollPane` using the statement:  

```
JScrollPane center = new JScrollPane(message);
```
7. Add the center pane to the center of the dialog’s content pane.
8. Add a `JLabel` to the north border of the dialog’s content pane that displays a message containing the name of the recipient, similar to the label in Figure 12.14.
9. Set the size of the dialog window to be 400 pixels wide by 200 pixels high.
10. Add a `main()` method to the `InstantMessageDialog` class that instantiates a new `InstantMessageDialog` object. Pass in `null` for the owner

and any name you want for the recipient. Invoke the `show()` method on your dialog window.

**11.** Save, compile, and run the `InstantMessageDemo` program.

The `main()` method you added to `InstantMessageDialog` is strictly for testing purposes. When you run the program, you should see a dialog window similar to the one shown in Figure 12.14. To close this dialog window, you will need to terminate the JVM (press `Ctrl+c` at the command prompt).



**Figure 12.14** Your `InstantMessageDialog` should look similar to this one.

## Summary

- There are two APIs for creating GUI applications in Java: Swing and AWT. The Swing API uses many of the AWT classes and interfaces.
- Components reside in containers. The `java.awt.Component` class is the parent class of all AWT components. The `java.awt.Container` class is the parent class of all AWT containers and also of all Swing components.
- The `java.awt.Frame` and `javax.swing.JFrame` classes are used to create a top-level window.
- A container uses a layout manager to determine how the components are laid out in the container. A container can use any of the available layout managers.
- The common layout managers are `FlowLayout`, `BorderLayout`, `GridLayout`, `GridBagLayout`, `CardLayout`, `BoxLayout`, `SpringLayout`, and `OverlayLayout`.
- Swing components are displayed using a Pluggable Look and Feel (PLAF) that can be changed dynamically.
- A panel can be nested within a container. Panels can be nested within other panels as well to allow greater control of where components are laid out within a container.



## Review Questions

1. What does GUI stand for?
2. What does AWT stand for?
3. What does Swing stand for?
4. Which of the following statements is (are) true about lightweight and heavyweight components? (Select all that apply.)
  - a. The look and feel of a heavyweight component relies heavily on the native platform.
  - b. The look and feel of a lightweight component relies heavily on the native platform.
  - c. Lightweight components are written entirely in Java, allowing them to control their appearance.
  - d. All the AWT components are heavyweight components.
  - e. All the class names that represent Swing components begin with a capital J.
  - f. All the Swing components are lightweight components.
5. What is the initial size of a `java.awt.Frame` object immediately after one is instantiated?
6. How big is a `JFrame` if `setBounds(120, 200, 340, 280)` is invoked on the `JFrame`?
7. Which one of the following statements is *not* true?
  - a. The `java.awt.Container` class is a child of `java.awt.Component`.
  - b. The `javax.swing.JComponent` class is the parent class of all Swing components.
  - c. All Swing components can act as containers.
  - d. All AWT components can act as containers.
  - e. The `javax.swing.JComponent` class is a child of `java.awt.Container`.
8. Which method in the `java.awt.Container` class is used to add a component to the container?
9. What is the default layout manager of a `java.awt.Frame`?
10. What is the default layout manager of the content pane of a `javax.swing.JFrame`?
11. What is the default layout manager of a `java.awt.Panel`? Of a `javax.swing.JPanel`?
12. Which of the following statements is (are) true about layout managers? (Select all that apply.)
  - a. Components with `FlowLayout` receive their preferred size.
  - b. Components with `BorderLayout` receive only their preferred width.
  - c. Components with `GridLayout` do not receive their preferred width or height.

- d. Components with BorderLayout receive their preferred height or width, depending on the axis.
  - e. The order in which components are added to a container with GridLayout does not affect where they appear in the grid.
  - f. You can add at most only five components to a container with BorderLayout.
13. True or False: A java.awt.Panel can be assigned BorderLayout.
  14. True or False: A java.awt.Panel can be nested within another java.awt.Panel.
  15. Suppose that a new GridLayout manager is instantiated using the statement `new GridLayout(0,1)`. If five components are added to a container using this layout manager, how will the components be arranged?
  16. If a dialog window is instantiated using the statement `new JDialog()`, is it modal or modeless?

## Answers to Review Questions

1. GUI stands for graphical user interface, and it refers to the visual portion of your application that a user sees and interacts with.
2. AWT stands for Abstract Windowing Toolkit, and it refers to a collection of classes and interfaces for developing GUI programs.
3. Sorry to say, Swing is not an acronym, nor does it refer to anything. Swing is a collection of GUI components and APIs that are more robust and versatile than the AWT. The story I heard from Sun was that someone used the comment “That really swings” when first seeing Metal, the initial name given to the Swing project.
4. Answer a is true because that is the definition of a heavyweight component. Answer b is not true; the look and feel of a lightweight component is determined by the component, which is why answer c is true. Answer d is also true, but answer f is not entirely true. (Swing has several heavyweight components, including the windows such as JFrame and JDialog.) Answer e is true.
5. The initial size of Frame, JFrame, Dialog, and JDialog objects is 0 pixels high by 0 pixels wide.
6. It will be 340 pixels wide by 280 pixels high. The 120 and 200 denote its location on the screen, but do not affect the size of the JFrame.
7. Not all AWT components are containers, only those that are child classes of java.awt.Container, so the correct answer is d.
8. You use one of the overloaded add() methods.
9. FlowLayout.
10. BorderLayout.
11. Both have FlowLayout by default.
12. Answer a is true; FlowLayout gives all components their preferred size. Answer b is false; the east and west components get their preferred width, but the north and south components do not. Instead, they get their preferred height, and the center component does not get its preferred width or height. Answer c is true; components in GridLayout are all the size of the regions in the grid, which are all the same size. Answer d is true; horizontal components get their preferred width and vertical components get their preferred height. Answer e is false; in fact, the exact opposite is true. The order components are added in exactly the way they appear in the grid. Answer f is true; only five components can be added to a container with BorderLayout. However, any one of those components can be a container (like a Panel) that contains any number of components.
13. True. A Panel can be assigned to any layout manager.
14. True. Panels are often nested within other panels.
15. The number of rows is defined as 0, which means that any arbitrary number of rows can appear. Because the number of columns is one, the five components will appear vertically in one column spanning five rows.
16. The no-argument constructor of JDialog creates a modeless dialog window.



# GUI Components and Event Handling

Now that you can create a nice-looking GUI for your programs, you are probably anxious to get started on this chapter, especially because your GUI programs from the last chapter do not have any functionality beyond looking good. In this chapter, I will discuss the delegation model, the architecture behind event handling in Java. We will then look at the various components of the AWT and Swing APIs, discussing how to create them and how to handle their events.

## The Delegation Model

---

Events in Java are fired and handled using a design known as the delegation model. With the delegation model, a source generates an event and a listener handles it, creating an object-oriented approach to handling events. (A class is written to handle the events of a component.) There are three major players in the delegation model:

**The source of the event.** In GUI programming, the component is the source of the event. Events are Java objects that are instantiated by the component and passed as an argument to any listeners.

**An event listener.** A listener of an event registers itself with the source of the event. When an event occurs, the source of the event invokes a method on the listener.

**An interface.** The interface contains the methods that the listener must implement and that the source of the event invokes when the event occurs.

For example, when a user clicks a `java.awt.Button`, the `Button` generates a `java.awt.event.ActionEvent`. The `Button` invokes the `actionPerformed()` method on each registered listener of the `Button`, passing in the `ActionEvent` object. The `actionPerformed()` method is defined in the `java.awt.event.ActionListener` interface, which each listener must implement. In this scenario, the `Button` is the source of the event, the interface is `ActionListener`, and the listener is any class that implements `ActionListener` and registers itself with the `Button`.

## Classroom Q & A

**Q:** How do you register a listener with a `Button`?

**A:** Two steps are involved. You first need to write a class that implements `ActionListener`. You then invoke the `addActionListener()` method on the `Button`, passing in an instance of your class.

**Q:** So do all components generate an `ActionEvent`?

**A:** No. There are many types of events, and each event has a corresponding listener interface. For example, windows generate `WindowEvent` objects and invoke a method from the `WindowListener` interface. A check box generates an `ItemEvent` and invokes a method in the `ItemListener` interface.

**Q:** How do you know what events a component generates?

**A:** Well, one of my main goals in this chapter is to show you how to determine the events that a component generates. The simplest way to tell is to look for methods in the component's class of the form `add<event_name>Listener()`. For example, if a component has an `addMouseListener()` method, the component generates a `MouseEvent`.

**Q:** So what interface do you implement to listen to a `MouseEvent`?

**A:** Java components use a standard naming convention for events. If the name of the event is `MouseEvent`, the name of the corresponding interface is `MouseListener`, and the name of the method you invoke to register a listener is `addMouseListener()`.

**Q:** Can a component have more than one listener?

**A:** Sure. Any number of listeners can register themselves with a GUI component. When an event occurs, each listener is notified one at a time (in no particular order).

**Q:** Can a component generate more than one type of event?

**A:** Yes. In fact, all components generate multiple types of events because all components can generate a `FocusEvent`, `KeyEvent`, `MouseEvent`, `MouseEvent`, `MouseEvent`, and others.

**Q:** That must mean that all components have methods named `addFocusListener()` method, `addKeyListener()`, `addMouseListener()`, `addMouseMotionListener()`, and so on.

**A:** You're catching on. The `add<event_name>Listener()` methods for these events are found in the `java.awt.Component` class (the parent class of all components). What I want to do now is discuss the event interfaces, which provide the communication between the source of the event and the listener. After that, I will show you how to write a listener and register it with the event source.

## The Event Listener Interfaces

The *event listener interface* contains the methods that the event source invokes on the listener, and it provides the means of communication between the source of the event and the listener of the event. Each type of event has a corresponding listener interface.

note

**Java uses a standard naming convention for event classes and listener interfaces: The name of the event class uses the convention `<Name>Event`, and the corresponding listener interface uses the convention `<Name>Listener`. For example, the `ActionEvent` class is associated with the one method of the `ActionListener` interface, and the `WindowEvent` class is associated with the seven methods of the `WindowListener` interface.**

An event listener interface extends the `java.util.EventListener` interface. The `EventListener` interface does not contain any methods, but is used for tagging an event listener interface for use with the delegation model of event handling. For example, the `ActionListener` interface is defined as:

```
package java.awt.event;
public interface ActionListener extends java.util.EventListener
{
    public void actionPerformed(ActionEvent e);
}
```

Notice that `ActionListener` extends `EventListener` and is in the `java.awt.event` package, which is where all the AWT event classes and listener interfaces are defined. The `javax.swing.event` package contains the event classes and listener interfaces unique to Swing. The AWT components only generate AWT events, while Swing components generate both AWT and Swing events. For example, a Swing `JButton` is the source of `java.awt.event.ActionEvent` (an AWT event class) and a `javax.swing.event.ChangeEvent` (a Swing event class).

Notice also that the `ActionListener` interface contains one method, and the parameter is an `ActionEvent`. When an action event occurs, the source of the event instantiates an `ActionEvent` object and invokes `actionPerformed()` on all listeners, passing in the `ActionEvent` object.

An event listener interface can contain any number of methods. The methods are used to determine what caused the event. With `ActionEvent`, there is only one method, `actionPerformed()`, which simply means that the action has occurred. For example, with buttons it means the button was clicked.

The `WindowListener` interface has seven methods, and the method that the event source invokes depends on what caused the `WindowEvent`. `WindowListener` is defined as:

```
package java.awt.event;
public interface WindowListener extends java.util.EventListener
{
    public void windowOpened(WindowEvent e);
    public void windowClosing(WindowEvent e);
    public void windowClosed(WindowEvent e);
    public void windowIconified(WindowEvent e);
    public void windowDeiconified(WindowEvent e);
    public void windowActivated(WindowEvent e);
    public void windowDeactivated(WindowEvent e);
}
```

For example, when a user clicks the X to close a window, the window instantiates a new `WindowEvent` and invokes the `windowClosing()` method on all registered listeners. Similarly, minimizing a window causes `windowIconified()` to be invoked, restoring a window causes `windowDeiconified()` to be invoked, and so on. The window invokes the appropriate method on the listener, depending on what caused the `WindowEvent`.

note

Each type of event has an event class and an event listener interface. They share a common name, such as `ActionEvent` and `ActionListener`, `ItemEvent` and `ItemListener`, and so on. A listener of an event must implement the corresponding event listener interface. For example, if you want to listen to an `ItemEvent`, you write a class that implements the `ItemListener` interface.

Now that we've discussed the interfaces, let's look at how to create a listener and register it with an event source.

## Creating an Event Listener

If a listener wants to listen to a particular type of event, then the listener must implement the corresponding event listener interface. From a programming point of view, this means you need to write a class that implements the listener interface. If you want to listen for an `ActionEvent`, you write a class that implements `ActionListener`. If you want to listen for a `WindowEvent`, you write a class that implements `WindowListener`.

I want to show you an example that demonstrates a common design issue of event handling. I want to write a simple program that contains a `Frame` with a `Button` in it. When the user clicks the `Button`, I want the color of the `Frame` to change to a random color. We have not discussed `Button` components in detail yet, but I can tell you that clicking a `Button` generates an `ActionEvent`.

Therefore, I need to write a class that implements `ActionListener`. When the `actionPerformed()` method is invoked, I know that the `Button` has been clicked, but I want to change the background color of the window. I need to design my `ActionListener` class so that it has a reference to the window.

The following `RandomColor` class is an `ActionListener` that, in its constructor, initializes a field to point to a `Container` whose color is to be changed. By using a reference of type `Container`, this allows the `RandomColor` class to change the color of not just a window, but any `Container`.

note

Storing the data and other components that a listener needs as fields in the listener class is a common technique when designing a listener class. Typically, these fields are initialized in the constructor or by adding mutator (set) methods.

```
import java.awt.*;
import java.awt.event.*;
public class RandomColor implements ActionListener
{
```



```
private Container container;

public RandomColor(Container c)
{
    container = c;
}

public void actionPerformed(ActionEvent e)
{
    System.out.println(e + " just occurred.");
    int red, green, blue;
    red = (int) (Math.random() * 256);
    green = (int) (Math.random() * 256);
    blue = (int) (Math.random() * 256);
    Color color = new Color(red, green, blue);
    container.setBackground(color);
}
}
```

## Registering a Listener with an Event Source

---

After writing a listener class, you register it with the component from which you want to handle events. If a component generates an event, the component has a method of the form `add<Event_Name>Listener()`. For example, a `Button` generates an `ActionEvent`, and the `Button` class contains the following method:

```
public void addActionListener(ActionListener a)
```

Similarly, a `Frame` generates a `WindowEvent` and contains the following method:

```
public void addWindowListener(WindowListener w)
```

Notice that the data types of the parameters of these two methods are interface types. These methods are designed to force the listener class to implement a specific interface. If you want to listen for the `ActionEvent` of a `Button`, you need to write a class that implements `ActionListener` so you can pass an instance to the `addActionListener()` method. If you want to listen for the `WindowEvent` of a `Frame`, you need to write a class that implements `WindowListener` so you can pass an instance to the `addWindowListener()` method.

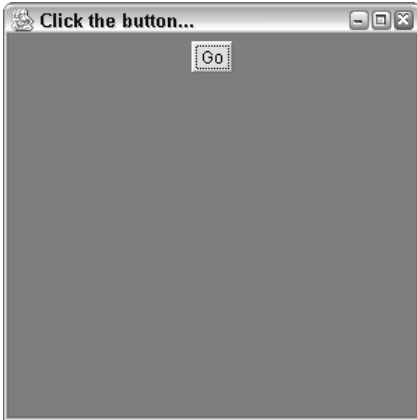
The following `EventDemo` class creates a button and registers an instance of the `RandomColor` class discussed earlier as a listener of the button. Study the program and try to determine what the GUI looks like and what the program does.

```
import java.awt.*;public class EventDemo extends Frame
{
    private Button go;
    public EventDemo(String title)
    {
        super(title);
        go = new Button("Go");
        //Instantiate a listener object.
        RandomColor changer = new RandomColor(this);
        //Register the listener with the button.
        go.addActionListener(changer);
        //Add the button to the frame.
        this.setLayout(new FlowLayout());
        this.add(go);
    }
    public static void main(String [] args)
    {
        Frame f = new EventDemo("Click the button...");
        f.setSize(300,300);
        f.setVisible(true);
    }
}
```

I want to make a few observations about the EventDemo program:

- When the RandomColor object is instantiated, a reference to the Frame is passed in to the constructor. This reference is stored as a field in the RandomColor object.
- The RandomColor object is registered as a listener of the go button.
- Each time the go button is clicked, the button generates an ActionEvent and invokes actionPerformed() on the RandomColor object.
- Within actionPerformed(), the RandomColor object generates a random color and sets it as the background color of the Frame.

The output of the EventDemo program is shown in Figure 13.1. Notice that the program does not terminate because it is a Frame and we have not handled the WindowEvent yet. I will show you how to do that next when I discuss the event adapter classes.



**Figure 13.1** Output of the EventDemo program.

## The Event Adapter Classes

A listener class must implement a listener interface, meaning that all of the methods of the interface must be defined in the class. For the listener interfaces with one method, this is not too much work; however, for the listener interfaces with multiple methods, it can be fairly tedious—especially when you have to define methods in which you are not interested.

For example, suppose that you want to handle the `windowClosing()` cause of a `WindowEvent` so that the program terminates. Then the listener class needs to implement `WindowListener` and define all seven of its methods. The class might look similar to the following:

```
import java.awt.event.*;
public class TediousWindowCloser implements WindowListener
{
    public void windowClosing(WindowEvent e)
    {
        System.exit(0);
    }
    public void windowOpened(WindowEvent e)
    {}
    public void windowClosed(WindowEvent e)
    {}
    public void windowIconified(WindowEvent e)
    {}
    public void windowDeiconified(WindowEvent e)
    {}
    public void windowActivated(WindowEvent e)
    {}
}
```

```

    public void windowDeactivated(WindowEvent e)
    {
    }
}

```

The `TediousWindowCloser` class must define all seven methods of `WindowListener`, even though six of them do not have any implementation. There is nothing wrong with this class except that it was a lot of typing for really only defining one method.

This is where the adapter classes come in. The event listener interfaces that contain more than one method have a corresponding *event adapter class* that implements the interface and defines each method in the interface with an empty method body. Instead of implementing an event listener interface, you can extend the corresponding event adapter class and define only those methods in which you are interested.

The `WindowAdapter` class is an example of an event adapter class. It implements `WindowListener`, and defines its seven methods with empty method bodies. Other event adapter classes in the AWT and Swing include:

**ComponentAdapter.** Defines the four methods of `ComponentListener`.

**ContainerAdapter.** Defines the two methods of `ContainerListener`.

**FocusAdapter.** Defines the two methods of `FocusListener`.

**KeyAdapter.** Defines the three methods of `KeyListener`.

**MouseAdapter.** Defines the five methods of `MouseListener`.

**MouseMotionAdapter.** Defines the two methods of `MouseMotionListener`.

**InternalFrameAdapter.** Defines the seven methods of `InternalFrameListener`.

**MouseInputAdapter.** Defines the seven methods of `MouseInputListener`.

To demonstrate using an adapter class, the following `SimpleWindowCloser` class extends `WindowAdapter` instead of implementing `WindowListener`. The class fulfills the same purpose as the `TediousWindowCloser` class, but notice that it is simpler to write:

```

import java.awt.event.*;
public class SimpleWindowCloser extends WindowAdapter
{
    public void windowClosing(WindowEvent e)
    {
        System.exit(0);
    }
}

```

Because the `SimpleWindowCloser` class extends `WindowAdapter` and `WindowAdapter` implements `WindowListener`, that makes `SimpleWindowCloser` a

WindowListener that can listen for a WindowEvent. The EventDemo2 program that follows demonstrates using SimpleWindowCloser to end a program when a user closes the Frame. It is similar to the EventDemo program earlier. Study the program, and try to determine how the event handling ends the program.

```
import java.awt.*;
public class EventDemo2 extends Frame
{
    private Button go;
    public EventDemo2(String title)
    {
        super(title);
        go = new Button("Go");
        RandomColor changer = new RandomColor(this);
        go.addActionListener(changer);
        this.setLayout(new FlowLayout());
        this.add(go);
        //Register a listener to this Frame.
        SimpleWindowCloser closer = new SimpleWindowCloser();
        this.addWindowListener(closer);
    }
    public static void main(String [] args)
    {
        Frame f = new EventDemo2("SimpleWindowCloser");
        f.setSize(300,300);
        f.setVisible(true);
    }
}
```

The output of the EventDemo2 program looks the same as the window shown in Figure 13.1. When the “X” is clicked, the Frame generates a WindowEvent and invokes the windowClosing() method on the SimpleWindowCloser object. The following statement causes the JVM to terminate (which closes the Frame as well):

```
System.exit(0);
```

note

**A Frame can be closed by invoking either the setVisible() method and passing in false, or invoking the dispose() method. Both of these methods are defined in the java.awt.Window class, which is the parent class of Frame. Both methods hide the window, but the dispose() method also frees up any screen resources that the window may be consuming.**

We have discussed the delegation model and how listeners must implement the event listener interfaces. I want to spend the remainder of this chapter focusing on the various GUI components. Specifically, I want to discuss how each component is instantiated and the types of events each generates.

## ◆ The Event Objects

When an event occurs, the source of the event instantiates an object that represents the event. The `java.awt.event` and `javax.swing.event` packages contain the various classes that represent these events. Each event class contains different attributes and behaviors, depending on the type of event. For example, the `MouseEvent` class contains the `x` and `y` coordinates of the location of the mouse pointer when the event occurred. The `ActionEvent` class contains an action command denoting what action took place.

The `java.util.EventObject` class is the parent class of all event classes. There are two methods in the `EventObject` class:

**public Object getSource().** Returns a reference to the component that generated the event.

**public String toString().** Returns the event as a `String`.

Every event object contains a reference to the component that was the source of the event, and a listener can obtain this reference using the `getSource()` method.

Suppose that you wanted to write a GUI that highlighted components as the mouse hovered over them. To accomplish this, a listener would need to handle the `MouseEvent` generated by a component when the user moves the mouse over the component. To highlight the component, the listener would need a reference to the component, which can be obtained using the `getSource()` method.

The following `HighlightComponent` class demonstrates how this might be done. Notice that the class extends `MouseAdapter` and implements the `mouseEntered()` and `mouseExited()` methods, which are invoked when the mouse enters and leaves a component, respectively.

```
import java.awt.event.*;
import java.awt.*;
public class HighlightComponent extends MouseAdapter
{
    private Color previousColor;
    public void mouseEntered(MouseEvent e)
    {
        Component component = (Component) e.getSource();
        previousColor = component.getBackground();
        component.setBackground(Color.WHITE);
    }
    public void mouseExited(MouseEvent e)
    {
        Component component = (Component) e.getSource();
        component.setBackground(previousColor);
    }
}
```

*continued*

## ◆ The Event Objects *(continued)*

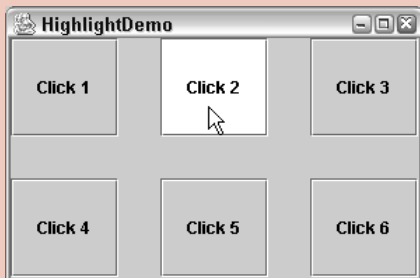
The `java.awt.Component` class, which is the parent of all components, contains an `addMouseListener()` method. This implies that all components are the source of `MouseEvent`, which is true because all components can interact with the mouse in some manner. The following code from the `HighlightDemo` program (see the Web site for this book for a complete listing) creates six `JButton` components and registers a `HighlightComponent` listener with each component, as well as the `JFrame`'s content pane. What do you think happens when this program executes and the user moves the mouse over the various components in the window?

```

buttons = new JButton[6];
HighlightComponent listener =new HighlightComponent();
contentPane.addMouseListener(listener);
for(int i = 0; i < buttons.length; i++)
{
    buttons[i] = new JButton("Click " + (i + 1));
    contentPane.add(buttons[i]);buttons[i].addMouseListener(listener);
}

```

Figure 13.2 shows the output of the `HighlightDemo` program when the mouse is hovering over the button labeled `Click 2`.



**Figure 13.2** The `Click 2` button is highlighted when the mouse is over it.

When the mouse leaves the `Click 2` button, the button changes back to its regular color and the background of the window becomes white. When the mouse moves back over a button, the window goes back to its regular color and the corresponding mouse becomes white. Note that the `HighlightComponent` listener class was simplified by the listener's being able to get the source of the event invoking `getSource()`, which can be invoked on any event object.

As I discuss the various components in this chapter, I will discuss many of the event classes and how to use them to obtain useful information about the event that is being listened for.

## Buttons

We have seen the `Button` and `JButton` class used in many of the GUI examples of Chapter 12, “An Introduction to GUI Programming,” and also the examples earlier in this chapter. Now, let’s look at buttons in detail. The `java.awt.Button` class represents an AWT button, and the `javax.swing.JButton` class represents a Swing button.

### AWT Buttons

The `java.awt.Button` class has two constructors:

**`public Button()`.** Creates a `Button` with no label.

**`public Button(String label)`.** Creates a `Button` with the specified label.

The methods in the `Button` class related to events include:

**`public void addActionListener(ActionListener a)`.** Registers the given listener with the button to receive the `ActionEvent` generated when the button is clicked.

**`public void setActionCommand(String c)`.** Sets the action command for the button. A component that generates an `ActionEvent` can assign a command to the event, which by default is the label on the button. This method is used to assign the action command to something other than the button’s label.

note

**The `java.awt.event.ActionEvent` class represents an action event. Action events are typically generated by a user making a selection, such as clicking a button or selecting a menu item. The methods in the `ActionEvent` class include:**

**`public String getActionCommand()`.** Returns the action command of the source of the component.

**`public int getModifiers()`.** Returns an `int` containing information about modifier keys that were pressed when the event occurs. Modifier keys include the `Shift`, `Ctrl`, and `Alt` keys. Sometimes an event is handled slightly differently when a modifier key is pressed.

**In addition, because `ActionEvent` extends `java.util.EventObject`, you can also obtain a reference to the source of the event using the `getSource()` method.**



## Swing Buttons

The `javax.swing.JButton` class has several constructors, including:

**public JButton(String label).** Creates a JButton with the given label.

**public JButton(Icon icon).** Creates a JButton with the specified icon.

Swing buttons can have an icon displayed on the button.

**public JButton(String label, Icon icon).** Creates a JButton with both text and an icon.

The methods in the JButton class related to events include:

**public void addActionListener(ActionListener a).** Registers the given listener with the button to receive the `ActionEvent` generated when the button is clicked.

**public void addChangeListener(ChangeListener c).** Registers the given listener to receive the `ChangeEvent` generated when the state of the button changes.

**public void setActionCommand(String c).** Sets the action command for the button.

**public void doClick().** Programmatically clicks the button, just as if the user had done it.

**public void setMnemonic(int mnemonic).** Assigns a virtual key to the button so that it can be clicked using the keyboard. The argument passed in is one of the static fields in the `KeyEvent` class. For example, if the mnemonic is set to be `VK_B`, pressing `Alt+B` when the button has focus will cause the button to be clicked.

**public void setPressedIcon(Icon icon).** Assigns an icon that is displayed when the button is clicked.

The JButton class has dozens more methods, so check the documentation to find out other features of JButton. You will notice with buttons (and all the other components) that the Swing version of the component has many more methods and features than its AWT counterpart. For example, the `doClick()` and `setMnemonic()` methods are not in the `java.awt.Button` class.

The following `ButtonDemo` program instantiates three JButton components and adds them to a JFrame. The `ColorChanger` class (defined immediately after the `ButtonDemo` class) provides the event handling for the three buttons. Study the two classes and try to determine what the program does.

```
import java.awt.*;
import javax.swing.*;
public class ButtonDemo extends JFrame
{
    private JButton red, blue, white;
    public ButtonDemo(String title)
    {
        super(title);
        this.setDefaultCloseOperation(WindowConstants.EXIT_ON_CLOSE);
        red = new JButton("Red");
        blue = new JButton("Blue");
        white = new JButton("White");
        //add the buttons to the frame
        JPanel south = new JPanel();
        south.add(red);
        south.add(blue);
        south.add(white);
        Container contentPane = this.getContentPane();
        contentPane.add(south, BorderLayout.SOUTH);
        //register the event listener
        ColorChanger changer = new ColorChanger(this);
        red.addActionListener(changer);
        blue.addActionListener(changer);
        white.addActionListener(changer);
    }
    public static void main(String [] args)
    {
        JFrame f = new ButtonDemo("ButtonDemo");
        f.setSize(300,300);
        f.setVisible(true);
    }
}
import java.awt.*;
import java.awt.event.*;
import javax.swing.JFrame;
public class ColorChanger implements ActionListener
{
    private Container container;

    public ColorChanger(JFrame c)
    {
        container = c.getContentPane();
    }

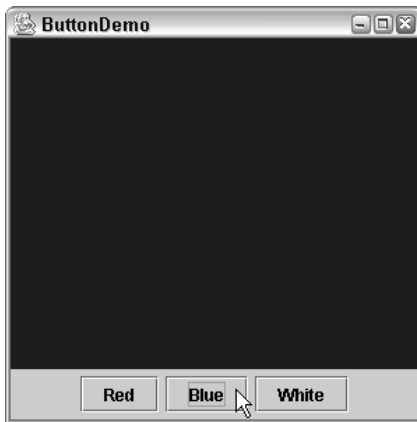
    public void actionPerformed(ActionEvent a)
    {
```

```
String label = a.getActionCommand();
if(label.equals("Red"))
{
    container.setBackground(Color.RED);
}
else if(label.equals("Blue"))
{
    container.setBackground(Color.BLUE);
}
else if(label.equals("White"))
{
    container.setBackground(Color.WHITE);
}
}
}
```

Within the `actionPerformed()` method of the `ColorChanger` class, the label on the button is obtained by invoking the `getActionCommand()` on the `ActionEvent` object:

```
String label = a.getActionCommand();
```

The action command of a button by default is the label. The if/else block sets the background of a `Container` to the color represented by the button's label. The `Container` in this example is the `JFrame` window `ButtonDemo`. Figure 13.3 shows the output of the `ButtonDemo` program.



**Figure 13.3** Clicking a button changes the background color of the window.

## Check Boxes

A check box is a component that is either selected or deselected. When selected, a check mark (or an x) appears in the box. A check box has a label associated with it that typically appears to the right of the check box. The `java.awt.Checkbox` class represents an AWT check box, and the `javax.swing.JCheckBox` class represents a Swing check box.

### AWT Check Boxes

The `java.awt.Checkbox` class has five constructors, but two of them are for creating radio buttons (discussed in the next section). The three constructors for creating a check box are:

**`public Checkbox(String label).`** Creates a check box with the given label. The initial state of the check box is deselected.

**`public Checkbox(String label, boolean selected).`** Creates a check box with the given label that is initially selected if the boolean argument is true.

**`public Checkbox().`** Creates a check box with no label that is initially deselected.

The methods in `Checkbox` related to event handling include:

**`public void addItemListener(ItemListener i).`** Generates an `ItemEvent` when they are clicked by the user.

**`public void setState(boolean state)` and **`public boolean getState().`** For changing or determining the state of the check box. The state is true when the check box is selected and false when it is deselected.**

note

The `java.awt.event.ItemEvent` class represents an item event. When a check box is clicked, an `ItemEvent` is instantiated and passed in to the `itemStateChanged()` method from the `ItemListener` interface (the only method in `ItemListener`).

The method in the `ItemEvent` class that is typically of interest to the event handler returns the type of state change. The possible return values are `ItemEvent.SELECTED` or `ItemEvent.DESELECTED`.

```
public int getStateChange().
```

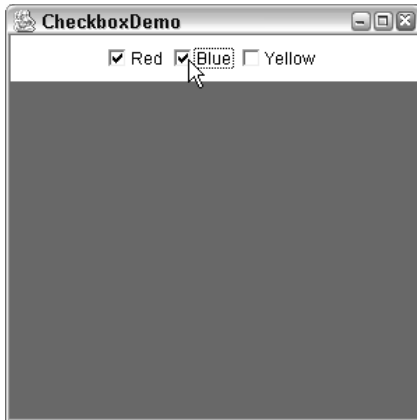
For example, when a user clicks a check box so that a check mark appears in the box, the `getStateChange()` method returns `ItemEvent.SELECTED`. The `getItem()` method of `ItemEvent` is also useful. For an AWT check box, it returns the label of the `Checkbox`. For a Swing check box, it returns a reference to the `JCheckBox` that was clicked.

The following `CheckboxDemo` program creates a GUI with four check boxes. The item events are handled by the `MixColors` class, which can be found on the Web site. Study the `CheckboxDemo` program carefully, then try to determine how the GUI looks, and what the event handler is doing to the window.

```
import java.awt.*;
public class CheckboxDemo extends Frame
{
    private Checkbox red, yellow, blue;
    public CheckboxDemo(String title)
    {
        super(title);
        red = new Checkbox("Red");
        blue = new Checkbox("Blue");
        yellow = new Checkbox("Yellow");
        //add the checkboxes to the frame
        Panel north = new Panel();
        north.add(red);
        north.add(blue);
        north.add(yellow);
        this.add(north, BorderLayout.NORTH);
        //register the event listener
        MixColors listener = new MixColors(this);
        red.addItemListener(listener);
        blue.addItemListener(listener);
        yellow.addItemListener(listener);
    }
    public static void main(String [] args)
    {
        Frame f = new CheckboxDemo("CheckboxDemo");
        f.setSize(300,300);
        f.setVisible(true);
    }
}
```

The `MixColors` listener uses the `getItem()` method of `ItemEvent` to determine which check box generated the event. It then uses `getStateChange()` to determine if the check box was selected or deselected. The three booleans in `MixColors` represent the three check boxes in the GUI, and the color of the window is determined by which check boxes are selected.

For example, selecting red causes the window to be red. Selecting red and yellow causes the window to be orange. Selecting blue and yellow makes it green, blue and red makes it purple, and so on. A sample output is shown in Figure 13.4.



**Figure 13.4** Selecting red and blue creates a purple window.

## Swing Check Boxes

Now, let's look at Swing check boxes. The `javax.swing.JCheckBox` class has several constructors, each of which takes in a combination of the following three parameters:

- String label.** For the label of the check box.
- Icon icon.** For an icon associated with the check box.
- boolean selected.** For denoting the initial state of the check box.

A `JCheckBox` is a source of both an `ItemEvent` and also a `ChangeEvent`, both of which are generated when the check box is clicked on by the user. The `ItemEvent` can be used to determine the current state of the check box, while the `ChangeEvent` only denotes that the state has changed.

**note**

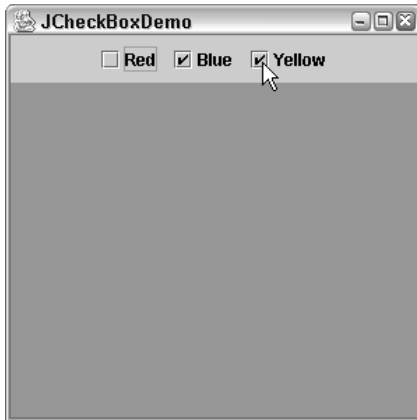
**Creating and laying out Swing check boxes is essentially identical to doing so for AWT check boxes; however, some minor modifications are needed for our `ItemListener`. For an AWT check box, invoking `getItem()` on the `ItemEvent` returns the label of the check box. With Swing check boxes, invoking `getItem()` returns a reference to the check box.**

**The `MixSwingColors` class found on the Web site is similar to the `MixColors` listener, except that it works for `JCheckBox` components instead of `Checkbox` components. The `MixSwingColors` listener is used in the `JCheckBoxDemo` program.**

The following JCheckBoxDemo program looks quite similar to the earlier CheckBoxDemo program. Compare the two and notice what changes were needed since Swing is being used instead of AWT. For example, a JFrame is used instead of a Frame, and the components are added to the content pane. Sample output from the JCheckBoxDemo program is shown in Figure 13.5. Compare the output to that in Figure 13.4.

```
import javax.swing.*;
import java.awt.*;
public class JCheckBoxDemo extends JFrame
{
    private JCheckBox red, yellow, blue;

    public JCheckBoxDemo(String title)
    {
        super(title);
        this.setDefaultCloseOperation(WindowConstants.EXIT_ON_CLOSE);
        Container contentPane = this.getContentPane();
        red = new JCheckBox("Red");
        blue = new JCheckBox("Blue");
        yellow = new JCheckBox("Yellow");
        //add the checkboxes to the frame
        Panel north = new Panel();
        north.add(red);
        north.add(blue);
        north.add(yellow);
        contentPane.add(north, BorderLayout.NORTH);
        //register the event listener
        MixSwingColors listener = new MixSwingColors(contentPane);
        red.addItemListener(listener);
        blue.addItemListener(listener);
        yellow.addItemListener(listener);
    }
    public static void main(String [] args)
    {
        JFrame f = new JCheckBoxDemo("JCheckBoxDemo");
        f.setSize(300,300);
        f.setVisible(true);
    }
}
```



**Figure 13.5** Swing check boxes have a different look and feel from AWT check boxes, but their functionality is the same.

## Radio Buttons

A radio button is a component that is either selected or deselected, similar to a check box. The difference with radio buttons is that they are associated with a group, and only one radio button in the group can be selected. When a radio button in the group is selected, any other previously selected one in the group is deselected.

It takes two classes to create a group of radio buttons: one for the radio button component, and one for the group. Let's discuss how to create them for both AWT and Swing.

### AWT Radio Buttons

When using AWT, the `java.awt.Checkbox` and `java.awt.CheckboxGroup` classes are used to create a group of radio buttons. You start by creating a `CheckboxGroup` object using its only constructor:

**`public CheckboxGroup()`**. Creates a new `CheckboxGroup` object.

The following methods in the `CheckboxGroup` class are used to set or determine which radio button in the group is selected:

**`public void setSelectedCheckbox(Checkbox c)`**. Sets the given check box as the currently selected radio button in the group. Passing in null deselects all check boxes.



**public Checkbox getSelectedCheckbox().** Returns a reference to the currently selected radio button in the group, or null if none is selected.

After you have instantiated a `CheckboxGroup` object, you use it in the constructors of `Checkbox` to denote which radio buttons are in the group. You use one of the following two constructors of `Checkbox`:

**public Checkbox(String label, boolean state, CheckboxGroup group).**

Creates a radio button with the given label and initial state, belonging to the given group.

**public Checkbox(String label, CheckboxGroup group, boolean state).**

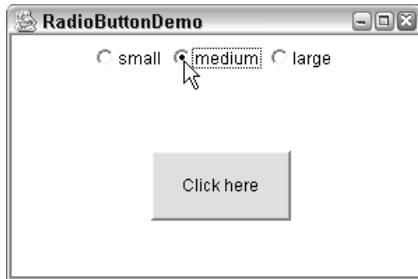
Same as the previous constructor, except the order of the parameters is different. (Don't ask me why Sun did this.)

The `RadioButtonDemo` program found on the Web site for this book demonstrates using AWT radio buttons. The event handling is done by the following `ItemListener` class named `ChangeSize`.

```
import java.awt.*;
import java.awt.event.*;
public class ChangeSize implements ItemListener
{
    private Component component;

    public ChangeSize(Component c)
    {
        component = c;
    }
    public void itemStateChanged(ItemEvent e)
    {
        String size = (String) e.getItem();
        if(size.equals("small"))
        {
            component.setSize(75,20);
        }
        else if(size.equals("medium"))
        {
            component.setSize(100,50);
        }
        else if(size.equals("large"))
        {
            component.setSize(150, 75);
        }
    }
}
```

The `getItem()` method of the `ItemEvent` class returns the label for AWT radio buttons. The `itemStateChange()` method changes the size of the component passed in to the constructor, which in this example is a button. A sample output of the `RadioButtonDemo` program is shown in Figure 13.6.



**Figure 13.6** The button changes size as the radio buttons are selected.

## Swing Radio Buttons

Swing radio buttons are created using the `javax.swing.JRadioButton` and `javax.swing.ButtonGroup` classes. The `JRadioButton` class has several constructors that take in a variation of the following parameters:

**String label.** For the label of the radio button.

**Icon icon.** For an icon associated with the radio button.

**boolean selected.** For denoting the initial state of the radio button.

The `ButtonGroup` class only has one constructor:

**public ButtonGroup().** Creates a new `ButtonGroup` object.

To create a Swing group of radio buttons, you instantiate a `ButtonGroup` object, instantiate the `JRadioButton` objects, and pass each `JRadioButton` in to the following `ButtonGroup` method:

**public void add(AbstractButton button).** Adds the given button to the `ButtonGroup` object.

**note**

**The `AbstractButton` class in the `javax.swing` package is the common parent class for `JButton`, `JCheckBox`, and `JRadioButton`. This means that in Swing you can create a `ButtonGroup` of buttons, check boxes, and radio buttons, although radio buttons are the most common use of the `ButtonGroup` class.**

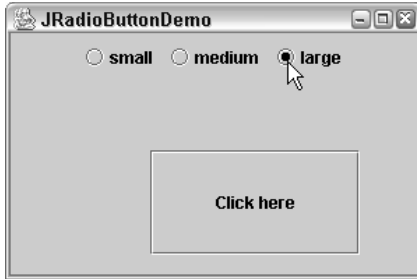
The following `JRadioButtonDemo` program is similar to the `RadioButtonDemo` program, except that it uses Swing components. The event handling needed some slight modifications also, which are shown in the `SwingChangeSize` class that is available on the Web site.

```

import javax.swing.*;
import java.awt.*;
public class JRadioButtonDemo extends JFrame
{
    private JRadioButton small, medium, large;
    private JButton button;
    public JRadioButtonDemo(String title)
    {
        super(title);
        this.setDefaultCloseOperation(WindowConstants.EXIT_ON_CLOSE);
        Container contentPane = this.getContentPane();
        ButtonGroup group = new ButtonGroup();
        small = new JRadioButton("small");
        medium = new JRadioButton("medium");
        large = new JRadioButton("large");
        //add the radio buttons to the same group
        group.add(small);
        group.add(medium);
        group.add(large);
        button = new JButton("Click here.");
        button.setBounds(100,50,100, 50);
        JPanel center = new JPanel();
        center.setLayout(null);
        center.add(button);
        contentPane.add(center, BorderLayout.CENTER);
        //add the radio buttons to the frame
        JPanel north = new JPanel();
        north.add(small);
        north.add(medium);
        north.add(large);
        contentPane.add(north, BorderLayout.NORTH);
        //register the event listener
        SwingChangeSize listener = new SwingChangeSize(button);
        small.addItemListener(listener);
        medium.addItemListener(listener);
        large.addItemListener(listener);
    }
    public static void main(String [] args)
    {
        JFrame f = new JRadioButtonDemo("JRadioButtonDemo");
        f.setSize(300,200);
        f.setVisible(true);
    }
}

```

In the `SwingChangeSize` class, the `getItem()` method of the `ItemEvent` object returns a reference to the radio button that was clicked. The `getText()` method is used to obtain the label of the radio button, and the component is resized accordingly, as demonstrated in Figure 13.7.



**Figure 13.7** A sample output of the JRadioButtonDemo program.

## Labels

A label is a string or icon that is displayed within a container. Labels generate the events that all components generate, like focus and mouse events, but they do not generate any other events such as action or item events. In most cases, you will not be interested in the events that a label generates because the purpose of the label is to simply display the text or icon.

The `java.awt.Label` class represents an AWT label and has three constructors:

**public Label().** Constructs an empty label.

**public Label(String text).** Constructs a label with the given text.

**public Label(String text, int alignment).** Creates a label with the specified alignment; possible alignment values are `Label.RIGHT`, `Label.LEFT`, and `Label.CENTER`.

**note**

**An AWT label can only represent text, while a Swing label can represent either text or an icon.**

For example, the following statement creates a `Label` that will be centered in the region that it is laid out in by the layout manager:

```
Label hi = new Label("Hello", Label.CENTER);
```

The `ListDemo` program in the upcoming *Lists* section demonstrates using an AWT label.

The `javax.swing.JLabel` class represents a Swing label and has six constructors that take in a variation of the following parameters:

**String text.** Represents the text of the label.

**Icon icon.** Represents the icon of the label. A JLabel can have both text and an icon.

**int horizontalAlignment.** Represents where the text and/or icon appear within the bounds of the label when it is laid out in the container. The possible values are LEFT, RIGHT, CENTER, LEADING, and TRAILING, which are static variables in the javax.swing.SwingConstants interface.

For example, the following statement creates a JLabel that will be centered:

```
JLabel bye = new JLabel("Goodbye", SwingConstants.CENTER);
```

The JTextComponentDemo program in the next section demonstrates using the JLabel class.

## Text Components

---

There are two types of GUI text components: text fields and text areas. A text field is a single line of text, while a text area can be any number of lines of text and often has vertical and horizontal scroll bars for navigating through the text area. Let's look at both the AWT and Swing versions of the text components.

### AWT Text Components

The java.awt.TextField class represents a text field, and the java.awt.TextArea class represents a text area. They share a common parent class, java.awt.TextComponent, which contains many useful methods, including:

**public void addTextListener(TextListener t).** Both the TextField and TextArea components generate a TextEvent, which occurs when the text changes.

**public String getText().** This returns the current text in the text component.

**public void setText(String s).** This sets the text of the text component.

**public void setEditable(boolean b).** When the argument is false, the user cannot edit the text in the text component. Text components are editable by default.

**public String getSelectedText().** This returns the text that is currently selected in the text component.

**public void setCaretPosition(int position).** This sets the position of the caret in the text component.

Be sure to browse the documentation and check the other methods of the class if you need to work with a TextComponent.

The `TextField` class contains four constructors that take in a variation of the following two parameters:

**String text.** Represents the initial text that appears in the `TextField`.

**int columns.** The initial size of the `TextField`, based on the platform-dependent average width of the current character font.

In addition to generating a `TextEvent` when the text changes, a `TextField` also generates an `ActionEvent` when the user hits the Enter key while typing in the text field. Therefore, the `TextField` class contains the `addActionListener()` method for registering an `ActionListener`. Handling the action event is commonly done because users often expect some behavior to happen when they press Enter while in a text field. The `TextComponentDemo` program that I will discuss shortly demonstrates doing this.

The `TextArea` class has five constructors that take in a variation of the following parameters:

**String text.** Represents the initial text displayed in the text area.

**int rows.** The number of rows of text to display.

**int columns.** The number of columns to display, based on the platform-dependent average width of the current character font.

**int scrollbars.** Denotes the scrollbar property of the text area. The possible values are static fields in the `TextArea` class: `SCROLLBARS_NONE`, `SCROLLBARS_VERTICAL_ONLY`, `SCROLLBARS_HORIZONTAL_ONLY`, and `SCROLLBARS_BOTH`.

 note

**Both the `TextField` and `TextArea` constructors allow you to assign an initial number of columns and rows. The actual size of these values depends on the character font being displayed in the text component.**

**Keep in mind that the values you pass in to these constructors for rows and columns become the preferred size of the text component. As with all components in both Swing and AWT, most layout managers override a component's preferred size. The `TextComponentDemo` program in this chapter creates a `TextField` and `TextArea` whose rows and columns are zero because both are laid out with a `BorderLayout` manager that overrides any preferred size anyway.**

A `TextArea` does not generate an `ActionEvent` as a `TextField` does. In most situations, you will not listen to the events generated from a text area. Instead, another component such as a button or menu item often signals when a user is done entering text in a text area.

The following code from the `TextComponentDemo`, available on the Web site for this book, demonstrates using the `TextField` and `TextArea` components. Study the program, determining how the GUI looks and what the program does.

```
textField = new TextField();
textArea = new TextArea("", 0, 0,
    TextArea.SCROLLBARS_VERTICAL_ONLY);
textArea.setEditable(false);
//setup the event handling
CreateList listener = new CreateList(textField, textArea);
textField.addActionListener(listener);
```

The event handling is done using the following `CreateList` class, and I want you to pay special attention to its `actionPerformed()` method.

```
import java.awt.*;
import java.awt.event.*;
public class CreateList implements ActionListener
{
    private int counter;
    private TextField source;
    private TextArea destination;
    public CreateList(TextField s, TextArea d)
    {
        source = s;
        destination = d;
    }
    public void actionPerformed(ActionEvent e)
    {
        Object component = e.getSource();
        String action = e.getActionCommand();
        if(component instanceof TextField || action.equals("Enter"))
        {
            String text = source.getText();
            counter++;
            destination.append(counter + ". " + text + "\n");
            source.setText("");
        }
        else if(action.equals("Clear"))
        {
            destination.setText("");
            counter = 0;
        }
    }
}
```

note

The component with focus is the component that receives keyboard input. When I first wrote the `TextComponentDemo` program, the text area was given focus by default; however, I wanted the text field to have the initial focus because that is where the user is going to type right away.

To accomplish this, I used the `requestFocus()` method of the `Component` class. I needed to invoke this method after the `Frame` was displayed, so I added a `getTextField()` method to the `TextComponentDemo` class that allows me to invoke `requestFocus()` on the `TextField` object within `main()` after invoking `setVisible()` on the `Frame`. Now, when the program is executed, the cursor is blinking in the text field and is ready for the user to begin typing!

The `CreateList` class listens to events from both buttons and the text field. There are two ways that the following statement is true:

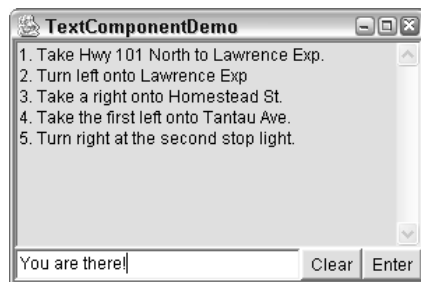
```
if(component instanceof TextField || action.equals("Enter"))
```

- When the source of the event is a `TextField` object, which will occur when the user types in the `TextField` and hits the Enter key.
- When the action command of the component is `Enter`, which will occur when the user clicks the Enter button.

If the expression is true, the text from the `TextField` is appended to the `TextArea`, and the `TextField` is cleared using the statement:

```
source.setText("");
```

Figure 13.8 shows a sample output of the `TextComponentDemo` program, which displays a numbered list in the `TextArea` created from the text entered in the `TextField`.



**Figure 13.8** Output of the `TextComponentDemo` program.



## Swing Text Components

The `JTextField`, `JTextArea`, and `JPasswordField` represent Swing text components. The `JTextField` and `JTextArea` classes are child classes of `JTextComponent`, while `JPasswordField` is a child class of `JTextField`.

The methods of `JTextComponent` are similar to those in `TextComponent`, with a notable exception: `JTextComponent` objects do not generate a `TextEvent`, so the `addTextListener()` is not in `JTextComponent`. Notice, however, some interesting methods in `JTextComponent`, including:

**public void addCaretListener(CaretListener c).** This is for listening to a `CaretEvent`, which occurs when changes are made to the caret.

**public void setKeymap(Keymap map).** A `Keymap` binds keystrokes to actions. A `keymap` takes the place of a `KeyListener` in AWT.

**public void setCaretColor(Color c).** This changes the color of the caret.

**public void setSelectionColor(Color c).** This sets the color used when text is selected.

**public void write(Writer w).** This writes the text to the specified output writer.

The constructors of `JTextField` are identical to those of `TextField`, with the addition of:

**public JTextField(Document doc).** This creates a `JTextField` with the given `Document`. A `Document` object contains the content of the text field and allows the content to be separated from its view in the text component.

Similarly, the `JTextArea` constructors look similar to those of `TextArea`, with the inclusion of a constructor that takes in a `Document`. The `JTextArea` class, however, does not contain a constructor that involves the policies of the scroll bars. This is because the `JTextArea` does not handle its own scroll bars as `TextArea` does. See the sidebar *Working with Scroll Panes* to see how to add scroll bars to a `JTextArea`.

## ◆ Working with Scroll Panes

When using text areas with AWT, scroll bars are managed by the `TextArea` object. In Swing, scroll bars in a `JTextArea` are managed by a separate object. You can use the `JScrollPane` class to add scroll bars to a `JTextArea` using the constructor:

```
public JScrollPane(Component view, int vsbPolicy, int hsbPolicy)
```

The `Component` parameter represents the component that needs scroll bars. The `vsbPolicy` and `hsbPolicy` parameters represent the scroll bar policies for the `JScrollPane`. The possible values are found in the `javax.swing.ScrollPaneConstants` interface. Examples include `HORIZONTAL_SCROLLBAR_ALWAYS` and `VERTICAL_SCROLLBAR_AS_NEEDED`.

For example, the following `ScrollPaneDemo` program demonstrates adding scroll bars to a `JTextArea`. Study the program and try to determine how the GUI looks.

```
import javax.swing.*;
import java.awt.*;

public class ScrollPaneDemo extends JFrame
{
    JTextArea textArea;

    public ScrollPaneDemo(String title)
    {
        super(title);
        this.setDefaultCloseOperation(WindowConstants.EXIT_ON_CLOSE);
        Container contentPane = this.getContentPane();
        textArea = new JTextArea();
        JScrollPane pane = new JScrollPane(textArea,

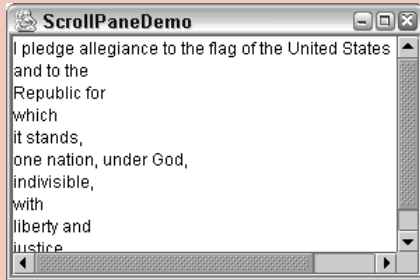
ScrollPaneConstants.VERTICAL_SCROLLBAR_ALWAYS,

ScrollPaneConstants.HORIZONTAL_SCROLLBAR_AS_NEEDED);
        contentPane.add(pane, BorderLayout.CENTER);
    }
    public static void main(String [] args)
    {
        JFrame f = new ScrollPaneDemo("ScrollPaneDemo");
        f.setSize(300,200);
        f.setVisible(true);
    }
}
```

Figure 13.9 shows what happens when enough text is entered to display the horizontal scroll bar, which does not appear initially.

*continued*

## ◆ Working with Scroll Panes *(continued)*



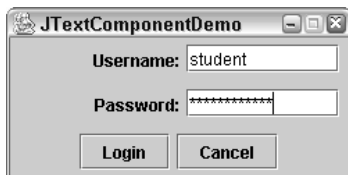
**Figure 13.9** Both scroll bars in the JTextArea.

If you are using JTextArea with a JScrollPane, be sure to check the documentation of JScrollPaneConstants for the other possible scroll bar policies.

The JPasswordField class is used for the common occurrence of a user needing to enter a password. A JPasswordField is similar to a JTextField except the characters typed in by the user are not echoed back to the user. Instead, an alternate character such as an asterisk is displayed for each character typed in the field. You can set the echo character using the method:

```
public void setEchoChar(char c)
```

The JTextComponentDemo program available on the Web site demonstrates using the Swing text components JTextField, JPasswordField, and JLabel. Study the program and try to determine how the GUI will look. The output is shown in Figure 13.10. Notice that this program does not contain any event handling. It demonstrates, however, the power of using panels and layout managers to obtain the specific GUI you want.



**Figure 13.10** GUI created from the JTextComponentDemo program.

## Lists

---

A list component represents a list of items from which a user can select one or more of the items. The `java.awt.List` class represents an AWT list, which can only contain text entries. The `javax.swing.JList` class represents a Swing list, which can contain text, images, and any other Object type. Let's look at both classes.

### AWT Lists

The `java.awt.List` class has three constructors:

- `public List()`.** Creates a new, empty single-selection list.
- `public List(int rows)`.** Creates an empty single-selection list with the specified number of rows visible.
- `public List(int rows, boolean multipleMode)`.** If the boolean value is true, multiple items can be selected.

Some of the methods in the `List` class include:

- `public void add(String item)`.** Adds the specified item to the end of the list.
- `public void add(String item, int index)`.** Adds the item to the list at the specified position, where 0 is the first position in the list.
- `public void addActionListener(ActionListener a)`.** Adds the `ActionListener` to the list. An `ActionEvent` occurs when the user double-clicks on an item in the list.
- `public void addItemListener(ItemListener i)`.** Adds the `ItemListener` to the list. An `ItemEvent` occurs when the user clicks on an item in the list.
- `public void setMultipleMode(boolean multiple)`.** Passes in true to allow multiple selection in the list.
- `public String getSelectedItem()`.** Returns the currently selected item of the list.
- `public String [] getSelectedItems()`.** Returns the currently selected items. Use this method when the list allows multiple selection.
- `public void remove(int position)`.** Removes the item at the specified position.
- `public void remove(String item)`.** Removes the first occurrence of the given item.
- `public void select(int index)`.** Selects the specified item in the list.

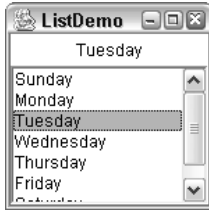
There are other methods, so check the documentation when working with List components. The following code from the ListDemo program, available on the Web site for this book, demonstrates filling a List with the days of the week.

```
items = new List();
items.add("Sunday");
items.add("Monday");
items.add("Tuesday");
items.add("Wednesday");
items.add("Thursday");
items.add("Friday");
items.add("Saturday");
```

The ListDemo program displays the selected list item as a Label in the window. You need to double-click the item in the list so that an ActionEvent occurs, and the following ShowSelection listener class handles this event. Study the ListDemo program and the ShowSelection class, and try to determine how the output of the program looks. The output is shown in Figure 13.11.

```
import java.awt.event.*;
public class ShowSelection implements ActionListener
{
    private Label display;

    public ShowSelection(Label d)
    {
        display = d;
    }
    public void actionPerformed(ActionEvent a)
    {
        Object source = a.getSource();
        if(!(source instanceof List))
        {
            return;
        }
        List list = (List) source;
        String selected = list.getSelectedItemAt();
        display.setText(selected);
    }
}
```



**Figure 13.11** Double-clicking an item in the list displays it in the label.

note

An AWT list manages its own scroll bars; however, when using the Swing list component `JList`, you need to use the `JScrollPane` class to create scroll bars. The process is similar to using `JScrollPane` for the `JTextArea` component. See the earlier sidebar *Working with Scroll Panes* for an example of how to use the `JScrollPane` class.

## Swing Lists

The `javax.swing.JList` class represents a Swing list. The `JList` class has four constructors:

- `public JList()`.** Creates a new, empty single-selection list.
- `public List(Object [] listData)`.** Creates a list with the given array of objects as its initial data.
- `public JList(Vector listData)`.** Creates a list with the elements of the `Vector` as its initial data.
- `public JList(ListModel listData)`.** Creates a list with the given `ListModel`. A `ListModel` object uses a `Vector` to maintain the elements of the list.

I want to make a couple of comparisons between `List` and `JList`:

- When using a `List`, items are added to the list one at a time using the `add()` methods. With a `JList`, items are added from a data structure such as a `Vector` or an array using either a constructor or one of the `setListData()` methods. (There are no `add()` methods in `JList`.)
- A `List` generates an `ItemEvent`, while a `JList` generates a `ListSelectionEvent` when the selected item or items change.
- A `List` generates an `ActionEvent` when an item is double-clicked, while a `JList` does not generate any action events.

Some of the methods in `JList` include:

- `public void addListSelectionListener(ListSelectionListener s)`.** Generates a `ListSelectionEvent` each time the selected list of items changes.
- `public void setListData(Object [] listData)`.** Changes the items in the list to the elements in the array.
- `public void setListData(Vector listData)`.** Changes the items in the list to the elements in the `Vector`.
- `public Object getSelectedValue()`.** Returns the currently selected item of the list.
- `public Object [] getSelectedValues()`.** Returns the currently selected items. Use this method when the list allows multiple selection.
- `public void setSelectionMode(int mode)`.** Sets the selection mode of this list. The possible values are `SINGLE_SELECTION`, `SINGLE_INTERVAL_SELECTION`, `MULTIPLE_INTERVAL_SELECTION`, fields in the `ListSelectionModel` interface.

I want to demonstrate how to use `JList` because lists are a common component. Let's take a look at combo boxes first, then I will show you an example (the upcoming `SelectionDialog` program) that demonstrates using both lists and combo boxes.

## Combo Boxes

---

A combo box is a drop-down list that only displays the currently selected item. The `java.awt.Choice` class represents an AWT combo box, and the `javax.swing.JComboBox` class represents a Swing combo box. Let's take a look at both classes.

### AWT Choice

The `Choice` class is similar to the `List` class. (A combo box is basically a single-selection list that only displays one item.) The `Choice` class has one constructor:

- `public Choice()`.** Creates a new, empty combo box.

Items are added to a `Choice` using the `add()` method, which takes in a `String`. The `getSelectedItem()` method returns the currently selected item. When a selection is made, the `Choice` generates an `ItemEvent` containing the currently selected item.

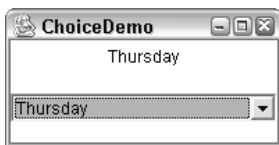
The ChoiceDemo program (see the Web site for this book for a complete listing) is similar to the ListDemo program, except that the items appear in a Choice, created using the following code.

```
items = new Choice();
items.add("");
items.add("Sunday");
items.add("Monday");
items.add("Tuesday");
items.add("Wednesday");
items.add("Thursday");
items.add("Friday");
items.add("Saturday");
```

The following event handler ShowChoice is an ItemListener that displays the currently selected item in a Label. Study the program and try to determine how it works. Figure 13.12 shows the output.

```
import java.awt.*;
import java.awt.event.*;
public class ShowChoice implements ItemListener
{
    private Label display;

    public ShowChoice(Label d)
    {
        display = d;
    }
    public void itemStateChanged(ItemEvent a)
    {
        Object source = a.getSource();
        if(!(source instanceof Choice))
        {
            return;
        }
        Choice list = (Choice) source;
        String selected = list.getSelectedItem();
        display.setText(selected);
    }
}
```



**Figure 13.12** Output of the ChoiceDemo program.



note

I want to make a comment about the `ItemEvent` generated from a combo box, and how it applies to both `Choice` and `JComboBox` components. When an item is selected, it has been my experience that two item events occur. (The documentation states that one or two item events may occur, but it is not clear when you get one and when you get two.) You often need to make sure you aren't handling the same event twice when working with a `Choice`. When using a `JComboBox`, you can take advantage of the `ActionEvent` that occurs when a user selects an item in the combo box, and not handle the `ItemEvent`. (See the `SelectionDialog` program in this chapter for an example of handling the `ActionEvent` of a `JComboBox`.)

## Swing Combo Boxes

A Swing combo box is different from an AWT one in that a `JComboBox` can be made editable, allowing the user to add items to the combo box. Also, a `JComboBox` generates both an `ItemEvent` and an `ActionEvent` when the user makes a selection. A `JComboBox` also generates a `PopupMenuEvent` when the user clicks the arrow to display the contents of the combo box.

The `JComboBox` class has four constructors:

**`public JComboBox()`.** Creates a new, empty combo box.

**`public JComboBox (Object [] items)`.** Creates a combo box with the given array of objects as its initial data.

**`public JComboBox (Vector items)`.** Creates a combo box with the elements of the `Vector` as its initial data.

**`public JComboBox (ComboBoxModel items)`.** Creates a combo box with the given `ComboBoxModel`. A `ComboBoxModel` object uses a `Vector` to maintain the elements of the combo box.

The following code from the `SelectionDialog` program available on the Web site for this book demonstrates using `JComboBox`, as well as a `JList`. Study the program and try to determine how the GUI looks.

```
String [] comboBoxItems = {"Right", "Left"};
direction = new JComboBox(comboBoxItems);
String [] listItems = {"Sunday", "Monday", "Tuesday", "Wednesday",
"Thursday", "Friday", "Saturday"};
left = new JList(listItems);
right = new JList();
left.setSelectionMode(ListSelectionMode.SINGLE_SELECTION);
right.setSelectionMode(ListSelectionMode.SINGLE_SELECTION);
```

The following SelectionHandler class provides the event handling for the two JList components. Study this class carefully and try to determine what is happening with this GUI. The GUI is shown in Figure 13.13.

```
import javax.swing.*;
import java.awt.event.*;
import javax.swing.event.*;
import java.util.Vector;
public class SelectionHandler implements ActionListener,
ListSelectionListener
{
    private JLabel direction;
    private JList source, destination;

    public SelectionHandler(JLabel d, JList left, JList right)
    {
        direction = d;
        source = left;
        destination = right;
    }
    public void actionPerformed(ActionEvent a)
    {
        JComboBox cb = (JComboBox) a.getSource();
        String selected = (String) cb.getSelectedItem();
        String current = direction.getText();
        if(!selected.equals(current))
        {
            direction.setText(selected);
            JList temp = source;
            source = destination;
            destination = temp;
            source.clearSelection();
            destination.clearSelection();
        }
        //Else do nothing
    }
    public void valueChanged(ListSelectionEvent e)
    {
        JList list = (JList) e.getSource();
        String item = (String) source.getSelectedValue();
        System.out.println(item);
        if(item != null && !item.equals(""))
        {
            removeFromSource(item);
            addToDestination(item);
        }
    }
    private void removeFromSource(String item)
    {
        ListModel model = source.getModel();
```

```

        Vector listData = new Vector();
        for(int i = 0; i < model.getSize(); i++)
        {
            listData.addElement(model.getElementAt(i));
        }
        listData.removeElement(item);
        source.setListData(listData);
    }
    private void addToDestination(String item)
    {
        ListModel model = destination.getModel();
        Vector listData = new Vector();
        for(int i = 0; i < model.getSize(); i++)
        {
            listData.addElement(model.getElementAt(i));
        }
        listData.addElement(item);
        destination.setListData(listData);
    }
}

```

note

In the `SelectionHandler` class, items are added to and removed from the two `JList` components. There are no `add()` or `remove()` methods in the `JList` class. To change the items in each `JList`, I used the `getModel()` method to retrieve the elements as a `ListModel` object. Then, I iterated through the `ListModel`, adding each element to a `Vector`. (See the `removeFromSource()` and `addToDestination()` methods.) I made changes to the `Vector` and then set the `Vector` as the new elements in the `JList`. It seems kind of tedious, but I haven't seen a better way to do it.



**Figure 13.13** Output of the `SelectionDialog` program.

## Progress Bars

---

A progress bar is a component that visually displays a value within an interval, and typically represents the progress of a certain task being performed. If you have ever downloaded a file off the Internet, you have seen a progress bar in action. The AWT does not have a class for progress bars, so if you want to use a progress bar in AWT you are going to have to write one from scratch.

Swing contains the `javax.swing.JProgressBar` class to represent a progress bar, and has five constructors that take in a variation of the following parameters:

- int min.** The lower bound of the progress bar, which is 0 by default.
- int max.** The upper bound of the progress bar, which is 100 by default.
- int orient.** Can be one of two values: `JProgressBar.VERTICAL` or `JProgressBar.HORIZONTAL`, and determines which way the progress bar appears in its container. The default orientation is a horizontal progress bar.
- BoundedRangeModel model.** Represents a data model that consists of a range with a minimum, maximum, extent, and current value.

A `JProgressBar` generates a `ChangeEvent` when the current value changes, and a listener is added using the `addChangeListener()` method. The current value is accessed using the `setValue()` and `getValue()` methods, and there are similar methods for accessing the orientation and minimum and maximum values.

The `MenuDemo` program in the next section demonstrates using the `JProgressBar` class to create and manage a progress bar.

## Menus

---

A menu is a common feature of `Frame` and `JFrame` windows. There are three components to a menu:

- A menu bar, which is attached to the window. The menu bar is typically shown at the top of a window.
- A menu, which is attached to the menu bar or another menu. The menu has a label, and clicking on the label causes the menu to drop down and display its menu items.
- A menu item, which is attached to a menu. Selecting a menu item generates an `ActionEvent`.

The AWT classes `MenuBar`, `Menu`, and `MenuItem` are used to create a menu for a `Frame`. The Swing classes `JMenuBar`, `JMenu`, and `JMenuItem` are used to create a menu for a `JFrame`. The process is similar for both AWT and Swing, so I will only discuss how it works for Swing.

To add a menu to a `JFrame`, you perform the following steps:

1. Instantiate a new `JMenuBar` object, and attach it to the `JFrame` using the `setJMenuBar()` method of the `JFrame` class.
2. Instantiate one or more `JMenu` objects, and add them to the `JMenuBar` using the `add(JMenu menu)` method of the `JMenuBar` class.
3. Instantiate one or more `JMenuItem` objects, and add them to a `JMenu` object using the `add(JMenuItem)` method of the `JMenu` class.
4. Add an `ActionListener` to each menu item to handle the `ActionEvent` that is generated when a user selects the menu item.

To demonstrate menus, the following `MenuDemo` program adds a menu to a `JFrame`. (The `MenuColorChanger` class that handles the events is available on the Web site for this book.) Study the `createMenu()` method and try to determine how the menu will look. (I sneaked in a cascading menu and a check box menu item just to demonstrate their use, as shown in the output in Figure 13.14.) Notice that the event handling for the buttons and menu items is identical, which is possible because they both generate an `ActionEvent` with the same action command. For example, clicking the `Red` button and selecting `Red` from the menu both generate an `ActionEvent` with a `Red` action command.

```
import java.awt.*;
import javax.swing.*;

public class MenuDemo extends JFrame
{
    private JButton red, blue, white;
    private JProgressBar progress;

    public MenuDemo(String title)
    {
        super(title);
        Container contentPane = this.getContentPane();
        this.setDefaultCloseOperation(WindowConstants.EXIT_ON_CLOSE);

        red = new JButton("Red");
        blue = new JButton("Blue");
        white = new JButton("White");
```

```
//Add the buttons to the frame.
JPanel south = new JPanel();
south.add(red);
south.add(blue);
south.add(white);
contentPane.add(south, BorderLayout.SOUTH);

//Add the progress bar.
progress = new JProgressBar(0,3);
contentPane.add(progress, BorderLayout.NORTH);

//Register the event listener.
MenuColorChanger changer = new MenuColorChanger(contentPane,
progress);
red.addActionListener(changer);
blue.addActionListener(changer);
white.addActionListener(changer);

createMenu(changer);
}

public void createMenu(MenuColorChanger changer)
{
//Create a menu bar and attach it to this JFrame.
JMenuBar menuBar = new JMenuBar();
this.setJMenuBar(menuBar);

//Create three menus, and add them to the menu bar.
JMenu fileMenu = new JMenu("File");
JMenu colorMenu = new JMenu("Color");
JMenu helpMenu = new JMenu("Help");

menuBar.add(fileMenu);
menuBar.add(colorMenu);
menuBar.add(helpMenu);

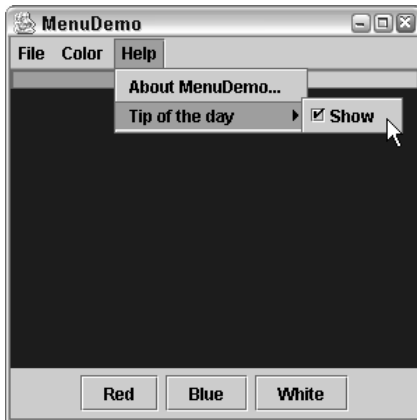
//Add three menu items to the "Color" menu.
JMenuItem redMenuItem = new JMenuItem("Red");
JMenuItem blueMenuItem = new JMenuItem("Blue");
JMenuItem whiteMenuItem = new JMenuItem("White");
colorMenu.add(redMenuItem);
colorMenu.add(blueMenuItem);
colorMenu.add(whiteMenuItem);
redMenuItem.addActionListener(changer);
```

```
blueMenuItem.addActionListener(changer);
whiteMenuItem.addActionListener(changer);

//Add one menu item to the "File" menu.
JMenuItem exit = new JMenuItem("Exit");
fileMenu.add(exit);
exit.addActionListener(changer);

//Add one menu item and one menu to the "Help" menu.
JMenuItem about = new JMenuItem("About MenuDemo...");
helpMenu.add(about);
JMenu cascade = new JMenu("Tip of the day");
helpMenu.add(cascade);
JCheckBoxMenuItem show = new JCheckBoxMenuItem("Show");
cascade.add(show);
}

public static void main(String [] args)
{
    MenuDemo f = new MenuDemo("MenuDemo");
    f.setSize(300,300);
    f.setVisible(true);
}
}
```



**Figure 13.14** Output of the MenuDemo program.



## Lab 13.1: Event Handling

The purpose of this lab is to become familiar with handling the events of GUI components. You are going to add the necessary event handlers so that your Calculator from Lab 12.1 works.

1. Write a class named `CalculatorListener` that implements `ActionListener`. This class is going to handle the `ActionEvent` from all 16 `JButtons` in your calculator.
2. Add a field of type `TextField` and a constructor that has a `TextField` parameter. Assign the field to the parameter within this constructor.
3. Your `actionPerformed()` method is going to perform the arithmetic of the calculator. Use `if/else` statements to determine which button was clicked, and include all the necessary logic for your `CalculatorListener` class so that the calculator functions properly.

You should see a fully functional calculator. Be sure to perform some quality assurance on your calculator, testing to make sure everything is working properly.



## Lab 13.2: Handling the Instant Message Events

In this lab, you will start working on the event handling for the instant message program started in Chapter 12. This lab is a continuation of Lab 12.3, and you will add some event handling to your `InstantMessageFrame`.

1. Write a class named `IMHandler` that implements `ActionListener`. This class will handle the events from the `Send` button and text field of your `InstantMessageFrame`.
2. Add a field of type `TextField` and `JList`, and initialize each field using a constructor.
3. The `actionPerformed()` method will be invoked when the user either clicks the `Send` button or presses the `Enter` key in the text field. In either case, get the text from the text field and print it out at the command prompt using `System.out.println()` for each friend in the `JList`. For example, if the text is "Hello" and there are four friends in the list, the output should say "Hello" four times, with each friend's name being displayed next to "Hello."



4. Save and compile your IMHandler class.
5. Within your InstantMessageFrame constructor, instantiate a new IMHandler, passing in the JList and JTextField of the InstantMessageFrame.
6. Register your IMHandler object with the text field and the Send button.
7. Write a class named DisplayMessageDialog that extends the MouseAdapter class. This class does not need any fields or constructors.
8. Add the mouseClicked() method to DisplayMessageDialog. Use the getClickCount() method of MouseEvent to determine if the user double-clicked the mouse. If yes, print out the item double-clicked, which will be the name of the person that the instant message is intended for.
9. Within your InstantMessageFrame constructor, instantiate a new DisplayMessageDialog object, and register it as a listener to the JList.
10. Add a collection of Strings to the JList. These will represent the screen names of your friends who are currently online and awaiting an instant message.
11. Save, compile, and run your InstantMessageFrame program.

When you type something in the text field and click the Send button, the message should appear at the command prompt of the window along with the names of each friend. If you click on a friend in the list, the name of the friend should be displayed at the command prompt.

---



### Lab 13.3: The InstantMessageDialog Events

This lab is a continuation of Lab 12.4. You will add some event handling to your InstantMessageDialog.

1. Write a class named SendMessage that implements ActionListener. This class will handle the events from the Send and Cancel buttons of your InstantMessageDialog.
2. Add a field of type JTextArea which will contain the message to send, a String to represent the recipient of the message, and JDialog to represent dialog window. Add a constructor that initializes these three fields.

3. Within the `actionPerformed()` method, determine which button was clicked. If the Send button is clicked, get the text from the text area and display it using a call to `System.out.println()`, printing out the recipient and the message. Then hide the dialog window referred to by your `JDialog` field.
4. If the Cancel button is clicked, hide the dialog window.
5. Within the constructor of `InstantMessageDialog`, instantiate a new `SendMessage` object, passing in the `JTextArea` of `InstantMessageDialog`, the recipient's name, and the `this` reference as the `JDialog` argument.
6. Register your `SendMessage` object as an `ActionListener` of the Send and Cancel buttons.
7. Save, compile, and run the `InstantMessageDemo` program.

When you click the Send button, the message should appear at the command prompt of the window, along with the recipient's name, and the dialog window should disappear. Clicking the Cancel button should simply hide the dialog window.

---



## Lab 13.4 Displaying the `InstantMessageDialog`

In this lab, you will tie together the two classes `InstantMessageFrame` and `InstantMessageDialog`.

1. Modify your `DisplayMessageDialog` event handler so that when the user selects a friend from the list in `InstantMessageFrame`, the `InstantMessageDialog` is displayed. Within the `mouseClicked()` method, you will need to instantiate an `InstantMessageDialog` and display it using the `show()` method.
2. Save and compile your `DisplayMessageDialog` class.
3. Run the `InstantMessageFrame` program.

The appearance of your `InstantMessageFrame` has not changed; however, clicking on a friend in the list should cause the `InstantMessageDialog` window to appear. Entering a message and clicking the Send button should display the message at the command prompt and also hide the dialog window. Clicking the Cancel button should hide the dialog window.

---

## Summary

---

- Java GUI programming uses the Delegation Model for handling the events of components and containers. The source of an event invokes a method on a registered listener of the event, with the two objects communicating via a common interface.
- An event consists of an event class that extends `java.util.EventObject` and an interface that extends the `java.util.EventListener` interface.
- You can determine which events a component generates by viewing the `add<event_name>Listener()` methods of the component's class.
- This chapter discussed the commonly used Swing and AWT components, focusing on how to construct them and what types of events they generate.

## Review Questions


1. What three entities are involved in the event delegation model?
2. When a Button is clicked, which event occurs:
  - a. ItemEvent
  - b. ActionEvent
  - c. MouseClickedEvent
  - d. Both a and b
  - e. Both b and c
3. How many methods are in the ActionListener interface? Name them.
4. True or False: All components generate an ActionEvent.
5. True or False: All components generate a MouseEvent.
6. True or False: A component can have either 0 or 1 listener for an event.
7. If a component generates a KeyEvent, name the interface that a listener must implement. What method in the component's class is invoked to register this listener?
8. When the user clicks the X on a Frame or JFrame, a WindowEvent is generated. Which method in WindowListener is invoked?
  - a. `public void windowClosed(WindowEvent w)`
  - b. `public void windowIconified(WindowEvent w)`
  - c. `public void windowClosing(WindowEvent w)`
  - d. First a, then c
  - e. none of the above
9. The MouseListener has five methods in it. Does it have a corresponding event adapter class? If yes, what is the name of the adapter class?
10. Clicking a `java.awt.Checkbox` generates what type of event? What about clicking a `javax.swing.JCheckBox`?
11. What two classes are used to create a group of AWT radio buttons?
12. What two classes are used to create a group of Swing radio buttons?

- 13.** Pressing the Enter key while typing in a TextArea generates which event?
  - a.** ActionEvent
  - b.** TextEvent
  - c.** KeyEvent
  - d.** All of the above
  - e.** Both a and b
  - f.** Both b and c
  - g.** None of the above
- 14.** True or False: The JScrollPane class can be used to add scroll bars to any Swing component.
- 15.** What does it mean for a component to have focus?
- 16.** Clicking once on an item in a List generates what event? What about clicking once on an item in a JList?
- 17.** Double-clicking on an item in a List generates what event? What about double-clicking on an item in a JList?
- 18.** Selecting an item in a Choice generates what event?
  - a.** ActionEvent
  - b.** TextEvent
  - c.** KeyEvent
  - d.** ItemEvent
  - e.** Both a and d
- 19.** Selecting an item in a JComboBox generates which event?
  - a.** ActionEvent
  - b.** TextEvent
  - c.** KeyEvent
  - d.** ItemEvent
  - e.** Both a and d
- 20.** List the three components that compose a menu of a top-level window (such as Frame or JFrame).
- 21.** True or False: A menu can be added to a menu bar.
- 22.** True or False: A menu can be added to another menu.

## Answers to Review Questions

1. The source of the event, the listener of the event, and interface that provides the mechanism for the source and listener to communicate.
2. Clicking a button generates an `ActionEvent`, so the answer is b. There is no such event as `MouseClickedEvent`, although clicking a button does generate a `MouseEvent`.
3. One method named `public void actionPerformed(ActionEvent a)`.
4. False. There are many components that do not generate an `ActionEvent`.
5. True. All components are the source of mouse events, as can be determined by the `addMouseListener()` method of the `java.awt.Component` class.
6. False. A component can have any number of listeners (zero or more).
7. To listen to a `KeyEvent`, you need to write a class that implements the `KeyListener` interface. Register your listener by invoking the `addKeyListener()` method on the component you want to listen to.
8. The `windowClosing()` method is invoked when a user attempts to close a window by clicking the X, so the answer is c.
9. Yes, the `MouseListener` interface has a corresponding event adapter class named `MouseAdapter`.
10. Clicking a `Checkbox` generates an `ItemEvent`. Clicking a `JCheckBox` generates an `ItemEvent` and also a `ChangeEvent`.
11. The `Checkbox` and `CheckboxGroup` classes.
12. The `JCheckBox` and `ButtonGroup` classes.
13. Typing anything in a text area generates both a key event and a text event. Note that a text area does not generate action events, so the answer is f.
14. True. The constructor of `JScrollPane` has a parameter of type `java.awt.Component`, so it can actually be used as a scroll pane for AWT components as well.
15. The component that has focus is the component that receives the key events generated from the user's typing on the keyboard.
16. Clicking once on a `List` item generates an `ItemEvent`. Doing the same on a `JList` item generates a `ListSelectionEvent`. Note that `JList` components never generate an `ItemEvent`.
17. Double-clicking on a `List` item generates an `ActionEvent`. Again, doing the same on a `JList` item generates a `ListSelectionEvent`. Note that `JList` components never generate an `ActionEvent` either.
18. A `Choice` generates an `ItemEvent`, so the answer is d.

19. A JComboBox generates both an ItemEvent and an ActionEvent when the user selects an item in the combo box, so the answer is e.
20. A top-level menu typically consists of a menu bar consisting of menus, and each menu consists of menu items. So the three components are menu bar, menu, and menu item.
21. True. In fact, menus are the only thing that can be attached to a menu bar.
22. True. Adding a menu to another menu creates a cascading menu.

The graphic consists of a dark red rectangular header with the word "CHAPTER" in white, uppercase letters. Below the header is a white square containing a red clock face with tick marks and a hand pointing to the number "14". Below the square is a solid light brown rectangular area. At the bottom of this area, the word "Applets" is written in a large, bold, black sans-serif font.

## CHAPTER 14 Applets

In this chapter, I will discuss the details of writing and viewing applets. You will find that writing an applet is similar to creating a graphical user interface (GUI) program, especially because an applet is a container object. Containers, components, layout managers, and event handling are a big part of developing applets. I will also cover some basic HTML concepts because applets are embedded inside HTML documents.

### An Overview of Applets

---

An *applet* is a Java program that runs in a Web browser. The term applet is derived from application, as if to imply that an applet is a small application. This does not need to be the case, however. An applet can be a fully functional Java application because it has the entire Java API at its disposal.

There are some important differences between an applet and a standalone Java application, including the following:

- An applet is a Java class that extends the `java.applet.Applet` class.
- A `main()` method is not invoked on an applet, and an applet class will (typically) not define `main()`.



- Applets are designed to be embedded within an HTML page.
- When a user views an HTML page that contains an applet, the code for the applet is downloaded to the user's machine.
- A user must have a JVM on his or her machine. The JVM can be either a plug-in of the Web browser or a separate runtime environment.
- The JVM on the user's machine creates an instance of the applet class and invokes various methods during the applet's lifetime.
- Applets have strict security rules that are enforced by the Web browser. The security of an applet is often referred to as *sandbox security*, comparing the applet to a child playing in a sandbox with various rules that must be followed.
- Other classes that the applet needs can be downloaded in a single Java Archive (JAR) file.

What is impressive about applets is that they are truly platform-independent programs. I have seen many Java applications that are, of course, portable, but there is no need for them to run on different devices. Because applets are a part of a Web page, however, they can be accessed by any Web browser using any operating system on any device, and therefore can be executed on many different platforms and devices. I can run an applet using Windows XP and Internet Explorer, and you can run the same applet on a Macintosh running Netscape Navigator.

**note**

**If you are going to be developing applets, you should keep in mind that they may be executed on many different machines. My experience with applets is that they are great for developing Web applications, but that you must be willing to accept the fact that some potential users of your applet will not be able to run them. For example, if you write an applet using J2SE 1.4, the user needs an up-to-date JVM. If my grandmother needs to download and install the latest JVM plug-in to run your applet, I can assure you she won't be visiting your Web site!**

**When the Internet was first popular in the mid-to-late 1990s, so were applets. Nowadays, I see applets used for some amazing Web applications, but I do not see them used for everyday Web pages. Don't be discouraged, though. Applets play a key role in Java Web development. Keep in mind that most Java code is written for the business world. It's a lot easier to make sure everyone in your company has the right JVM for their Web browser than to make sure that everyone else on the planet does. Knowledge of applets is a must in real-world, distributed Java applications, so do not downplay the importance of applets in Java. You need to have realistic expectations about when and how they are used.**

In this chapter, I will show you how to write an applet and embed it in an HTML page. This will involve writing some HTML, but don't worry if you are not familiar with it. Only a little HTML is needed, and I promise to keep to the basics. JAR files will also be discussed in detail because they are important aspects of applets. I will begin with a discussion on the Applet class, the starting point for writing an applet.

## The java.applet.Applet Class

An applet is a Java class; if the applet is to be viewed in a Web browser, the class must extend the `java.applet.Applet` class. The Applet class provides a common interface so that a Web browser can communicate with the applet.

An interesting note about the Applet class is that it extends `java.awt.Panel`. This means that an applet is a panel, which is a `java.awt.Container`; therefore, an applet can have components added to it just like any container, as well as have a layout manager assigned to it, and you can even nest panels within an applet to create the GUI you want.

note

**An applet has `FlowLayout` by default, but any layout manager can be assigned to an applet using the `setLayout()` method.**

Let's take a look at a simple Applet class. The following `HelloWorldApplet` extends applet and adds a button to the applet. The event handling is done in the ensuing `PrintHello` class. Study the following code, and try to determine what this applet does.

```
import java.applet.*;
import java.awt.*;

public class HelloWorldApplet extends Applet
{
    private Button go;
    private TextField name;
    private Label hello;

    public void init()
    {
        go = new Button("Go");
        name = new TextField();
        hello = new Label("", Label.CENTER);

        this.setLayout(new BorderLayout());

        this.add(name, BorderLayout.NORTH);

        Panel center = new Panel();
```

```
        center.add(go);
        this.add(center, BorderLayout.CENTER);

        this.add(hello, BorderLayout.SOUTH);

        //Set up the event handling.
        PrintHello listener = new PrintHello(hello, name);
        go.addActionListener(listener);
    }
}

import java.awt.*;
import java.awt.event.*;

public class PrintHello implements ActionListener
{
    private Label label;
    private TextField textField;

    public PrintHello(Label s, TextField t)
    {
        label = s;
        textField = t;
    }

    public void actionPerformed(ActionEvent a)
    {
        String name = textField.getText();
        if(name != null && !(name.equals("")))
        {
            label.setText("Hello, " + name);
        }
    }
}
```

I want to make a few comments about this applet:

- Most of the code of the HelloWorldApplet appears in the `init()` method, which is overriding the `init()` method from the parent class `Applet`. The Web browser invokes `init()` immediately after it creates an instance of `HelloWorldApplet`. I could have used a constructor, but I wanted to demonstrate the `init()` method.
- Within `init()`, the layout of the applet is changed to `BorderLayout`. (It had `FlowLayout` by default.)
- A `PrintHello` object is listening for an `ActionEvent` from the Go button.

- At no point in the code is the size of the applet specified, even though it is a container. The size of an applet is determined by the HTML that contains the applet. Any attempt to set the size of the applet is overridden by the HTML.

This applet is displayed in a Web page named `hello.html` using the `<applet>` tag. The HTML looks similar to:

```
<html>
  <body>
    <h2>Enter your name and click the button.</h2>

    <applet code="HelloWorldApplet"
            width="200"
            height="75">
    </applet>
  </body>
</html>
```

note

An applet is embedded in an HTML page by using the `<applet>` tag. There are several attributes of the `<applet>` tag, which are discussed in detail in the upcoming section *The `<applet>` Tag*. For now, I need to discuss the three attributes of the `<applet>` tag that are required:

**code.** The name of the applet class for this applet.

**width.** The width in pixels of the applet.

**height.** The height in pixels of the applet.

For example, if the name of your applet class is `com.wiley.MyApplet`, the following HTML embeds an instance of `MyApplet` in a Web page:

```
<applet code="com.wiley.MyApplet" width="400" height="500">
</applet>
```

The size of the applet will be 400 pixels wide and 500 pixels high.

Figure 14.1 shows the `hello.html` file opened in Internet Explorer, displaying the `HelloWorldApplet`.



**Figure 14.1** HelloWorldApplet displayed in a Web browser.

**note**

**An applet can actually be embedded within any other application, not just a Web browser. If your applet is going to be embedded in a Web page, it must extend the Applet class. If your applet is going to be embedded in some other application, extending Applet is not required. That being said, I have never seen an applet whose class did not extend either Applet or JApplet, so I assume that not extending Applet is rarely done.**

## Swing Applets

The `javax.swing.JApplet` class is for creating an applet that uses Swing components. The `JApplet` class is a child of `Applet`, so `JApplet` inherits all the methods of `Applet`. Web browsers do not distinguish between a regular applet and a Swing applet. The purpose of the `JApplet` class is to provide support for Swing.

**note**

**Probably the biggest difference between an applet and a `JApplet` is how components are added to them. A `JApplet` has three panes, much like a `JFrame`, and components are added to the content pane of the `JApplet` (as opposed to an applet where components are added directly to the `Applet`). The content pane of a `JApplet` has `BorderLayout` by default.**

The following `HelloSwingApplet` extends `JApplet`. The event handling is done by using a class named `FourColors`. Study these two classes and try to determine what the applet does.

```
import java.applet.*;
import java.awt.*;
import javax.swing.*;

public class HelloSwingApplet extends JApplet
{
    public void init()
    {
        Container contentPane = this.getContentPane();

        contentPane.setLayout(new GridLayout(2,2));
        JPanel [] quadrants = new JPanel[4];

        for(int i = 0; i < quadrants.length; i++)
        {
            quadrants[i] = new JPanel();
            contentPane.add(quadrants[i]);
        }

        FourColors listener = new FourColors(quadrants,
        this.getWidth(), this.getHeight());
        this.addMouseListener(listener);
    }
}

import java.awt.*;
import java.awt.event.*;

public class FourColors extends MouseMotionAdapter
{
    private Container [] quadrants;
    private int width, height;

    public FourColors(Container [] q, int w, int h)
    {
        quadrants = q;
        width = w;
        height = h;
    }

    public void mouseMoved(MouseEvent m)
    {
        int x = m.getX();
        int y = m.getY();

        int current = 0;
        if(x < width/2 && y < height/2)
        {
```

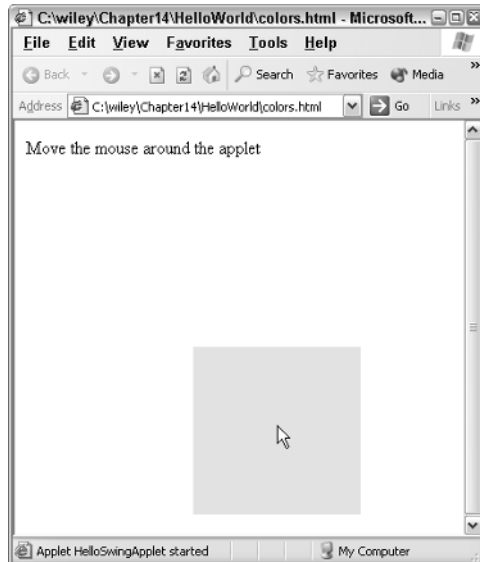
```
        //First quadrant
        quadrants[0].setBackground(Color.RED);
        current = 0;
    }
    else if(x > width/2 && y < height/2)
    {
        //Second quadrant
        quadrants[1].setBackground(Color.GREEN);
        current = 1;
    }
    else if(x < width/2 && y > height/2)
    {
        //Third quadrant
        quadrants[2].setBackground(Color.BLUE);
        current = 2;
    }
    else if(x > width/2 && y > height/2)
    {
        //Fourth quadrant
        quadrants[3].setBackground(Color.YELLOW);
        current = 3;
    }
}

for(int i = 0; i < quadrants.length; i++)
{
    if(i != current)
    {
        quadrants[i].setBackground(Color.WHITE);
    }
}
}
```

Figure 14.2 shows the output of the HelloSwingApplet in a Web page named colors.html, which is defined as:

```
<html>
  <body>
    <p>Move the mouse around the applet.</p>

    <applet code="HelloSwingApplet"
            width="300"
            height="300">
    </applet>
  </body>
</html>
```



**Figure 14.2** HelloSwingApplet displayed in a Web page.

I want to make a few comments about the HelloSwingApplet:

- The size of the applet in the colors.html page is 300 pixels wide by 300 pixels high. The content pane of the JApplet is divided into a grid of two rows and two columns, so each will be of size 150 x 150 pixels.
- A JPanel is placed into each grid.
- The four JPanel containers are passed into the FourColors listener object. When the mouse moves over the JApplet, this listener handles the MouseEvent.
- Within the mouseMoved() method of FourColors, some math is done to calculate which JPanel the mouse is moving over. The color of that JPanel is changed, while the other JPanel quadrants are made white to match the background.

## Life Cycle of an Applet

---

When a user views a Web page that contains an applet, the following sequence of events occurs regarding the life cycle of the applet:

1. The Web browser downloads the necessary bytecode and JAR file from the Web server where the code is located. (This Web server is referred to as the code base.)



2. The browser creates an instance of the Applet class, invoking the default constructor.
3. The applet is displayed in the Web page, with the location and size of the applet determined by the HTML.
4. The browser invokes the `init()` method on the applet.
5. The browser invokes the `start()` method on the applet.
6. The browser invokes the `paint()` method on the applet.
7. The applet is now live and running within the Web page.
8. The browser calls `paint()` whenever the applet needs to repaint itself.
9. The browser invokes the `stop()` method when the user leaves the Web page or the applet is about to be destroyed.
10. The browser invokes the `destroy()` method just before destroying the applet.

Notice that the browser communicates with the applet by invoking methods on the applet. The methods invoked by the browser are defined in the Applet class, and your Applet class can override any of these methods to perform whatever tasks it wants to.

Let's look at the five methods that the browser invokes on your applet.

**public void init().** The first method invoked on the applet when it is initially instantiated. This is your chance to perform any initialization, such as locating resources or preparing event handlers.

**public void start().** Invoked by the browser to inform the applet that it should start executing. The `start()` method is called right after the `init()` method, and is also called when the page is revisited. This is a good time to start any threads or other tasks like displaying animation or playing sound.

**public void stop().** Invoked by the Web browser to inform the applet that it should stop executing. The `stop()` method is called right before the `destroy()` method is invoked, and also when a user leaves the Web page. Typically, anything you started in the `start()` method is stopped in the `stop()` method.

**public void destroy().** Invoked by the Web browser to inform the applet that it is about to be destroyed (in other words, garbage collected). Typically, any resources allocated in the `init()` method are freed in the `destroy()` method.

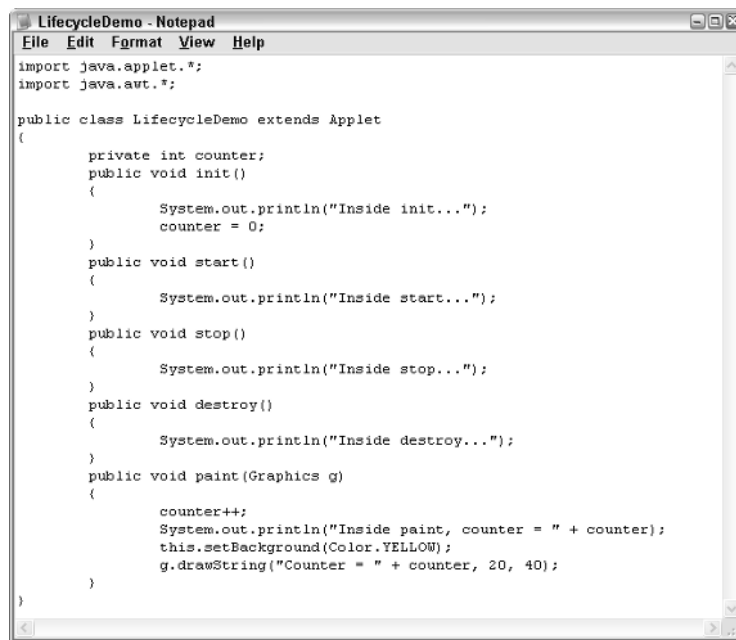
**public void paint(Graphics g).** Invoked immediately after the `start()` method, and also any time the applet needs to repaint itself in the

browser. The `paint()` method is actually inherited from the `java.awt.Container` class (the grandparent class of `Applet`), and is a feature of all containers. The `java.awt.Graphics` parameter is the graphics context, representing the portion of the screen on which your applet is allowed to paint.

Each time I teach students about applets, I have them write an applet similar to the `LifecycleDemo` class that follows. The `LifecycleDemo` applet uses calls to `System.out.println()` to demonstrate when the browser invokes these methods on the applet. This applet not only demonstrates the life cycle of an applet, but also demonstrates how to view an applet that you created in a Web browser. I also need to show you where the output is of `System.out.println()`. Let's do this example together, so perform the following steps:

## Step 1: Write the Applet Class

Begin by opening your text editor and then typing in the `LifecycleDemo` class shown in Figure 14.3. Notice the `LifecycleDemo` class overrides the five methods that the browser invokes, and prints out a message within each method. The `paint()` method uses the `Graphics` object to display a value in the applet.



```
import java.applet.*;
import java.awt.*;

public class LifecycleDemo extends Applet
{
    private int counter;
    public void init()
    {
        System.out.println("Inside init...");
        counter = 0;
    }
    public void start()
    {
        System.out.println("Inside start...");
    }
    public void stop()
    {
        System.out.println("Inside stop...");
    }
    public void destroy()
    {
        System.out.println("Inside destroy...");
    }
    public void paint(Graphics g)
    {
        counter++;
        System.out.println("Inside paint, counter = " + counter);
        this.setBackground(Color.YELLOW);
        g.drawString("Counter = " + counter, 20, 40);
    }
}
```

**Figure 14.3** Type in the `LifecycleDemo` class, which overrides five methods inherited from `Applet`.

Save the file `LifecycleDemo.java` in a new folder named `c:\applets`. After you have it typed in and saved, compile it using `javac`. Note that `LifecycleDemo` is not a program. You can try to run it using the `java` VM, but this will only result in an exception.

## Step 2: Write the HTML Page

We do not need anything fancy here; just a Web page with the `<applet>` tag. Create a new document in your text editor, and type in the HTML shown in Figure 14.4.

Save the document in a file named `lifecycle.html`. Be sure to save it in the directory `c:\applets` (or wherever you compiled `LifecycleDemo.java`).

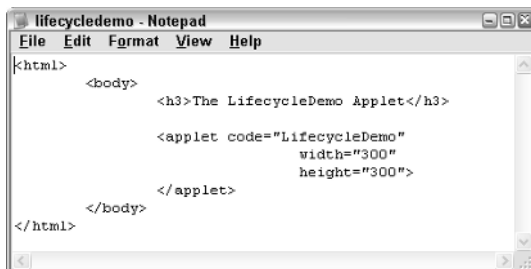
## Step 3: View the HTML Page

Open the file `lifecycle.html` in your Web browser. Assuming that your first two steps were accomplished successfully, you should see the applet shown in Figure 14.5.

note

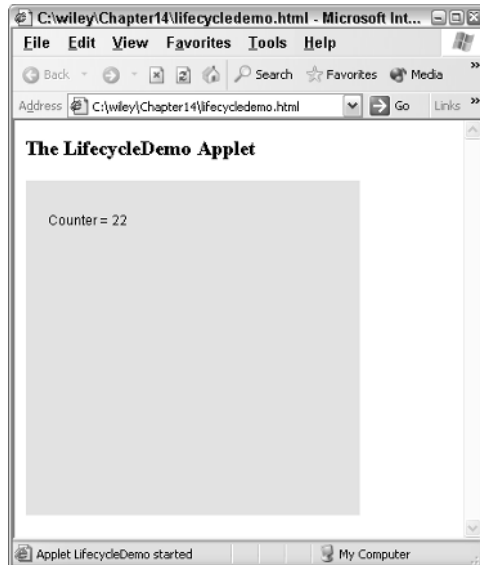
**One of the purposes of the `LifecycleDemo` applet is to demonstrate when the `paint()` method is invoked. Initially, the counter is 1. Try minimizing and then restoring the browser window. Try moving another window in front of the applet. Resize the browser window. (It won't take long for the counter to get up into the hundreds if you resize the window continually.)**

**Note that you might not see the change to counter in the applet unless you cover up that portion of the applet displaying the string "Counter = x". In other words, counter might be 35 in memory, but it might be displaying only 10 in the applet. The `paint()` method was invoked 35 times, but it only repaints that portion of the applet that has been invalidated. Until you cover up the string "Counter = 10", it will not be repainted, even though `paint()` is invoked.**



```
lifecycledemo - Notepad
File Edit Format View Help
|<html>
  <body>
    <h3>The LifecycleDemo Applet</h3>
    <applet code="LifecycleDemo"
           width="300"
           height="300">
    </applet>
  </body>
</html>
```

**Figure 14.4** The `lifecycle.html` page contains the `LifecycleDemo` applet.



**Figure 14.5** LifecycleDemo applet displays the number of times the `paint()` method is invoked.

## Step 4: View the Java Console

A good question to ask now is: Where did the output of the `System.out.println()` calls go? There is no command prompt because this is an applet, and the output did not appear anywhere on the Web page.

Invoking the `System.out.println()` method in an applet sends the output to the *Java console*. How you view the Java console depends on which browser you are using.

If you are using a JVM that is a part of Internet Explorer, follow these steps to display the Java console. (If you see the Java logo down on your taskbar when you view an applet, your browser is using the Java plug-in and you can skip the following steps):

1. Select the Internet Options menu item, which is found on either the View menu or the Tools menu, to open the Internet Options dialog box.
2. Click the Advanced tab, and page down about halfway to the section titled Java.
3. Check the Show Java console (requires restart) box.
4. Press the OK button to close the Internet Options dialog box.
5. Close Internet Explorer and then open it again.

6. On the View menu, you should now see a menu item titled Java Console. Select it, and the Java Console will be displayed. You should see output similar to that in Figure 14.6.

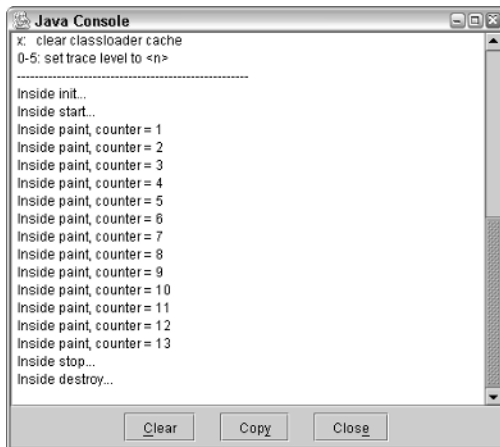
note

**Netscape Navigator does not hide the Java console like Internet Explorer does. When using Netscape, the Java console is viewed from a menu item on the Tools menu. The exact menu item varies slightly, depending on your version of Netscape, but it is not difficult to find. If you are using Netscape, look on the Tools menu for a menu item that looks similar to Display Java Console.**

If the JVM being used is the Java plug-in, the console is displayed by clicking the Java Plug-in icon in your Windows taskbar. If you are using Windows XP, you are probably using the Java plug-in. When you installed the J2SDK on your machine, the Java plug-in was also installed.

When you view a Web page that contains an applet, the Java Plug-in icon appears on the taskbar by the clock. The icon looks like a steaming cup of coffee (the Java logo). Right-click the icon, and then select Show Console. Figure 14.6 shows the console for the Java plug-in.

Notice in Figure 14.6 that the `init()` method is invoked first, followed by the `start()` method, then numerous calls to `paint()`. To get the output in Figure 14.6, I left the `lifecycle.html` page, which caused `stop()` and `destroy()` to be invoked.



**Figure 14.6** Java console displays the `System.out.println()` output of the `LifecycleDemo` applet.

## ◆ Introduction to HTML

HTML stands for HyperText Markup Language and is the language of the Internet. A Web page is an HTML document, and HTML looks nothing like the page you actually view. Your Web browser takes the HTML and marks it up (thus the term “markup” language).

This is not an HTML book, but I want to cover a few of the basics so you can create the necessary Web pages to view your applets. HTML consists of tags, which appear in angle brackets `<>`. Most tags come in pairs, with an opening and closing tag. For example, the following `<strong>` tag makes the string “Hello, HTML” appear in bold.

```
<strong>Hello, World</strong>
```

Notice that a forward slash is used to denote the closing tag. Not all tags require a closing tag, most noticeably the line break tag `<br>`; however, most tags come in pairs with an opening and closing tag.

An HTML document is a text file saved with either a `.htm` or `.html` extension. The root tag of an HTML document is `<html>`, and the `<html>` tag can nest the optional `<head>` and `<body>` tags:

```
<html>
  <head>
  </head>
  <body>
  </body>
</html>
```

The `<head>` tag can contain the `<title>` tag, which denotes the text to appear in the title bar of the browser’s window. Other tags that typically appear within `<head>` include:

**<meta>**. Used to define variables like the search keywords, content type, description of the page, and so on.

**<style>**. Used for defining styles on the page (fonts, colors, cursors, and so on).

**<script>**. Used for defining programming functions in languages like javascript.

For example, the following Web page displays Welcome in the title bar of the browser and defines keywords that are used by search engines to determine the content of the page:

```
<header>
  <title>Welcome</title>
  <meta name="keywords" content="java, training, courseware,
books">
</header>
```

Search engines rank their search results on the `<meta>` keywords. If someone searches for “java training,” ideally this Web page will appear early on in the search results.

*continued*

## ◆ Introduction to HTML *(continued)*

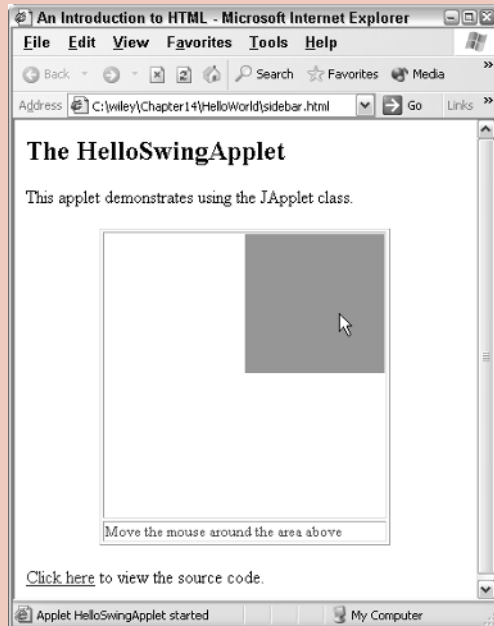
The `<body>` tag contains the content of the Web page. Common tags in the body of a page include:

- `<p>`**. The paragraph tag.
- `<hx>`**. The heading tag. Possible values of *x* and 1–6. For example, `<h1>` is the heading 1 tag, which creates a large heading. `<h6>` is the smallest heading.
- `<center>`**. For centering items.
- ``**. Displays the image at the specified URL.
- `<font face="font_name" size="size" color="#color">`**. Displays the nested text with the given font, size, and color.
- `<a href="url">`**. The anchor tag; it creates a hyperlink to some other URL.
- `<applet>`**. Embeds an applet in the HTML document.
- `<table>`**. For creating a table. Nest `<tr>` within `<table>` to create a table row, and nest `<td>` within `<tr>` to create columns for the table data.

The following HTML displays the HelloSwingApplet and demonstrates the use of some of the other HTML tags. Study the HTML, and see if you can determine how the page will look.

```
<html>
  <head>
    <title>An Introduction to HTML</title>
  </head>
  <body>
    <h2>The HelloSwingApplet</h2>
    <p>This applet demonstrates using the JApplet class.</p>
    <center>
      <table border="1">
        <tr><td>
          <applet code="HelloSwingApplet"
            width="250"
            height="250">
          </applet>
        </tr></td>
        <tr><td>
          <p><font size="-1" color="#B21445">
            Move the mouse around the area above
          </font></p>
        </td></tr>
      </table>
    </center>
    <br>
    <p><a href="HelloSwingApplet.java">Click here</a>
      to view the source code.</p>
  </body>
</html>
```

The previous HTML was saved in a file named sidebar.html. Figure 14.7 shows this page viewed in Internet Explorer.



**Figure 14.7** HTML page viewed marked up in a Web browser.

If you are viewing a Web page and are curious to see what the HTML looks like, you can view the source by selecting the Source menu item of the View menu (on Internet Explorer). The HTML for that page will be shown as a text document, displaying all the HTML tags used to create that page.

## The `<applet>` Tag

The `<applet>` tag is used to embed an applet within an HTML page. I discussed earlier how the applet tag has three required attributes: code, width, and height. The following is a list of the other applet tags attributes, each of which is optional:

**codebase.** The location where the browser can find the bytecode for the applet. It is not uncommon for the HTML file to be in a different directory or even on a different Web server than the bytecode. The code base represents a URL (relative to where the HTML document is located)



where the necessary applet bytecode is located. The next section, *Document and Code Base*, discusses the code base in detail.

**archive.** The name of a JAR file (or files) that contains any additional files required by the applet. Use a comma to separate multiple filenames. All .class files in the archives are loaded by the JVM's class loader, making the classes available to the applet. Note that the applet class itself can be located in one of the archives as well. Examples of files in an archive include .class files, images (such as .gif or .jpg files), audio files, and data files. The upcoming section *JAR File and Applets* discusses how to create and use an archive.

**name.** The name of this instance of the applet. You can create multiple applets on a Web page, and they can locate each other by using this name attribute and the AppletContext class. You will do this in Lab 14.4.

**align.** A standard HTML attribute that specifies the alignment of the applet relative to other items around it on the Web page. The possible values are left, right, top, bottom, middle, texttop, absmiddle, absbottom, and baseline.

**vspace.** A standard HTML attribute that specifies the number of pixels to appear above and below the applet.

**hspace.** A standard HTML attribute that specifies the number of pixels to appear to the left and right of the applet.

**alt.** A standard HTML attribute that allows you to specify text that appears if the browser understands the <applet> tag but cannot run the applet.

note

**If a Web browser does not understand the <applet> tag, it will ignore the tag and display any HTML that appears within the opening and closing <applet> tags. For example, the following HTML displays a message that the user is unable to see the applet that was intended to appear:**

```
<applet      code="com.wiley.MyApplet"
            width="200"
            height="348"
            alt="MyApplet failed">
    <h2>Your browser does not support applets!</h2>
    <p>To view this page correctly, you will need to find a Web
    browser that provides support for applets, or install the
    Java Plug-in.</p>
</applet>
```

**Visitors to this page who have a Web browser that supports applets will not see the message about their browser not supporting applets. Note that if their browser supports applets but, for some reason, cannot run applets, the visitor will see the alt message "MyApplet failed."**

In addition to these attributes, an `<applet>` tag can also contain any number of parameters, which are defined using the `<param>` tag. The `<param>` tag is nested within the `<applet>` tag, and has two attributes: name and value. The syntax for using `<param>` is:

```
<applet>
  <param name="parameter name" value="value">
  <param name="parameter name" value="value">
  ...
</applet>
```

An applet can obtain the parameters using the following Applet method:

**public String getParameter(String name).** Returns the value of the given parameter. The return value is null if the parameter is not defined.

Parameters allow the writer of an applet to customize the applet based on input from the writer of the HTML page. Proper use of parameters can make your applets flexible and capable of being used in many different situations. For example, the following HTML document, `paramdemo.html`, displays an applet named `HelloWorldApplet2`, which is similar to the `HelloWorldApplet` discussed earlier, except that it uses two parameters to determine the label on the button and the greeting.

```
<html>
  <body>
    <h2>Enter your name and click the button.</h2>

    <applet code="HelloWorldApplet2"
            width="200"
            height="75"
            name="HelloWorld"
            align="center"
            vspace="15"
            alt="The HelloWorld2 applet">
      <param name="greeting" value="Merry Christmas">
      <param name="buttonLabel" value="Click here">
    </applet>
  </body>
</html>
```

Notice that this applet will be centered and have a vertical space of 15 pixels above and below it in the browser. The `HelloWorldApplet2` class is defined next, and demonstrates how to use the `getParameter()` method to obtain the values of the `<param>` tags. The event handling is done by the `DisplayGreeting` class, which is also defined here.

```
import java.applet.*;
import java.awt.*;
public class HelloWorldApplet2 extends Applet
{
    private Button go;
    private TextField name;
    private Label hello;
    public void init()
    {
        String buttonLabel = this.getParameter("buttonLabel");
        if(buttonLabel == null)
        {
            //No buttonLabel parameter was defined.
            buttonLabel = "Go";
        }
        go = new Button(buttonLabel);
        String greeting = this.getParameter("greeting");
        if(greeting == null)
        {
            greeting = "Hello";
        }
        hello = new Label(greeting, Label.CENTER);
        this.setLayout(new BorderLayout());
        name = new TextField();
        this.add(name, BorderLayout.NORTH);
        Panel center = new Panel();
        center.add(go);
        this.add(center, BorderLayout.CENTER);
        this.add(hello, BorderLayout.SOUTH);
        //setup the event handling
        DisplayGreeting listener = new DisplayGreeting(hello, name);
        go.addActionListener(listener);
    }
}

import java.awt.*;
import java.awt.event.*;
public class DisplayGreeting implements ActionListener
{
    private Label label;
    private TextField textField;
    private String greeting;
    public DisplayGreeting(Label s, TextField t)
    {
```

```

        label = s;
        textField = t;
        greeting = s.getText();
    }
    public void actionPerformed(ActionEvent a)
    {
        String name = textField.getText();
        if(name != null && !(name.equals("")))
        {
            label.setText(greeting + ", " + name);
        }
    }
}

```

The `buttonLabel` parameter of `HelloWorldApplet2` becomes the label on the button. The `greeting` parameter becomes the greeting displayed in the applet. Figure 14.8 shows `HelloWorldApplet2` displayed in a Web browser using the `paramdemo.html` page, where the `buttonLabel` parameter is “Click here” and the `greeting` parameter is “Merry Christmas.”

note

**In the `HelloWorldApplet2` class, if the `buttonLabel` parameter is not defined, the button label will be `Go`. Similarly, the `greeting` defaults to `Hello` if no `greeting` parameter is provided. When writing an applet, you should always have meaningful default values for parameters to allow for situations where the parameters are not provided by the HTML author.**



**Figure 14.8** Greeting and button label are determined by parameters.

## Document and Code Base

---

The document and code bases are important topics in applets because of how they relate to sandbox security. The document base is the base URL of the HTML document, and the code base is the base URL of the applet's bytecode. These do not need to be in the same location; however, security restrictions force an applet to only use classes that are located at the applet's code base.

For example, suppose a Web page is located at the following URL:

```
http://www.javalicen.se.com/jeopardy/index.htm
```

The document base for the index.htm page is:

```
http://www.javalicen.se.com/jeopardy
```

Suppose that an applet named `com.javalicen.se.JeopardyApplet` is embedded in the index.htm page, and the URL of the .class file is

```
http://www.wiley.com/applets/com/javalicen.se/JeopardyApplet.class
```

The code base for this applet is:

```
http://www.wiley.com/applets
```

Notice that the `/com/javalicen.se/` portion of the URL is required as part of the package name and is not a part of the code base. The `codebase` attribute of the `<applet>` tag is used to denote in the HTML file where the applet code can be found. For example, the index.htm page that embeds the `JeopardyApplet` might look similar to the following.

```
<html>
  <head>
    <title>Java Jeopardy</title>
  </head>
  <body>
    <h2>Java Jeopardy</h2>
    <applet code="com.javalicen.se.JeopardyApplet"
      width="400"
      height="450"
      codebase=" http://www.wiley.com/applets"
      align="center"
      archive="jeopardy.jar">
```

```
<param name="questions" value="introquestions.txt">
<p>You must have a browser that supports applets
to play Java Jeopardy.</p>
</applet>
</body>
</html>
```

No matter where this HTML document is located, the JeopardyApplet can be found because the codebase attribute is defined. Notice that the JeopardyApplet uses an archive named jeopardy.jar, which contains several other .class files as well as a file named introquestions.txt that contains the questions for the game. This example also uses a parameter to denote this filename, which allows the questions to be easily changed.

note

**An applet can determine its document base and code base by using the following methods, which are found in the Applet class.**

**public URL getDocumentBase().** Returns a java.net.URL object, which represents the document base of the page that embeds this applet

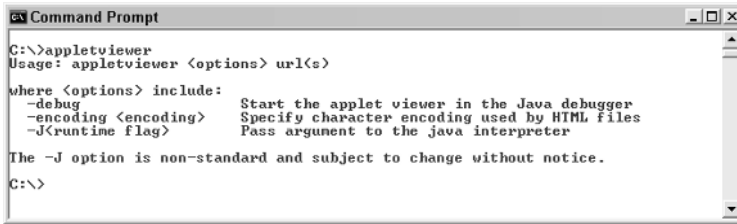
**public URL getCodeBase().** Returns a java.net.URL object, which represents the location where this applet is located

These are useful methods when developing applets, especially when you need an applet to locate another file or document. At development time, the applet author does not need to know the URL of the location where the applet is to be deployed, nor does the applet author need to know the location of the Web page that will be embedding the applet. Both of their URLs can be obtained by using the getCodeBase() and getDocumentBase() methods, respectively. The upcoming *Displaying Images* and *Playing Audio* sections contain typical uses of these methods.

## The appletviewer Tool

---

The J2SDK comes with a tool known as appletviewer that is used for testing applets during their development stage. The appletviewer tool opens an HTML file, but it ignores all <html> tags except for those pertaining to applets. Figure 14.9 shows the options of the appletviewer tool.



```

C:\>appletviewer
Usage: appletviewer <options> url(s)

where <options> include:
  -debug           Start the applet viewer in the Java debugger
  -encoding <encoding> Specify character encoding used by HTML files
  -J<runtime flag>  Pass argument to the java interpreter

The -J option is non-standard and subject to change without notice.
C:\>

```

**Figure 14.9** Options for running the appletviewer tool.

To view an applet using appletviewer, you enter the name of any .html file that embeds the applet. For example, the HelloSwingApplet is embedded in the colors.html page. Figure 14.10 shows the command line to view this applet in appletviewer. Note that the first time you run appletviewer a message appears about using a default properties file. This is perfectly fine.

Figure 14.11 shows the HelloSwingApplet being displayed by the appletviewer tool.

**note**

**When you are developing applets, it is common to view them in a Web browser, change a few things, recompile the applet, and go back and view your changes in the browser. You will soon find out that refreshing the Web page in the browser may or may not reload the applet class. I have noticed this with Internet Explorer using the Java plug-in while I was writing the applets for this chapter.**

**The only remedy I found was to close the browser window and start it back up again so that the applet class was reloaded by the JVM. One nice benefit of appletviewer is that you can view an applet, make a change to the code, recompile it, and simply reload the applet by selecting Reload from the appletviewer menu. After you know that the applet is working properly, you can try it out in a Web browser such as Internet Explorer or Netscape Navigator.**

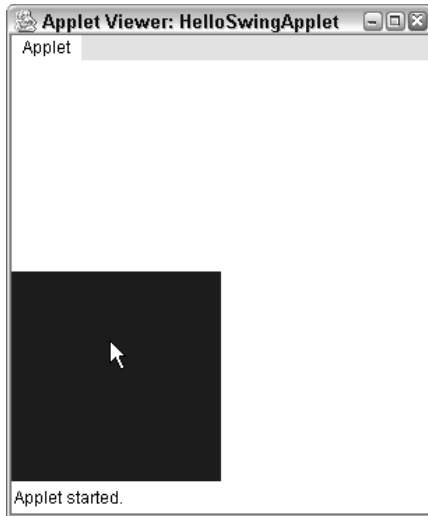


```

C:\wiley\Chapter14\HelloWorld>appletviewer colors.html
Warning: Can't read AppletViewer properties file: C:\Documents and Settings\
\hotjava\properties Using defaults.
C:\wiley\Chapter14\HelloWorld>appletviewer colors.html

```

**Figure 14.10** appletviewer will display any applets embedded in the colors.html file.



**Figure 14.11** appletviewer displaying the HelloSwingApplet.

## Sandbox Security

Applets run in a Web browser restricted to a set of security policies referred to as *sandbox security*. The purpose of the sandbox security model is to ensure that the applet you are downloading and executing is not going to do terrible things to your computer. This is especially important in today's Internet world of viruses and other undesirable side effects of software applications.

Applets that are downloaded and executed in a Web browser must adhere to the following rules:

- An applet cannot access any files on a user's operating system.
- An applet can only create network connections to the applet's code base, and cannot connect to other network addresses.
- An applet cannot execute a program on the user's machine.
- An applet cannot access system information or use system dialog boxes such as the Open File or Print dialog boxes.

**note**

**An applet can be granted permission to leave the sandbox and perform an otherwise restricted operation. For example, you can grant an applet permission to access files on your local hard drive. Of course, you will want to make sure you trust the source of the applet before granting such permission.**



An applet can also be signed, which involves creating a security certificate. This is the typical way to create an applet that needs to perform tasks outside the sandbox because it provides the user of the applet with some assurance as to the source of the applet, letting the user decide whom he or she trusts. Creating a certificate and associating permissions with it are beyond the scope of this book. For more information, check Sun's Java Web site at <http://java.sun.com/>.

## **Classroom Q & A**

**Q:** How does the sandbox enforce these rules?

**A:** The security permissions are enforced by the JVM.

**Q:** Suppose a programmer familiar with Java security writes an applet that grants itself permission to break the rules. Can this be done?

**A:** I should say no, but there always seem to be holes in any security mechanism. I will say this: It would be extremely difficult to write an applet that steps outside its sandbox without the user granting it permission. It is probably easier for someone to write an applet that tricks a user into agreeing to a signed certificate so that the applet could do anything it wanted on the person's machine than it is to write Java code that bypasses the built-in security features of applets and the JVM.

**Q:** So applets really are not that secure, are they?

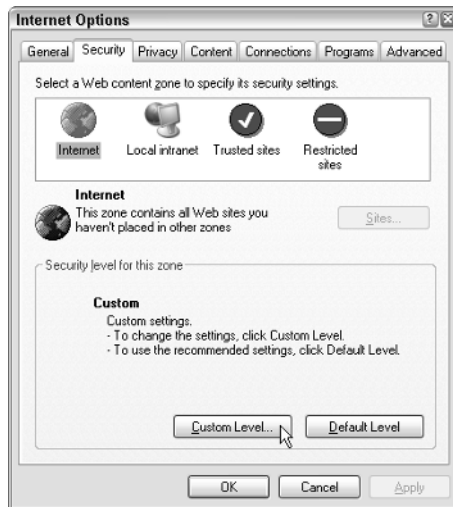
**A:** No, I didn't say that. Applets by their nature are much safer than other Web applications that do not have a sandbox-type security. If a user has security turned on, an applet cannot leave its sandbox without the express permission of the user. An applet has much tighter security restrictions than HTML, JavaScript, and other widely used Web development technologies.

**Q:** Can I turn off the security permissions so my own applets can run on my machine and perform actions such as accessing the local file system?

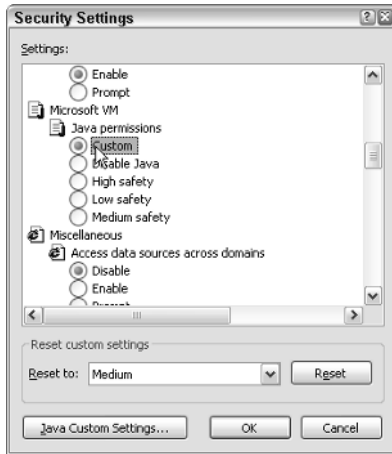
**A:** Certainly. Let me show you how to do this using Microsoft Internet Explorer. You will find that Microsoft has hidden this feature deep in the browser settings, so you will need to follow along closely.

To view and/or change the sandbox security settings for applets running in your Web browser, perform the following steps:

1. Open the Internet Options dialog box found on the Tools menu of Internet Explorer. (Older versions of Internet Explorer have the Internet Options on the View menu.)
2. Select the Security tab. You should see a dialog box similar to the one shown in Figure 14.12.
3. Click the Custom Level button. The Security Settings dialog box is displayed.
4. Scroll down the list of security settings until you see either a Java heading or a Java Permissions heading. Select the Custom radio button under this heading, and you should see a Java Custom Settings button appear at the bottom of the Security Settings dialog box, similar to Figure 14.13.



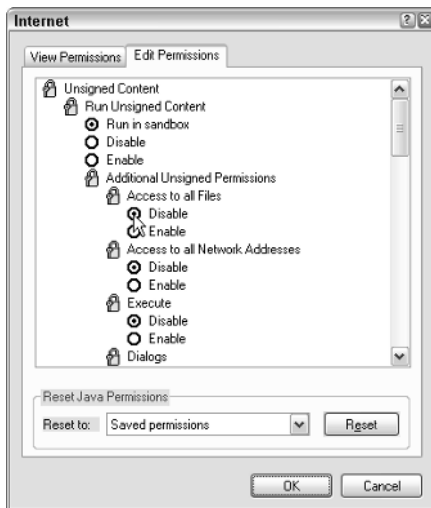
**Figure 14.12** Security tab of the Internet Options dialog box.



**Figure 14.13** Select the Custom radio button to display the Java Custom Settings button.

5. Click the Java Custom Settings button to display the Internet dialog box. Select the Edit Permissions tab. You will see a dialog box similar to the one in Figure 14.14.

You probably do not want to change any of the settings at this time, but I recommend that you browse through the list of permissions. They show you exactly what an applet can and cannot do. You can cancel your way out of the dialog boxes when you are finished browsing through the permissions.



**Figure 14.14** Use this dialog box to change the sandbox security settings for applets.

## The Applet Context

---

The *applet context* refers to the environment that the applet is running in, which for most applets is a Web page. The `java.applet.AppletContext` interface contains methods that an applet can use to communicate with the environment, allowing the applet to perform tasks like finding another applet on the page or loading a different Web page into the user's browser.

An applet obtains a reference to the `AppletContext` object using the `getAppletContext()` method, found in the `Applet` class:

**public `AppletContext` getAppletContext().** Returns a reference to this applet's context, which is typically a Web document.

Here are some of the methods in the `AppletContext` interface.

**public `Applet` getApplet(`String` name).** Returns a reference to the applet on this page that has the given name, which is determined by the `name` attribute of the `<applet>` tag.

**public void showDocument(`URL` url).** Replaces the current Web page with the document at the specified `java.net.URL`.

**public void showDocument(`URL` url, `String` target).** Shows the document at the specified `java.net.URL`. The `target` parameter is an HTML attribute that determines where the document is displayed. The possible values are:

**`_self`.** Show the document in the same window and frame as the applet.

**`_parent`.** Show the document in the applet's parent frame.

**`_top`.** Show the document in the top-level frame of the applet's window.

**`_blank`.** Show the document in a new window.

**`"name"`.** Show the document in a currently opened browser window with the given name. If a window does not match *name*, then the document is shown in a new window.

**public `AudioClip` getAudioClip(`URL` url).** Creates a new `AudioClip` object from the audio file at the specified `URL`. Audio clips are discussed in the upcoming section *Playing Audio*.

**public `Image` getImage(`URL` url).** Returns an `Image` object associated with the image file at the specified `URL`. Images are discussed in the upcoming section *Displaying Images*.

**public void showStatus(`String` status).** Displays the status `String` in the status bar of the browser's window.

The following ContextDemo applet demonstrates using some of the methods in the AppletContext. The events of the two buttons are handled by the ShowDocument class, which also follows. Study the classes, and try to determine how the applet looks and what it does.

```
import java.applet.*;
import java.awt.*;
import javax.swing.*;
public class ContextDemo extends JApplet
{
    private JButton show1, show2;
    private JTextField url;
    private AppletContext context;
    public void init()
    {
        context = this.getAppletContext();

        Container contentPane = this.getContentPane();

        url = new JTextField(30);
        show1 = new JButton("Show");
        show2 = new JButton("Open in new window");
        contentPane.add(new JLabel("Enter a URL:",
            SwingConstants.CENTER), BorderLayout.NORTH);
        JPanel center = new JPanel();
        center.add(url);
        contentPane.add(center, BorderLayout.CENTER);
        JPanel south = new JPanel();
        south.add(show1);
        south.add(show2);
        contentPane.add(south, BorderLayout.SOUTH);
        //Register event handlers.
        ShowDocument listener = new ShowDocument(context, url);
        show1.addActionListener(listener);
        show2.addActionListener(listener);
    }
    public void start()
    {
        context.showStatus("ContextDemo has started!");
    }
}

import java.awt.event.*;
import java.applet.AppletContext;
import java.net.*;
import javax.swing.JTextField;
public class ShowDocument implements ActionListener
{
    private AppletContext context;
    private JTextField textField;
```

```

public ShowDocument(AppletContext c, JTextField t)
{
    context = c;
    textField = t;
}
public void actionPerformed(ActionEvent a)
{
    context.showStatus("Showing document");
    URL url = null;
    try
    {
        url = new URL(textField.getText());
    } catch (MalformedURLException e)
    {
        e.printStackTrace();
        context.showStatus("Unable to display URL");
        return;
    }
    String label = a.getActionCommand();
    if (label.equals("Show"))
    {
        context.showDocument(url);
    }
    else if (label.equals("Open in new window"))
    {
        context.showDocument(url, "_blank");
    }
}
}

```

Figure 14.15 shows the ContextDemo displayed in a Web browser. Let me make a few comments about this applet:

- The AppletContext for this applet is saved as a field in the class and initialized in the init() method. This is standard procedure with applets.
- The start() method attempts to display a message on the status bar of the browser's window. I noticed that each time I ran the applet, the browser would override the status bar after this, so I never saw the message.
- When either button is clicked, the actionPerformed() method in the ShowDocument class is invoked. Setting the status bar to Showing document worked every time.
- The java.net.URL constructor throws a MalformedURLException if the String passed in is not in the proper URL format.
- Clicking the button labeled Show replaces the current page (contextdemo.html) with the URL entered in the text field.
- Clicking the other button shows the entered URL in a new browser window.



**Figure 14.15** ContextDemo applet displays the document entered in the text field.

## Displaying Images

An applet can display images of the format GIF, JPEG, BMP, and others. To display an image within the applet, you use the `drawImage()` method found in the `java.awt.Graphics` class. This is done using the `Graphics` object passed in to the applet's `paint()` method. The `Graphics` class has six overloaded versions, which take in a variation of the following parameters:

- Image image.** The `java.awt.Image` object to be displayed. This is obtained using the `getImage()` method of the applet's context.
- int x and int y.** The (x,y) coordinate of the upper-left corner of the image.
- int width and int height.** The width and height of the rectangular region that the image is to be displayed in.
- Color color.** The background color of the image. This only shows through if the image does not fill the rectangular region or is opaque in places.
- ImageObserver observer.** An optional parameter that allows a separate object to be notified of changes to the `Image` object.

The image passed in to the `drawImage()` method must be of type `java.awt.Image`. You do not instantiate the `Image` object, though. Instead, you obtain the `Image` instance by invoking the `getImage()` method of the `AppletContext` interface:

```
public Image getImage(URL url)
```

The `getImage()` method associates an `Image` object with the given URL. The following `ImageDemo` applet demonstrates displaying a JPEG image within an applet. Notice that the `Image` is a field in the class, initialized in the `init()` method from an applet parameter named `image`. It is then drawn onscreen in

the `paint()` method. Figure 14.16 shows how the applet looks when displayed in a browser.

**note**

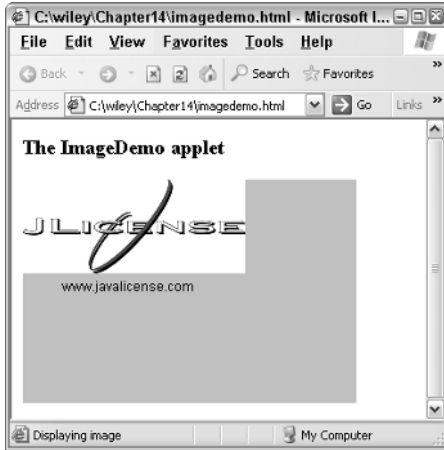
The `getImage()` method returns immediately and does not actually look for the image file at the given URL until the browser attempts to draw the image on the screen. At that time, the URL is resolved, and the image is downloaded. Any problems with the URL will not be realized until you attempt to display the image.

```
import java.applet.*;
import java.awt.*;
import java.net.*;
public class ImageDemo extends Applet
{
    private Image image;
    private AppletContext context;

    public void init()
    {
        context = this.getAppletContext();
        String imageURL = this.getParameter("image");
        if(imageURL == null)
        {
            imageURL = "default.jpg";
        }
        try
        {
            URL url = new URL(this.getDocumentBase(), imageURL);
            image = context.getImage(url);
        }catch(MalformedURLException e)
        {
            e.printStackTrace();
            context.showStatus("Could not load image!");
        }
    }
    public void paint(Graphics g)
    {
        context.showStatus("Displaying image");
        g.drawImage(image, 0, 0, 200, 84, null);
        g.drawString("www.javalicence.com", 35, 100);
    }
}
```

The following `imagedemo.html` file embeds the `ImageDemo` applet, and assigns the `image` parameter to a JPEG file named `LogoD.jpg`. Note that the way the URL is initialized in the `init()` method, the image file needs to be in the same root directory as the `imagedemo.html` document. This page is shown in Figure 14.16.





**Figure 14.16** ImageDemo applet paints a JPEG image in the applet.

```
<html>
  <body>
    <h3>The ImageDemo applet</h3>
    <applet code="ImageDemo"
           width="300"
           height="200"
           align="center">
      <param name="image" value="LogoD.jpg">
    </applet>
  </body>
</html>
```

## Playing Audio

An applet can play an audio file represented by the AudioClip interface in the java.applet package. The AudioClip interface has three methods, including:

- public void play().** Plays the audio clip one time, from the beginning.
- public void loop().** Causes the audio clip to replay continually.
- public void stop().** Stops playing the audio clip.

To obtain an AudioClip object, you must invoke the `getAudioClip()` method of the Applet class:

```
public AudioClip getAudioClip(URL url)
```

The `getAudioClip()` method returns immediately, whether or not the URL resolves to an actual audio file. The audio file is not downloaded until an attempt is made to play the audio clip.

The following `AudioDemo` applet demonstrates playing an audio clip that is specified as an applet parameter. Study the class, and try to determine how it looks and what it does.

```
import java.applet.*;
import java.awt.*;
import java.net.*;
public class AudioDemo extends Applet
{
    private AudioClip clip;
    private AppletContext context;
    public void init()
    {
        context = this.getAppletContext();
        String audioURL = this.getParameter("audio");
        if(audioURL == null)
        {
            audioURL = "default.au";
        }
        try
        {
            URL url = new URL(this.getDocumentBase(), audioURL);
            clip = context.getAudioClip(url);
        } catch (MalformedURLException e)
        {
            e.printStackTrace();
            context.showStatus("Could not load audio file!");
        }
    }
    public void start()
    {
        if(clip != null)
        {
            clip.loop();
        }
    }
    public void stop()
    {
        if(clip != null)
        {
            clip.stop();
        }
    }
}
```

The audio clip begins playing in the `start()` method of the `AudioDemo` applet, and does not stop playing until the `stop()` method is invoked, which occurs when the user leaves the page. Notice that the applet does not have any visual elements to it, and the following `audiodemo.html` Web page embeds the applet with a size of 0 by 0 pixels. When the `audiodemo.html` page is viewed in a browser, the clip plays continually.

```
<html>
  <body>
    <h3>The AudioDemo applet</h3>
    <applet code="AudioDemo"
           width="0"
           height="0">
      <param name="audio" value="jeopardy.wav">
    </applet>
  </body>
</html>
```

note

The audio file “`jeopardy.wav`” is specified as the `audio` parameter of the `AudioDemo` applet. The URL passed in to the `getAudioClip()` uses the document base as the location of this file; therefore, the file `jeopardy.wav` needs to appear in the same directory as the `audiodemo.html` file.

## ◆ Working with JAR files

The J2SDK comes with a tool called `jar` for creating JAR (Java ARchive) files. JAR files are used in all aspects of Java, and the further you progress in your Java programming, the more you will realize that JAR files are everywhere. The reason they are so widely used is because both Java compilers and JVMs can read files from a JAR without requiring the JAR file to be uncompressed. You can take the largest of Java applications, consisting of any number of `.class` files, and compress all these files into a single JAR file. Your application can then be deployed by simply giving someone the JAR file, and they do not even have to uncompress it to execute it.

It is no surprise, therefore, that JAR files are a common aspect of applets. When a user views a Web page that embeds an applet, the necessary files can be downloaded to the user’s machine in one or more JAR files. The JVM of the Web browser handles the task of uncompressing and extracting the archives to access the various files within.

The `jar` tool is run from the command prompt, and is used for creating or extracting a JAR file. Figure 14.17 shows the options of the `jar` tool. This should be in your `PATH` on your computer, so open a command prompt, type in `jar`, and press Enter.

```

C:\>jar
Usage: jar <ctxu>[ofn0Ml] [jar-file] [manifest-file] [-C dir] files ...
Options:
  -c create new archive
  -t list table of contents for archive
  -x extract named (or all) files from archive
  -u update existing archive
  -v generate verbose output on standard output
  -f specify archive file name
  -m include manifest information from specified manifest file
  -0 store only; use no ZIP compression
  -M do not create a manifest file for the entries
  -i generate index information for the specified jar files
  -C change to the specified directory and include the following file
If any file is a directory then it is processed recursively.
The manifest file name and the archive file name needs to be specified
in the same order the 'm' and 'f' flags are specified.

Example 1: to archive two class files into an archive called classes.jar:
  jar cvf classes.jar Foo.class Bar.class
Example 2: use an existing manifest file 'mymanifest' and archive all the
  files in the foo/ directory into 'classes.jar':
  jar cvfm classes.jar mymanifest -C foo/ .

C:\>

```

**Figure 14.17** Various options of the jar tool.

The `c` option is used for creating a new JAR file, and the `x` option is used for extracting an existing JAR. I like to use the `v` option for verbose so I can see what the tool is doing. The `f` option is used to specify the name of the new file. Another common option is `m`, which is used to add a manifest file to the JAR. Manifest files are required for all JAR files, and the jar tool will create one for you if you do not specify an existing manifest file using the `m` option. For example, the following manifest file is used for a JAR file that contains an application:

```

Manifest-Version: 1.0
Main-Class: com.javalicense.JeopardyGame

```

To demonstrate the jar tool, the following command line creates a new JAR file named `jeopardy.jar` that contains the directory `\com` and all of its subdirectories, plus the text file `questions.txt`:

```
jar -cvmf my_manifest.mf jeopardy.jar .\com questions.txt
```

Notice the order of the options `m` and `f` relative to the subsequent filenames: The `m` appears before the `f`, and the name of the manifest file appears before the name of the new file to create. The order in which the options appear is the order in which the respective filenames need to appear. For example, the following command creates the same JAR file:

```
jar -cvfm jeopardy.jar my_manifest.mf .\com questions.txt
```

Figure 14.18 shows the verbose output of creating this JAR.

*continued*

## ◆ Working with JAR files (continued)

```

C:\jeopardy>jar -cvnf my_manifest.mf jeopardy.jar .\com questions.txt
added manifest
adding: com<in = 0> <out= 0><stored 0%>
adding: com/javalicenses/<in = 0> <out= 0><stored 0%>
adding: com/javalicenses/JeopardyWindow.class<in = 3019> <out= 1679><deflated
>
adding: com/javalicenses/JeopardyWindow$1.class<in = 526> <out= 336><deflated
>
adding: com/javalicenses/JeopardyMenu.class<in = 2088> <out= 1090><deflated 4
adding: com/javalicenses/JeopardyGame.class<in = 3307> <out= 1811><deflated 4
adding: com/javalicenses/JeopardyQuestion.class<in = 2104> <out= 1097><deflat
7%>
adding: com/javalicenses/JeopardyQuestion$1.class<in = 546> <out= 344><deflat
6%>
adding: com/javalicenses/DailyDouble.class<in = 1302> <out= 750><deflated 42%
adding: com/javalicenses/JeopardyTeam.class<in = 538> <out= 334><deflated 37%
adding: com/javalicenses/AddTeamDialog.class<in = 1862> <out= 1021><deflated
>
adding: com/javalicenses/ScoreKeeper.class<in = 1574> <out= 918><deflated 41%
adding: com/javalicenses/JeopardyWindow$2.class<in = 634> <out= 410><deflated
>
adding: questions.txt<in = 49> <out= 48><deflated 2%>
C:\jeopardy>

```

**Figure 14.18** All files in the `.\com` directory are added to `jeopardy.jar`.

The `jeopardy.jar` now contains all the files necessary to run the Jeopardy application. The program can be executed with the following command:

```
java -jar jeopardy.jar
```

There are 11 `.class` files in the archive `jeopardy.jar`, but the JVM knows which one contains the `main()` method by checking the `Main-Class` entry of the manifest file.

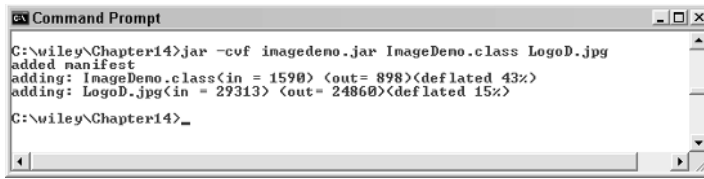
## JAR Files and Applets

An applet can place the files it needs in an *archive*, a single, compressed file containing bytecode, images, sound files, and any other files that the applet needs. Archive files simplify the task of an applet trying to locate other files, and also improves performance of downloading, since the files are compressed using the same compression format as ZIP files.

**note**

**You can open JAR files to view their contents by using the standard ZIP utility programs such as WinZip or PKUnzip.**

The archive is typically a JAR file, or Java Archive, although ZIP files can also be used. The `jar` tool that comes with the J2SDK is used to create JAR files. With applets, you can place all the necessary bytecode and other files needed by the applet in the JAR file.



```

C:\wiley\Chapter14>jar -cvf imagedemo.jar ImageDemo.class LogoD.jpg
added manifest
adding: ImageDemo.class(in = 1590) (out= 898)(deflated 43%)
adding: LogoD.jpg(in = 29313) (out= 24860)(deflated 15%)
C:\wiley\Chapter14>

```

**Figure 14.19** imagedemo.jar file contains all the necessary files for the ImageDemo applet.

To demonstrate using JAR files and applets, the following example creates a JAR file for the ImageDemo applet, adding the bytecode ImageDemo.class, and the image file LogoD.jpg. Figure 14.19 shows the jar command that is used to create the new JAR file named imagedemo.jar.

The following jardemo.html page demonstrates embedding the ImageDemo applet using the archive attribute of the <applet> tag.

```

<html>
  <body>
    <h3>The ImageDemo applet</h3>
    <applet code="ImageDemo"
      width="300"
      height="200"
      align="center"
      archive="imagedemo.jar">
      <param name="image" value="LogoD.jpg">
    </applet>
  </body>
</html>

```

When the <applet> tag is reached, the imagedemo.jar file is downloaded and uncompressed by the JVM of the browser. The jardemo.html file creates the same output as the one in Figure 14.16.



## Lab 14.1: Writing an Applet

This lab will help you become familiar with writing applets.

1. Write a class named MyFirstApplet that extends Applet.
2. Within the paint() method, use the drawString() method of the Graphics class to display your name in the applet.
3. Save and compile the MyFirstApplet class.

4. Write an HTML document named `myfirst.html` that embeds the `MyFirstApplet` applet. Add a `<h1>` heading displaying “My First Applet”, and any other HTML code you want.
5. Save the HTML document in the same directory as the bytecode file `MyFirstApplet.class`.
6. Open the HTML document in `appletviewer`.
7. Open the HTML document in a Web browser.

In `appletviewer`, you should see just the applet; in the Web browser, you should see the applet plus any HTML code that appears in `myfirst.html`.

---



## Lab 14.2: Using Applet Parameters

This lab will help you become familiar with using applet parameters. This lab makes some modifications to the `MyFirstApplet` class from Lab 14.1.

1. Add the `init()` method to your `MyFirstApplet` class, and also a field of type `String` called `name`.
2. Within the `init()` method, initialize the `name` field to be the value of a parameter called `username`. If no `username` parameter is defined, have the `name` field default to be your name.
3. Modify the `paint()` method so that it displays the `name` field instead of your name.
4. Save and compile the `MyFirstApplet` class.
5. View the `myfirst.html` page in a browser or `appletviewer`. You should see your name because you have not defined a `username` applet parameter yet.
6. Modify your `myfirst.html` file so that it defines a `username` parameter. Assign the value of this parameter to be something other than your name.
7. View the `myfirst.html` page again in a browser or `appletviewer`.

The output is similar to that of Lab 14.1, except that the name displayed should be the value of the `username` parameter defined in `myfirst.html`.

---



## Lab 14.3: The Calculator Applet

The purpose of this lab is to demonstrate the similarities and differences between applets and GUI applications. You will modify your Calculator program from Chapter 13, “GUI Components and Event Handling,” so that it can be used as an applet.

1. Copy all of the code from your solutions to Lab 13.1 in a new directory. You are going to modify your Calculator program, but I do not want you to lose the work you did already. Make all of the following changes to the copied version of your Calculator program.
2. Modify your Calculator class so that it extends JApplet instead of JFrame.
3. Perform all the initialization and setup of your GUI and event handling in the init() method. This might be as simple as renaming your constructor to be the init() method, depending on how you wrote your Calculator class.
4. Make any other necessary changes so that your Calculator class compiles. (You might get some compiler errors because you changed the parent class from JFrame to JApplet.)
5. Write a Web page that embeds your Calculator applet.
6. View your Web page, and test the Calculator to ensure that it works properly.

Your Calculator applet should work similarly to your Calculator program, except that now it can be viewed as an applet.



## Lab 14.4: Applet Communication

The purpose of this lab is to demonstrate how two applets on the same Web page can communicate with each other using the applet context. You will write two applets: one that plays an audio clip, and a second applet that controls which audio clip is played.

1. Write a class named PlayClipApplet that extends Applet. Add a field of type AudioClip called clip, and a field of type String called clipName.



2. Add a method called `setAudioClip()` that has a `java.net.URL` parameter. This URL represents the location of an audio clip. Within the `setAudioClip()` method, use the `getAudioClip()` method of the `AppletContext` to initialize the field `clip`. Use the `getFile()` method of the `URL` class to initialize the `clipName` field.
3. Add the `start()` and `stop()` methods to the `PlayClipApplet` class, having them play and stop the audio clip, respectively.
4. Within the `start()` method, use the `showStatus()` method of the `AppletContext` interface to display the text “Playing *clip\_name*”, where *clip\_name* is the value of the field `clipName`.
5. Save and compile the `PlayClipApplet` class.
6. Write a class named `EnterASongApplet` that extends `JApplet`. Add two `JButton` fields—`play` and `stop`—and a `JTextField` field called `songName`. Also add a field of type `AppletContext`.
7. Within the `init()` method, initialize the three component fields. Use the labels `Play` and `Stop` for the buttons. Add the two buttons and text field to the content pane of this `JApplet`, laying them out in a nice fashion.
8. Also within the `init()` method, initialize the `AppletContext` field, then instantiate a new `PlayListener` object, passing a reference to the `songName` text field and the `AppletContext` field. (You will write the `PlayListener` class next.) Register the `PlayListener` object with both buttons.
9. Save your `EnterASongApplet` class, and write a new class named `PlayListener` that implements `ActionListener`.
10. Add a field of type `JTextField` and a field of type `PlayClipApplet`.
11. Add a constructor that has a `JTextField` parameter and an `AppletContext` parameter. Initialize the `JTextField` field with the corresponding parameter. Use the `getApplet()` method, passing in “playclip”, of the given `AppletContext` to initialize the `PlayClipApplet` field. You will need to cast the return value to the appropriate data type.
12. Within `actionPerformed()`, determine which button was clicked. If the `Play` button is clicked, get the text from the `JTextField`, which will be the name of an audio file, and use it to create a new `URL` object. Pass in this `URL` object to the `setAudioClip()` method of the `PlayClipApplet` field, then invoke the `start()` method on the `PlayClipApplet` field.
13. If the `Stop` button is pressed, invoke the `stop()` method on the `PlayClipApplet` field.

14. Save and compile both your `PlayListener` class and `EnterASongApplet` class.
15. Write an HTML page named `playclip.html`. Add the `PlayClipApplet` and `EnterASongApplet` applets to the page, using two separate `<applet>` tags. Assign the name attribute of the `PlayClipApplet` as `playclip`.
16. View the `playclip.html` page in your Web browser.

You should be able to enter a filename representing an audio clip, play the clip by clicking the Play button, and stop it by clicking the Stop button.

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## Lab 14.5: Using JAR Files

The purpose of this lab is to become familiar with creating a JAR file using the `jar` tool, and also how to use JAR files with applets. This lab uses the classes you created in Lab 14.4.

1. Using the `jar` tool, create a new file called `songapplet.jar` that contains all the `.class` files from Lab 14.4. Also include in the JAR any audio files that the applets will use.
2. Modify the `playclip.html` file, adding the `archive` attribute to both `<applet>` tags. The value of the `archive` attributes should be `songapplet.jar`.
3. Move the files `songapplet.jar` and `playclip.html` into a new directory by themselves.
4. Open the `playclip.html` file in a browser to ensure that everything is still working properly.

The output is the same as your output in Lab 14.4. The difference is that now all of the necessary files are compressed into a single JAR file, allowing your applets to be easily deployed and downloaded by clients. I had you move the files into a new directory to ensure that the JAR file was working and that the Web page wasn't finding the unarchived class files from the previous lab.

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## Summary

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- An applet is a Java program that runs in a Web browser. An applet is written by extending the `java.awt.Applet` class.
- When an applet is instantiated in a browser, the browser communicates with the applet by invoking the `init()`, `start()`, `stop()`, `paint()`, and `destroy()` methods.
- A Swing applet extends the `javax.swing.JApplet` class.
- Applets run in a security context referred to as a sandbox. This limits what an applet can do on a person's PC. For example, applets cannot access the local file system or start other applications.
- The `appletviewer` tool that comes with the SDK is useful for the development of applets.
- An applet uses the `AppletContext` to communicate with the browser, allowing the applet to communicate with other applets on the same page or to display a URL.
- An applet can display images and play audio files.
- The applet class and all the corresponding files that it uses can be placed in a JAR file for faster downloading and simplifying deployment.

## Review Questions

1. List the three required attributes of the `<applet>` tag.
2. For an applet class to be viewed in a browser, the class must extend:
  - a. `java.applet.Applet`
  - b. `java.awt.Panel`
  - c. `javax.swing.JApplet`
  - d. Any of the above
  - e. a or b
3. True or False: If an applet class defines `main()`, the browser will invoke `main()` when the applet is instantiated.
4. Of the following applet methods, which ones are invoked by the browser when the applet is first initialized, and in what order are they invoked?
  - a. `start()`
  - b. `init()`
  - c. `stop()`
  - d. `paint()`
  - e. `destroy()`
5. True or False: For security reasons, the bytecode for an applet must appear on the same Web server as the HTML source code.
6. True or False: The size of an applet is determined by the HTML page that embeds the applet.
7. True or False: The `stop()` method is invoked on an applet when the user clicks the Stop button or selects the Stop menu item of the Web browser.
8. What method does an applet use to obtain the value of an applet parameter?
9. How is an applet parameter defined?
10. True or False: The `getCodeBase()` method returns the URL of the server where the applet's bytecode is located.
11. True or False: The `getDocumentBase()` method returns the URL of the server where the HTML page is located that embeds the applet.
12. True or False: An applet can never access files on the local file system.
13. How does an applet obtain a reference to its corresponding `AppletContext` object?
14. True or False: The bytecode for an applet can be placed in a JAR file.
15. True or False: All necessary files for an applet must be placed in a JAR file that is specified using the `archive` attribute of the `<applet>` tag.

## Answers to Review Questions

1. code, width, and height.
2. An applet must extend `Applet`. An `Applet` class can also extend `JApplet` because `JApplet` is a child of `Applet`; therefore, the answer is e.
3. False. An `Applet` class can define `main()`, but it will not be invoked by the browser.
4. The methods invoked when an applet is initialized are `init()`, `start()`, and `paint()`, in that order.
5. False. The bytecode can reside anywhere, and the HTML can denote the location of the applet's bytecode using the `codebase` attribute of the `<applet>` tag.
6. True. The size of an applet is based on the `width` and `height` attributes of the `<applet>` tag.
7. False. Selecting Stop in a browser stops the page from downloading further, but has no effect on an applet that is already running on the page.
8. The `getParameter()` method of the `Applet` class.
9. By nesting the `<param>` tag within the `<applet>` tag of the corresponding HTML page.
10. True. That is the purpose of the `getCodeBase()` method.
11. True. That is the purpose of the `getDocumentBase()` method.
12. False. By default, an applet cannot access local files; however, the security permissions can be changed for an applet so that it can step outside of the sandbox and perform tasks such as accessing local files.
13. By invoking the `getAppletContext()` method in the `Applet` class.
14. True. In fact, this is commonly done.
15. False. You do not need to use JAR files with applets; however, using JAR files greatly reduces the risk of files not being found or classes not being loaded properly on the client's machine.



Threads allow you to perform multiple tasks at the same time. In this chapter, I will discuss how threads are created in Java and how they behave after they start running. Creating threads has advantages, but using multiple threads creates data integrity issues, so I will need to discuss the various synchronization issues that arise. Topics discussed include the `Runnable` interface; the `Thread`, `Timer`, and `TimerTask` classes; the `synchronization` keyword; and avoiding deadlock.

## Overview of Threads

---

A *thread* is defined as a path of execution, a collection of statements that execute in a specific order. The programs we have written up until now in the course have had a single path of execution: the `main()` method. When a Java application is executed, the `main()` method runs in its own thread. Within the path of execution of `main()`, you can start new threads to perform different tasks.

From a programming point of view, creating multiple threads is equivalent to being able to invoke multiple methods at the same time. You can have a

thread that is displaying a GUI on the screen, a second thread in the background that is downloading a file from the Internet, and a third thread that is waiting for the user to interact with the GUI.

I need to define another term related to threads: *process*. A process consists of the memory space allocated by the operating system that can contain one or more threads. A thread cannot exist on its own; it must be a part of a process. A process remains running until all of the non-daemon threads are done executing.

You are familiar with processes, because each time you run a program on your computer, you start a process. Today's operating systems are multiprocessing (often called multitasking). You can run multiple processes at the same time. For example, you can play Solitaire while checking your email with Outlook and surfing the Internet with Netscape Navigator. Just to clarify, we will not discuss multiple processes in this chapter. What we will discuss is multiple threads in a single process.

A *daemon thread*, by definition, is a thread that does not keep the process executing. Use a daemon thread for a task that you want to run in the background only while the program is still running. The garbage collector of the JVM process is a good example of a daemon thread. The JVM wants the garbage collector to always be running in the background, freeing memory of unused objects. However, if the garbage collector is the only thread running, there is no need for the JVM process to continue executing.

## Classroom Q & A

**Q:** How many threads can a process have?

**A:** As many threads as it can handle in its memory space. I have seen applications with thousands of threads. Of course, these applications were running on large servers with lots of memory and multiple CPUs.

**Q:** And all of these threads are running at the same time?

**A:** Well, yes and no. To be more precise, a process can have multiple threads that are *runnable* at the same time. However, the number of threads actually *running* at any given time is dependent on the number of CPUs (processors) available.

**Q:** So how many threads can run on a CPU at one time?

**A:** Just one! That means your typical desktop computer with its one CPU can execute only one thread at a time.

**Q:** Then what are the other threads doing?

**A:** They are still runnable, but they are waiting in a queue for the *thread scheduler* (which is really the JVM) to schedule CPU time for them. For example, suppose that you have two processes and each process has two threads. If one CPU is available, then only one of those threads can be executing at a time, and the other three are waiting.

**Q:** How long do they wait?

**A:** Well, to be precise, it depends. The amount of time a thread gets on the CPU depends on an indeterminate number of factors. Some platforms (such as Windows 95/NT/XP) use *time-slicing*, meaning that a thread gets a certain amount of CPU time, and that's it. Other platforms do not time-slice, but instead schedule threads based on their priority. The thread scheduler for the JVM uses *fixed priority scheduling*. This term means that threads are scheduled based on their priority, with higher-priority threads running before lower-priority threads. The JVM thread scheduler is also *preemptive*, which means that if a higher-priority thread comes along, it preempts any currently running lower-priority thread.

**Q:** So I can create a Java thread, give it a high priority, and it will hog the CPU until it is finished?

**A:** Perhaps, but doubtful. Many operating systems take certain measures to ensure that threads do not hog the CPU, like intentionally scheduling a lower-priority thread over a higher one. Therefore, *you should never rely on thread priority as part of your algorithm logic*. If you need one thread to finish before another, do not assume that this can be accomplished by using priorities. The purpose of thread priorities is only to allow you to denote one task as more important than another.

**Q:** Why use threads at all if you do not have control over which one is running?

**A:** Well, that's a good question. A good rule of thumb is to not use multithreading if you can solve the problem at hand without it. However, in many real-world programming situations, they can't be avoided. In fact, threads can often make a problem easier to solve, while improving the performance of the application at the same time. Therefore, it is important to understand not just how to write a thread, but how the thread behaves after it starts running.



## Life Cycle of a Thread

---

A thread goes through various stages in its life cycle. For example, a thread is born, started, runs, and then dies. What I want to do now is discuss these various stages of a thread's life:

**Born.** When a thread is first created, it is referred to as a *born* thread.

Every thread has a priority, with a new thread inheriting the priority of the thread that created it. This priority can be changed at any time in the thread's life cycle. Thread priority is an int value, and your Java threads can have any priority between 1 and 10. A born thread does not run until it is started.

**Runnable.** After a newly born thread is started, the thread becomes *runnable*. For each of the 10 priorities, there is a corresponding priority queue (the first thread in is the first thread out). When a thread becomes runnable, it enters the queue of its respective priority. For example, the main() thread has the normal thread priority, which is 5. If main() starts a thread Y, then Y enters the priority 5 queue. If Y starts a thread Z and assigns Z a priority of 8, Z will enter the priority 8 queue. If Z is the highest-priority thread, it will preempt the current thread and start running immediately.

note

**Keep in mind that the Java thread scheduler is *preemptive*. If the priority 5 queue has two runnable threads in it, say X and Y, and these threads are the highest priority of all other threads, X and Y will dominate the CPU. If a priority 8 thread comes along, say Z, it will immediately preempt the currently running priority 5 thread and start running. The X and Y threads must now wait until the Z thread is no longer runnable.**

**Running.** The thread scheduler determines when a runnable thread gets to actually run. In fact, the only way a thread is running is if the thread scheduler grants it permission. If for any reason a thread has to give up the CPU, it must eventually work its way through the runnable priority queues before it can run again.

**Blocked.** A thread can become blocked, which occurs when multiple threads are synchronizing on the same data and need to take turns. A blocked thread is not running, nor is it runnable. It waits until the synchronization monitor allows it to continue, at which point it becomes runnable again and enters its appropriate priority queue.

**Other blocked states.** A thread can become blocked for other reasons besides synchronization. For example, a thread can invoke the `wait()` method on an object, which blocks the thread until `notify()` is invoked on the same object. A thread can sleep for a certain number of milliseconds, or a thread can call the `join()` method and wait for another thread to finish. As is always the case, when a thread is no longer blocked and becomes runnable again, the thread is placed in its corresponding priority queue and gets to run again when the thread scheduler schedules it.

**Dead.** A thread that runs to completion is referred to as a dead thread. The term *dead* is used because it cannot be started again. If you need to repeat the task of the thread, you need to instantiate a new thread object.

Now that you have seen how threads behave, I will show you the various ways to write a thread in Java.

## Creating a Thread

---

There are three common ways to write a thread in Java:

- You can write a class that implements the `Runnable` interface, then associate an instance of your class with a `java.lang.Thread` object.
- You can write a class that extends the `Thread` class.
- You can write a class that extends the `java.util.TimerTask` class, and then schedule an instance of your class with a `java.util.Timer` object.

I want to point out that although each of these techniques is different, all three involve implementing the `Runnable` interface. Either you write a class that implements `Runnable`, or you extend a class that already implements `Runnable`. (Both the `Thread` and `TimerTask` classes implement `Runnable`.) In either case, you define the one method in `Runnable`:

```
public void run()
```

The body of the `run()` method is the path of execution for your thread. When the thread starts running, the `run()` method is invoked, and the thread becomes dead when the `run()` method runs to completion.

Each of these various ways to create a thread has its advantages and disadvantages, but they are mostly design issues. Therefore, whichever technique you choose will likely be based on your own design and personal preferences. I will now discuss each of these three techniques, throwing in some important information about threads along the way.

## Implementing Runnable

After all this discussion about threads, we are now ready to finally write one. I will start by creating a thread using a class that implements the Runnable interface. The following DisplayMessage class implements Runnable and uses a while loop to continually display a greeting:

```
public class DisplayMessage implements Runnable
{
    private String message;

    public DisplayMessage(String message)
    {
        this.message = message;
    }

    public void run()
    {
        while(true)
        {
            System.out.println(message);
        }
    }
}
```

Objects of type DisplayMessage are also of type Runnable because the DisplayMessage class implements the Runnable interface. However, DisplayMessage objects are not threads. For example, the following two statements are valid, but be careful about what their result is:

```
DisplayMessage r = new DisplayMessage ("Hello, World");
r.run();
```

The run() method is invoked, but not in a new thread. The infinite while loop will print “Hello, World” in the current thread that these two statements appear in. To run DisplayMessage in a separate thread, you need to instantiate and start a new object of type java.lang.Thread.

note

**The purpose of the Runnable class is to separate the Thread object from the task it is performing. When you write a class that implements Runnable, there are two objects involved in the thread: the Runnable object and the Thread object. The Runnable object contains the code that executes when the thread finally gets to run. It is the Thread object that is born, has a priority, starts, is scheduled, runs, blocks, and eventually dies. When the Thread object is actually running, the run() method of the Runnable object is the code that executes.**

The Thread class has eight constructors, which take in a variation of the following parameters:

**String name.** Every Thread object has a name associated with it. You can assign a thread any name you like because the purpose of the name is to allow you to distinguish the various threads you create. If you do not assign your threads a name, the Thread class names them Thread0, Thread1, Thread2, and so on.

**Runnable target.** Associates a Runnable object as the target of the Thread. If you write a separate class that implements Runnable, use one of the Thread constructors that has a Runnable parameter.

**ThreadGroup.** The group that the thread belongs to. All threads belong to a group. If you create your own ThreadGroup object, use one of the Thread constructors that has a ThreadGroup parameter to associate the new thread with your group. If you do not explicitly put a thread into a group, the thread is placed in the default thread group.

**long stackSize.** The number of bytes you want allocated for the size of the stack used by this thread. The documentation warns that this value is highly platform dependent and may be ignored on some JVMs.

note

**Every thread belongs to a *thread group*. The `java.lang.ThreadGroup` class represents a thread group, and Thread objects are associated with a group using one of the Thread constructors with a ThreadGroup parameter. If a thread is not specifically added to a thread group, it belongs to the default thread group created by the JVM called main. Creating a ThreadGroup is useful when managing a large number of threads.**

After you instantiate the Thread object and associate it with the Runnable target, this new thread is started by invoking the start() method of the Thread object. The following RunnableDemo program demonstrates instantiating both a Runnable object (the DisplayMessage object) and a corresponding Thread object. Then, the start() method is invoked on the Thread object, which causes the run() method of DisplayMessage to execute in a separate thread. Study the program and see if you can determine what the output is.

```
public class RunnableDemo
{
    public static void main(String [] args)
    {
        System.out.println("Creating the hello thread...");
        DisplayMessage hello = new DisplayMessage("Hello");
        Thread thread1 = new Thread(hello);
    }
}
```

```
        System.out.println("Starting the hello thread...");
        thread1.start();

        System.out.println("Creating the goodbye thread...");
        DisplayMessage bye = new DisplayMessage("Goodbye");
        Thread thread2 = new Thread(bye);
        System.out.println("Starting the goodbye thread...");
        thread2.start();
    }
}
```

I want to make a few comments about the RunnableDemo program:

- Two Runnable objects are instantiated: hello and bye.
- Each of the two Runnable objects is associated with a Thread object: thread1 and thread2.
- Invoking start() on the Thread objects causes the thread to become runnable.
- When the thread gets scheduled, the run() method on the corresponding Runnable object is invoked.
- Just after thread2 is started, there are three threads in this process: the main() thread, thread1, and thread2.
- The program does not terminate, even though main() runs to completion. Once main() is done executing, the process still has two non-daemon threads: thread1 and thread2. The process does not terminate until both of these threads finish executing.
- That being said, this process never terminates because the two threads do not stop (their corresponding run() methods contain infinite loops). To stop this process, you need to stop the JVM process. (In Windows, press Ctrl+c at the command prompt.)

Figure 15.1 shows a sample output of running the RunnableDemo program. The output was created by running the program on Windows XP, which uses time-slicing. Notice that Hello is printed for a while; Goodbye is then printed for a while; then the program goes back to Hello again, and so on. Running this program probably produces a different output each time, depending on the platform and how many other threads are running.

```

C:\wiley\Chapter15>java RunnableDemo
Creating the hello thread...
Starting the hello thread...
Creating the goodbye thread...
Starting the goodbye thread...
Hello
Hello
Hello
Hello
Hello
Hello
Hello
Hello
Goodbye
Goodbye
Goodbye
Goodbye
Goodbye
Goodbye
Goodbye
Goodbye

```

**Figure 15.1** Sample output of the RunnableDemo program.

## Extending the Thread Class

You can create a thread by writing a class that extends the `java.lang.Thread` class and overrides the `run()` method in `Thread`. To demonstrate, the following `GuessANumber` class extends `Thread` and randomly picks a number between 1 and 100 until it guesses the `int` stored in the field `number`.

```

public class GuessANumber extends Thread
{
    private int number;

    public GuessANumber(int number)
    {
        this.number = number;
    }

    public void run()
    {
        int counter = 0;
        int guess = 0;
        do
        {
            guess = (int) (Math.random() * 100 + 1);
            System.out.println(this.getName()
                               + " guesses " + guess);
            counter++;
        }while(guess != number);

        System.out.println("*** Correct! " + this.getName())

```

```

        + " in " + counter + " guesses.**");
    }
}

```

When you extend the Thread class, you save a step when creating and starting the thread because the Runnable object and the Thread object are the same object. (The Thread class implements the Runnable interface, so any child classes of Thread are also of type Runnable.) The following ThreadDemo program instantiates two GuessANumber objects, then starts them. Study the program and try to determine what happens.

```

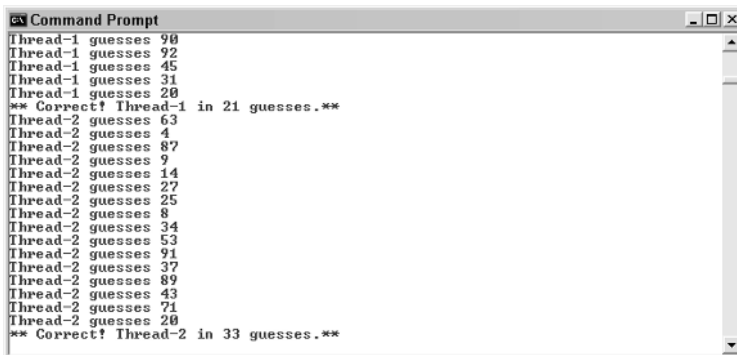
public class ThreadDemo
{
    public static void main(String [] args)
    {
        System.out.println("Pick a number between 1 and 100...");

        GuessANumber player1 = new GuessANumber(20);
        GuessANumber player2 = new GuessANumber(20);

        player1.start();
        player2.start();
    }
}

```

Each thread runs until it guesses the number 20, which takes an arbitrary number of guesses. Figure 15.2 shows a sample output of the ThreadDemo program.



```

Command Prompt
Thread-1 guesses 90
Thread-1 guesses 92
Thread-1 guesses 45
Thread-1 guesses 31
Thread-1 guesses 20
** Correct! Thread-1 in 21 guesses.**
Thread-2 guesses 63
Thread-2 guesses 4
Thread-2 guesses 87
Thread-2 guesses 9
Thread-2 guesses 14
Thread-2 guesses 27
Thread-2 guesses 25
Thread-2 guesses 8
Thread-2 guesses 34
Thread-2 guesses 53
Thread-2 guesses 91
Thread-2 guesses 37
Thread-2 guesses 89
Thread-2 guesses 43
Thread-2 guesses 71
Thread-2 guesses 20
** Correct! Thread-2 in 33 guesses.**

```

**Figure 15.2** Sample output of the ThreadDemo program.

note

Although it is easier to extend `Thread`, there are certain times when you may not have that option. Keep in mind that you get only one parent class in Java. If you write a class that already extends a class, extending `Thread` is not an option. For example, suppose that you write an applet named `MyApplet`. Because the `MyApplet` class must extend `java.applet.Applet`, extending `Thread` is not an option. To make `MyApplet` a thread, it must implement `Runnable`:

```
public class MyApplet extends Applet implements Runnable
```

It is my opinion that implementing `Runnable` is a better choice in regard to object-oriented design. The purpose of extending a class is to add functionality to it. The `GuessANumber` example extends `Thread`, but adds no functionality to the `Thread` class. From an object-oriented point of view, `GuessANumber` is not a `Thread` (even though it runs *in* a thread) and therefore does not satisfy the *is a* relationship.

That being said, I see developers extend `Thread` all the time. In fact, in many of the examples in this chapter, I will extend `Thread` instead of implementing `Runnable` just because it saves me a step. However, when I do “real” Java development, my threads will be classes that implement `Runnable`.

## ◆ Yielding Threads

Notice in the output of the `ThreadDemo` in Figure 15.2 (created on Windows XP) that each thread gets about 5–10 guesses before its time slice runs out. If this program were to run on a non-time-slicing platform, one thread would likely get a lot of guesses, while the other thread waited to get scheduled. Of course, there are many indeterminate factors involved in scheduling the threads, so the output of the program is different each time it runs. Because the program runs differently each time, the design of the program should not depend on whether the platform time-slices or not.

If we want this game to be fairer, with each thread getting a chance to guess a number without waiting too long for the other threads playing, we can design the threads to *yield* to one another. A thread yields by invoking the `yield()` method in the `Thread` class, which looks like this:

```
public static void yield()
```

This method causes the currently running thread to pause so that other threads can have a chance to execute. Yielding is a good programming design with threads, but note that it is only a moderately polite thing for a thread to do because it will yield only to other threads of the same priority.

*continued*



## ◆ Yielding Threads *(continued)*

For example, suppose that you have two threads currently runnable: A of priority 5 and B of priority 10. If B calls `yield()`, the A thread does not get a chance to run. In fact, the B thread does not even leave the CPU. It just keeps on running. However, if A and B are of the same priority and B calls `yield()`, B will go to the back of the priority queue and A will get a chance to run.

The following `GuessANumber2` class modifies the `GuessANumber` class by adding a call to `yield` in the `run()` method:

```
public class GuessANumber2 extends Thread
{
    private int number;

    public GuessANumber2(int number)
    {
        this.number = number;
    }

    public void run()
    {
        int counter = 0;
        int guess = 0;
        do
        {
            Thread.yield();

            guess = (int) (Math.random() * 100 + 1);
            System.out.println(this.getName()
+ " guesses " + guess);
            counter++;
        }while(guess != number);

        System.out.println("*** Correct! " + this.getName()
+ " in " + counter + " guesses.**");
    }
}
```

Study the following `YieldDemo` program and try to determine what happens when the three threads are started:

```
public class YieldDemo
{
    public static void main(String [] args)
    {
        System.out.println("Pick a number between 1 and 100...");

        Thread player1 = new GuessANumber2(85);
```

```

        Thread player2 = new GuessANumber2(85);
        Thread player3 = new GuessANumber2(85);

        player3.setPriority(Thread.MAX_PRIORITY);

        player1.start();
        player2.start();
        player3.start();
    }
}

```

Notice in the YieldDemo program that player3 has the maximum priority of a Thread, which is 10. After each guess, each thread invokes the yield() method. However, because player3 has a higher priority, it does not yield to player1 or player2. Figure 15.3 shows a sample output of running the YieldDemo program.



```

Command Prompt
Thread-3 guesses 74
Thread-3 guesses 9
Thread-3 guesses 53
Thread-3 guesses 11
Thread-3 guesses 3
Thread-3 guesses 58
Thread-3 guesses 6
Thread-3 guesses 59
Thread-3 guesses 53
Thread-3 guesses 42
Thread-3 guesses 85
** Correct? Thread-3 in 140 guesses.**
Thread-1 guesses 34
Thread-2 guesses 48
Thread-1 guesses 44
Thread-2 guesses 46
Thread-1 guesses 59
Thread-2 guesses 48
Thread-1 guesses 50
Thread-2 guesses 52
Thread-1 guesses 62
Thread-2 guesses 5
Thread-1 guesses 97
Thread-2 guesses 24
Thread-1 guesses 5

```

**Figure 15.3** The player3 thread gets to guess until it is correct.

The player3 thread hogs the CPU until it is finished executing. After the player3 thread is done, player1 and player2 politely take turns guessing numbers because each one calls yield() after each guess. The output of YieldDemo will be similar on any platform, whether or not time slicing is used.

If you want player3 to actually give up the CPU for lower-priority threads, player3 can sleep for a short amount of time. You use the sleep() method in the Thread class to cause the currently running thread to sleep:

```
public static void sleep(int millisec) throws InterruptedException
```

I was curious to see what effect sleep() would have on the GuessANumber2 class, so I took out the call to Thread.yield() and replaced it with the following:

```

try
{
    Thread.sleep(1);
} catch (InterruptedException e)
{}

```

*continued*

## ◆ Yielding Threads *(continued)*

Notice that calls to `sleep()` require the exception to be handled or declared. Figure 15.4 shows the difference that occurred between using `yield()` and using `sleep()`.

```

Command Prompt
C:\wiley\Chapter15>java SleepDemo
Pick a number between 1 and 100...
Thread-3 guesses 51
Thread-3 guesses 73
Thread-1 guesses 42
Thread-3 guesses 78
Thread-2 guesses 31
Thread-3 guesses 13
Thread-1 guesses 39
Thread-3 guesses 51
Thread-2 guesses 19
Thread-3 guesses 65
Thread-1 guesses 73
Thread-3 guesses 47
** Correct! Thread-3 in 7 guesses.**
Thread-2 guesses 68
Thread-1 guesses 21
Thread-2 guesses 88
Thread-1 guesses 43
Thread-2 guesses 68
Thread-1 guesses 86
Thread-2 guesses 76
Thread-1 guesses 93
Thread-1 guesses 65
Thread-2 guesses 38
  
```

**Figure 15.4** The player3 thread gave up the CPU to the lower-priority threads player1 and player2.

Notice that each thread took turns guessing, even though player3 has a higher priority. Sleeping allowed the three threads to share the CPU more consistently, but it also took away from the fact that thread3 has a higher priority. If I give player3 a high priority, it makes sense that player3 should get more CPU time than player1 or player2. In that case, because `sleep()` negated the higher priority that was assigned to player3, I would say it is a better design to use the `yield()` method, which allowed the higher-priority thread to run and threads of the same priority to share the CPU among themselves.

## Methods of the Thread Class

Now, let's look at the Thread class in more detail. The Thread class has many useful methods for determining and changing information about a thread, including:

- public void start().** Starts the thread in a separate path of execution, then invokes the `run()` method on this Thread object.
- public void run().** If this Thread object was instantiated using a separate Runnable target, the `run()` method is invoked on that Runnable object. If you write a class that extends Thread, the overridden `run()` method in the child class is invoked.
- public final void setName(String name).** Changes the name of the Thread object. There is also a `getName()` method for retrieving the name.

**public final void setPriority(int priority).** Sets the priority of this Thread object. The possible values are between 1 and 10. However, developers are encouraged to use the following three values: Thread.NORM\_PRIORITY, Thread.MIN\_PRIORITY, and Thread.MAX\_PRIORITY (whose values are 5, 1, and 10, respectively).

**public final void setDaemon(boolean on).** A parameter of true denotes this Thread as a daemon thread. For the thread to be a daemon thread, this method must be invoked before the thread is started.

**public final void join(long millisec).** The current thread invokes this method on a second thread, causing the current thread to block until the second thread terminates or the specified number of milliseconds passes. If the long passed in is 0, the first thread waits indefinitely.

**public void interrupt().** Interrupts this thread, causing it to continue execution if it was blocked for any reason.

**public final boolean isAlive().** Returns true if the thread is alive, which is any time after the thread has been started but before it runs to completion.

The previous methods are invoked on a particular Thread object. The following methods in the Thread class are static. Invoking one of the static methods performs the operation on the currently running thread:

**public static void yield().** Causes the currently running thread to yield to any other threads of the same priority that are waiting to be scheduled.

**public static void sleep(long millisec).** Causes the currently running thread to block for at least the specified number of milliseconds.

**public static boolean holdsLock(Object x).** Returns true if the current thread holds the lock on the given Object. Locks are discussed later in this chapter in the section *synchronized Keyword*.

**public static Thread currentThread().** Returns a reference to the currently running thread, which is the thread that invokes this method.

**public static void dumpStack().** Prints the stack trace for the currently running thread, which is useful when debugging a multithreaded application.

The following ThreadClassDemo program demonstrates some of these methods of the Thread class. It uses the DisplayMessage and GuessANumber classes discussed previously in this chapter. Study the program and try to determine what it does:

```
public class ThreadClassDemo
{
    public static void main(String [] args)
    {
        Runnable hello = new DisplayMessage("Hello");
```

```

Thread thread1 = new Thread(hello);
thread1.setDaemon(true);
thread1.setName("hello");
System.out.println("Starting hello thread...");
thread1.start();

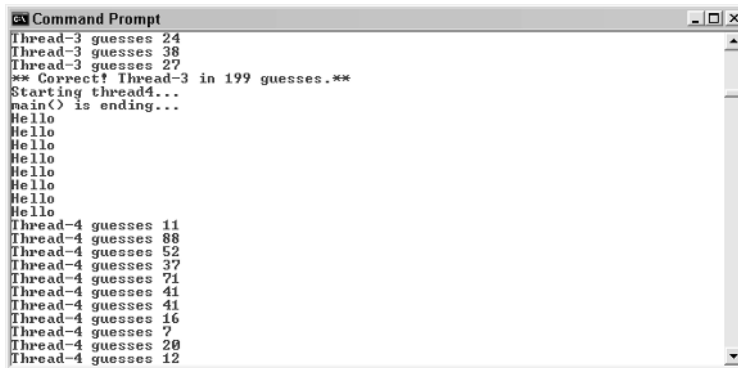
Runnable bye = new DisplayMessage("Goodbye");
Thread thread2 = new Thread(hello);
thread2.setPriority(Thread.MIN_PRIORITY);
thread2.setDaemon(true);
System.out.println("Starting goodbye thread...");
thread2.start();
System.out.println("Starting thread3...");
Thread thread3 = new GuessANumber(27);
thread3.start();
try
{
    thread3.join();
} catch (InterruptedException e)
{}
System.out.println("Starting thread4...");
Thread thread4 = new GuessANumber(75);
thread4.start();

System.out.println("main() is ending...");
}
}

```

Let me make a few comments about the ThreadClassDemo program:

- There are a total of five threads involved. (Don't forget the thread that main() executes in.)
- thread1 and thread2 are daemon threads, so they will not keep the process alive, which is relevant in this example because thread1 and thread2 contain infinite loops.
- thread2 is assigned the minimum priority.
- The main() thread invokes join() on thread3. This causes main() to block until thread3 finishes, which is an indefinite amount of time because thread3 runs until it guesses the number 27.
- While main() is waiting for thread3, there are three runnable threads: thread1, thread2, and thread3.
- When thread3 terminates, main() becomes runnable again and starts thread4. Then main() ends and its thread dies, leaving thread1, thread2, and thread4 as the remaining runnable threads of the process.
- thread4 runs until it guesses the number 75, at which point there are only two daemon threads left. This causes the process to terminate.



```
Command Prompt
Thread-3 guesses 24
Thread-3 guesses 38
Thread-3 guesses 27
** Correct? Thread-3 in 199 guesses.**
Starting thread4...
main() is ending...
Hello
Hello
Hello
Hello
Hello
Hello
Hello
Hello
Thread-4 guesses 11
Thread-4 guesses 88
Thread-4 guesses 52
Thread-4 guesses 37
Thread-4 guesses 71
Thread-4 guesses 41
Thread-4 guesses 41
Thread-4 guesses 16
Thread-4 guesses 7
Thread-4 guesses 20
Thread-4 guesses 12
```

**Figure 15.5** The main() thread blocks until thread3 guesses the correct number and dies.

Figure 15.5 shows the output of the ThreadClassDemo program right after thread3 runs to completion. I should point out that I ran this program a dozen times on Windows XP, and at no point did thread2 get a chance to run and print out Goodbye.

## Timer and TimerTask Classes

The `java.util.Timer` class is used to create a thread that executes based on a schedule. The task can be scheduled for a single running at a specified time or to run after a certain amount of time has elapsed, or the task can be scheduled to run on an ongoing basis. A single `Timer` object can manage any number of scheduled tasks.

Each task is created by writing a class that extends the `java.util.TimerTask` class. The `TimerTask` class implements `Runnable`, but does not implement the `run()` method. Your child class of `TimerTask` defines the `run()` method, and when the task is scheduled to run, your `run()` method is invoked.

Let me show you a simple example to demonstrate how these two classes are used together to create a scheduled thread. In this example, suppose that you have a memory-intensive program that frequently allocates and frees memory. Garbage collection is constantly running in the background, but you can invoke the `System.gc()` method to attempt to force immediate garbage collection. Instead of trying to conveniently place calls to `System.gc()` throughout your program, you want to create a scheduled task that invokes this method every 5 seconds. The following `GCTask` runs the garbage collector, and the ensuing `TimerDemo` program creates a `Timer` and schedules the task to repeat every 5 seconds.

```
import java.util.TimerTask;
public class GCTask extends TimerTask
{
    public void run()
    {
        System.out.println("Running the scheduled task...");
        System.gc();
    }
}

import java.util.Timer;
public class TimerDemo
{
    public static void main(String [] args)
    {
        Timer timer = new Timer();
        GCTask task = new GCTask();
        timer.schedule(task, 5000, 5000);

        int counter = 1;
        while(true)
        {
            new SimpleObject("Object" + counter++);
            try
            {
                Thread.sleep(500);
            }catch(InterruptedException e)
            {}
        }
    }
}
```

Notice the following about this example:

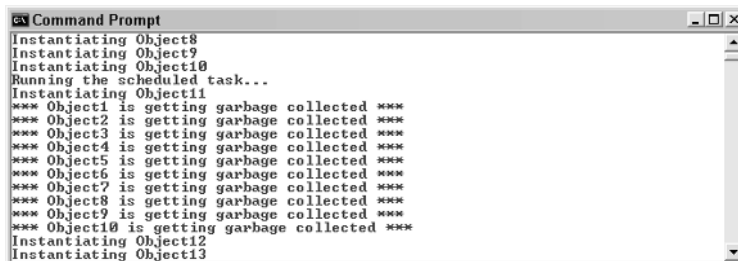
- The GCTask class extends the TimerTask class and implements the run() method.
- Within the TimerDemo program, a Timer object and a GCTask object are instantiated.
- Using the Timer object, the task object is scheduled using the schedule() method of the Timer class to execute after a 5-second delay and then continue to execute every 5 seconds.

- The infinite while loop within main() instantiates objects of type SimpleObject (whose definition follows) that are immediately available for garbage collection.

```
public class SimpleObject
{
    private String name;

    public SimpleObject(String n)
    {
        System.out.println("Instantiating " + n);
        name = n;
    }
    public void finalize()
    {
        System.out.println("*** " + name + " is getting garbage
collected ***");
    }
}
```

The SimpleObject class overrides the finalize() method and prints out a message. (Recall that the finalize() method is invoked by the garbage collector just before an object's memory is freed.) Figure 15.6 shows a sample output of the TimerDemo program. Notice that even when the main() thread is sleeping, the objects are not garbage collected until the GCTask is scheduled by the timer, which invokes the System.gc() method. This behavior will vary, depending on the JVM.



```
Command Prompt
Instantiating Object8
Instantiating Object9
Instantiating Object10
Running the scheduled task...
Instantiating Object11
*** Object1 is getting garbage collected ***
*** Object2 is getting garbage collected ***
*** Object3 is getting garbage collected ***
*** Object4 is getting garbage collected ***
*** Object5 is getting garbage collected ***
*** Object6 is getting garbage collected ***
*** Object7 is getting garbage collected ***
*** Object8 is getting garbage collected ***
*** Object9 is getting garbage collected ***
*** Object10 is getting garbage collected ***
Instantiating Object12
Instantiating Object13
```

**Figure 15.6** Sample output of the TimerDemo program.



## Scheduling Tasks

The `TimerDemo` program demonstrates scheduling a repeated task. The `run()` method of the `GCTask` object is invoked every 5 seconds. You can also schedule a task for a single execution, which is scheduled at a specific time or after a specified delay.

Repeating tasks are assigned a period that denotes the time between executions, and they fit into two categories:

**Fixed-delay execution.** The period is the amount of time between the *ending* of the previous execution and the beginning of the next execution.

**Fixed-rate execution.** The period is the amount of time between the *starting* of the previous execution and the beginning of the next execution.

For example, the `GCTask` task in the `TimerDemo` program is a fixed-delay task with a period of 5 seconds, which means that the 5-second period starts after the current task ends. Compare this to a fixed-rate execution with a period of 5 seconds. The fixed-rate task is scheduled every 5 seconds, no matter how long the previous task takes to execute. If the previously scheduled task has not finished yet, subsequent tasks will execute in rapid succession. Fixed-rate scheduling is ideal when you have a task that is time-sensitive, such as a reminder application or clock.

note

**Each `schedule()` method can throw an `IllegalStateException` if the task has already been scheduled. A `TaskTimer` object can be scheduled only once. If you need to repeat a task, you need to schedule a new instance of your `TimerTask` class.**

The `java.util.Timer` class contains the following methods for scheduling a `TimerTask`:

**`public void schedule(TimerTask task, Date time)`.** Schedules a task for a single execution at the time specified. If the time has already past, the task will be scheduled immediately. If the task has already been scheduled, an `IllegalStateException` is thrown.

**`public void schedule(TimerTask task, long delay)`.** Schedules a task for a single execution after the specified delay has elapsed.

**`public void schedule(TimerTask task, long delay, long period)`.** Schedules a task for fixed-delay execution. The delay parameter represents the amount of time to wait until the first execution, which can be different from the period it is scheduled to run.

**public void schedule(TimerTask task, Date firstTime, long period).**

Schedules a task for fixed-delay execution. The Date parameter represents the time of the first execution.

**public void scheduleAtFixedRate(TimerTask task, long delay, long period).** Schedules a task for fixed-rate execution. The delay parameter represents the amount of time to wait until the first execution, which can be different from the period during which it runs.

**public void scheduleAtFixedRate(TimerTask task, Date firstTime, long period).** Schedules a task for fixed-rate execution. The Date parameter represents the time of the first execution.

Notice that each schedule() method takes in either a start time or a delay time (the amount of the time before the first scheduled execution). Also, each method has a TimerTask parameter to represent the code that runs when the task is scheduled. The TimerTask class has three methods in it:

**public abstract void run().** The method invoked by the Timer. Notice that this method is abstract and therefore must be overridden in the child class.

**public boolean cancel().** Cancels the task so that it will never run again. If the task is currently running, its current execution will finish. The method returns true if an upcoming scheduled task was canceled.

**public long scheduledExecutionTime().** Returns the time at which the most recent execution of the task was scheduled to occur. This method is useful for fixed-delay tasks, whose scheduled times vary.

For example, the following *if* statement checks to see whether an execution of the previous task took longer than three seconds. If it did, this execution will voluntarily skip its turn to run by simply returning from the run() method.

```
if(System.currentTimeMillis() - this.scheduledExecutionTime() >= 3000)
{
    System.out.println("Previous execution took too long");
    return;
}
```

To demonstrate a fixed-rate execution, the following Phone class uses a PhoneRinger task to simulate the ringing of a telephone, with a 3-second period. Study the code of these two classes and try to determine what the output of main() is, which is shown in Figure 15.7.

```
import java.util.TimerTask;
public class PhoneRinger extends TimerTask
{
    int counter;
    public PhoneRinger()
    {
        counter = 0;
    }
    public void run()
    {
        counter++;
        System.out.println("Ring " + counter);
    }
    public int getRingCount()
    {
        return counter;
    }
}

import java.util.Timer;
public class Phone
{
    private boolean ringing;
    private PhoneRinger task;
    private Timer timer;

    public Phone()
    {
        timer = new Timer(true);
    }
    public boolean isRinging()
    {
        return ringing;
    }
    public void startRinging()
    {
        ringing = true;
        task = new PhoneRinger();
        timer.scheduleAtFixedRate(task, 0, 3000);
    }
    public void answer()
    {
        ringing = false;
        System.out.println("Phone rang " + task.getRingCount()
            + " times");
        task.cancel();
    }
}
```

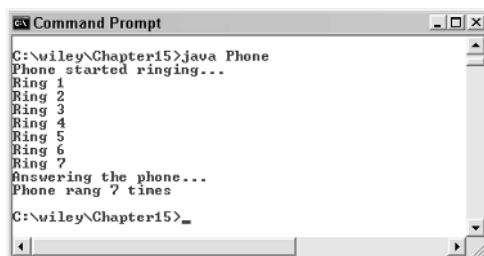
```

public static void main(String [] args)
{
    Phone phone = new Phone();
    phone.startRinging();
    try
    {
        System.out.println("Phone started ringing...");
        Thread.sleep(20000);
    }catch(InterruptedException e)
    {}
    System.out.println("Answering the phone...");
    phone.answer();
}
}

```

Let me make a few comments about the PhoneRinger and Phone classes:

- The PhoneRinger class is a TimerTask that keeps track of the number of rings and displays a simple message in the run() method.
- The Phone class instantiates a daemon Timer, so that the Timer will not keep the application running.
- Each time the startRinging() method is invoked to simulate a new incoming phone call, a new PhoneRinger object is instantiated. You cannot reuse a previous PhoneRinger object because a task cannot be rescheduled.
- The task is scheduled at a fixed rate, with 0 delay (it starts immediately) and a 3-second period.
- When the Phone is answered, the task is canceled (but not the timer). This means that the task will not execute again, which in our example means that the phone will not ring again.



```

C:\wiley\Chapter15>java Phone
Phone started ringing...
Ring 1
Ring 2
Ring 3
Ring 4
Ring 5
Ring 6
Ring 7
Answering the phone...
Phone rang 7 times
C:\wiley\Chapter15>_

```

**Figure 15.7** Output of the Phone program.

note

A `Timer` object is used to create threads that execute on a schedule. Note that the `Timer` object itself runs in a background thread that executes all the tasks of the timer. This `Timer` thread sequentially invokes the scheduled tasks at their appropriate time, so a task should not take too long to execute. If it does, other scheduled tasks may be bunching up waiting for their turn to be scheduled. Therefore, if you have a task that could possibly take a long time to execute, this task should use its own `Timer` object.

The thread for a `Timer` is non-daemon by default. To make a `Timer` thread a daemon thread, you must use the following `Timer` constructor:

```
public Timer(boolean isDaemon)
```

A `Timer` thread marked as daemon will not keep the application alive, which is useful for timers that schedule maintenance tasks such as garbage collection.

A `Timer` thread can be stopped by invoking the `cancel()` method of the `Timer` class. The `cancel()` method cancels any scheduled tasks. The currently running task will complete, but no other tasks can be scheduled on the timer.

## Multithreading Issues

---

I have discussed three ways to create a thread, and at this point in the book, I need to point out that I have shown you just enough about threads to be dangerous! Creating and starting a thread is the easy part. The hard part is making sure that your threads behave in a manner that maintains the integrity of your program and the data involved. Keep in mind that the threads in a program are in the same process memory, and therefore have access to the same memory.

Because you do not have control over when a thread is scheduled, you never know when the thread will stop running and have to go back in the priority queues. The thread might have been in the middle of a data-sensitive task, and the currently running thread can mess things up while the other thread is waiting to run.

It is not difficult to come up with an example to demonstrate how two threads can make the data in a program invalid. Take the following `BankAccount` class, which represents a simple bank account with a number, balance, and methods for making deposits and withdrawals:

```
public class BankAccount
{
    private double balance;
    private int number;
    public BankAccount(double initialBalance)
    {
        balance = initialBalance;
    }
    public int getNumber()
    {
        return number;
    }
    public double getBalance()
    {
        return balance;
    }
    public void deposit(double amount)
    {
        double prevBalance = balance;
        balance = prevBalance + amount;
    }
    public void withdraw(double amount)
    {
        double prevBalance = balance;
        balance = prevBalance - amount;
    }
}
```

The BankAccount class seems simple enough, but I am obviously setting you up here. Check out the following BankTeller class that makes a \$100 deposit on a BankAccount object:

```
public class BankTeller extends Thread
{
    private BankAccount account;
    public BankTeller(BankAccount a)
    {
        account = a;
    }
    public void run()
    {
        System.out.println(this.getName() + " depositing $100...");
        account.deposit(100.00);
    }
}
```

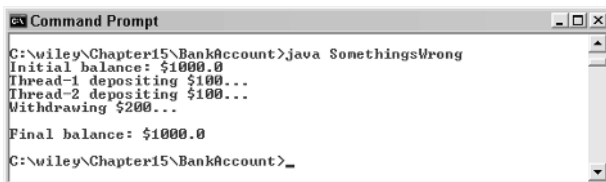
Again, the BankTeller class seems simple enough. To test out the BankTeller and BankAccount classes, I wrote the following program named SomethingsWrong that creates a single BankAccount object and two BankTeller threads. Study the program and try to determine the output, which is shown in Figure 15.8.

```
public class SomethingsWrong
{
    public static void main(String [] args)
    {
        BankAccount account = new BankAccount(101, 1000.00);
        System.out.println("Initial balance: $"
            + account.getBalance());
        Thread teller1 = new BankTeller(account);
        Thread teller2 = new BankTeller(account);
        teller1.start();
        teller2.start();
        Thread.yield();
        System.out.println("Withdrawing $200...");
        account.withdraw(200);
        System.out.println("\nFinal balance: $"
            + account.getBalance());
    }
}
```

note

**I added the call to `yield()` so that the `main()` thread would give the two `BankTeller` threads a chance to execute first, thereby increasing the likelihood that the two deposits occur before the withdrawal. This does not guarantee that the deposits will occur first, and the final balance is still \$1000.00 with or without the call to `yield()` in `main()`.**

The output of the SomethingsWrong program seems to be consistent with the logic of the program: The initial balance is \$1000, two deposits of \$100 are made and a withdrawal of \$200 is made, so the final balance should be \$1000, which it is.



```
Command Prompt
C:\wiley\Chapter15\BankAccount>java SomethingsWrong
Initial balance: $1000.00
Thread-1 depositing $100...
Thread-2 depositing $100...
Withdrawing $200...
Final balance: $1000.00
C:\wiley\Chapter15\BankAccount>_
```

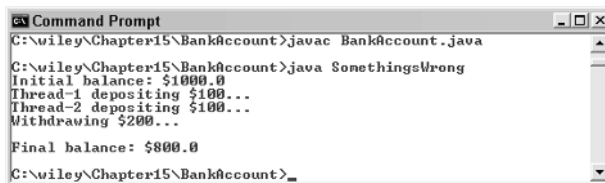
**Figure 15.8** The SomethingsWrong program appears to be working fine.

I didn't name the program `SomethingWrong` without a reason, though. I claim that this program worked because of sheer luck. The two `BankTeller` threads have a reference to the same `BankAccount` object. In other words, these two threads share the same memory. The threads ran quickly enough that they did not ever block in the middle of running. However, if they had blocked for any reason, a different result might have occurred. To test out my theory, I added a call to `sleep()` in both the `deposit()` and `withdraw()` methods of `BankAccount`, forcing the `BankTeller` threads to become blocked in the middle of a transaction:

```
public void deposit(double amount)
{
    double prevBalance = balance;
    try
    {
        Thread.sleep(4000);
    } catch (InterruptedException e)
    {}

    balance = prevBalance + amount;
}
public void withdraw(double amount)
{
    double prevBalance = balance;
    try
    {
        Thread.sleep(4000);
    } catch (InterruptedException e)
    {}
    balance = prevBalance - amount;
}
```

Adding the calls to `sleep()` forces the threads to take turns executing. Running the `SomethingWrong` program again generates a different result, as shown in Figure 15.9. Notice that after two \$100 deposits, followed by a \$200 withdrawal, the balance should be \$1000, but for some reason is only \$800.



```

C:\wiley\Chapter15\BankAccount>javac BankAccount.java
C:\wiley\Chapter15\BankAccount>java SomethingWrong
Initial balance: $1000.0
Thread-1 depositing $100...
Thread-2 depositing $100...
Withdrawing $200...
Final balance: $800.0
C:\wiley\Chapter15\BankAccount>_

```

**Figure 15.9** The `SomethingWrong` program demonstrates a problem with our `BankAccount` class.



In a real-world environment in which actual money is involved, this result would not be acceptable, especially for the customer who appears to have lost \$200. The problem arises because three threads (`main()` and the two bank tellers) are accessing the same data in memory at the same time. When working with data-sensitive information such as a bank account balance, multiple threads accessing the data should take turns. For example, if a deposit is being made, no other transactions that affect the balance should be allowed until the deposit is finished. You can do this by synchronizing your threads, which I will now discuss.

## synchronized Keyword

The `BankAccount` class from the previous section is clearly not thread-safe. Multiple threads can make deposits and withdrawals that are not successfully implemented. To make the class thread-safe, you can take advantage of the synchronization features built into the Java language.

The `synchronized` keyword in Java creates a block of code referred to as a *critical section*. Every Java object with a critical section of code gets a lock associated with the object. To enter a critical section, a thread needs to obtain the corresponding object's lock.

To fix the problem with the `BankAccount` class, we need to create a critical section around the data-sensitive code of the `deposit` and `withdraw` methods. When using the `synchronized` keyword to create this critical section, you specify which lock is being requested by passing in a reference to the object that owns the lock. For example, the following `deposit()` method synchronizes on this `BankAccount` object:

```
public void deposit(double amount)
{
    synchronized(this)
    {
        double prevBalance = balance;
        try
        {
            Thread.sleep(4000);
        } catch (InterruptedException e)
        {}
        balance = prevBalance + amount;
    }
}
```

note

**The `synchronized` keyword is commonly used within a class to make a class thread-safe. In this situation, the critical section is synchronized on the `this` reference. An alternate way to synchronize on the `this` reference is to declare the entire method as `synchronized`. For example:**

```

public synchronized void withdraw(double amount)
{
    //Method definition
}

```

When the `synchronized withdraw()` method is invoked, the current thread will attempt to obtain the lock on this object and will release this object when the method is done executing. Synchronizing the entire method is preferred over synchronizing on the *this* reference within the method because it allows the JVM to handle the method call and synchronization more efficiently. Also, it allows users of the class to see from the method signature that this method is synchronized.

That being said, do not arbitrarily synchronize methods unless it is necessary for thread safety, and do not create unnecessary critical sections. If a method is 50 lines of code, and you need to synchronize only three lines of it, do not synchronize the entire method. Hanging on to a lock when it is not needed can have considerable performance side effects, especially if other threads are waiting for the lock.

I created a new class named `ThreadSafeBankAccount` that contains this `deposit()` method. In addition, I added the `synchronized` keyword to the `withdraw()` method. I also modified the `BankTeller` class, naming it `BankTeller2`, so that it makes a \$100 deposit on a `ThreadSafeBankAccount` object. The following `SomethingsFixed` program is identical to the `SomethingsWrong` program, except that it uses the `ThreadSafeBankAccount` and `BankTeller2` classes.

```

public class SomethingsFixed
{
    public static void main(String [] args)
    {
        ThreadSafeBankAccount account =
            new ThreadSafeBankAccount(101, 1000.00);
        System.out.println("Initial balance: $"
            + account.getBalance());
        Thread teller1 = new BankTeller2(account);
        Thread teller2 = new BankTeller2(account);
        teller1.start();
        teller2.start();
        Thread.yield();
        System.out.println("Withdrawing $200...");
        account.withdraw(200);
        System.out.println("\nFinal balance: $"
            + account.getBalance());
    }
}

```



```

C:\wiley\Chapter15\BankAccount>java SomethingsFixed
Initial balance: $1000.0
Thread-1 depositing $100...
Thread-2 depositing $100...
Withdrawing $200...
Final balance: $1000.0
C:\wiley\Chapter15\BankAccount>_

```

**Figure 15.10** The bank account is now thread-safe, and deposits and withdrawals are successful, even in a multithreaded application.

There are two things you will notice about running the `SomethingsFixed` program: It takes longer to run and it works this time. This is because the deposits and withdrawals are not occurring at the same time as they were in the `SomethingsWrong` program, but rather they are executing sequentially (one at a time). Figure 15.10 shows the output of the `SomethingsFixed` program.

## Deadlock Issues

Well, if you were dangerous after learning how to create a thread, you are probably just as dangerous now that I have shown you the `synchronized` keyword. Before synchronizing, our program's data was being corrupted. After synchronizing, our program now becomes susceptible to *deadlock*, which occurs when a thread is waiting for a lock that never becomes available.

There are ways to avoid deadlock, including ordering locks and using the `wait()` and `notify()` methods, both of which I will discuss. However, let me show you a simple but realistic example of how deadlock can occur. The following `LazyTeller` class contains a `transfer()` method that transfers money from one bank account to another. In order to transfer money, the teller needs the lock on both accounts to ensure that the transaction is successful. Study the `transfer()` method and see if you can predict where a problem might arise:

```

public class LazyTeller extends Thread
{
    private ThreadSafeBankAccount source, dest;
    public LazyTeller(ThreadSafeBankAccount a, ThreadSafeBankAccount b)
    {
        source = a;
        dest = b;
    }
    public void run()
    {
        transfer(250.00);
    }
    public void transfer(double amount)

```

```

    {
        System.out.println("Transferring from "
            + source.getNumber() + " to " + dest.getNumber());
        synchronized(source)
        {
            Thread.yield();
            synchronized(dest)
            {
                System.out.println("Withdrawing from "
                    + source.getNumber());
                source.withdraw(amount);
                System.out.println("Depositing into "
                    + dest.getNumber());
                dest.deposit(amount);
            }
        }
    }
}

```

It wasn't hard to create deadlock with this class, especially because I had the LazyTeller thread yield after obtaining its first of two locks. The following DeadlockDemo program creates two bank accounts, checking and savings. Then, two LazyTeller objects transfer money between them. Notice that teller1 transfers \$250 from checking to savings, whereas teller2 transfers \$250 from savings to checking. Figure 15.11 shows the output of the DeadlockDemo program. Study the output to try to determine how far the program ran before it locked up.

```

public class DeadlockDemo
{
    public static void main(String [] args)
    {
        System.out.println("Creating two bank accounts...");
        ThreadSafeBankAccount checking =
            new ThreadSafeBankAccount(101, 1000.00);
        ThreadSafeBankAccount savings =
            new ThreadSafeBankAccount(102, 5000.00);
        System.out.println("Creating two teller threads...");
        Thread teller1 = new LazyTeller(checking, savings);
        Thread teller2 = new LazyTeller(savings, checking);

        System.out.println("Starting both threads...");
        teller1.start();
        teller2.start();
    }
}

```

```

Command Prompt - java DeadlockDemo
C:\wiley\Chapter15\BankAccount>java DeadlockDemo
Creating two bank accounts...
Creating two teller threads...
Starting both threads...
End of main<>...
Transferring from 101 to 102
Transferring from 102 to 101

```

**Figure 15.11** The DeadlockDemo program locks up and does not generate any further output than what is shown here.

The problem with the LazyTeller class is that it does not consider the possibility of a race condition, a common occurrence in multithreaded programming. After the two threads are started, teller1 grabs the checking lock and teller2 grabs the savings lock. When teller1 tries to obtain the savings lock, it is not available. Therefore, teller1 blocks until the savings lock becomes available. When the teller1 thread blocks, *teller1 still has the checking lock and does not let it go*. Similarly, teller2 is waiting for the checking lock, so *teller2 blocks but does not let go of the savings lock*. This leads to one result: deadlock! The two threads are now blocked forever, and the only way to end this application is to terminate the JVM.

There is a solution to this problem with the race condition. Whenever a thread needs more than one lock, the thread should be careful not to simply randomly grab the locks. Instead, all threads involved need to agree on a specified order in which to obtain the locks so that deadlock can be avoided. Let me show how this is done.

## Ordering Locks

A common threading trick to avoid the deadlock of the LazyTeller threads is to order the locks. By ordering the locks, it gives threads a specific order to obtain multiple locks. For example, when transferring money, instead of a bank teller obtaining the lock of the source account first, the teller could grab the account with the smaller number first (assuming that each bank account has a unique number). This ensures that whoever wins the race condition (whichever teller obtains the lower account number first), that thread can continue and obtain further locks, whereas other threads block without taking locks with them.

The following transfer() method, in a class named OrderedTeller, is a modification of the LazyTeller class. Instead of arbitrarily synchronizing on locks, this transfer() method obtains locks in a specified order based on the number of the bank account.

```

public class OrderedTeller extends Thread
{
    private ThreadSafeBankAccount source, dest;
    public OrderedTeller(ThreadSafeBankAccount a,

```

```

        ThreadSafeBankAccount b)
    {
        source = a;
        dest = b;
    }

    public void run()
    {
        transfer(250.00);
    }

    public void transfer(double amount)
    {
        System.out.println("Transferring from " + source.getNumber()
            + " to " + dest.getNumber());

        ThreadSafeBankAccount first, second;
        if(source.getNumber() < dest.getNumber())
        {
            first = source;
            second = dest;
        }
        else
        {
            first = dest;
            second = source;
        }

        synchronized(first)
        {
            Thread.yield();
            synchronized(second)
            {
                System.out.println("Withdrawing from "
                    + source.getNumber());
                source.withdraw(amount);
                System.out.println("Depositing into "
                    + dest.getNumber());
                dest.deposit(amount);
            }
        }
    }
}

```

Notice in this `transfer()` method that the code within the critical section did not change from the `LazyTeller` class. The difference is in the order the locks are synchronized. I modified the `DeadlockDemo` program (in a class named `DeadlockFixedDemo`) to use the `OrderedTeller` instead of the `LazyTeller`. Figure 15.12 shows the output. The program runs successfully, does not deadlock, and the data in the account is correct.

```

C:\wiley\Chapter15\BankAccount>java DeadLockFixedDemo
Creating two bank accounts...
Creating two teller threads...
Starting both threads...
Transferring from 101 to 102
Transferring from 102 to 101
Withdrawing from 101
Depositing into 102
Withdrawing from 102
Depositing into 101
C:\wiley\Chapter15\BankAccount>_

```

**Figure 15.12** After ordering the locks, deadlock does not occur.

## wait() and notify() Methods

The `java.lang.Object` class (the parent of every Java object) contains `wait()` and `notify()` methods that allow threads to communicate with each other. These methods are typically used in a producer/consumer model, in which one thread is producing and another thread is consuming. If the producer is producing faster than the consumer is consuming, the producer can wait for the consumer. The consumer can notify the producer to inform the producer to stop waiting.

Similarly, the consumer may be consuming faster than the producer is producing, in which case the consumer can wait until the producer notifies it to continue consuming.

The producer/consumer model is a common occurrence in thread programming, and in this section I will show you how to implement this in Java using the following methods in the `Object` class:

**public final void wait(long timeout).** Causes the current thread to wait on this `Object`. The thread continues when another thread invokes `notify()` or `notifyAll()` on this same `Object`, or when the specified timeout number of milliseconds elapses. The current thread must own the `Object`'s lock, and it will release the lock when this method is invoked.

**public final void wait(long timeout, int nanos).** Similar to the previous `wait()` method, except that the timeout is denoted in milliseconds and nanoseconds.

**public final void wait().** Causes the current thread to wait indefinitely on this `Object` for a `notify()` or `notifyAll()`.

**public final void notify().** Wakes up one thread that is waiting on this `Object`. The current thread must own the `Object`'s lock to invoke this method.

`public final void notifyAll()`. Similar to `notify()`, except that all waiting threads are awoken instead of just one.

**note**

**An object's lock is often referred to as its *monitor*. The term monitor refers to that portion of the object responsible for monitoring the behavior of the `wait()` and `notify()` methods of the object. To invoke any of the `wait()` or `notify()` methods, the current thread must own the monitor (lock) of the Object, meaning that calls to `wait()` and `notify()` always appear in a critical section, synchronized on the Object.**

The following example demonstrates a producer/consumer model that uses `wait()` and `notify()`. The producer is a pizza chef, and the consumer is a lunch crowd at a buffet. The following `Buffet` class represents the object that will be used as the monitor:

```
public class Buffet
{
    boolean empty;
    public synchronized boolean isEmpty()
    {
        return empty;
    }
    public synchronized void setEmpty(boolean b)
    {
        empty = b;
    }
}
```

The following `PizzaChef` class is a thread that contains a reference to a `Buffet` object. If the buffet is not empty, the chef waits for a `notify()` to occur on the `Buffet` object. If the buffet is empty, the chef cooks pizza for a random amount of time. If the cooking time is long enough, the buffet is no longer empty and the thread invokes `notify()` on the `Buffet` object.

```
public class PizzaChef extends Thread
{
    private Buffet buffet;

    public PizzaChef(Buffet b)
    {
        buffet = b;
    }

    public void run()
    {
```



```
int cookingTime = 0;
while(true)
{
    synchronized(buffet)
    {
        while(!buffet.isEmpty())
        {
            try
            {
                System.out.println("Chef is waiting...");
                buffet.wait();
            }catch(InterruptedException e)
            {}
        }
    }

    //Bake some pizzas
    try
    {
        System.out.println("Chef is cooking...");
        cookingTime = (int) (Math.random()*3000);
        Thread.sleep(cookingTime);
    }catch(InterruptedException e)
    {}

    if(cookingTime < 1500)
    {
        buffet.setEmpty(true);
    }
    else
    {
        buffet.setEmpty(false);
        synchronized(buffet)
        {
            buffet.notify();
        }
    }
}
}
```

The following LunchCrowd class is the consumer of the Buffet object. If the buffet is empty, the lunch crowd waits for the chef to cook some pizzas and invoke notify(). If the buffet is not empty, the lunch crowd eats for a random amount of time. If enough pizza is eaten to empty the buffet, the chef is notified.

```
public class LunchCrowd extends Thread
{
    private Buffet buffet;

    public LunchCrowd(Buffet b)
    {
        buffet = b;
    }

    public void run()
    {
        int eatingTime = 0;
        while(true)
        {
            synchronized(buffet)
            {
                while(buffet.isEmpty())
                {
                    try
                    {
                        System.out.println("Lunch crowd is
                            waiting...");
                        buffet.wait();
                    }catch(InterruptedException e)
                    {}
                }
            }

            //Eat some pizzas.
            try
            {
                System.out.println("Lunch crowd is eating...");
                eatingTime = (int) (Math.random()*3000);
                Thread.sleep(eatingTime);
            }catch(InterruptedException e)
            {}

            if(eatingTime < 1500)
            {
                buffet.setEmpty(false);
            }
            else
            {
                buffet.setEmpty(true);
                synchronized(buffet)
                {
```



## ◆ Waiting for a Notify

When a thread invokes `wait()` on an object, the following sequence of events occurs before the thread runs again. Suppose that there are two threads, A and B:

1. Thread A invokes `wait()` on an object and gives up the lock on the object. Thread A is now blocked.
2. Thread B grabs the lock and invokes `notify()` on the object.
3. Thread A wakes up, but the lock is not available because Thread B has it. Therefore, Thread A is now waiting for the lock. In other words, Thread A just went from one blocked state to another. Before, Thread A was waiting for a `notify()`. Now, it is waiting for a lock to become available.
4. Thread B releases the lock (hopefully), and Thread A becomes runnable.
5. Before running again, Thread A must obtain the lock on the object.

Because multiple threads may be waiting and awoken at the same time, it is important for a waiting thread to make sure that they should have been woken up. The `PizzaChef` does this using a while loop:

```
synchronized (buffet)
{
    while (!buffet.isEmpty())
    {
        try
        {
            System.out.println("Chef is waiting...");
            buffet.wait();
        } catch (InterruptedException e)
        {}
    }
}
```

When the `PizzaChef` thread receives a `notify`, it checks to make sure that the buffet is actually empty. Why bother if it just received a `notify`? Well, suppose that by the time this thread has a chance to run again, a second `PizzaChef` thread has already filled the buffet with pizzas. If our first thread did not check that the buffet was empty, it would have filled the buffet as well, causing overproduction, which is what we are trying to avoid in the first place.

Placing a call to `wait()` in a while loop is a standard design when working with producer and consumer threads.



## Lab 15.1: Creating a Thread

To become familiar with creating a thread by implementing the Runnable interface.

1. Write a class named `PrintNumbers` that implements the `Runnable` interface. Add a field of type `boolean` called `keepGoing` and a constructor that initializes `keepGoing` to `true`.
2. Add a method to the `PrintNumbers` class called `stopPrinting()` that assigns the `keepGoing` field to `false`.
3. Within the `run()` method, write a while loop using `System.out.println()`, which prints out the numbers 1, 2, 3, 4, and so on for as long as the `keepGoing` field is `true`. In between printing each number, the thread should sleep for 1 second.
4. Save and compile the `PrintNumbers` class.
5. Write a class named `Print` that contains `main()`. Within `main()`, instantiate a `PrintNumbers` object. Instantiate a `Thread` object that will be used to run the `PrintNumbers` object in a separate thread and then start the thread.
6. The `Print` program will take in a single command-line argument to represent the number of milliseconds that the `main()` thread will sleep. Parse this argument into an `int` and then have the `main()` thread sleep for that amount of milliseconds.
7. When the `main()` thread wakes up, have it invoke the `stopPrinting()` method on the `PrintNumbers` object.
8. Save, compile, and run the `Print` program. Don't forget to pass in an `int` to represent how long the program will run in milliseconds.

The numbers 1, 2, 3, and so on will be printed for approximately the number of seconds you specified with the command-line argument. For example, if the command-line argument is 10,000, you should see about 10 numbers printed. This lab demonstrates a common need in thread programming: creating a mechanism for a thread to stop executing. The `stopPrinting()` method can be invoked from any other thread to inform `PrintNumbers` that it should stop running.

---



## Lab 15.2: Simulating a Car Race

To become familiar with writing a thread by extending the Thread class.

1. Write a class named RaceCar that extends the Thread class and contains the run() method.
2. Add an int field named finish and a String field called name. Add a constructor that initializes both fields.
3. Within run(), add a *for* loop that executes *finish* number of times. Within the *for* loop, use System.out.println() to print out the *name* field and also the current iteration through the loop. For example, the third time through the loop should output “Mario: 3” for a car named Mario. Then, have the thread sleep for a random amount of time between 0 and 5 seconds.
4. At the end of the *for* loop, print out a message stating that the race car has finished the race, and print out the *name* field as well. For example, “Mario finished!”
5. Save and compile the RaceCar class.
6. Write a class named Race that contains main().
7. Within main(), declare and create an array large enough to hold five Thread references.
8. Write a *for* loop that fills the array with five RaceCar objects. The names should be retrieved from five command-line arguments, and the int should be the same for each RaceCar. This value will represent how long the race will last, and it should also be input from the command line.
9. Write a second *for* loop that invokes start() on each Thread in the array.
10. Save, compile, and run the Race program.

The Race program will look like a car race that slowly progresses as you watch it. The winner will be whichever RaceCar thread reaches the finish line first.

---



## Lab 15.3: Using Timer and TimerTask

To become familiar with using the `Timer` and `TimerTask` class.

1. Write a class named `Reminder` that extends `TimerTask`. Add a field of type `String` named `message`, and a constructor that initializes this field.
2. Within the `run()` method, simply print out the `message` field using `System.out.println()`.
3. Write a class named `TestReminder` that contains `main()`.
4. Within `main()`, instantiate a new `Timer` object.
5. Within `main()`, instantiate three `Reminder` objects, each with a different message.
6. Using the `schedule()` method of the `Timer` class that creates a single execution task, schedule your three `Reminder` objects with the `Timer`. Have the first `Reminder` scheduled immediately, the second reminder after 30 seconds, and the third reminder after 2 minutes.
7. Save, compile, and run the `TestReminder` program.

The three reminders should be displayed at the command prompt. You should have to wait 2 minutes before seeing the final reminder.

---



## Lab 15.4: An Applet Game

This lab ties together many of the aspects of Java that you have learned up until now. I won't give you much help here, except to explain the applet that I want you to write, which is a game that tests a user's skill and quickness with the mouse. I want you to write a game that displays a small image moving across the screen. The player of the game scores points by clicking on the image. Here are some of the expectations for the game.

1. The game should be an applet so that it can be embedded in a Web page.

2. Use JApplet for your applet and Swing components for any GUI components you use.
3. To create the image that moves across the screen, you can create a bitmap image using a program such as Microsoft Paint. Alternately, an easier way is to use one of the drawing methods of the `java.awt.Graphics` class. Check the documentation for the `Graphics` class and browse through the methods. For example, the `fillOval()` method can be used to draw a circle, or the `fillRect()` method draws a rectangle. (Hint: A rectangle can simplify your math considerably when you try to determine whether the user clicked on the image or not.)
4. Write a thread that contains a reference to the content pane of the JApplet. The thread should draw the image on the screen, sleep for a specified amount of time, and then redraw the image somewhere else on the screen. You can also display the image in different sizes to make the game more challenging.
5. Provide a `JTextField` that displays the sleep time of the thread. The users should be able to change this value, depending on how fast they think they are. Add the corresponding event handling that changes the sleep time in the thread class.
6. Add a `JLabel` that displays the score. You can score the game however you like. A simple scoring might be 10 points for hitting the object, or perhaps you can offer more points for hitting the object quickly or for hitting the object when it is smaller.
7. You will need a `MouseListener` to handle the `mouseClicked()` event. This class will need to determine whether the object was hit, and if so, how many points to award.
8. Write an HTML page that embeds your JApplet. This program will probably require lots of testing, so the appletviewer might come in handy.

You should be able to embed your applet in a Web page and play the game.

---



## Summary

---

- A thread is a path of execution that executes within a process. When you run a Java program, the `main()` method runs in a thread. The `main()` method can start other threads.
- A process terminates when all its non-daemon threads run to completion.
- A thread is created by writing a class that implements `java.lang.Runnable` and associating an instance of this class with a new `java.lang.Thread` object. The new `Thread` is initially in the born state.
- Invoking the `start()` method on a `Thread` object starts the thread and places it in its appropriate runnable queue, based on its priority.
- Java uses a preemptive scheduling mechanism where threads of higher priority preempt running threads of a lower priority. However, the actual behavior of threads also relies on the underlying platform.
- A thread can also be written by writing a class that extends `java.util.TimerTask` and associating an instance of this class with a `java.util.Timer` object. This type of thread is useful when performing scheduled tasks.
- The `synchronized` keyword is used to synchronize a thread on a particular object. When a thread is synchronized on an object, the thread owns the lock of the object. Any other thread attempting to synchronize on this object will become blocked until the object's lock becomes available again.
- Care needs to be taken when synchronizing threads, since deadlock may occur. Ordering locks is a common technique for avoiding deadlock.
- The `wait()` and `notify()` methods from the `Object` class are useful when multiple threads need to access the same data in any type of producer/consumer scenario.

## Review Questions

1. Name the one method in the `java.lang Runnable` interface.
2. True or False: A process that has no threads running in it will terminate.
3. True or False: If the only threads left in a process are daemon threads, the process will terminate.
4. Which one of the following statements is not true?
  - a. The maximum priority of a Java thread is the value `Thread.MAX_PRIORITY`.
  - b. If a thread of priority 5 is running and a priority 8 thread becomes runnable, it will preempt the priority 5 thread.
  - c. Because Java programs run on a JVM, the behavior of the underlying platform does not affect your Java threads.
  - d. By default, a new thread inherits the priority of the thread that started it.
5. True or False: A born thread does not run until the `start()` method is invoked.
6. True or False: The number of threads currently running depends on how many threads are waiting in the runnable priority queues.
7. List three different ways to create a thread in Java.
8. Suppose that threads A and B are the only two threads in a process, and A has priority 5 and B priority 10. Which of the following statements is (are) guaranteed to be true? Select all that apply.
  - a. Thread B will run to completion before A gets a chance to execute.
  - b. If thread B invokes `yield()`, thread A will be scheduled to run.
  - c. If thread B invokes `sleep()`, thread A will be scheduled to run.
  - d. If the underlying platform uses time slicing, threads A and B will receive equal time on the CPU.
  - e. Deadlock cannot occur because B has a higher priority.
  - f. If B calls `join()` on A, B will block until A runs to completion.
9. Suppose that a task is scheduled using the following statement:

```
someTimer.schedule(someTask, 0, 10000);
```

Which of the following statements is (are) true? Select all that apply.

- a. The task will be scheduled immediately.
- b. The task will be scheduled in exactly 10 seconds.
- c. The task will be scheduled 10 seconds after its first completion.
- d. The task will execute once, in 10 seconds.
- e. The task will execute exactly 10,000 times.

10. Suppose that a task is scheduled using the following statement:

```
someTimer.scheduleAtFixedRate(someTask, 0, 60000);
```

Which of the following statements is true? Select all that apply.

- a. The task will be scheduled immediately.
  - b. The task will be scheduled 60 seconds after its first completion.
  - c. The task will be scheduled every 60 seconds, no matter how long the task takes to execute.
  - d. If the timer is canceled, the task will not be scheduled again.
  - e. If the task is canceled, the task will not be scheduled again.
11. True or False: Declaring a method as synchronized causes the method to run in its own thread.
12. True or False: A synchronized method synchronizes on the this reference of the object.
13. True or False: When a thread that invoked `wait()` receives a `notify()`, it still is blocked waiting for the lock to become available.
14. True or False: The `notify()` method wakes up only one waiting thread.
15. True or False: When a thread invokes the `wait()` method, the thread releases the corresponding object's lock.

## Answers to Review Questions

1. `public void run()`
2. True. A process cannot exist without at least one non-daemon thread.
3. True. That is the definition of a daemon thread, that it does not keep the process alive.
4. `c` is not correct. The underlying platform plays a large role in the way your threads will actually behave at run time. Running a multithreaded application on different platforms can have quite different results.
5. True. Threads do not run until the `start()` method of the `Thread` class is invoked.
6. False. The number of threads running depends on the number of CPUs available.
7. You can do the following: (1) write a class that implements `Runnable` and wrap it in a `Thread` object, (2) write a class that extends `Thread`, or (3) extend `TimerTask` and schedule it with a `Timer` object.
8. `a` is not true because many platforms give lower-priority threads a chance to run to avoid higher-priority threads hogging the CPU. `b` is not true because `B` will yield only to threads of priority 10. `c` is true because calling `sleep()` causes `B` to block, which makes `A` the only runnable thread, meaning that `A` will get scheduled. `d` is false because time slicing does not affect priority. `e` is false because deadlock has nothing to do with priorities. `f` is true because that is how the `join()` method works.
9. `a` is true because the delay is 0. `b` is false because this is fixed-delay scheduling. Instead, `c` is true. `d` is false because this task has a period of 10 seconds. `e` is false.
10. `a` is true because the delay is 0. `b` is false because this is fixed-rate scheduling. Instead, `c` is true. Both `d` and `e` are true.
11. False. The statement basically makes no sense.
12. True. That is the effect of the `synchronized` keyword on methods.
13. True.
14. True. To wake up all waiting threads, use `notifyAll()` instead of `notify()`.
15. True. Otherwise, another thread would be unable to invoke `notify()`. Recall that a thread must own the object's lock to invoke `wait()` or `notify()` on the object.





# Input and Output

The `java.io` package contains nearly every class you might ever need to perform input and output (I/O) in Java. In this chapter, I will discuss how the `java.io` classes are used, including an overview of the `java.io` package, streams versus readers and writers, low-level and high-level streams, chaining streams, serialization, and logging.

## An Overview of the `java.io` Package

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The `java.io` package contains dozens of classes and interfaces for performing input and output. At first, the `java.io` package might seem intimidating, but after you understand the various categories of streams, you will be able to quickly find the classes you need to perform any I/O.

Almost all of the classes in the `java.io` package fit into one of the following two categories:

**Streams.** The stream classes are for performing I/O on bytes of data.

**Readers and Writers.** The reader and writer classes are for performing I/O on characters.

The stream classes are child classes of `java.io.OutputStream` and `java.io.InputStream`. The reader classes are child classes of `java.io.Reader`, and the writer classes are child classes of `java.io.Writer`. These four classes are abstract and represent the common functionality among their child classes.

## The Output Streams

The `OutputStream` class is the parent class of all the output streams. It contains five methods:

**public void close().** Closes the output stream.

**public void flush().** Flushes any buffers.

**public void write(int b).** Writes a single byte.

**public void write(byte [] b).** Writes an array of bytes.

**public void write(byte [] b, int offset, int length).** Writes length number of elements in the array starting at the index specified by offset.

note

**The methods of the `java.io.OutputStream` class are not very exciting. They only write a byte or an array of bytes. Writing bytes to an output stream is important functionality, but what if the data you are sending comprises more complex data such as ints, doubles, booleans, Strings, and objects? It would be a lot of work to write the code behind the scenes that parses the bytes into their appropriate data types. Thankfully, there are many child classes of `OutputStream` that will do this type of work for you.**

**In fact, you will probably never need to write a class that performs low-level I/O because there is likely a class in the `java.io` package that already provides what you need. The trick is learning what each class does and how to combine them to create the perfect stream for your needs (my main objective in this chapter).**

Each child class of `OutputStream` implements the `write()` methods inherited from `OutputStream` in their own unique way. For example, the `FileOutputStream` class writes the bytes to a file, and the `BufferedOutputStream` writes the bytes to a buffer. The filtered output stream classes (those that subclass `FilterOutputStream`) contain additional `write()` methods for writing different data types or objects. For example, the `DataOutputStream` class contains methods for writing ints, shorts, doubles, Strings, and so on.

## The Input Stream Classes

For each output stream class, there is a corresponding input stream class for reading in the data. The abstract `InputStream` class is the parent class of all the input streams, and it contains `read()` methods that correspond to the `write()` methods of the `OutputStream` class. It also contains several other methods, including:

- `public int read()`.** Reads a single byte from the stream.
- `public int read(byte [] b)`.** Reads in a collection of bytes and places them in the given array. The return value is the actual number of bytes read.
- `public int read(byte [] b, int offset, int length)`.** Reads a collection of bytes into the specified location of the array.
- `public void close()`.** Closes the stream.
- `public long skip(long n)`.** Skips the next *n* bytes in the stream.
- `public void mark(int readLimit)`.** Marks the current location of the stream. This method is used in conjunction with the `reset()` method on streams that allow support for pushback. After `readLimit` number of bytes are read, the marked location becomes invalid.
- `public void reset()`.** Resets the position of the stream to the location of the most recent `mark()` call, assuming that the marked location is still valid.
- `public int available()`.** Returns the number of bytes that can be read from the input stream without blocking.

note

**A stream (or reader/writer) is opened automatically when the object is instantiated. You can close a stream by using the `close()` method. This is a good programming design, but not mandatory. The garbage collector implicitly closes a stream before the corresponding stream object is garbage collected.**

## The Writer Class

The `Writer` class is the parent of all the writer classes in the `java.io` package. A writer is used for outputting data that is represented as characters. The `Writer` class contains the `flush()` and `close()` methods, which are similar to the ones in `OutputStream`. It also contains five `write()` methods:

- `public void write(int c)`.** Writes a single character to the stream.
- `public void write(char [] c)`.** Writes the array of characters to the stream.



**public void write(char [] c,int offset, int length).** Writes length number of characters in the array starting at the index specified by offset.

**public void write(String s).** Writes the given String to the stream.

**public void write(String s, int offset, int length).** Writes the specified subset of the given String to the stream.

The child classes of `Writer` implement the `write()` methods in their own unique ways. For example, the `PipedWriter` class writes the characters to a pipe (a stream between two threads), and the `OutputStreamWriter` class converts the writer into an output stream, converting the characters into bytes.

## The Reader Class

The `Reader` class is the parent of all the reader classes in the `java.io` package. The `Reader` class has a `close()` and `skip()` method similar to the ones in `InputStream`. It also contains `read()` methods that correspond to the `write()` methods in `Writer`:

**public int read().** Reads a single character from the stream.

**public int read(char [] c).** Reads in a collection of characters and places them in the given array. The return value is the actual number of characters read.

**public int read(char [] c, int offset, int length).** Reads in a collection of characters and places them into the specified portion of the array.

For each `Writer` class, there is a corresponding `Reader` class.

## ◆ The java.io.File class

The `java.io.File` class is a utility class for working with files and directories. It is not a stream class and cannot be used for performing any I/O. However, it is widely used by the other classes in the `java.io` package.

Think of a `File` object as a `String` representing the name and location of a file or directory. The `File` class has four constructors:

**public File(String pathname).** Creates a `File` object associated with the file or directory specified by `pathname`.

**public File(String parent, String child).** Creates a `File` object using the given parameters. The `parent` parameter represents a directory, and the `child` parameter represents a subdirectory or file located within `parent`.

**public File(File parent, String child).** Similar to the previous constructor, except that the directory is denoted by a `File` object instead of a `String`.

**public File(URI uri).** Creates a `File` object using the given `java.net.URI` object. A URI is a uniform resource identifier. A file URI is of the format `file:///directory/filename`.

Keep in mind that a File object is similar to a String and only represents the path name of a file or directory. The constructors are successful even if the given file or directory does not exist. For example, the following statement is successful, whether or not somefile.txt is an actual file:

```
File f = new File("somefile.txt");
```

However, you now have a File object associated with a file named somefile.txt, and the File class contains many useful methods for determining information about this file. For example, you can check to see if it exists using the exists() method:

```
if(f.exists())
{
    //Use the file now that we know it exists
}
```

Other methods in the File class include:

**public String getName().** Returns the name of the file or directory.

**public String getParent().** Returns the pathname of the parent directory of this file or directory.

**public String getPath().** Returns the File object as its String representation.

**public boolean canRead().** Returns true if the File object is a file that can be read from. There is also a corresponding canWrite() method.

**public boolean isDirectory().** Returns true if the File object is a directory.

**public boolean isFile().** Returns true if the File object is a file.

**public boolean delete().** Deletes the file or directory, and returns true if successful.

**public String [] list().** Returns a list of all the filenames in the directory.

To demonstrate the File class, the following FileDemo program creates a File object and uses the methods of the File class to determine information about the file or directory. Study the program and see if you can determine what it does.

```
import java.io.File;
public class FileDemo
{
    public static void main(String [] args)
    {
        File file = new File(args[0]);

        if(!file.exists())
        {
            System.out.println(args[0]
                + " does not exist.");
            return;
        }

        if(file.isFile() && file.canRead())
```

*continued*

## ◆ The java.io.File class (continued)

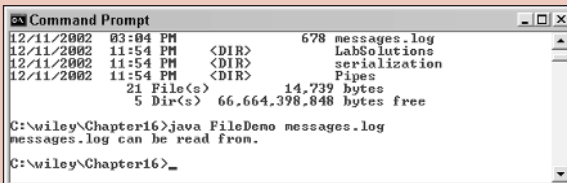
```

        {
            System.out.println(file.getName()
+ " can be read from.");
        }

        if(file.isDirectory())
        {
            System.out.println(file.getPath()
+ " is a directory containing...");
            String [] files = file.list();
            for(int i = 0; i < files.length; i++)
            {
                System.out.println(files[i]);
            }
        }
    }
}

```

Figure 16.1 shows a sample output of running the FileDemo program when an actual file is used as the command-line argument. Figure 16.2 shows the output when the command-line argument is a valid directory.



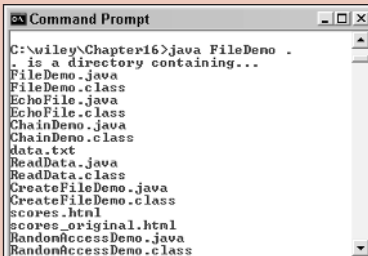
```

C:\wiley\Chapter16>java FileDemo messages.log
12/11/2002 03:04 PM          678 messages.log
12/11/2002 11:54 PM <DIR>      LabSolutions
12/11/2002 11:54 PM <DIR>      serialization
12/11/2002 11:54 PM <DIR>      Pipes
                21 File(s)      14,739 bytes
                5 Dir(s)      66,664,398,848 bytes free

C:\wiley\Chapter16>java FileDemo messages.log
messages.log can be read from.
C:\wiley\Chapter16>

```

**Figure 16.1** The command-line argument is a file that exists.



```

C:\wiley\Chapter16>java FileDemo .
. is a directory containing...
FileDemo.java
FileDemo.class
EchoFile.java
EchoFile.class
ChainDemo.java
ChainDemo.class
data.txt
ReadData.java
ReadData.class
CreateFileDemo.java
CreateFileDemo.class
scores.html
scores_original.html
RandomAccessDemo.java
RandomAccessDemo.class

```

**Figure 16.2** The FileDemo program lists the contents of the given directory.

Notice the File class used throughout the java.io package, typically as a parameter or return value type. It is a good programming design to use File objects instead of Strings to represent files. This allows you to perform certain checks on the files, similar to those done in the FileDemo program, before using the File for I/O operations. Be sure to check the documentation of the File class for a complete list of the methods in the class.

## Low-Level and High-Level Streams

In the previous section, I discussed the difference between streams and readers and writers. I will now discuss the details of using the various stream and reader/writer classes. I am going to begin with input and output streams, which can be separated into two categories:

**Low-level streams.** An input or output stream that connects directly to a data source, such as a file or socket.

**High-level streams.** An input or output stream that reads or writes to another input or output stream.

You can tell which streams are low-level and which streams are high-level by looking at their constructors. The low-level streams take in actual data sources in their constructors, while the high-level streams take in other streams. For example, `FileOutputStream` is a low-level stream, and each of its constructors takes in a variation of a filename. The `DataOutputStream` is a high-level stream, and it only has one constructor, which takes in an existing output stream:

```
public DataOutputStream(OutputStream out)
```

In other words, the only way to create a `FileOutputStream` is to provide a filename, while the only way to create a `DataOutputStream` is to have an existing `OutputStream` already.

## Low-Level Streams

The following is a list of the low-level input and output streams in the java.io package and their corresponding data source:

**FileInputStream and FileOutputStream.** For writing and reading binary data from a file.

**ByteArrayInputStream and ByteArrayOutputStream.** For writing and reading data from an array of bytes.

**PipedInputStream and PipedOutputStream.** For writing and reading data between two threads.

**InputStream and OutputStream.** The abstract parent classes are often used for physical connections to data sources (other than files, which use the `FileInputStream` and `FileOutputStream` classes). For example, a socket connection uses these classes to represent the input and output streams of the socket.

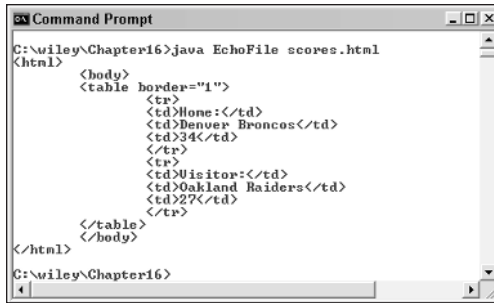
Let's look at an example. The following `EchoFile` program uses the `FileInputStream` class to read the elements from a file and print them out to the console output. Study the program and try to determine its output, which is shown in Figure 16.3.

```
import java.io.*;
public class EchoFile
{
    public static void main(String [] args)
    {
        File file = new File(args[0]);

        if(!file.exists())
        {
            System.out.println(args[0] + " does not exist.");
            return;
        }

        if(!(file.isFile() && file.canRead()))
        {
            System.out.println(file.getName()
                               + " cannot be read from.");
            return;
        }

        try
        {
            FileInputStream fis = new FileInputStream(file);
            char current;
            while(fis.available() > 0)
            {
                current = (char) fis.read();
                System.out.print(current);
            }
        }catch(IOException e)
        {
            e.printStackTrace();
        }
    }
}
```



```

C:\wiley\Chapter16>java EchoFile scores.html
<html>
  <body>
    <table border="1">
      <tr>
        <td>Home:</td>
        <td>Denver Broncos</td>
        <td>34</td>
      </tr>
      <tr>
        <td>Visitor:</td>
        <td>Oakland Raiders</td>
        <td>27</td>
      </tr>
    </table>
  </body>
</html>
C:\wiley\Chapter16>

```

**Figure 16.3** A sample output of the EchoFile program.

**note**

The purpose of a low-level stream is to communicate with a specific data source. The low-level streams only read in data at the byte level. In other words, the low-level streams do not provide extra functionality for performing advanced reading or writing of primitive data types or object types. This is done using a high-level stream, chaining the low-level stream to one or more high-level streams.

## High-Level Streams

The following is a list of the high-level input and output streams in the `java.io` package, as well as a few others in the J2SE:

- BufferedInputStream and BufferedOutputStream.** This is used for buffering input and output.
- DataInputStream and DataOutputStream.** This is a filter for writing and reading primitive data types and Strings.
- ObjectInputStream and ObjectOutputStream.** This is used for serialization and deserialization of Java objects.
- PushbackInputStream.** This represents a stream that allows data to be read from the stream, then pushed back into the stream if necessary.
- AudioInputStream.** This is used for reading audio from an input stream. This class is in the `javax.sound.sampled` package.
- CheckedInputStream and CheckedOutputStream.** This is used for filtering data using a checksum that can be used to verify the data. These classes are found in the `java.util.zip` package.
- CypherInputStream and CypherOutputStream.** This is used for working with encrypted data. These classes are found in the `javax.crypto` package.

**ZipInputStream and ZipOutputStream.** This is used for working with ZIP files. These classes are found in the `java.util.zip` package.

**JarInputStream and JarOutputStream.** This is used for reading and writing to JAR files. These classes are found in the `java.util.jar` package.

**ProgressMonitorInputStream.** This monitors the progress of an input stream, and displays a dialog window to the user if the input is taking too long, allowing the user to cancel the input stream. This class is in the `javax.swing` package.

note

**The J2SE contains an API known as the Image I/O API for reading and writing images. The classes and interfaces of the Image I/O API are found in the `javax.imageio` packages. If you are working with images, be sure to check the documentation for a description of the various streams available in this API.**

## Classroom Q & A

**Q:** Why make the distinction between low-level and high-level streams? Why not just use the stream you want?

**A:** Because you often need to use both a low-level stream and one or more high-level streams. The high-level streams perform the type of I/O that you typically need done in an application, similarly to reading and writing primitive data types or objects, while the low-level stream communicates the actual source of the data, similarly to the file or network socket.

**Q:** But if I want to read from a file, don't I need to use the low-level `FileInputStream` class?

**A:** Yes, if the data in the file is to be read in as bytes. (If it contains character data, you would likely use the `FileReader` class.)

**Q:** But the `FileInputStream` class only reads in data as bytes, so can I only read in bytes at a time?

**A:** No, you can take the `FileInputStream` and chain it to a high-level filter like the `DataInputStream`, which converts the bytes into primitive data types.

**Q:** Why not just start with a `DataInputStream`?

**A:** You can't. A `DataInputStream` cannot attach to a file or any other physical resource. The high-level streams are not instantiated on their own. They require the existence of either a low-level stream or some other existing high-level stream.

- Q:** You mentioned the `BufferedInputStream` class that buffers the data. What if I want to buffer the data read from the file?
- A:** That's a good idea. Reading one byte at a time from a file is terribly inefficient, so I recommend buffering any time you can. You can attach the high-level `BufferedInputStream` class to the `FileInputStream` object, and the data will be buffered automatically.
- Q:** OK, but I want to read the data from the file using the buffer *and* filter it using the `DataInputStream` class.
- A:** Then you use all three classes—one low-level stream and two high-level streams. You start with a `FileInputStream` object that reads from the file. Chain to that a `BufferedInputStream` object that buffers the file input, and then chain to the buffer a `DataInputStream` object that filters the data into primitive data types. That's how the `java.io` classes are chained together to create the exact type of input you want. Let me show you an example of chaining streams.

## Chaining Streams Together

The following `ChainDemo` example demonstrates the `DataOutputStream` class, which contains methods such as:

- `public void writeDouble(double d)`
- `public void writeFloat(float f)`
- `public void writeInt(int x)`
- `public void writeLong(long x)`
- `public void writeShort(short s)`
- `public void writeUTF(String s)`

There are similar methods for writing bytes, chars, and booleans. Similarly, the `DataInputStream` contains corresponding `read()` methods for reading in these data types. In addition, the `ChainDemo` program buffers the output to improve efficiency using the `BufferedOutputStream` class. The `BufferedOutputStream` class has two constructors:

**`public BufferedOutputStream(OutputStream source)`.** Buffers the output to the specified stream using a 512-byte buffer.

**`public BufferedOutputStream(OutputStream source, int size)`.** Buffers the output to the specified stream using a buffer of the specified size.



note

The constructors in the high-level stream classes take in other streams. I can tell that `BufferedOutputStream` is a high-level stream because both of its constructors take in an object of type `OutputStream`. Checking the constructor's parameters is the simplest way to determine if a stream is high level or low level. I can tell that `FileOutputStream` is a low-level stream because the parameters of its constructors are types like `String` and `File`.

Study the following `ChainDemo` program and try to determine what the program is doing.

```
import java.io.*;

public class ChainDemo
{
    public static void main(String [] args)
    {
        try
        {
            FileOutputStream fileOut =
                new FileOutputStream("data.txt");
            BufferedOutputStream buffer =
                new BufferedOutputStream(fileOut);
            DataOutputStream dataOut =
                new DataOutputStream(buffer);

            dataOut.writeUTF("Hello!");
            dataOut.writeInt(4);
            dataOut.writeDouble(100.0);
            dataOut.writeDouble(72.0);
            dataOut.writeDouble(89.0);
            dataOut.writeDouble(91.0);

            dataOut.close();
            buffer.close();
            fileOut.close();
        } catch (IOException e)
        {
            e.printStackTrace();
        }
    }
}
```

The `ChainDemo` program does not display any output, but it does create a new file named `data.txt`. Because the data is created using a `DataOutputStream`, you need to use a `DataInputStream` to read the data. And because the data is in a file, you need to also use a `FileInputStream`. If you want to buffer the data, a `BufferedInputStream` can also be used. In the following `ReadData`

program, I decided to just use a `DataInputStream` and `FileInputStream`. Study the `ChainDemo` and `ReadData` programs and try to determine the output of `ReadData`, which is shown in Figure 16.4.

```
import java.io.*;

public class ReadData
{
    public static void main(String [] args)
    {
        try
        {
            FileInputStream fileIn =
                new FileInputStream("data.txt");
            DataInputStream dataIn = new DataInputStream(fileIn);

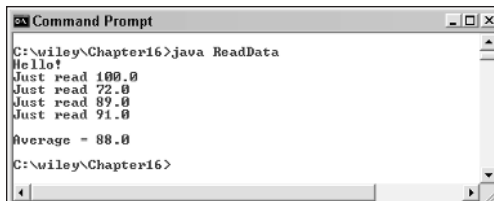
            System.out.println(dataIn.readUTF());

            int counter = dataIn.readInt();
            double sum = 0.0;

            for(int i = 0; i < counter; i++)
            {
                double current = dataIn.readDouble();
                System.out.println("Just read " + current);
                sum += current;
            }

            System.out.println("\nAverage = " + sum/counter);

            dataIn.close();
            fileIn.close();
        }catch(IOException e)
        {
            e.printStackTrace();
        }
    }
}
```



```
Command Prompt
C:\wiley\Chapter16>java ReadData
Hello!
Just read 100.0
Just read 72.0
Just read 89.0
Just read 91.0
Average = 88.0
C:\wiley\Chapter16>
```

**Figure 16.4** Output of the `ReadData` program.

## Low-Level Readers and Writers

---

A reader is used for performing character I/O, and the `java.io.Reader` class is the parent class of all readers. Similarly, the `java.io.Writer` class is the parent class of all writers. Readers and writers, similarly to streams, can be broken down into two categories: low level and high level. The low-level readers and writers connect directly to a data source, similarly to memory or a file, while the high-level readers and writers connect to existing readers and writers.

The low-level readers in the `java.io` package are:

**CharArrayReader and CharArrayWriter.** For reading from and writing to arrays of characters.

**FileReader and FileWriter.** For reading from and writing to files containing character data.

**PipedReader and PipedWriter.** For creating character streams between two threads. An example of pipes is discussed in the upcoming *Using Pipes* section.

**StringReader and StringWriter.** For reading from and writing to `String` objects.

The upcoming section, *File I/O*, demonstrates using the `FileWriter` class. Using the other low-level readers and writers is similar.

## High-Level Readers and Writers

---

The high-level readers and writers in the `java.io` package are:

**BufferedReader and BufferedWriter.** For buffering the characters in the character stream. Using these classes is similar to using the `BufferedReader` and `BufferedOutputStream` classes.

**InputStreamReader and OutputStreamWriter.** For converting between byte streams and character streams. The sidebar *Reading Input from the Keyboard* in this chapter demonstrates converting a stream to a reader.

**PrintWriter.** For printing text to either an output stream or a `Writer`. You have seen a `PrintWriter` used extensively: `System.out` is a `PrintWriter` object.

**PushbackReader.** For readers that allow characters to be read and then pushed back into the stream.

Now that we have seen how the classes in the `java.io` package break down into streams, readers and writers, and low-level and high-level streams, let's

look at some of the more commonly used classes in detail. I will start with a discussion on file I/O.

## File I/O

When performing file I/O, you have three options:

**FileInputStream and FileOutputStream.** Use these classes when working with bytes.

**FileReader and FileWriter.** Use these classes when working with characters.

**RandomAccessFile.** Use this to both read and write to a file, allowing you to access any location in the file.

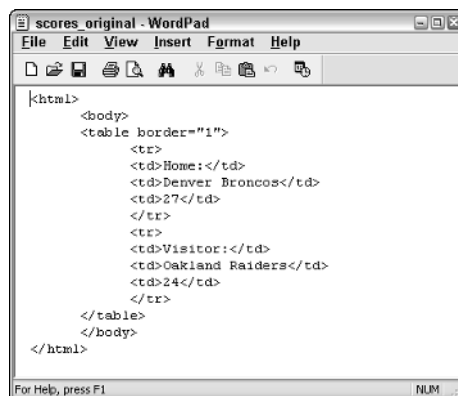
The ChainDemo and ReadData classes discussed earlier in this chapter demonstrated the `FileInputStream` and `FileOutputStream` classes. The constructors in `FileInputStream`, `FileOutputStream`, `FileReader`, and `FileWriter` are similar, taking in a variation of the following parameters:

**File file.** A `File` object representing the file to be read from or written to.

**String file.** The string name of the file to be read from or written to.

**boolean append.** Used in the `FileOutputStream` and `FileWriter` constructors, a value of `true` specifies that the data written to the file should be appended to the end of the file. By default, writing to these streams does not append, but instead overwrites the data in the file.

To demonstrate using these classes, the following `CreateFileDemo` program creates a text file using the `FileWriter` class as the low-level writer. Study the `CreateFileDemo` program, which creates a new file named `scores.html`, and try to determine what the file will look like. Figure 16.5 shows the generated file.



```
<html>
  <body>
    <table border="1">
      <tr>
        <td>Home:</td>
        <td>Denver Broncos</td>
        <td>27</td>
      </tr>
      <tr>
        <td>Visitor:</td>
        <td>Oakland Raiders</td>
        <td>24</td>
      </tr>
    </table>
  </body>
</html>
```

**Figure 16.5** HTML file generated from the `CreateFileDemo` program.

```
import java.io.*;
public class CreateFileDemo
{
    public static void main(String [] args)
    {
        try
        {
            FileWriter file = new FileWriter("scores.html");
            BufferedWriter buffer = new BufferedWriter(file);
            PrintWriter out = new PrintWriter(buffer);

            out.println("<html>\n<body>");
            out.println("\t<table border=\"1\">\n\t\t<tr>");
            out.println("\t\t<td>Home:</td>\n\t\t<td>
                Denver Broncos</td>\n\t\t<td>27</td>");
            out.println("\t\t</tr>\n\t\t<tr>");
            out.println("\t\t<td>Visitor:</td>\n\t\t<td>
                Oakland Raiders</td>\n\t\t<td>24</td>");
            out.println("\t\t</tr>\n\t</table>");
            out.println("\t</body>\n</html>");

            out.close();
            buffer.close();
            file.close();
        }catch(IOException e)
        {
            e.printStackTrace();
        }
    }
}
```

## The RandomAccessFile Class

---

The upcoming `RandomAccessDemo` program uses a `RandomAccessFile` object to view and modify the `scores.html` file created from the `CreateFileDemo` program. The `RandomAccessFile` class has two constructors:

**`public RandomAccessFile(File file, String mode).`** The `File` parameter represents the file to be accessed.

**`public RandomAccessFile(String name, String mode).`** The `name` parameter represents the name of the file to be accessed.

Both `RandomAccessFile` constructors contain a `String` parameter called `mode` to represent how the file is to be used. The possible values of the `mode` parameter are:

- r. For reading only.
- rw. For reading and writing.
- rwd. For reading and writing, and in addition, causes all changes to the file in memory to be written out to the file on the physical storage device at the same time.
- rws. Similar to rws, except the metadata is updated on the file as well. This typically involves one more I/O operation than rwd mode.

A `RandomAccessFile` object contains an index referred to as the *file pointer* that represents its current location in the file. The `RandomAccessFile` class contains both read and write methods, with each operation occurring relative to the current location of the file pointer. Some of the methods in the `RandomAccessFile` class include:

- public long getFilePointer().** Returns the current location of the file pointer in bytes. This is often referred to as the offset since it represents the distance in bytes from the beginning of the file.
- public void seek(long offset).** Moves the file pointer to the specified offset, measured in bytes relative to the beginning of the file.
- public long length().** Returns the length of the file.
- public final String readLine().** Reads the next line of text in the file.

The read and write methods of the `RandomAccessFile` class come in pairs, such as:

- readInt() and writeInt().** For reading and writing ints.
- readLong() and writeLong().** For reading and writing longs.
- readDouble() and writeDouble().** For reading and writing doubles.
- readUTF() and writeUTF().** For reading and writing `String` objects.

There are similar read and write methods for the other Java primitive data types. Study the following `RandomAccessDemo` program and try to determine what it does. You will have to follow along carefully, referring often to the `scores.html` file in Figure 16.5. Figure 16.6 shows the generated file.

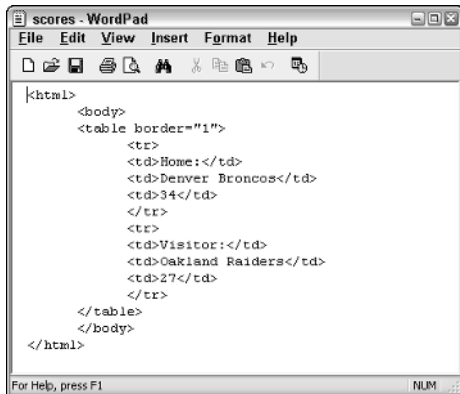
```
import java.io.*;

public class RandomAccessDemo
{
    public static void main(String [] args)
    {
        try
        {
            RandomAccessFile file =
```

```
        new RandomAccessFile("scores.html", "rw");
    for(int i = 1; i <= 6; i++)
    {
        System.out.println(file.readLine());
    }
    long current = file.getFilePointer();
    file.seek(current + 6);

    file.write("34".getBytes());

    for(int i = 1; i <= 5; i++)
    {
        System.out.println(file.readLine());
    }
    current = file.getFilePointer();
    file.seek(current + 6);
    file.write("27".getBytes());
    file.close();
} catch(IOException e)
{
    e.printStackTrace();
}
}
```



```
<html>
  <body>
    <table border="1">
      <tr>
        <td>Home:</td>
        <td>Denver Broncos</td>
        <td>34</td>
      </tr>
      <tr>
        <td>Visitor:</td>
        <td>Oakland Raiders</td>
        <td>27</td>
      </tr>
    </table>
  </body>
</html>
```

**Figure 16.6** Scores.html file after running the RandomAccessDemo program.

## ◆ Reading Input from the Keyboard

You have seen and used `System.out` extensively throughout this book and its labs for displaying output to the console, but we have not read any input from the console yet. There is a corresponding `System.in` object that represents the keyboard input from the console. The reason I have not discussed `System.in` yet is because it is an `InputStream`, meaning that it reads in bytes at a time. However, keyboard input is typically characters.

Therefore, to read in characters from the command prompt, you need to convert the `System.in` stream from a byte stream to a reader using the `InputStreamReader` class. Since keyboard input typically involves a user's entering a line of text, the `BufferedReader` class can also be used to simplify processing lines of text entered by the user.

The following `KeyboardInput` program demonstrates how to chain together `System.in`, `InputStreamReader`, and `BufferedReader` to read in lines of text from the standard console input. Study the program and try to determine its output, which is shown in Figure 16.7.

```
import java.io.*;
public class KeyboardInput
{
    public static void main(String [] args)
    {
        try
        {
            System.out.print("Enter your name: ");
            InputStreamReader reader =
new InputStreamReader(System.in);
            BufferedReader in =
new BufferedReader(reader);

            String name = in.readLine();
            System.out.println("Hello, " + name
+ ". Enter three ints...");
            int [] values = new int[3];
            double sum = 0.0;
            for(int i = 0; i < values.length; i++)
            {
                System.out.print("Number " + (i+1)
+ ": " );

                String temp = in.readLine();
                values[i] = Integer.parseInt(temp);
                sum += values[i];
            }
            System.out.println("The average equals "
+ sum/values.length);

        }catch(IOException e)
        {
```

*continued*

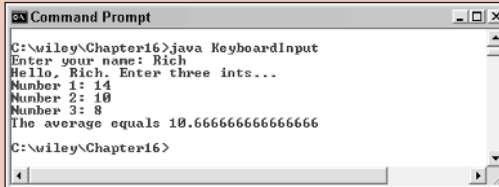


## ◆ Reading Input from the Keyboard *(continued)*

```

        e.printStackTrace();
    }
}

```



```

C:\wiley\Chapter16>java KeyboardInput
Enter your name: Rich
Hello, Rich. Enter three ints...
Number 1: 14
Number 2: 10
Number 3: 8
The average equals 10.666666666666666
C:\wiley\Chapter16>

```

**Figure 16.7** Sample output of the KeyboardInput program.

Notice the use of the `Integer.parseInt()` method to parse the `String` input into ints. Also note that the `readLine()` method blocks until the user presses the Enter key on the keyboard.

## Using Pipes

A pipe refers to a stream between threads, which allows for interthread communication. The `PipedInputStream` and `PipedOutputStream` classes are used when the data is bytes, and the `PipedReader` and `PipedWriter` classes are used when working with character data. The constructors for the pipe stream classes look similar to:

**public PipedInputStream().** Creates a new, unconnected pipe input stream.

**public PipedInputStream(PipedOutputStream).** Creates a new pipe input stream that is connected to the given pipe output stream.

**public PipedOutputStream().** Creates a new, unconnected pipe output stream.

**public PipedOutputStream(PipedInputStream).** Creates a new pipe output stream that is connected to the given pipe input stream.

Creating a pipe is a two-step process. One thread creates a new unconnected pipe, then a second thread comes along and connects to this existing pipe. Alternatively, two unconnected pipes can be connected using the `connect()` method of the corresponding class:

**public void connect(PipedInputStream dest).** Connects the given pipe input stream to the pipe output stream that this method is invoked on.

**public void connect(PipedOutputStream dest).** Connects the given pipe output stream to the pipe input stream that this method is invoked on.

The PipedReader and PipedWriter classes work in a similar fashion. Their constructors look similar to:

**public PipedReader().** Creates a new, unconnected pipe reader.

**public PipedReader(PipedWriter).** Creates a new pipe reader that is connected to the given pipe writer.

**public PipedWriter().** Creates a new, unconnected pipe writer.

**public PipedWriter(PipedReader).** Creates a new pipe writer that is connected to the given pipe reader.

As with the pipe streams, if the PipedReader and PipedWriter are not connected using the constructors, they can be connected using the connect() method.

The following PipeDemo program demonstrates two threads communicating with each other, using the PipedInputStream and PipedOutputStream classes. The data being sent back and forth is an int and a String object, so the pipes are chained with a DataInputStream and DataOutputStream, respectively.

The data being sent is generated from the following RandomWeather class:

```
import java.io.*;
import java.util.*;

public class RandomWeather extends TimerTask
{
    private DataOutputStream out;

    public RandomWeather(OutputStream dest)
    {
        out = new DataOutputStream(dest);
    }

    public void run()
    {
        try
        {
            int temp = (int) (Math.random() * 110);
            out.writeInt(temp);

            int random = (int) (Math.random() * 4);
            String conditions;
            switch(random)
            {
                case 0:
```

```

        conditions = "sunny";
        break;
    case 1:
        conditions = "rainy";
        break;
    case 2:
        conditions = "windy";
        break;
    default:
        conditions = "snowy";
    }
    out.writeUTF(conditions);

} catch(IOException e)
{
    e.printStackTrace();
}
}
}

```

**note**

You are probably wondering why the `RandomWeather` class does not use the `PipedOutputStream` class, especially because this section is on pipes. Well, pipes are going to be used, but I made a design decision when writing the `RandomWeather` class. Because the `RandomWeather` class chains the output stream with a `DataOutputStream`, it does not really care if the low-level stream is a pipe, file, or other stream; therefore, I have designed the `RandomWeather` class to work with any `OutputStream`. In the following `PipeDemo` program, the actual `OutputStream` is going to be a `PipedOutputStream`.

Similarly, the `WeatherViewer` class uses a `DataInputStream` to read the data, and it is designed to read data from any `InputStream`. Since `PipedInputStream` is a child of `InputStream`, the `WeatherViewer` can read from pipes, which is the case in the `PipeDemo` program.

The `RandomWeather` thread is going to send the output to the following `WeatherViewer` thread:

```

import java.io.*;
public class WeatherViewer extends Thread
{
    private DataInputStream in;

    public WeatherViewer(InputStream src)
    {
        in = new DataInputStream(src);
    }
}

```

```

public void run()
{
    while(true)
    {
        try
        {
            int currentTemp = in.readInt();
            System.out.println("\nThe current temp is "
                + currentTemp);

            String conditions = in.readUTF();
            System.out.println("Conditions are "
                + conditions);
        }catch(IOException e)
        {
            e.printStackTrace();
            break;
        }
    }
}
}

```

The PipeDemo program creates two threads and a pipe between them. Study the PipeDemo program along with the RandomWeather and WeatherViewer classes and try to determine its output, which is shown in Figure 16.8.

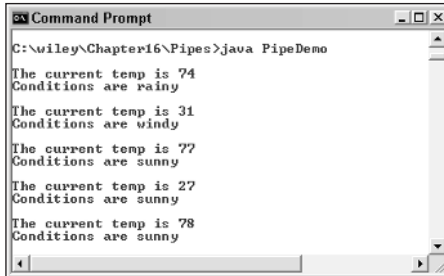
```

import java.io.*;
import java.util.*;
public class PipeDemo
{
    public static void main(String [] args)
    {
        try
        {
            PipedInputStream pipeIn = new PipedInputStream();
            PipedOutputStream pipeOut =
                new PipedOutputStream(pipeIn);

            TimerTask task = new RandomWeather(pipeOut);
            Thread viewer = new WeatherViewer(pipeIn);

            Timer timer = new Timer();
            timer.schedule(task, 0, 4000);
            viewer.start();
        }catch(IOException e)
        {
            e.printStackTrace();
        }
    }
}

```



```
Command Prompt
C:\wiley\Chapter16\Pipes>java PipeDemo
The current temp is 74
Conditions are rainy
The current temp is 31
Conditions are windy
The current temp is 77
Conditions are sunny
The current temp is 27
Conditions are sunny
The current temp is 78
Conditions are sunny
```

**Figure 16.8** Sample output of the PipeDemo program.

## An Overview of Serialization

One of the most impressive features of the Java language is its built-in use of serialization. *Serialization* refers to the process of saving the state of an object by sending it to an output stream, and deserialization is the process of retrieving the object back into memory. Most impressive is that the entire process is JVM independent, meaning an object can be serialized on one platform and deserialized on an entirely different platform.

Java practically trivializes the process of serialization because the JVM does most of the work for you. The `ObjectOutputStream` and `ObjectInputStream` classes are high-level streams that contain the methods for serializing and deserializing an object. The `ObjectOutputStream` class contains many write methods for writing various data types, but one method in particular stands out:

```
public final void writeObject(Object x) throws IOException
```

This method serializes an `Object` and sends it to the output stream. Similarly, the `ObjectInputStream` class contains the following method for deserializing an object:

```
public final Object readObject() throws IOException, ClassNotFoundException
```

This method retrieves the next `Object` out of the stream and deserializes it. The return value is `Object`, so you will need to cast it to its appropriate data type.

### Classroom Q & A

**Q:** What exactly does the `writeObject()` method send to the output stream?

**A:** The purpose of serialization is to save the state of an `Object`. If you think about it, the state of an `Object` that makes it unique is the value of its fields. The `writeObject()` method, among other information, sends the values of the object's fields to the output stream.

**Q:** Does it send them in a specific order?

**A:** To be honest, the order doesn't matter. The JVM handles all the details of serialization and deserialization. The implementation details are discussed in the Java Language Specification, if you are interested in seeing exactly how the process works behind the scenes.

**Q:** Can I serialize any Object in Java?

**A:** No. You can only serialize objects that are *serializable*. An Object is serializable if its class implements the `java.io.Serializable` interface. This interface does not contain any methods. It simply tags the class so instances of the class can be serialized.

**Q:** If you don't have to write any extra methods to make a class serializable, why didn't Sun just make all classes serializable by default?

**A:** Two reasons: It does not make sense to serialize some classes because their state is not something that can be saved and recreated. The `Thread` class is a good example of a class that is not serializable. It really does not make sense to serialize a thread and then deserialize it later. For the same reason, none of the stream classes in the `java.io` package are serializable. Also, implementing `Serializable` allows you to decide, from a design point of view, if you want instances of your classes to be serialized or not.

**Q:** Why would you not want a class to be serializable, assuming that it makes sense to serialize its fields?

**A:** Well, you probably *do* want most of your classes to be serializable. In most situations, if you write a class whose state can be maintained, you will make it serializable for the benefit of others using the class. You might have a class, however, that contains sensitive data that you do not want anyone to ever serialize.

**Q:** What if you want some of the fields of a class to be serializable, but not all them?

**A:** You can mark a particular field as `transient`, a keyword in Java, so that it will be ignored during serialization. There are also situations in which the `transient` keyword must be used for fields that are not serializable. For example, if a serializable class has a `Thread` field, the field must be marked as `transient` or a `java.io.NotSerializableException` will occur if an attempt is made to serialize the object.

**Q:** What will the value be of a transient field when the object is deserialized?

**A:** Transient fields have a zero value when they are deserialized. For example, numeric types will be 0 and references will be null.

To demonstrate how serialization works in Java, I am going to use the Employee class that we discussed early on in the book. Suppose that we have the following Employee class, which implements the Serializable interface:

```
public class Employee implements java.io.Serializable
{
    public String name;
    public String address;
    public int transient SSN;
    public int number;
    public void mailCheck()
    {
        System.out.println("Mailing a check to " + name
+ " " + address);
    }
}
```

note

**The fields in the Employee class are public just to simplify this example; however, if they were private, they would be serialized in the same manner. The access specifier of a field has no effect on serialization.**

The SSN field of Employee is transient to demonstrate what happens to transient fields during serialization. Notice that for a class to be serialized successfully, two conditions must be met:

- The class must implement the java.io.Serializable interface.
- All of the fields in the class must be serializable. If a field is not serializable, it must be marked transient.

Employee objects will be successfully serialized because its nontransient fields (one int and two String references) are serializable. Note that the String class is serializable, as are all eight primitive data types. The Employee class is ready to be serialized, so let's look at how to do this.

note

**If you are curious to know if a class is serializable or not, check the documentation for the class. The test is simple: If the class implements java.io.Serializable, then it is serializable; otherwise, it's not. In the documentation for String, the class is declared as:**

```
public final class String extends Object
    implements Serializable, Comparable, CharSequence
```

**Therefore, the String class is serializable. You will find that many of the classes in the J2SE API are serializable.**

## Serializing an Object

The `ObjectOutputStream` class is used to serialize an `Object`. The following `SerializeDemo` program instantiates an `Employee` object and serializes it to a file. When the program is done executing, a file named `employee.ser` is created. The program does not generate any output, but study the code and try to determine what the program is doing.

note

**When serializing an object to a file, the standard convention in Java is to give the file a `.ser` extension.**

```
import java.io.*;
public class SerializeDemo
{
    public static void main(String [] args)
    {
        Employee e = new Employee();
        e.name = "Neil Young";
        e.address = "Mobile, AL";
        e.SSN = 111223333;
        e.number = 101;

        try
        {
            FileOutputStream fileOut =
                new FileOutputStream("employee.ser");
            ObjectOutputStream out =
                new ObjectOutputStream(fileOut);

            out.writeObject(e);

            out.close();
            fileOut.close();
        }catch(IOException i)
        {
            i.printStackTrace();
        }
    }
}
```

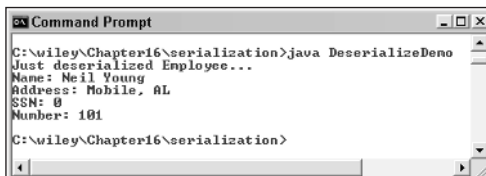


## Deserializing an Object

The following DeserializeDemo program deserializes the Employee object created in the SerializeDemo program. Study the program and try to determine its output, which is shown in Figure 16.9.

```
import java.io.*;
public class DeserializeDemo
{
    public static void main(String [] args)
    {
        Employee e = null;
        try
        {
            FileInputStream fileIn =
                new FileInputStream("employee.ser");
            ObjectInputStream in = new ObjectInputStream(fileIn);

            e = (Employee) in.readObject();
            in.close();
            fileIn.close();
        }catch(IOException i)
        {
            i.printStackTrace();
            return;
        }catch(ClassNotFoundException c)
        {
            System.out.println("Employee class not found");
            c.printStackTrace();
            return;
        }
        System.out.println("Just deserialized Employee...");
        System.out.println("Name: " + e.name);
        System.out.println("Address: " + e.address);
        System.out.println("SSN: " + e.SSN);
        System.out.println("Number: " + e.number);
    }
}
```



```
Command Prompt
C:\wiley\Chapter16\serialization>java DeserializeDemo
Just deserialized Employee...
Name: Neil Young
Address: Mobile, AL
SSN: 0
Number: 101
C:\wiley\Chapter16\serialization>
```

**Figure 16.9** Output of the DeserializeDemo program.

I want to make a few comments about the `DeserializeDemo` program:

- The try/catch block tries to catch a `ClassNotFoundException`, which is declared by the `readObject()` method. For a JVM to be able to deserialize an object, it must be able to find the bytecode for the class. If the JVM can't find a class during the deserialization of an object, it throws a `ClassNotFoundException`.
- Notice that the return value of `readObject()` is cast to an `Employee` reference.
- The value of the SSN field was 11122333 when the object was serialized, but because the field is transient, this value was not sent to the output stream. The SSN field of the deserialized `Employee` object is 0.

## The Logging APIs

---

Version 1.4 of the J2SE introduced a new package called `java.util.logging` that provides classes and interfaces for logging information about an application, such as errors, problems, security breaches, performance bottlenecks, and so on. The classes are referred to as the Logging APIs, and the process of logging an event involves the following classes:

**Logger.** The `Logger` class creates the `LogRecord` objects and passes them to a `Handler`.

**LogRecord.** This class contains the information to be logged.

**Handler.** This class formats the `LogRecord` into a specific format, such as plaintext or XML, using a `Formatter`, and publishes the record to an output stream.

**Formatter.** This class is responsible for formatting a `LogRecord` into a specific format.

You can write your own `Formatter` class, or you can use one of the two provided in the `java.util.logging` package:

**SimpleFormatter.** Formats the `LogRecord` into simple text.

**XMLFormatter.** Formats the `LogRecord` into an XML document of type `<log>`, using a standard XML set of tags.

The `Formatter` gets associated with a `Handler`, which publishes the `LogRecord`. There are several types of built-in `Handler` classes, including:

**ConsoleHandler.** Sends the formatted log record to `System.err`, which in Windows is the console.

**FileHandler.** Sends the formatted log record to a file.

**StreamHandler.** Sends the formatted log record to any `OutputStream`.

**MemoryHandler.** Writes the formatted log record to memory.

**SocketHandler.** Sends the formatted log record to a socket.

The process of logging starts with the `Logger` class, which contains the methods that an application uses to log a `LogRecord`. Messages are logged for different reasons and at different levels, as the following methods in the `Logger` class illustrate:

**public static `Logger getLogger(String name)`.** A static method an application uses to obtain a `Logger` object. The `name` parameter represents the name of the `Logger` object to obtain. If a `Logger` with the given name already exists, the method returns this `Logger`; otherwise, this method creates a new `Logger` with the given name. This allows `Logger` objects to be shared among threads and applications.

**public void `addHandler(Handler h)`.** Adds the given `Handler` to the `Logger` so that the `Handler` will now receive any logged messages.

**public void `log(Level level, String message)`.** Logs the given message at the specified level. The possible values of `level` are static fields in the `Level` class and include, in descending order of severity, `SEVERE`, `WARNING`, `INFO`, `CONFIG`, `FINE`, `FINER`, and `FINEST`. This method is identical to invoking one of the following methods.

**public void `fine(String message)`.** Logs a `FINE` message, which indicates the level of tracing information to provide. A `FINE` message contains the least amount of tracing information.

**public void `finer(String message)`.** Logs a `FINER` message, which contains a fairly detailed tracing message.

**public void `finest(String message)`.** Logs a `FINEST` message, which contains a highly detailed tracing message.

**public void `config(String message)`.** Logs a `CONFIG` message for static configuration messages, such as the current Swing look and feel or monitor resolution.

**public void `severe(String message)`.** Logs a `SEVERE` message for indicating a serious problem with the application.

**public void `warning(String message)`.** Logs a `WARNING` message for indicating a warning of a potential problem.

**public void `info(String message)`.** Logs an `INFO` message for general information messages.

```

C:\wiley\Chapter16>java LoggingDemo
Dec 12, 2002 12:14:02 AM LoggingDemo main
INFO: Our first logging message
Dec 12, 2002 12:14:03 AM LoggingDemo main
SEVERE: Something terrible happened
C:\wiley\Chapter16>

```

**Figure 16.10** Output of the LoggingDemo program.

## An Example of Logging

Let's look at an example that puts together these various logging classes so you can see how they are used. The following LoggingDemo program logs messages to a file named messages.log. The XMLFormatter is used to demonstrate how messages look in XML.

```

import java.util.logging.*;
import java.io.IOException;
public class LoggingDemo
{
    public static void main(String [] args)
    {
        Logger logger = Logger.getLogger("my.log");

        Handler handler = null;

        try
        {
            handler = new FileHandler("messages.log");
        }catch(IOException e)
        {
            System.out.println("Using the console handler");
            handler = new ConsoleHandler();
        }

        logger.addHandler(handler);
        handler.setFormatter(new XMLFormatter());
        logger.info("Our first logging message");
        logger.severe("Something terrible happened");
    }
}

```

Figure 16.10 shows the console output from running the LoggingDemo. Notice that even though the output was sent to a file, the INFO and SEVERE logs also display a message at the console output.

The file messages.log contains the output of the two logged messages in XML format. The XML tags used are the standard for log messages, and using XML allows you to write applications that display the logged messages in many different ways. Here is what the messages.log file looks like:

```
<?xml version="1.0" encoding="windows-1252" standalone="no"?>
<!DOCTYPE log SYSTEM "logger.dtd">
<log>
<record>
  <date>2002-12-12T00:14:02</date>
  <millis>1039677242522</millis>
  <sequence>0</sequence>
  <logger>my.log</logger>
  <level>INFO</level>
  <class>LoggingDemo</class>
  <method>main</method>
  <thread>10</thread>
  <message>Our first logging message</message>
</record>
<record>
  <date>2002-12-12T00:14:03</date>
  <millis>1039677243083</millis>
  <sequence>1</sequence>
  <logger>my.log</logger>
  <level>SEVERE</level>
  <class>LoggingDemo</class>
  <method>main</method>
  <thread>10</thread>
  <message>Something terrible happened.</message>
</record>
</log>
```

note

In the release of version 1.4, a new API referred to as the new I/O (NIO) APIs was added to the J2SE. The API has various packages, including:

**java.nio.** This consists of classes designed to improve the performance of buffering in I/O operations.

**java.nio.channels.** This package contains classes and interfaces for defining channels, connections to physical devices such as files or network sockets.

**java.nio.charset.** This defines classes for working with charsets, encoders, and decoders, all of which define mappings between bytes and Unicode characters.

**javax.util.regex.** This defines two classes, `Matcher` and `Pattern`, for matching character sequences against patterns, where the pattern represents a regular expression.

Using the new I/O APIs is beyond the scope of an introductory Java course, but if you are interested in using them, a good place to start is the J2SE documentation.



## Lab 16.1: Using Streams

The purpose of this lab is for you to become familiar with reading from a file and chaining streams.

1. Suppose that you are given a file in the following format that represents final scores of football games:

```
Dallas Cowboys
21
San Francisco 49ers
30
Denver Broncos
34
Oakland Raiders
0
```

For example, the Cowboys lost to the 49ers 30 to 21, and the Broncos beat the Raiders 34 to 0. The home team is listed first, followed by their score, and then the visiting team and their score are listed. Locate the file `scores.txt` in the lab solutions and copy it to the directory in which you are working.

2. Write a program that reads in all of the scores in the file and displays them in the following format at the console output:

```
Home: Dallas Cowboys 21
Visitor: San Francisco 49ers 30*
```

Place an asterisk next to the winning score.

Run the program reading the file `scores.txt`, and you should see the output of seven football games.



## Lab 16.2: Using Logging

In this lab, you modify your game from Lab 15.2 so that it keeps track of the winners of the race in a file and uses logging.

1. Within the `main()` method of the `Race` class from Lab 15.2, output the winner of the race in a file named `winners.dat`. Be sure to append the winner at the end of the file. Use whichever output streams or writer classes that you feel are appropriate.
2. In addition to saving the winner to a file, generate a logging message of level `INFO` that states that the race has been won and also

gives the name of the winning car. Have the logging message use the SimpleFormatter and the ConsoleHandler.

3. Write a program named ShowWinners that displays all of the winners in the winners.dat file, using the corresponding input streams or reader classes.

Each time you run the Race program, the winner is added to the end of the winners.dat file and an INFO log message should appear at the console output. Running the ShowWinner program should display all the entries in the file.

---



## Lab 16.3: Using Serialization

In this lab, you will write a class that is serializable. The class will represent an instant message and will be used as part of the Instant Messaging project you started in Chapter 12.

1. Write a class named InstantMessage, making it serializable.
2. Add three private String fields: recipient, sender, and message.
3. Add a constructor that takes in three String parameters that are used to initialize the three fields.
4. Add three accessor methods, one for each of the three fields.
5. Save and compile your InstantMessage class.

You shouldn't see anything yet. You will use this class in the next lab.

---



## Lab 16.4: Using Pipes

In this lab, you will become familiar with using pipes to perform I/O between two threads. You will modify the SendMessage listener class from Lab 13.3.

1. Open your SendMessage.java file from Lab 13.3. Add a field of type ObjectOutputStream and a String to represent the sender.
2. Add an OutputStream parameter and String parameter (for the sender field) to the constructor of SendMessage. Initialize the ObjectOutputStream field by chaining the OutputStream parameter to a new ObjectOutputStream.

3. Within the `actionPerformed()` method, if the Send button is clicked, instantiate a new `InstantMessage` object (using your `InstantMessage` class from Lab 16.3) and serialize it to the `Object OutputStream` field of the `SendMessage` class.
4. Save and compile the `SendMessage` class.
5. Write a class named `Participant` that extends `Thread`. Add a field of type `ObjectInputStream` and a field of type `String` to represent a user's name.
6. Add a constructor that takes in an `InputStream` and a `String`. Initialize the `ObjectInputStream` field by chaining the `InputStream` parameter to a new `ObjectInputStream` object. Store the `String` in your `String` field.
7. Within the `run()` method, add an infinite while loop. Within the while loop, invoke `readObject()` on the `ObjectInputStream` field. This will cause the thread to block until an object becomes available in the stream.
8. Cast the return value of `readObject()` to an `InstantMessage` reference. The `InstantMessage` read from the stream represents an incoming message from a friend.
9. Display a modeless dialog window that displays the name of the sender and the message. This may require writing additional classes for laying out the dialog and performing any necessary event handling to close the dialog. A handy feature is a Reply button, which allows the user to quickly reply to the sender.
10. You will now modify your `InstantMessageDialog.java` class from Lab 13.4 because it will no longer compile with all the changes you made to `SendMessage`. Within the constructor of `InstantMessageDialog`, instantiate a `PipedOutputStream` and `PipedInputStream` and connect them.
11. Pass in the `PipedOutputStream` to the `SendMessage` constructor.
12. Instantiate a new `Participant` object, passing in the `PipedInputStream` to the constructor. Start the `Participant` thread.
13. Save, compile, and run the `InstantMessageDialog` class.

When you click a friend in the `JList` of the `InstantMessageFrame` window, a dialog window should appear (as before). Typing in a message and clicking the Send button should close this dialog and display a new modeless dialog window with the message you just sent to somebody else. (In the next chapter, "Network Programming," you will finish this project by sending the message to an actual recipient on a different computer instead of sending it to yourself, which is what your program does now.)





## Lab 16.5: A Reminder Application

You will write a Reminder Application that ties together many of the Java topics we have discussed so far in this book. I won't give you much help because I want you to design the application, but I will give you a list of requirements.

1. The GUI for the program needs to provide an interface for users to enter a message to represent a reminder, such as a meeting, conference call, birthday, anniversary, doctor's appointment, and so on. The message can be any String.
2. The GUI needs to provide a way for the user to specify when he or she wants the reminder to be scheduled. The simplest way (from the point of view of the programmer) is to have the user enter the number of seconds or minutes to wait; however, a more user-friendly GUI would allow the user to enter a date and time.
3. When it's time to display the reminder, a modeless dialog window should appear on screen. Provide an OK button or something similar so the user can close the reminder dialog box. An optional feature might be to let the user choose to have the reminder appear in 5 minutes (or a time they specify), similar to an extra reminder.
4. Use the `Timer` and `TimerTask` classes to implement the actual schedule.
5. Another optional feature would be to allow the user to schedule a recurring reminder, similar to "Pay phone bill" at the 10<sup>th</sup> of each month, or "Take out trash" every Friday.
6. Check out the `java.awt.Toolkit` class, and see if you can figure out how to make your computer beep when a reminder is displayed.

The GUI that allows users to enter a new task will be displayed when the program is executed, and it will remain open until the Reminder Application terminates. Because it is a Java program, there will also be a command prompt window open at all times as well. You should be able to enter a reminder and a scheduled time, and the reminder should appear in a separate dialog window at the appropriate scheduled time.

---

## Summary

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- The `java.io` package contains useful classes for performing most any type of input and output.
- Most of the classes in the `java.io` package fit into one of two categories: streams, and readers or writers. The stream classes are for performing IO with different data types, and readers and writers are used for performing IO at the character level.
- The `java.io.OutputStream` and `java.io.InputStream` classes are the parent classes of all the streams. The `java.io.Reader` and `java.io.Writer` classes are the parent classes of all the reader and writer classes.
- The classes in the `java.io` package can be chained together. A low-level stream is used to communicate with the source of the IO, and high-level streams can be chained to the low-level stream to perform buffering and filtering of the data.
- Object serialization is a built-in feature of the Java language. It allows the state of objects to be saved and transmitted to other streams. The `java.io.ObjectOutputStream` and `java.io.ObjectInputStream` classes are used for performing serialization, and a class must implement the `java.io.Serializable` interface for instances of the class to be serialized.
- The new Logging APIs provide a mechanism for Java applications to log certain events and errors.

## Review Questions

1. What is the difference between a stream and a reader or writer?
2. Name the parent class of all input streams.
3. Which of the following methods is (are) in `java.io.OutputStream` class? Select all that apply.
  - a. `public void writeUTF(String string)`
  - b. `public void write(int b)`
  - c. `public void write(byte [] b)`
  - d. `public void write(byte [] b, int offset, int length)`
  - e. `public void close()`
4. Which of the following classes is (are) low-level input streams? Select all that apply.
  - a. `FileInputStream`
  - b. `DataInputStream`
  - c. `FilterInputStream`
  - d. `PushbackInputStream`
  - e. `PipedInputStream`
5. True or False: A `PipedInputStream` can be chained to a `FileInputStream`.
6. True or False: A `BufferedReader` can be chained to a `PipedReader`.
7. True or False: A `DataInputStream` can be chained to a `BufferedInputStream`.
8. If you were going to perform I/O that involved working with the eight primitive data types and `String` objects, which I/O classes would you likely use?
9. Suppose that in the previous question the I/O took place between two threads. Which classes would you use?
10. If you were going to perform I/O that involved working with characters and `String` objects in a file, which I/O classes would you likely use?
11. If you were going to perform I/O that involved working with Java objects, which I/O classes would you likely use?

12. The `read()` method in the `java.io.InputStream` class declares that it returns an `int`. What data type does it actually return?
  - a. `int`
  - b. `byte`
  - c. `short`
  - d. `char`
  - e. none of the above
13. True or False: The `read` methods of the various input streams block and wait for input when none is available.
14. True or False: A field marked as `transient` throws a `NotSerializableException` when the corresponding object is serialized.
15. True or False: A value of a `transient` field is ignored by the JVM during serialization.
16. How many methods are in the `java.io.Serializable` interface?
17. Which two exceptions does the `readObject()` method in the `ObjectInputStream` class possibly throw?
18. True or False: An object must be of type `java.io.Serializable` to be successfully serialized.
19. True or False: An object serialized on Windows XP can only be deserialized by a Windows JVM.
20. Which one of the following logging levels is the most severe (compared to the others)?
  - a. `FINE`
  - b. `FINEST`
  - c. `INFO`
  - d. `WARNING`
21. Name the two `Formatter` classes in the Logging API.
22. Where does the `ConsoleHandler` publish a logged message?

## Answers to Review Questions

1. A stream treats data as bytes, while a reader and writer treats data as characters.
2. `java.io.InputStream` is the abstract parent class of all the input stream classes.
3. They all are, except a `writeUTF(String)`, which is the kind of method you will find in the high-level streams.
4. a and e. The other three are high-level streams that only attach to existing streams.
5. False. `PipedInputStream` and `FileInputStream` are both low-level streams, and two low-level streams cannot be chained together.
6. True. `BufferedReader` is a high-level reader and `PipedReader` is a low-level reader.
7. True. They are both high-level streams, and any two high-level streams can be chained together.
8. You would likely use `DataInputStream` and `DataOutputStream` because they contain methods for reading and writing primitive data types and Strings.
9. You would likely use the `PipedInputStream` and `PipedOutputStream` classes for the two threads, *and* the `DataInputStream` and `DataOutputStream` classes for filtering the I/O.
10. I would suggest the `FileReader` and `FileWriter` because they are used specifically for that purpose. In addition, I would recommend the `BufferedReader` and `BufferedWriter` classes to improve performance.
11. You would likely use the `ObjectInputStream` and `ObjectOutputStream` classes, which perform serialization and deserialization of Java objects.
12. The `read()` method returns a single byte, so the answer is b.
13. True.
14. False. Marking a field as `transient` avoids the `NotSerializableException`.
15. True. That is the purpose of the `transient` keyword.
16. `Serializable` is a tagging interface and contains no methods.
17. An `IOException` if an I/O problem arises, and a `ClassNotFoundException` if the deserialized object contains a class that the JVM cannot find.
18. True.
19. False. Serialized objects are JVM, and are platform independent.
20. The `WARNING` level is just below `SEVERE` (the highest level) in terms of severity, so the answer is d.
21. `SimpleFormatter`, which formats the messages into text, and `XMLFormatter`, which formats the messages into XML documents.
22. `System.err`, the standard error output, which is typically the console.

# Network Programming

In this chapter, I will discuss the various built-in features of the J2SE that provide support for network programming. Computer networks have become so common that you may even have one in your own home, and almost certainly at your place of work. Then there's the Internet, which can be pictured as one global network of computers. For this reason, network programming is a fundamental and essential part of any programming language. Java, being a newer language, has plenty of built-in classes and interfaces for network programming. I will begin with an overview of networks and the two common protocols: TCP and UDP. Then, I will show you how to connect two computers using sockets, allowing them to perform TCP/IP communication. Then, I will discuss the Java Secure Sockets Extension (JSSE), which allows for secure socket connections. I will then discuss how to send and receive UDP datagram packets, and how to use the `URLConnection` class to communicate with a URL.

## **An Overview of Network Programming**

---

The term *network programming* refers to writing programs that execute across multiple devices (computers), in which the devices are all connected to each other using a network. The `java.net` package of the J2SE APIs contains a

collection of classes and interfaces that provide the low-level communication details, allowing you to write programs that focus on solving the problem at hand. The `java.net` package provides support for the two common network protocols:

**TCP.** TCP stands for Transmission Control Protocol, which allows for reliable communication between two applications. TCP is typically used over the Internet Protocol, which is referred to as TCP/IP.

**UDP.** UDP stands for User Datagram Protocol, a connection-less protocol that allows for packets of data to be transmitted between applications.

In the following sections, we take a look at the way these two protocols compare.

## Transmission Control Protocol

Transmission Control Protocol (TCP) is often compared to making a telephone call. If you want to telephone someone, the person needs to have a phone, needs a phone number, and needs to be waiting for an incoming call. After the person you are calling answers the telephone, you now have a reliable, two-way communication stream, allowing either person to talk to the other (even at the same time). If one person hangs up the phone, the communication is over.

With a TCP network connection, the client computer is similar to the person placing the telephone call, and the server computer is similar to the person waiting for a call. When the client attempts to connect to the server, the server needs to be running, needs to have an address on the network, and needs to be waiting for an incoming connection on a port. When a TCP connection is established, the client and server have a reliable, two-way communication stream that allows data to be transmitted in either direction. The two computers can communicate until the connection is closed or lost.

The `java.net.ServerSocket` and `java.net.Socket` classes are the only two classes you will probably ever need to create a TCP/IP connection between two computers unless you require a secure connection, in which case you would use the `SSLServerSocket` and `SSLSocket` classes in the `javax.net.ssl` package. I will discuss both of these techniques later in this chapter.

## User Datagram Protocol

User Datagram Protocol (UDP) provides a protocol for sending packets of data called *datagrams* between applications. If TCP is similar to placing a telephone call, UDP can be compared to mailing someone a letter. The datagram packet is like a letter, where a client sends a datagram to a server without actually connecting to the server. This makes UDP an unreliable communication protocol when compared to TCP, where the client and server are directly connected.

If I mail you two letters on the same day, they might be delivered on the same day, but this is hardly guaranteed. In fact, there is no guarantee that both letters will even get delivered at all. It's possible that one will be delivered the next day, whereas the other doesn't arrive for two weeks. The same is true for datagram packets. UDP does not guarantee that packets will be received in the order they were sent or that they will even be delivered at all.

If this type of unreliability is unacceptable for the program you are developing, you should use TCP instead. However, if you're developing a network application in which reliable communication is not essential to the application, UDP is probably a better option because it does not carry the overhead of TCP.

The `java.net.DatagramPacket` and `java.net.DatagramSocket` classes are used to send and receive datagram packets; I will show you how this is done in the upcoming section *Overview of Datagram Packets*.

## Classroom Q & A

**Q:** How does a computer find another computer on the network?

**A:** Every computer on the network has a unique numeric value, which is referred to as its *IP address*. Each computer also probably has a name, which makes it easier for other computers to locate it, especially if its IP address changes on the network but its name doesn't.

**Q:** Can two computers have multiple TCP connections between them?

**A:** Certainly. In fact, it is often the case that two computers have multiple applications running and communicating between each other. Servers will have HTTP applications, FTP applications, and so on, all running at the same time.

**Q:** So if two computers have multiple connections, how do you distinguish which application you want to communicate with?

**A:** Well, when data is sent from one application to another, the data has two values associated with it: the computer's IP address and a *port number*. The IP address denotes which computer the data is intended for, and the port number denotes which application the data is intended for.

**Q:** Do you need to associate a port number with all your network programs?

**A:** Yes. You will find that port numbers are used throughout the Java networking APIs, especially in constructors when applications are starting up.



**Q:** Do I just make up a port number?

**A:** Sure. A port number can be any 16-bit integer (between 0 and 65,535). However, you should use only port numbers larger than 1,024 because the lower ports are reserved for common protocols such as HTTP, FTP, Telnet, and others. Often, a port number needs to be assigned to you by a network administrator. However, if I am just testing applications or writing program for a small network, I just pick large numbers. Ports greater than 10,000 seem to be pretty safe because many common applications such as Web servers use ports up into the 9,000 range.

**Q:** What if I pick a port number that is already being used?

**A:** You will get an exception in your Java program. In that case, I would handle the exception and try another port until you are successful. In most methods that require a port number, you can pass in 0 and let the JVM find a port for you.

I am now ready to show you how to do some network programming, so let's start with creating a TCP connection using sockets.

## Using Sockets

---

If TCP is similar to placing a telephone call, a *socket* is the telephone. Sockets provide the communication mechanism between two computers using TCP. A client program creates a socket on its end of the communication and attempts to connect that socket to a server. When the connection is made, the server creates a socket object on its end of the communication. The client and server can now communicate by writing to and reading from the socket.

The `java.net` package contains classes that provide all of the low-level communication for you. For example, the `java.net.Socket` class represents a socket, and the `java.net.ServerSocket` class provides a mechanism for the server program to listen for clients and establish connections with them.

The following steps occur when establishing a TCP connection between two computers using sockets:

1. The server instantiates a `ServerSocket` object, denoting which port number communication is to occur on.
2. The server invokes the `accept()` method of the `ServerSocket` class. This method waits until a client connects to the server on the given port.

3. After the server is waiting, a client instantiates a `Socket` object, specifying the server name and port number to connect to.
4. The constructor of the `Socket` class attempts to connect the client to the specified server and port number. If communication is established, the client now has a `Socket` object capable of communicating with the server.
5. On the server side, the `accept()` method returns a reference to a new socket on the server that is connected to the client's socket.

**note**

**When a client establishes a socket connection to a server, the client needs to specify a port number. This port number denotes the port that the server is listening on. However, after a client and server are connected using sockets, their connection is actually taking place on a different port. This allows the server, in a separate thread, to continue listening on the original port for other clients. This all takes place behind the scenes and should not affect your code, but it is an important tidbit to understand.**

After the connections are established, communication can occur using I/O streams. Each socket has both an `OutputStream` and an `InputStream`. The client's `OutputStream` is connected to the server's `InputStream`, and the client's `InputStream` is connected to the server's `OutputStream`. TCP is a two-way communication protocol, so data can be sent across both streams at the same time.

**note**

**The socket streams are low-level I/O streams: `InputStream` and `OutputStream`. Therefore, they can be chained together with buffers, filters, and other high-level streams to allow for any type of advanced I/O you need to perform. This is why I discussed the `java.io` package before network programming. You are about to find out that creating the connection is the easy part, and most of your work in network programming involves the actual transmitting of data back and forth. Of course, this is how network programming should be, allowing you to focus on the problem being solved and not worrying about the low-level communication and protocol details. This is one of the reasons why Java is so popular among network programmers.**

Let's look at an example using sockets. I will start with a program that runs on the server, listening on a port for client requests. Then I will show you how to write the client code that connects to the server application.

## The ServerSocket Class

---

The `java.net.ServerSocket` class is used by server applications to obtain a port and listen for client requests. The `ServerSocket` class has four constructors:

**public ServerSocket(int port) throws IOException.** Attempts to create a server socket bound to the specified port. An exception occurs if the port is already bound by another application. The port parameter can be 0, which creates the socket on any free port.

**public ServerSocket(int port, int backlog) throws IOException.** Similar to the previous constructor, the backlog parameter specifies how many incoming clients to store in a wait queue. If the queue is full, clients attempting to connect to this port will receive an exception. If the value is 0, the default queue size of the native platform is used.

**public ServerSocket(int port, int backlog, InetAddress address) throws IOException.** Similar to the previous constructor, the `InetAddress` parameter specifies the local IP address to bind to. The `InetAddress` is used for servers that may have multiple IP addresses, allowing the server to specify which of its IP addresses to accept client requests on.

**public ServerSocket() throws IOException.** Creates an unbound server socket. When using this constructor, use the `bind()` method when you are ready to bind the server socket.

Notice that each of the constructors throws an `IOException` when something goes wrong. However, if the `ServerSocket` constructor does not throw an exception, it means that your application has successfully bound to the specified port and is ready for client requests. Here are some of the common methods of the `ServerSocket` class:

**public int getLocalPort().** Returns the port that the server socket is listening on. This method is useful if you passed in 0 as the port number in a constructor and let the server find a port for you.

**public Socket accept() throws IOException.** Waits for an incoming client. This method blocks until either a client connects to the server on the specified port or the socket times out, assuming that the time-out value has been set using the `setSoTimeout()` method. Otherwise, this method blocks indefinitely.

**public void setSoTimeout(int timeout).** Sets the time-out value for how long the server socket waits for a client during the `accept()`.

**public void bind(SocketAddress host, int backlog).** Binds the socket to the specified server and port in the SocketAddress object. Use this method if you instantiated the ServerSocket using the no-argument constructor.

The accept() method is the one I want you to focus on because this is how the server listens for incoming requests. When the ServerSocket invokes accept(), the method does not return until a client connects (assuming that no time-out value has been set). After a client does connect, the ServerSocket creates a new Socket on an unspecified port (different from the port it was listening on) and returns a reference to this new Socket. A TCP connection now exists between the client and server, and communication can begin.

note

**If you are writing a server application that allows for multiple clients, you want your server socket to always be invoking accept(), waiting for clients. When a client does connect, the standard trick is to start a new thread for communication with the new client, allowing the current thread to immediately invoke accept() again. For example, if you have 50 clients connected to a server, the server program will have 51 threads: 50 for communicating with the clients and one additional thread waiting for a new client via the accept() method.**

The following SimpleServer program is an example of a server application that uses the ServerSocket class to listen for clients on a port number specified by a command-line argument. Notice that the server doesn't do much with the client, but the program demonstrates how a connection is made with a client. The return value of accept() is a Socket, so I need to discuss the Socket class before we can do anything exciting with the connection. Study the SimpleServer program and try to determine what its output will be.

```
import java.net.*;
import java.io.*;
public class SimpleServer extends Thread
{
    private ServerSocket serverSocket;
    public SimpleServer(int port) throws IOException
    {
        serverSocket = new ServerSocket(port);
        serverSocket.setSoTimeout(10000);
    }
    public void run()
    {
        while(true)
```

```
        {
            try
            {
                System.out.println("Waiting for client on port "
                    + serverSocket.getLocalPort() + "...");
                Socket client = serverSocket.accept();
                System.out.println("Just connected to "
                    + client.getRemoteSocketAddress());
                client.close();
            }catch(SocketTimeoutException s)
            {
                System.out.println("Socket timed out!");
                break;
            }catch(IOException e)
            {
                e.printStackTrace();
                break;
            }
        }
    }
}

public static void main(String [] args)
{
    int port = Integer.parseInt(args[0]);
    try
    {
        Thread t = new SimpleServer(port);
        t.start();
    }catch(IOException e)
    {
        e.printStackTrace();
    }
}
}
```

Figure 17.1 shows the output of the SimpleServer program when 5001 is the command-line argument. The server program is waiting for a client, which I will show you how to create next. Because no client comes along and connects, this `accept()` method blocks for 10 seconds (why?) and the `SocketTimeoutException` occurs, causing the thread to run to completion and the program to terminate.



```
Command Prompt
C:\wiley\Chapter17>java SimpleServer 5001
Waiting for client on port 5001...
Socket timed out!
C:\wiley\Chapter17>
```

**Figure 17.1** Output of the SimpleServer program.

## Socket Class

---

The `java.net.Socket` class represents the socket that both the client and server use to communicate with each other. The client obtains a `Socket` object by instantiating one, whereas the server obtains a `Socket` object from the return value of the `accept()` method. The `Socket` class has five constructors that a client uses to connect to a server:

**`public Socket(String host, int port) throws UnknownHostException, IOException.`** Attempts to connect to the specified server at the specified port. If this constructor does not throw an exception, the connection is successful and the client is connected to the server. This is the simplest constructor to use when connecting to a server.

**`public Socket(InetAddress host, int port) throws IOException.`** Identical to the previous constructor, except that the host is denoted by an `InetAddress` object.

**`public Socket(String host, int port, InetAddress localAddress, int localPort) throws IOException.`** Connects to the specified host and port, creating a socket on the local host at the specified address and port. This is useful for clients who have multiple IP addresses or who want the socket to bind on a specific local port.

**`public Socket(InetAddress host, int port, InetAddress localAddress, int localPort) throws IOException.`** Identical to the previous constructor, except that the host is denoted by an `InetAddress` object instead of a `String`.

**`public Socket().`** Creates an unconnected socket. Use the `connect()` method to connect this socket to a server.

When the `Socket` constructor returns, it does not simply instantiate a `Socket` object. Within the constructor, it actually attempts to connect to the specified server and port. If the constructor returns successfully, the client has a TCP connection to the server!

Some methods of interest in the `Socket` class are listed here. Notice that both the client and server have a `Socket` object, so these methods can be invoked by both the client and server.

**`public void connect(SocketAddress host, int timeout) throws IOException.`** Connects the socket to the specified host. This method is needed only when you instantiated the `Socket` using the no-argument constructor.

**`public InetAddress getInetAddress().`** Returns the address of the other computer that this socket is connected to.

**public int getPort().** Returns the port the socket is bound to on the remote machine.

**public int getLocalPort().** Returns the port the socket is bound to on the local machine.

**public SocketAddress getRemoteSocketAddress().** Returns the address of the remote socket.

**public InputStream getInputStream() throws IOException.** Returns the input stream of the socket. The input stream is connected to the output stream of the remote socket.

**public OutputStream getOutputStream() throws IOException.** Returns the output stream of the socket. The output stream is connected to the input stream of the remote socket.

**public void close() throws IOException.** Closes the socket, which makes this Socket object no longer capable of connecting again to any server.

The Socket class contains many more methods, so check the documentation for a complete list. You will notice that many of the methods in the Socket class involve accessing and changing the various TCP properties of a connection, such as a time-out value or keep-alive setting. Of all the methods in Socket, probably the two most important ones are `getInputStream()` and `getOutputStream()`, which I will now discuss in detail.

## Communicating between Sockets

---

The `InputStream` and `OutputStream` attributes of a `Socket` are the ways the two computers communicate with each other. For example, if the server wants to send data to the client, the server needs to write to the `OutputStream` of its socket, which is then read by the `InputStream` of the client's socket. Similarly, data can be sent from the client to the server using the client's `OutputStream` and the server's `InputStream`.

The following `GreetingClient` is a client program that connects to a server by using a socket and sends a greeting, and then waits for a response. Study the program and try to determine exactly how the client accomplishes this.

```
import java.net.*;
import java.io.*;
public class GreetingClient
{
    public static void main(String [] args)
    {
        String serverName = args[0];
        int port = Integer.parseInt(args[1]);
        try
        {
```

```

        System.out.println("Connecting to " + serverName
            + " on port " + port);
        Socket client = new Socket(serverName, port);
        System.out.println("Just connected to "
            + client.getRemoteSocketAddress());
        OutputStream outToServer = client.getOutputStream();
        DataOutputStream out =
            new DataOutputStream(outToServer);
        out.writeUTF("Hello from "
            + client.getLocalSocketAddress());
        InputStream inFromServer = client.getInputStream();
        DataInputStream in =
            new DataInputStream(inFromServer);
        System.out.println("Server says " + in.readUTF());
        client.close();
    }catch(IOException e)
    {
        e.printStackTrace();
    }
}
}

```

The following code from the GreetingServer class (available on the Web site for this book) is identical to the SimpleServer class discussed earlier, except that it reads in a string from a client and sends a message back to the client. The server then closes the socket and invokes `accept()` again for the next client that comes along. Study the program carefully to see how this is accomplished:

```

        System.out.println("Waiting for client on port " +
            serverSocket.getLocalPort() + "...");
        Socket server = serverSocket.accept();
        System.out.println("Just connected to "
            + server.getRemoteSocketAddress());

        DataInputStream in =
            new DataInputStream(server.getInputStream());
        System.out.println(in.readUTF());
        DataOutputStream out =
            new DataOutputStream(server.getOutputStream());
        out.writeUTF("Thank you for connecting to "
            + server.getLocalSocketAddress() + "\nGoodbye!");
        server.close();
    }
}

```

note

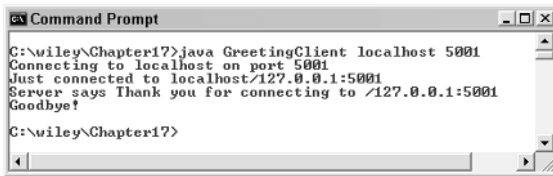
**The GreetingServer and GreetingClient programs can be executed on two different computers that are on the same network, or you can run them both on the same computer. When the client and server are on the same computer, the client can use localhost as the name of the server to connect to. Note that running the two programs on the same computer requires two command prompts.**





```
Command Prompt - java GreetingServer 5001
C:\wiley\Chapter17>java GreetingServer 5001
Waiting for client on port 5001...
Just connected to /127.0.0.1:4180
Hello from /127.0.0.1:4180
Waiting for client on port 5001...
```

Figure 17.2 Output of the GreetingServer program.



```
Command Prompt
C:\wiley\Chapter17>java GreetingClient localhost 5001
Connecting to localhost on port 5001
Just connected to localhost/127.0.0.1:5001
Server says Thank you for connecting to /127.0.0.1:5001
Goodbye!
C:\wiley\Chapter17>
```

Figure 17.3 Output of the GreetingClient program.

Figure 17.2 shows the output of the GreetingServer program, which needs to be executed first.

Figure 17.3 shows the output of the GreetingClient program. Notice that the GreetingServer program does not terminate because it invokes `accept()` in an infinite *while* loop. You can run the client again and again without having to restart the server program.

## Java Secure Socket Extension (JSSE)

A socket connection can be made using the Secure Sockets Layer (SSL) protocol, which provides a secure connection between the client and server. Using SSL ensures a high level of security in regard to the data being sent between the two computers. When you purchase an item online and send your credit card number over the Internet, SSL is likely the security method used to protect your credit card number from being seen and used maliciously.

Version 1.4 of the J2SE introduced new support for using SSL and sockets with the Java Secure Socket Extension (JSSE). The classes involved in creating a secure socket connection with JSSE are found in the `javax.net` and `javax.net.ssl` packages and include the following:

**`javax.net.ssl.SSLServerSocket`.** Used by the server application to accept client connections and create an `SSLSocket`. Compare this class to the `java.net.ServerSocket` class.

**`javax.net.ssl.SSLSocket`.** Represents the secure socket on both the client and the server. Compare this class to the `java.net.Socket` class.

**javax.net.ssl.SSLServerSocketFactory.** The server program uses this class to obtain an `SSLServerSocket` object.

**javax.net.ssl.SSLSocketFactory.** The client program uses this class to obtain an `SSLSocket` object.

The steps involved in creating a secure socket connection are slightly different from those in a non-secure-socket connection (using the `Socket` and `SocketServer` classes in the `java.net` package), so let's go through the details.

## Secure Server Socket

The server application performs the following steps to create a secure server socket:

1. The server program starts with an `SSLServerSocketFactory` object, which is not instantiated using the `new` keyword, but instead is obtained using the following static method found in the `SSLServerSocketFactory` class:

```
public static ServerSocketFactory getDefault()
```

note

**The `getDefault()` method returns the default SSL server socket factory. If you have written your own factory, you can override the default factory by denoting its class name using the `ssl.ServerSocketFactory.provider` property.**

2. Use the server socket factory to create an `SSLServerSocket` object, which listens on a specified port for client requests.
3. Initialize the server socket with any necessary security settings.
4. The `SSLServerSocket` invokes the `accept()` method to block and wait for client connections.

The first step is to locate a server socket factory using the `getDefault()` method. Notice that the return type of `getDefault()` is `javax.net.ServerSocketFactory`, the parent class of `SSLServerSocketFactory`. The `ServerSocketFactory` class has four methods for creating a `ServerSocket`:

**`public ServerSocket createServerSocket(int port) throws IOException.`**

Creates a `ServerSocket` on the given port.

**`public ServerSocket createServerSocket(int port, int backlog) throws IOException.`** Creates a `ServerSocket` on the given port with the specified backlog, which determines the size of the queue containing clients waiting to connect.

**public ServerSocket createServerSocket(int port, int backlog, InetAddress address) throws IOException.** Creates a ServerSocket on the given port using the specified local address, which is useful when the server has multiple IP addresses.

**public ServerSocket createServerSocket() throws IOException.** Creates an unbound ServerSocket that can be bound using the bind() method of the ServerSocket class.

note

**The createServerSocket() methods of the ServerSocketFactory class have identical parameters to the constructors in the java.net.ServerSocket class discussed earlier in this chapter. When you are not using SSL, you can either instantiate a ServerSocket object using one of its constructors (the technique I showed you earlier in the SimpleServer program) or you can use the ServerSocketFactory class and one of the createServerSocket() methods. When you are using SSL, you must use a socket factory to obtain a server socket.**

**Which technique you use for nonsecure sockets is a matter of choice, but it is apparent from my experiences with other Java APIs that using a factory class has its advantages, especially in making your code easier to deploy on different platforms and in setting various properties of the factory. For example, using a SocketFactory allows you to determine the properties of a ServerSocket, such as its time-out value, without hard-coding the values in your program.**

After you have obtained an SSLServerSocket object using the factory, you are now ready to initialize the server socket with any specific security settings. The SSLServerSocket class extends java.net.ServerSocket, so you can invoke those methods discussed earlier, such as accept() and close(), plus the methods in SSLServerSocket, which include the following:

**public void setEnabledCipherSuites(String [] suites).** Sets the cipher suites available for this connection. A *cipher suite* defines the security algorithms for authentication, encryption, and key agreements, a process referred to *handshaking*. The upcoming SSLServerDemo program shows the common cipher suite values.

**public String [] getSupportedCipherSuites().** Returns an array containing the cipher suites that can be enabled for this particular socket connection.

**public String [] getSupportedProtocols().** Returns an array containing the security protocols that can be enabled for this particular socket connection. You can expect SSL as well as TLS, the Transport Layer Security, to be supported.

**public void setNeedClientAuth(boolean flag).** Denotes that clients connecting to this server socket *must* be authenticated. By default, clients' connections do not require authentication. If turned on, clients must be authenticated, or else the connection will close immediately after the server accepts the client connection.

**public void setWantClientAuth(boolean flag).** Denotes that clients connecting to this server socket *should* be authenticated. Clients should provide authentication information, but the connection is still maintained if they do not.

A server program for SSL sockets uses a factory to create a secure server socket. The following `SSLServerDemo` program demonstrates the steps a server takes to accept secure client connections. Study the program and its output carefully, which is shown in Figure 17.4.

```
import java.net.*;
import javax.net.ssl.*;
import java.io.*;
public class SSLServerDemo
{
    public static void main(String [] args)
    {
        int port = Integer.parseInt(args[0]);
        try
        {
            System.out.println("Locating server socket factory for
SSL...");
            SSLServerSocketFactory factory =
(SSLServerSocketFactory) SSLServerSocketFactory.getDefault();
            System.out.println("Creating a server socket on port " +
port);
            SSLServerSocket serverSocket =
(SSLServerSocket) factory.createServerSocket(port);
            String [] suites =
                serverSocket.getSupportedCipherSuites();
            System.out.println("Support cipher suites are:");
            for(int i = 0; i < suites.length; i++)
            {
                System.out.println(suites[i]);
            }
            serverSocket.setEnabledCipherSuites(suites);
            System.out.println("Support protocols are:");
            String [] protocols =
                serverSocket.getSupportedProtocols();
            for(int i = 0; i < protocols.length; i++)
```

```

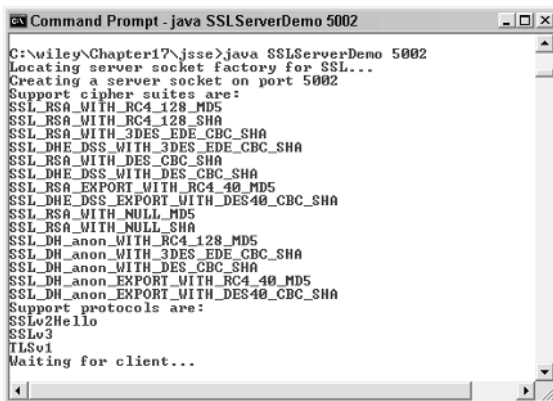
        {
            System.out.println(protocols[i]);
        }
        System.out.println("Waiting for client...");
        SSLSocket socket = (SSLSocket) serverSocket.accept();
        System.out.println("Starting handshake...");
        socket.startHandshake();
        System.out.println("Just connected to "
            + socket.getRemoteSocketAddress());
    }catch(IOException e)
    {
        e.printStackTrace();
    }
}
}
}

```

I want to make a few comments about the SSLServerDemo program:

- The `getDefault()` method declares that it returns a `ServerSocketFactory`, but its actual data type is `SSLServerSocketFactory`, so I cast it to this type.
- Similarly, the `createServerSocket()` method declares that it returns a `ServerSocket` reference. However, because we are using the `SSLServerSocketFactory`, the actual return type is `SSLServerSocket`, so I had to cast this return value as well.
- This program displays the supported cipher suites and protocols for the environment it is executed in. The output in Figure 17.4 was generated by using the JSSE implementation of the J2SE 1.4.
- I enabled all the cipher suites that are supported on this platform with the following statement:

```
serverSocket.setEnabledCipherSuites(suites);
```



```

C:\wiley\Chapter17\j2se>java SSLServerDemo 5002
Locating server socket factory for SSL...
Creating a server socket on port 5002
Support cipher suites are:
SSL_RSA_WITH_RC4_128_MD5
SSL_RSA_WITH_RC4_128_SHA
SSL_RSA_WITH_3DES_EDE_CBC_SHA
SSL_DHE_DSS_WITH_3DES_EDE_CBC_SHA
SSL_RSA_WITH_DES_CBC_SHA
SSL_DHE_DSS_WITH_DES_CBC_SHA
SSL_RSA_EXPORT_WITH_RC4_40_MD5
SSL_DHE_DSS_EXPORT_WITH_DES40_CBC_SHA
SSL_RSA_WITH_NULL_MD5
SSL_RSA_WITH_NULL_SHA
SSL_DH_anon_WITH_RC4_128_MD5
SSL_DH_anon_WITH_3DES_EDE_CBC_SHA
SSL_DH_anon_WITH_DES_CBC_SHA
SSL_DH_anon_EXPORT_WITH_RC4_40_MD5
SSL_DH_anon_EXPORT_WITH_DES40_CBC_SHA
Support protocols are:
SSLv2Hello
SSLv3
TLSv1
Waiting for client...

```

Figure 17.4 Output of the SSLServerDemo program.

- When I didn't enable the supported cipher suites, I got an exception that looked like the following:

```

javax.net.ssl.SSLException: No available certificate corresponds
to the SSL cipher suites which are enabled.
    at
com.sun.net.ssl.internal.ssl.SSLServerSocketImpl.a(DashoA6275)
    at
com.sun.net.ssl.internal.ssl.SSLServerSocketImpl.accept(DashoA6275)
    at SSLServerDemo.main(SSLServerDemo.java:35)

```

- If you get this exception, try enabling at least one supported cipher suite. In a real-world environment, you probably will not enable all cipher suites as I did in the SSLServerDemo program, but instead you will only enable those that are being used by the security mechanisms of your platform.
- The program displays the supported protocols of this JSSE implementation, which are SSL version 3 and version 2Hello, and also TLS version 1.
- The program hangs because the server socket is waiting for a client connection, which I will show you how to create next.

## Secure Client Socket

A client that wants to connect to an SSLServerSocket must use an SSLSocket object, which is accomplished by performing the following steps:

1. The client starts with an SSLSocketFactory object, which is not instantiated using the new keyword, but instead is obtained by using the following static method found in the SSLSocketFactory class:

```
public static SocketFactory getDefault()
```
2. Use the socket factory to create an SSLSocket object, which listens on a specified port for client requests.

After a secure connection is made, the client and server have an SSLSocket object to handle communication. The SSLSocket class extends java.net.Socket, so the client and server can invoke the methods discussed earlier from the Socket class, such as getOutputStream() and getInputStream(). There are also methods in SSLSocket unique to secure socket connections, including the following:

- public void startHandshake() throws IOException.** Starts an SSL handshake for this connection, which establishes the security and protection of the connection. Handshaking is successful only if both the client and server have a common cipher suite. A handshake is started automatically when an attempt is made to read or write from the socket, but you can start the handshake explicitly with this method.

**public void setEnabledCipherSuites(String [] suites).** Sets the cipher suites available for this connection. The client and server need to share at least one common cipher suite before handshaking can occur.

**public void addHandshakeCompletedListener(HandshakeCompletedListener h).** Adds the specified listener to the connection. Whenever a handshake is completed successfully, the listener is notified via the `handshakeCompleted()` method in the `HandshakeCompletedListener` interface.

**public SSLSession getSession().** Gets the session of this SSL connection, which is created after handshaking occurs. The `SSLSession` object contains information about the connection, for example, which cipher suite and protocol is being used, as well as the identity of the client and server.

Notice that the `SSLSocket` class is a source of a `HandshakeCompletedEvent`. This allows you to determine information about the security parameters used to establish the connection, such as the cipher suite used or any security certificates used. The following `MyHandshakeListener` class demonstrates writing a listener for this event.

```
import javax.net.ssl.*;
public class MyHandshakeListener
    implements HandshakeCompletedListener
{
    public void handshakeCompleted(HandshakeCompletedEvent e)
    {
        System.out.println("Handshake succesful!");
        System.out.println("Using cipher suite: "
            + e.getCipherSuite());
    }
}
```

The following `SSLClientDemo` program demonstrates a client creating an SSL connection to the `SSLServerDemo` application by using the `SSLSocket` class. Study the program and try to determine what is happening and what the output will be, which is shown in Figure 17.5.



```

C:\wiley\Chapter17\jsse>java SSLClientDemo localhost 5002
Locating socket factory for SSL...
Creating secure socket to localhost:5002
Enabling all available cipher suites...
Registering a handshake listener...
Starting handshaking...
Just connected to localhost/127.0.0.1:5002
Handshake succesful!
Using cipher suite: SSL_DH_anon_WITH_RC4_128_MD5
C:\wiley\Chapter17\jsse>
```

**Figure 17.5** Output of the `SSLClientDemo` program, which starts a handshake with the server to ensure that secure communication can occur successfully.

```

import java.net.*;
import javax.net.ssl.*;
import java.io.*;
public class SSLClientDemo
{
    public static void main(String [] args)
    {
        String host = args[0];
        int port = Integer.parseInt(args[1]);
        try
        {
            System.out.println("Locating socket factory for SSL...");
            SSLSocketFactory factory =
                (SSLSocketFactory) SSLSocketFactory.getDefault();
            System.out.println("Creating secure socket to "
                + host + ":" + port);
            SSLSocket socket =
                (SSLSocket) factory.createSocket(host, port);
            System.out.println("Enabling all available cipher
                suites...");
            String [] suites = socket.getSupportedCipherSuites();
            socket.setEnabledCipherSuites(suites);
            System.out.println("Registering a handshake
                listener...");
            socket.addHandshakeCompletedListener(
                new MyHandshakeListener());
            System.out.println("Starting handshaking...");
            socket.startHandshake();
            System.out.println("Just connected to "
                + socket.getRemoteSocketAddress());
        }
        catch(IOException e)
        {
            e.printStackTrace();
        }
    }
}

```

Let me make a few comments about the SSLClientDemo program:

- The getDefault() static method of SSLSocketFactory is used to obtain a reference to the default secure socket factory.
- The socket factory is used to create an SSLSocket on the localhost at port 5002. In this example, the server program is the SSLServerDemo program discussed earlier.
- The client and server need a common cipher suite, so the client needs to enable at least one cipher suite that the server understands. I just enabled all of them in this example and let the underlying implementation choose a cipher suite.



- A `MyHandshakeListener` object is registered to receive notification of when the handshake completes.
- The client specifically starts a handshake with the server, which is successful because the listener is notified. The listener displays the cipher suite that was used to establish this secure connection.

## Communicating over a Secure Socket

The JSSE is used to establish a secure socket connection between two computers, as demonstrated by the `SSLServerDemo` and `SSLClientDemo` programs discussed in this chapter. After you have made a secure connection, communication can occur similar to that with nonsecure sockets. (A nonsecure socket is one created with the `java.net.SocketServer` and `java.net.Socket` classes.)

For example, suppose that you need an application to process credit card orders from customers. You want a secure connection so that the data passed between computers cannot be intercepted by malicious applications. I want to show you a simple but useful example of how this can be accomplished by tying together secure sockets and serialization (as discussed in Chapter 16, “Input and Output”).

Suppose that the order is represented by a serializable class named `CustomerOrder` that contains fields for a customer’s name, credit card number, and amount of order. (See the Web site for a listing of the `CustomerOrder` class.)

The following code from the `OrderHandler` class (available on the Web site) runs in a thread that waits for a secure client to connect, reads in a single `CustomerOrder` object, processes the order, and then closes the socket connection and waits for a new order to be sent:

```
System.out.println("Waiting for order...");
SSLSocket socket =
    (SSLSocket) serverSocket.accept();
socket.startHandshake();
ObjectInputStream in = new ObjectInputStream(socket.getInputStream());
CustomerOrder order = (CustomerOrder) in.readObject();
System.out.println("*** Processing order ***");
System.out.println("Amount: " + order.amountOfOrder);
System.out.println("Card info: " +
    order.creditCardNumber + " " +
    order.expMonth + "/" + order.expYear);
socket.close();
```

```

C:\wiley\Chapter17\jsse>java PurchaseDemo localhost 5003
Locating socket factory for SSL...
Creating secure socket to localhost:5003
Enabling all available cipher suites...
Sending order...
C:\wiley\Chapter17\jsse>_

```

**Figure 17.6** Output of the PurchaseDemo program, which sends a single CustomerOrder object to the OrderHandler program over a secure socket.

The following code from the PurchaseDemo program (available on the Web site) simulates an order being sent to the OrderHandler application. Study the code and try to determine the output of both the PurchaseDemo program and the OrderHandler program, shown in Figure 17.6 and Figure 17.7, respectively.

```

SSLSocketFactory factory =
    (SSLSocketFactory) SSLSocketFactory.getDefault();
System.out.println("Creating secure socket to " + host + ":" + port);
SSLSocket socket = (SSLSocket) factory.createSocket(host, port);
System.out.println("Enabling all available cipher suites...");
String [] suites = socket.getSupportedCipherSuites();
socket.setEnabledCipherSuites(suites);
ObjectOutputStream out =
    new ObjectOutputStream(socket.getOutputStream());
System.out.println("Sending order...");
CustomerOrder order =
    new CustomerOrder(1111222233334444L, 1, 2010, 853.79);
out.writeObject(order);

```

Notice in Figure 17.7 that the OrderHandler waits for an order, processes the order, and then immediately waits for another one.

Also notice that the client did not start a handshake explicitly using the startHandshake() method. However, a handshake occurs automatically when the client attempts to write a CustomerOrder object to the output stream of the socket.

```

C:\wiley\Chapter17\jsse>java OrderHandler 5003
Waiting for order...
** Processing order **
Amount: 853.79
Card info: 1111222233334444 1/2010
Waiting for order...

```

**Figure 17.7** Output of the OrderHandler program, which deserializes the CustomerOrder object and displays its information.

## Overview of Datagram Packets

---

The User Datagram Protocol (UDP) is a connectionless protocol used for sending binary data from one computer to another. The data is referred to as a datagram packet, which also contains the destination server and port number that the data is to be delivered to. The sender of a message uses a datagram socket to send a packet, and a recipient uses a datagram socket to receive a message. When a message is sent, the recipient does not need to be available. Similarly, when a message is received, the sender does not need to be still available.

### DatagramSocket Class

The `java.net.DatagramSocket` class is used by both the sender and the recipient of a datagram packet to send and receive a packet, respectively. The `DatagramSocket` class has four public constructors:

- `public DatagramSocket(int port) throws SocketException.`** Creates a datagram socket on the localhost computer at the specified port.
- `public DatagramSocket(int port, InetAddress address) throws SocketException.`** Creates a datagram socket using the specified port and local address, which is useful if the computer has multiple addresses.
- `public DatagramSocket(SocketAddress address) throws SocketException.`** Creates a datagram socket at the specified `SocketAddress`, which encapsulates a server name and port number.
- `public DatagramSocket() throws SocketException.`** Creates an unbound datagram socket. Use the `bind()` method of the `DatagramSocket` class to bind the socket to a port.

Here are some of the methods of interest in the `DatagramSocket` class:

- `public void send(DatagramPacket packet) throws IOException.`** Sends the specified datagram packet. The `DatagramPacket` object contains the destination information of the packet.
- `public void receive(DatagramPacket packet) throws IOException.`** Receives a datagram packet, storing it in the specified argument. This method blocks and does not return until a datagram packet is received or the socket times out. If the socket times out, a `SocketTimeoutException` occurs.
- `public void setSoTimeout(int timeout) throws SocketTimeoutException.`** Sets the time-out value of the socket, which determines the number of milliseconds that the `receive()` method will block.

**public void connect(InetAddress address, int port).** Although UDP is a connectionless protocol, this method has been added to the DatagramSocket class, as of J2SE 1.4. Packets are still sent and received using the send() and receive() methods, but connecting two datagram sockets improves delivery performance since security checks only need to be performed once.

**public void disconnect().** Disconnects any current connection.

## DatagramPacket Class

Notice that the send() and receive() methods of the DatagramSocket class have a DatagramPacket parameter. The DatagramPacket class represents a datagram packet, and (like DatagramSocket) it is used by both the sender and receiver of a packet. The DatagramPacket class has six constructors: two for receivers and four for senders.

The following two DatagramPacket constructors are used for receiving a datagram packet:

**public DatagramPacket(byte [] buffer, int length).** Creates a datagram packet for receiving a packet of the specified size. The buffer will contain the incoming packet.

**public DatagramPacket(byte [] buffer, int offset, int length).** Same as the previous constructor, except that the data of the incoming packet is stored in the position of the byte array specified by the offset parameter.

The array of bytes passed in to these constructors is used to contain the data of the incoming packet, and typically are empty arrays. If they are not empty, then the incoming datagram packet overwrites the data in the array.

The following four constructors are used for sending a datagram packet:

**public DatagramPacket(byte [] buffer, int length, InetAddress address, int port).** Creates a datagram packet for sending a packet of the specified size. The buffer contains the data of the packet, and the address and port denote the recipient.

**public DatagramPacket(byte [] buffer, int length, SocketAddress address).** Similar to the previous constructor, except that the name and port number of the recipient are contained in a SocketAddress argument.

**public DatagramPacket(byte [] buffer, int offset, int length, InetAddress address, int port).** Allows you to denote an offset into the array, which (along with the length argument) determines a subset of the byte array that represents the data.

**public DatagramPacket(byte [] buffer, int offset, int length, SocketAddress address).** Similar to the previous constructor, except that the name and port number of the recipient are contained in a SocketAddress argument.

Notice that each of the six constructors takes in an array of bytes. When receiving a packet, the array starts out empty and is filled with the incoming datagram packet. When sending a packet, the array of bytes contains the data of the packet to be sent.

The `DatagramPacket` class contains accessor and mutator methods for the various attributes of the datagram packet:

- `public byte [] getData()`.** Returns the data buffer.
- `public void setData(byte [] buffer)`.** Sets the data of the packet.
- `public int getLength()`.** Returns the length of the data to be sent or received.
- `public void setLength(int length)`.** Sets the length of the data to be sent or received.
- `public SocketAddress getSocketAddress()`.** Returns the address of the remote host where the message is being sent to or received from.
- `public void setSocketAddress(SocketAddress address)`.** Sets the address of the remote host where the message is being sent to or received from.

Now, let's look at an example of how to use these classes to send and receive datagram packets using UDP.

## Receiving a Datagram Packet

---

To receive a datagram packet, the following steps are performed:

1. Create an array of bytes large enough to hold the data of the incoming packet.
2. A `DatagramPacket` object is instantiated using the array of bytes.
3. A `DatagramSocket` is instantiated, and it is specified which port (and specific localhost address, if necessary) on the localhost the socket will bind to.
4. The `receive()` method of the `DatagramSocket` class is invoked, passing in the `DatagramPacket` object. This causes the thread to block until a datagram packet is received or a time out occurs.

After the `receive()` method returns, a new packet has just been delivered successfully. (Note that if a time out occurs, the `receive()` method does not return, but instead throws an exception.) The `getData()` method of the `DatagramPacket` class can be used to retrieve the array of bytes containing the data of this packet.

The following `PacketReceiver` program demonstrates the steps involved in receiving a datagram packet.

```
import java.net.*;
import java.io.*;

public class PacketReceiver
{
    public static void main(String [] args)
    {
        try
        {
            byte [] buffer = new byte[1024];
            DatagramPacket packet =
                new DatagramPacket(buffer, buffer.length);
            DatagramSocket socket = new DatagramSocket(5002);
            System.out.println("Waiting for a packet...");
            socket.receive(packet);
            System.out.println("Just received packet from "
                + packet.getSocketAddress());
            buffer = packet.getData();
            System.out.println(new String(buffer));
        }catch(IOException e)
        {
            e.printStackTrace();
        }
    }
}
```

## Sending a Datagram Packet

---

To receive a datagram packet, the following steps are performed:

1. Create an array of bytes large enough to hold the data of the packet to be sent, and fill the array with the data.
2. Create a new `DatagramPacket` object that contains the array of bytes, as well as the server name and port number of the recipient.
3. A `DatagramSocket` is instantiated, and it is specified which port (and specific localhost address, if necessary) on the localhost the socket will bind to.
4. The `send()` method of the `DatagramSocket` class is invoked, passing in the `DatagramPacket` object.

The following `PacketSender` class sends a packet containing a string. Notice that the `String` object is converted to an array of bytes using the `getBytes()` method of the `String` class.

```
import java.net.*;
import java.io.*;
public class PacketSender
{
```

```

public static void main(String [] args)
{
    try
    {
        String data =
        "You have just received a packet of data sent using UDP";
        byte [] buffer = data.getBytes();
        DatagramPacket packet = new DatagramPacket(buffer,
            buffer.length,
            new InetAddress("localhost", 5002));
        DatagramSocket socket = new DatagramSocket(5003);
        System.out.println("Sending a packet...");
        socket.send(packet);
    }catch(IOException e)
    {
        e.printStackTrace();
    }
}
}

```

The PacketSender program sends a datagram packet to the PacketReceiver program discussed earlier. Figure 17.8 shows the output of the PacketSender program.

Figure 17.9 shows the output generated by PacketReceiver when the packet is delivered. Note that the blank space in Figure 17.9 is caused by the array of bytes not being entirely filled with the incoming datagram.

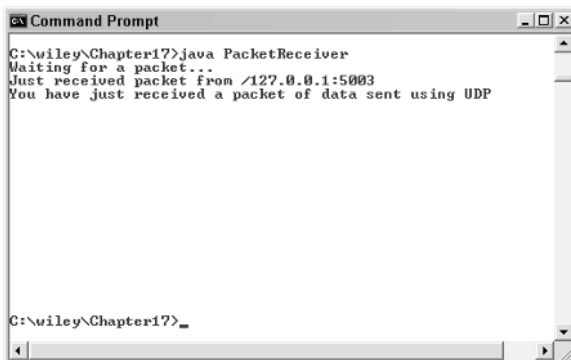


```

C:\wiley\Chapter17>java PacketSender
Sending a packet...
C:\wiley\Chapter17>

```

**Figure 17.8** The PacketSender sends a datagram packet containing a string.



```

C:\wiley\Chapter17>java PacketReceiver
Waiting for a packet...
Just received packet from /127.0.0.1:5003
You have just received a packet of data sent using UDP
C:\wiley\Chapter17>

```

**Figure 17.9** The receive() method invoked within PacketReceiver returns after the datagram packet is delivered.

## Working with URLs

So far in this chapter, I have discussed sockets and datagram packets as options for creating network applications in Java. In this section, I will show you how to write Java programs that communicate with a URL. URL, which stands for Uniform Resource Locator, represents a resource on the World Wide Web, such as a Web page or FTP directory.

note

**A URL is actually a type of URI, Uniform Resource Identifier. A URI identifies a resource, but doesn't contain information about how to access the resource. A URL identifies a resource and a protocol for accessing the resource. A URI is represented in Java using the `java.net.URI` class.**

A URL can be broken down into parts, as follows:

```
protocol://host:port/path?query#ref
```

The path is also referred to as the filename, and the host is also called the authority. Examples of protocols include HTTP, HTTPS, FTP, and File. For example, the following is a URL to a Web page whose protocol is HTTP:

```
http://www.javalicenses.com/training/index.html?language=en#j2se
```

Notice that this URL does not specify a port, in which case the default port for the protocol is used. With HTTP, the default port is 80.

The `java.net.URL` class represents a URL. The `URL` class has several constructors for creating URLs, including the following:

**`public URL(String protocol, String host, int port, String file) throws MalformedURLException.`** Creates a URL by putting together the given parts.

**`public URL(String protocol, String host, String file) throws MalformedURLException.`** Identical to the previous constructor, except that the default port for the given protocol is used.

**`public URL(String url) throws MalformedURLException.`** Creates a URL from the given String.

**`public URL(URL context, String url) throws MalformedURLException.`** Creates a URL by parsing the together the URL and String arguments.



```

C:\wiley\Chapter17>java URLDemo http://www.javalicen.se.com/course
ware/index.html?title=btw#mid
URL is http://www.javalicen.se.com/courseware/index.html?title=btw
#mid
protocol is http
authority is www.javalicen.se.com
file name is /courseware/index.html?title=btw
host is www.javalicen.se.com
path is /courseware/index.html
port is -1
default port is 80
query is title=btw
ref is #mid
C:\wiley\Chapter17>_

```

**Figure 17.10** The URLDemo class displays each part of a URL.

The URL class contains many methods for accessing the various parts of the URL being represented. Some of the methods in the URL class include the following:

- public String getPath().** Returns the path of the URL.
- public String getQuery().** Returns the query part of the URL.
- public String getAuthority().** Returns the authority of the URL.
- public int getPort().** Returns the port of the URL.
- public int getDefaultPort().** Returns the default port for the protocol of the URL.
- public String getProtocol().** Returns the protocol of the URL.
- public String getHost().** Returns the host of the URL.
- public String getFile().** Returns the filename of the URL.
- public String getRef().** Returns the reference part of the URL.
- public URLConnection openConnection() throws IOException.** Opens a connection to the URL, allowing a client to communicate with the resource.

The following URLDemo program demonstrates what these methods do and also demonstrates the various parts of a URL. A URL is entered on the command line, and the URLDemo program outputs each part of the given URL. Study the program and try to determine what the output is when the command-line argument is:

```
http://www.javalicen.se.com/courseware/index.html?title=btw#mid
```

The output of the URLDemo program with this URL is shown in Figure 17.10.

```

import java.net.*;
import java.io.*;
public class URLDemo
{
    public static void main(String [] args)
    {

```

```

try
{
    URL url = new URL(args[0]);
    System.out.println("URL is " + url.toString());
    System.out.println("protocol is "
        + url.getProtocol());
    System.out.println("authority is "
        + url.getAuthority());
    System.out.println("file name is " + url.getFile());
    System.out.println("host is " + url.getHost());
    System.out.println("path is " + url.getPath());
    System.out.println("port is " + url.getPort());
    System.out.println("default port is "
        + url.getDefaultPort());
    System.out.println("query is " + url.getQuery());
    System.out.println("ref is " + url.getRef());
} catch (IOException e)
{
    e.printStackTrace();
}
}
}

```

## URL Connections

---

Using the `openConnection()` method of the `URL` class, you can connect to a URL and communicate with the resource. The `openConnection()` method returns a `java.net.URLConnection`, an abstract class whose subclasses represent the various types of URL connections. For example, if you connect to a URL whose protocol is HTTP, the `openConnection()` method returns an `HttpURLConnection` object. If you connect to a URL that represents a JAR file, the `openConnection()` method returns a `JarURLConnection` object.

The `URLConnection` class has many methods for setting or determining information about the connection, including the following:

**public void setDoInput(boolean input).** Passes in true to denote that the connection will be used for input. The default value is true because clients typically read from a `URLConnection`.

**public void setDoOutput(boolean output).** Passes in true to denote that the connection will be used for output. The default value is false because many types of URLs do not support being written to.

**public InputStream getInputStream() throws IOException.** Returns the input stream of the URL connection for reading from the resource.

**public OutputStream getOutputStream()** throws **IOException**. Returns the output stream of the URL connection for writing to the resource.

**public URL getURL()**. Returns the URL that this URLConnection object is connected to.

The URLConnection class also contains methods for accessing the header information of the connection, allowing you to determine the type and length of the URL's content, the date it was last modified, the content encoding, and so forth. Be sure to check the documentation for a listing of all the methods in the URLConnection class.

The following URLConnectionDemo program connects to a URL entered from the command line. If the URL represents an HTTP resource, the connection is cast to HttpURLConnection, and the data in the resource is read one line at a time. Figure 17.11 shows the output of the program for the URL [www.javalicence.com](http://www.javalicence.com).

```
import java.net.*;
import java.io.*;
public class URLConnectionDemo
{
    public static void main(String [] args)
    {
        try
        {
            URL url = new URL(args[0]);
            URLConnection urlConnection = url.openConnection();
            HttpURLConnection connection = null;
            if(urlConnection instanceof HttpURLConnection)
            {
                connection = (HttpURLConnection) urlConnection;
            }
            else
            {
                System.out.println("Please enter an HTTP URL.");
                return;
            }
            BufferedReader in = new BufferedReader(
                new InputStreamReader(
                    connection.getInputStream()));
            String urlString = "";
            String current;
            while((current = in.readLine()) != null)
            {
                urlString += current;
            }
            System.out.println(urlString);
        }catch(IOException e)
        {
            e.printStackTrace();
        }
    }
}
```



```

C:\wiley\Chapter17>java URLConnectionDemo http://www.javalicense.com
<html><head><meta http-equiv="Content-Type" content="text/html; charset=windows-1252"><meta http-equiv="Content-Language" content="en-us"><META NAME="description" CONTENT="Provides Java training and courses, from introductory Java to advanced topics like Enterprise JavaBeans, CORBA, RMI, JavaServer Pages, servlets, JDBC, JNL, JavaBeans, and multithreading."><META NAME="keywords" CONTENT="java, training, courseware, class, classes, course, online, book, books, manual, license, enterprise javabeans, ejb training, learn, courses, rmi, corba, swing, javasever pages, servlets, multithreading, javadoc, JDBC, train, instructor"><meta name="GENERATOR" content="Microsoft FrontPage 5.0"><meta name="ProgId" content="FrontPage.Editor.Document"><title>JLicense, Inc., Java Training and Courseware</title><!--mstheme--><link rel="stylesheet" type="text/css" href="_themes/java-license/java1000.css"><meta name="Microsoft Theme" content="java-license 1000_default"><meta name="Microsoft Border" content="none"></head><body ><p align="center"></p><p align="center"></p><p>&nbsp;</p><div align="center"> <cent

```

**Figure 17.11** The URLConnectionDemo program shows the contents of an HTML page. This figure shows part of the output of the URL <http://www.javalicense.com>.



## Lab 17.1: Using Sockets

The purpose of this lab is to become familiar with working with sockets. You will write a client/server network program that allows a simple conversation to take place between two computers.

1. Write a program named TalkServer that uses a ServerSocket object to listen for clients on port 5050.
2. When a client connects, have the TalkServer program read in a String from the client using the readUTF() method of the DataInputStream class. You will need to create a new DataInputStream by using the input stream of the socket. Print out the String that is read.
3. The TalkServer program should then send a String to the client using the writeUTF() method of the DataOutputStream class, sending the String to the client's socket. The string sent to the client should be input from the console input using the keyboard.
4. This process should continue until the connection is lost somehow. In other words, the TalkServer reads in a String, displays it, and then inputs a String from the keyboard and sends it to the client.
5. Write a program named TalkClient that uses the Socket class to connect to the server socket of the TalkServer program.
6. The client should send a String input from the keyboard to the server using the writeUTF() method. Then, the TalkClient should read in a String from the Server using the readUTF() method, displaying the String.

7. This process should continue until the connection is lost. The client and server are now communicating with each other in a simple conversation.

The initial output of the server should be a String read from the client. The initial output on the client should be a prompt to enter a message to be sent to the server. When the client enters a message and presses Enter, the message should be displayed on the server. Similarly, when a message is entered on the server and the user presses Enter, the message should be displayed on the client.

---



## Lab 17.2: Using Datagram Packets

The purpose of this lab is to become familiar with sending and receiving datagram packets. You will write a program that simulates a weather-updating process, in which weather updates are sent to a listener every 15 seconds using datagram packets.

1. Write a class named `WeatherUpdater` that extends `TimerTask`. Add a field of type `DatagramSocket`, a String field named `recipientName`, and an `int` field named `recipientPort`. Add a constructor that takes in a String and an `int` for these two fields, and also initialize the `DatagramSocket` object in the constructor using any available port.
2. Within the `run()` method of the `WeatherUpdater` class, create a String that looks like “Current temp: 40”, where 40 is a randomly generated number between 0 and 100 that changes each time the method is invoked.
3. Within `run()`, instantiate a `DatagramPacket` appropriate for sending a packet. The array of bytes should be the String in the previous step converted to bytes. Send it to the recipient denoted by the `recipientName` and `recipientPort` fields of the class.
4. Add `main()` to your `WeatherUpdater` class. Within `main()`, instantiate a new `WeatherUpdater` object, using the first two command-line arguments for the recipient’s name and port number.
5. Within `main()`, instantiate a `Timer` object and use it to schedule the `WeatherUpdater` with a fixed-rate schedule of every 15 seconds.
6. Save and compile the `WeatherUpdater` class.

7. Write a class named `WeatherWatcher` that contains `main()`.
8. Within `main()`, instantiate a `DatagramSocket` on port 4444. Also, instantiate a `DatagramPacket` suitable for receipt, by using an array of bytes of size 128.
9. Invoke the `receive()` method within `main()` so that the thread blocks until a datagram packet is delivered.
10. After a packet is delivered, print out its contents. Create a loop so that the `WeatherWatcher` then invokes `receive()` again, to be ready for the next packet to be delivered.
11. Save, compile, and run the `WeatherWatcher` program.
12. Run the `WeatherUpdater` program, passing in the appropriate host name (`localhost` if both are on the same computer) and port number 4444.

The `WeatherUpdater` probably will not display any output. However, in the `WeatherWatcher` program, you should see the statement “Current temp: *N*” every 15 seconds or so, with the temperature changing randomly.

---



## Lab 17.3: The `InstantMessage` Server

This lab is a continuation of the `Instant Messaging` project from previous chapters. In this lab, you will write the server application that receives an `InstantMessage` object from a sender and sends the message to its intended recipient.

1. Write a class named `IMServer` that implements `Runnable`. Add a field of type `Vector` to contain all `Participant` objects currently logged on.
2. Within the `run()` method, create a `ServerSocket` on port 5555. Invoke the `accept()` method and wait for a client to connect.
3. When a client connects, they will send you a `String` using the `writeUTF()` method of `DataOutputStream`. Read in this `String` using the `readUTF()` method of `DataInputStream`, which will be their username. Then, instantiate a new `Participant`, passing in the socket and their username into the constructor.
4. Because `Participant` is a `Thread`, start it. Perform these steps in a loop so that `accept()` is invoked on the `ServerSocket` after the new `Participant` thread is started.

5. Save, compile, and run the IMServer program.

There will not be any output until we write the client program in the next lab. For now, your IMServer program should be blocked, waiting for a client to connect.

---



## Lab 17.4: Finishing the InstantMessage Program

In this lab, you will finish the InstantMessage program.

1. To make your Instant Message application communicate with the server, the only modifications need to appear in the constructor of the InstantMessageDialog class. Open this class in your text editor.
2. Comment out or remove any code involving pipes.
3. Within the constructor of InstantMessageDialog, create a Socket connection to the IMServer program. Use the input and output streams of this socket to instantiate the SendMessage and Participant objects.
4. Save, compile, and run the InstantMessageDialog class.

Your Instant Message program can now be executed on two different computers on a network, or you can simply run it twice on your computer. When a message is sent, it should appear in the appropriate friend's instant message window.

---



## Lab 17.5: Connecting to URLs

The purpose of this lab is to become familiar with connecting to URLs. In this lab, you will create a Swing GUI that can be used to view the source code of HTML documents. I will give you the guidelines for the application and let you decide how to design and write it.

1. Create a JFrame that contains a text area in the center for viewing an HTML page and a text field in the south for entering a URL.
2. When the user enters a URL in the text field, your program should connect to the URL, read its contents, and display them in the text area.

3. Use the `HttpURLConnection` class to read the contents of the URL.
4. Check out the `javax.swing.text.html` package for classes that might be of interest, notably the `HTMLToolkit` class.
5. To make your class more functional, consider adding a menu with menu items that allow the user to save the contents of the text area in an HTML file on his or her hard drive. The `javax.swing.JFileChooser` class might come in handy here.

When the program is executed, the user should be able to type in a URL in the text field, and then see the source code of that HTML in the text area.

---

## Summary

---

- Java contains APIs for developing network applications that use TCP/IP and UDP protocols.
- The `java.net.ServerSocket` and `java.net.Socket` classes are used for creating a TCP/IP connection between two Java applications. Communication is performed using the `java.io` classes.
- The Java Secure Socket Extension (JSSE) allows for a secure connection between two machines, using the Secure Sockets Layer (SSL) protocol. This is performed using the `javax.net.ssl.SSLServerSocket` and `javax.net.ssl.SSLSocket` classes.
- The `java.net.DatagramSocket` class is used to send and receive datagram packets. The `java.net.DatagramPacket` class is used to represent the data in the packet.
- The `java.net.URL` class is used for connecting to and reading data from a URL.



## Review Questions

1. Name the two common networking protocols discussed in this chapter.
2. True or False: To create a TCP connection, both the client and server applications need to be running and available at the same time.
3. True or False: When a sender sends a datagram packet, the recipient is guaranteed to receive it, even though this may not happen instantly.
4. Name the two classes in the Java API used to create a nonsecure socket connection using TCP.
5. Name the two classes in the Java API used to send and receive datagram packets.
6. True or False: Multiple applications on a server can bind the same port and share it.
7. True or False: I can write a server application that binds to port 80.
8. List the two ways that the `accept()` method in the `ServerSocket` class will stop blocking.
9. What is the data type of the return value of the `accept()` method in the `ServerSocket` class?
10. True or False: If a `ServerSocket` is listening on port 3000 and a client connection is accepted, the server and client are now communicating by using port 3000.
11. True or False: The following statement creates a `Socket` object in memory that is not yet connected to the server, but can be connected by using the `bind()` method in the `Socket` class:

```
Socket s = new Socket("server_name", 1090);
```

12. What does SSL stand for?
13. Which two classes are used to obtain a secure server socket using the JSSE?
14. Which two classes are used to obtain a secure socket using the JSSE?
15. What does URL stand for?
16. What does URI stand for?
17. What is the query part of the following URL?

```
http://www.wiley.com/index.html?language=en#Java
```

18. What is the default port of the previous URL? The authority?
19. What is the actual data type returned from the `openConnection()` method of the `URL` class when invoked on a `URL` object representing an HTTP resource?

## Answers to Review Questions

1. Transmission Control Protocol (TCP) and User Datagram Protocol (UDP).
2. True. TCP is a “live” connection between two computers.
3. False. UDP does not guarantee delivery of packets.
4. `java.net.ServerSocket` and `java.net.Socket`.
5. `java.net.DatagramSocket` and `java.net.DatagramPacket`.
6. False. A port can be bound by only one application at a time.
7. True. However, port 80 is the port used by most Web servers, so it isn’t a good idea to use port 80 unless you are developing a Web server application.
8. When a client connects, or when the server socket times out.
9. `java.net.Socket`.
10. False. The `ServerSocket` is using port 3000; the socket for the client uses an arbitrary port for communicating with that client.
11. False. If that statement is successful, then a connection is made with the server and no further steps need to be taken. The two computers are ready to begin communication.
12. Secure Sockets Layer.
13. `javax.net.ssl.SSLServerSocketFactory` and `javax.net.ssl.SSLServerSocket`.
14. `javax.net.ssl.SSLSocketFactory` and `javax.net.ssl.SSLSocket`.
15. Uniform Resource Locator.
16. Uniform Resource Identifier, which is similar to URL except it does not contain any information about how to locate the resource.
17. `language=en`.
18. 80 because the protocol is HTTP.
19. `HttpURLConnection`.



# Database Programming

Almost every real-world programming application I have ever been a part of has involved a database. Accessing data in a database is an essential aspect of any language, and it's no surprise that Java contains extensive support for database programming. The JDBC API contains the classes and interfaces a Java program uses to connect to a database and access its contents. In this chapter, I will discuss an overview of JDBC, how to connect to a database, how to execute an SQL statement on a database, and how to work with result sets.

## An Overview of JDBC

---

The JDBC API is an API for accessing data in a tabular format, which includes every popular database as well as spreadsheet applications such as Microsoft Excel and files that contain tabular data. The latest version of JDBC is 3.0 and is a part of the J2SE 1.4. JDBC 3.0 is broken down into two packages:

**java.sql.** Referred to as the JDBC Core API.

**javax.sql.** Referred to as the JDBC Optional Package API. (In JDBC 2.0, this package was formerly known as the JDBC Standard Extension API.)

note

JDBC is not an acronym, but rather a trademarked name, although you will commonly hear it referred to as Java Database Connectivity. The term JDBC evolved from the acronym ODBC, which stands for Open Database Connectivity, a technology designed to simplify database programming by making the code to access a database independent of the actual database used.

JDBC provides the same capabilities as ODBC, allowing Java programs to contain database-independent code. This means that a Java program that accesses a database is not only portable across JVMs (since it's a Java program), but portable across databases, since it uses JDBC.

The JDBC API provides a mechanism for Java code to be portable across databases. JDBC simplifies the creation and execution of Structured Query Language (SQL) statements. SQL is a language used to communicate with a database and access its contents. Be sure to read the upcoming section *An SQL Primer* later in this chapter if you are new to SQL.

## Classroom Q & A

- Q:** It seems impressive that a Java program can move from one database to another without changing any of the code that involves accessing the database. Does this really happen in the real world?
- A:** Well, yes and no. If you write a Java application that uses JDBC, and you stick to the standard SQL statements, yes. If you write a program that takes advantage of the unique features of a particular database, no. Keep in mind that this lack of portability is not a Java or JDBC issue, but a database issue. In the competitive database market, unique features or behaviors of a database are common. Any code in any language that takes advantage of a specific feature of a database will not be portable across databases.
- Q:** Suppose my program uses everyday SQL, nothing fancy. How is it possible that my program can communicate with different databases?
- A:** The answer is based on having a JDBC driver. Before you can access a database using JDBC, you need a JDBC driver for that particular database. For example, in this chapter I will show you how to access a Microsoft Access database. This means that we will need a JDBC driver for Access. We will just happen to use a driver that comes with the J2SE.

**Q:** Where do I get these drivers?

**A:** Typically, the manufacturer of your database provides you with one. As Java has become more and more popular, more and more database vendors provide efficient, robust JDBC drivers so Java applications can take advantage of the features of the database.

**Q:** What if I use a database that does not provide a JDBC driver?

**A:** Not to worry. There are plenty of third-party drivers available for all types of databases. JDBC drivers exist for virtually every possible type of database access you will ever need, and virtually every database. In the next section, *JDBC Drivers*, I discuss the various types of drivers and where you can find them.

**Q:** Is the driver a separate program that I need to download?

**A:** It depends on the type of driver. A database driver is a class, and to use a driver you need to make sure the class for the driver is loaded by your JVM and registered with the `DriverManager` class, which I discuss in detail in the upcoming section *Connecting to a Database*. Some drivers require a separate application to be running.

**Q:** You mentioned JDBC works on databases that use a tabular format. What does that mean?

**A:** Tabular format means the data is stored in tables. Most databases store their contents by using tables. In fact, a database can be thought of as a collection of tables, where a table is a collection of rows, and a row is a collection of columns. The items in the columns represent the actual contents of the database. You will soon find out that the JDBC API contains many methods for accessing the rows and columns of database tables.

**Q:** You mentioned SQL is used to communicate with a database. Do I need to learn SQL to use JDBC?

**A:** Yes! Although JDBC may eventually eliminate the need to learn SQL, right now SQL is the de facto means for accessing the contents of a database. If you are new to database programming, I might as well let you in on what database programmers have known for years: You need to know SQL. JDBC provides you with a mechanism for connecting to a database, but the actual access to the data in the database is done using SQL.

**Q:** What if I don't know any SQL?

**A:** That's no problem. If you have made it this far in the book, you are clearly someone who understands languages. I can show you enough SQL to have you writing database programs within the hour. Just check out the section *An SQL Primer* in this chapter and carefully study the sample programs throughout this chapter.

Now that we have discussed how JDBC works, let's look at the important topic of JDBC drivers. I will then show you how to select a driver in your Java program, and connect to a database.

## JDBC Drivers

---

To connect to a database and access its contents, you need a JDBC driver that works with that particular database. There are many different JDBC drivers available, and Sun keeps an updated, searchable list of them on their Web site at:

<http://industry.java.sun.com/products/jdbc/drivers>

JDBC drivers fit into four categories referred to as *types*, denoted simply by the numbers 1 through 4. The four types of drivers are:

**type 1 driver.** A *bridge driver*, which allows JDBC to communicate with any database that uses ODBC. When Java first came out, this was a useful driver because most databases only supported ODBC access. Nowadays, however, the bridge driver is not recommended beyond testing purposes. The J2SE comes with a bridge driver that we will use in the examples in this chapter.

**type 2 driver.** A *native API driver*, meaning that the driver converts JDBC calls into native API calls unique to the database. Type 2 drivers are typically provided by the database vendor and require native code to be deployed to any client using a type 2 driver.

**type 3 driver.** A *JDBC-Net driver*, which converts JDBC calls into a database-independent net protocol, which is then translated into the database-specific calls. A type 3 driver has advantages because it does not require anything of the client, and the same driver can be used for multiple databases. The conversions are made by using a middleware application. Third-party vendors typically provide type 3 drivers.

**type 4 driver.** A *native protocol driver*, meaning that JDBC calls are converted directly into native calls to the database. They are pure-Java drivers that do not require native code on the client side. The JDBC drivers provided by the database vendor are typically type 4 drivers.

If you are accessing one type of database, such as Oracle, Sybase, or IBM, the preferred driver type is 4. If your Java application is accessing multiple types of databases at the same time, type 3 is the preferred driver. Type 2 drivers are useful in situations where a type 3 or type 4 driver is not available yet for your database. The type 1 driver is not considered a deployment-level driver and is typically used for development and testing purposes only.

The J2SE comes with the following type 1 bridge driver:

```
sun.jdbc.odbc.JdbcOdbcDriver
```

This is the driver we will use for the examples in the course because it comes with the J2SE API, which means that you have it on your computer.

note

**If you are using a version of the Windows operating system, you can find detailed instructions on this book's Web site showing how to create a database using Microsoft Access. More importantly, you will find step-by-step instructions on how to create a Data Source Name for your database so that your Java programs can connect to your Access database. See the Introduction for the site's URL.**

## Connecting to a Database

After you have a data source name created, there are two ways to establish a connection to the database using JDBC. (See the Web site's URL provided in the Introduction for instructions on how to do this for Windows and Microsoft Access.)

- Use the static method `getConnection()` in the `java.sql.DriverManager` class, which takes in a URL representing the data source name.
- Use JNDI (Java Naming and Directory Interface) to look up the data source name, which is returned as a `javax.sql.DataSource` object, and then use the `getConnection()` method of the `DataSource` class.

The Java documentation states that the technique using the `DataSource` class is preferred over the `DriverManager` class; however, `DataSource` is a newer class (J2SE 1.4), so there is lots of existing database code out there that still uses `DriverManager`, not to mention that using the `DataSource` class typically involves using a third-party application such as an application server and a naming service. If you are writing a standalone Java application, such as all of the examples in this book, the `DriverManager` class is the technique most often used.

No matter which technique you choose, they are similar in terms of coding, so I will show both of them to you.



## ◆ Connecting to a JDBC Data Source

To connect to a JDBC data source, its name must be represented as a URL of the following format:

```
jdbc:<subprotocol>:<dsn>
```

All JDBC URLs start with jdbc. The subprotocol is actually determined by the driver you are using. For example, the bridge driver we will use has a subprotocol ODBC. The DSN is whatever the name is of the data source.

For example, the following URL represents a data source named moviesDSN, which is being accessed using the bridge driver:

```
jdbc:odbc:moviesDSN
```

The following URL represents the same data source being accessed from a type 3 driver from a company called JDataConnect:

```
jdbc:JDataConnect://localhost/musicDSN
```

JDBC URLs are driver dependent, so be sure to check any documentation that comes with your driver for determining the proper URL syntax.

## Using the DriverManager Class

There are two steps involved in connecting to a database using the DriverManager class: Load the appropriate JDBC driver, and then invoke the getConnection() method of the DriverManager class.

The driver is loaded when the corresponding bytecode class is loaded by the JVM, so you need to make sure the driver class is loaded. One way to do this is to use the Class.forName() method. For example, the following statement loads the sun.jdbc.odbc.JdbcOdbcDriver type 1 driver that comes with the J2SE:

```
Class.forName("sun.jdbc.odbc.JdbcOdbcDriver");
```

After the driver is loaded, the connection is made using one of the following methods in the DriverManager class:

**public static Connection getConnection(String url) throws SQLException.**

Establishes a connection to the given database. The DriverManager will select an appropriate JDBC driver if more than one has been loaded.

**public static Connection getConnection(String url, String user, String password) throws SQLException.** Same as the previous version, except that a username and password are passed in if the database requires authentication.

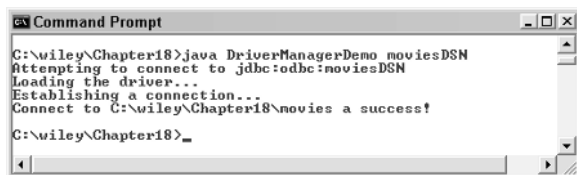
**public static Connection getConnection(String url, Properties info)**  
**throws SQLException.** The Properties object contains information about the connection to be made and typically includes user and password entries.

Notice that the return value of each of these methods is a `java.sql.Connection` object, which represents the connection to the database. I will discuss the Connection class in the upcoming section *Creating Statements*. The following `DriverManagerDemo` program demonstrates using the `DriverManager` class to connect to the `moviesDSN` data source. After the connection is made, the `getCatalog()` method is invoked on the Connection object, which displays the name of the database file. Study the program and try to determine its output, which is shown in Figure 18.1.

```
import java.sql.*;
public class DriverManagerDemo
{
    public static void main(String [] args)
    {
        String url = "jdbc:odbc:" + args[0];
        System.out.println("Attempting to connect to " + url);
        try
        {
            System.out.println("Loading the driver...");
            Class.forName("sun.jdbc.odbc.JdbcOdbcDriver");

            System.out.println("Establishing a connection...");
            Connection connection =
                DriverManager.getConnection(url);

            System.out.println("Connect to "
                + connection.getCatalog() + " a success!");
        }
        catch (Exception e)
        {
            e.printStackTrace();
        }
    }
}
```



```
Command Prompt
C:\wiley\Chapter18>java DriverManagerDemo moviesDSN
Attempting to connect to jdbc:odbc:moviesDSN
Loading the driver...
Establishing a connection...
Connect to C:\wiley\Chapter18\movies a success!
C:\wiley\Chapter18>
```

**Figure 18.1** Output of the `DriverManagerDemo` program.

## Using the DataSource Class

The `javax.sql.DataSource` class can also be used to establish a connection to a database. A naming service is used to locate the database, meaning that the database needs to bind its data source name with the naming service. This step is typically not done by the programmer, but instead is accomplished using a tool provided by the naming service, application server, or database vendor.

When using drivers and the `DriverManager` class, the URL for a data source looks similar to:

```
jdbc:<protocol>:<data_source_name>
```

When using a naming service and the `DataSource` class, the URL for a data source looks similar to:

```
jdbc/<context>/<data_source_name>
```

For example, the following URL might be used to represent our movies database:

```
jdbc/moviesDSN
```

### ◆ Binding the Data Source Name

The `DataSourceDemo` program will not run on your computer unless you bind the data source name in a naming service and then specify the naming service as a property when running the program using the `java.naming.factory.initial` property.

For example, the following command line runs the `DataSourceDemo` program using the `rmiregistry` as its naming service. (The `rmiregistry` comes with the J2SE SDK):

```
java -Djava.naming.factory.initial=
com.sun.jndi.rmi.registry.RegistryContextFactory
DataSourceDemo jdbc/moviesDSN
```

This still does not fix the problem of running this program on your computer, however, because `jdbc/moviesDSN` is not a bound data source name in the `rmiregistry`. This is the problem you will run into when using `DataSource` in a standalone application: You need a naming service as well as a way to bind your database's data source name into the naming service. The J2SE API does not provide the classes for you to do this on your own. You need to use third-party software.

So, why did I show you the `DataSource` technique for connecting to a database? Because it is the preferred way to connect to a database, even though in standalone Java applications such as the ones in this book, you will probably use the `DriverManager` class. You will most often see the `DataSource` class used in J2EE applications. That being said, I wouldn't feel too bad about choosing `DriverManager` instead of the preferred `DataSource` class for establishing database connections, especially because `DriverManager` has been the only way to connect to a database for the first 5 years of Java database programming!

The `javax.naming.InitialContext` class is used to communicate with the naming service, and its `lookup()` method is used to look up a name in the naming service. The following `DataSourceDemo` program demonstrates the steps involved in connecting to a database using the `DataSource` class.

```
import java.sql.*;
import javax.sql.DataSource;
import javax.naming.InitialContext;
public class DataSourceDemo
{
    public static void main(String [] args)
    {
        String dsn = args[0];
        System.out.println("Attempting to connect to " + dsn);
        try
        {
            System.out.println("Initializing the naming context...");
            InitialContext init = new InitialContext();

            System.out.println("Looking up " + dsn);
            DataSource source = (DataSource) init.lookup(dsn);
            System.out.println("Establishing a connection...");
            Connection connection = source.getConnection();
            System.out.println("Connect to "
                + connection.getCatalog() + " a success!");
        }
        catch (Exception e)
        {
            e.printStackTrace();
        }
    }
}
```

When using the `DataSource` class to connect to a database, you do not typically load a JDBC driver; however, if you need to, you can. The drivers are typically specified either from a command-line property or a properties file managed by the application server or naming service tool you are using.

---

## An SQL Primer

Structured Query Language (SQL) is a standardized language that allows you to perform operations on a database, such as creating entries, reading content, updating content, and deleting entries. SQL is supported by most any database you will likely use, and it allows you to write database code independently of the underlying database.

note

SQL is a standard, but most database vendors provide an extension to SQL unique to their database. In this book, I am going to show you the basics of SQL, but keep in mind there is more to it than what is discussed here. I will show you enough SQL to be able to create, read, update, and delete (often referred to as CRUD operations) data from a database, which are far and away the most common types of SQL operations.

## Creating Data

The CREATE TABLE statement is used for creating a new table in a database. The syntax is:

```
CREATE TABLE table_name
(
    column_name column_data_type,
    column_name column_data_type,
    column_name column_data_type
    ...
)
```

For example, the following SQL statement creates a table named Employees with four columns: number, which is an int; payRate, which is a double; and first and last, which are strings of up to 255 characters.

```
CREATE TABLE Employees
(
    number INT NOT NULL,
    payRate DOUBLE PRECISION NOT NULL,
    first VARCHAR(255) ,
    last VARCHAR(255)
)
```

After you have a table created, you can insert rows into the table using the INSERT statement. The syntax for INSERT looks similar to the following, where column1, column2, and so on represent the new data to appear in the respective columns:

```
INSERT INTO table_name VALUES (column1, column2, column3, ...)
```

For example, the following INSERT statement inserts a new row in the Employees database created earlier:

```
INSERT INTO Employees VALUES (101, 20.00, 'Rich', 'Raposa')
```

You can also specify into which columns to insert the data; this is useful if you are not inserting data into each column of the new row. The following INSERT statement does not insert a payRate for the new row:

```
INSERT INTO Employees (number, first, last) VALUES (102, 'Rich', 'Little')
```

In this case, the payRate column will assume a default value or be empty, depending on the database.

## Reading Data

The SELECT statement is used to retrieve data from a database. The syntax for SELECT is:

```
SELECT column_name, column_name, ...  
FROM table_name  
WHERE conditions
```

The WHERE clause can use the comparison operators such as =, !=, <, >, <=, and >=, as well as the BETWEEN and LIKE operators.

For example, the following statement selects the payRate, first and last columns from the Employees table where the number column is 101:

```
SELECT first, last, payRate FROM Employees WHERE number = 101
```

To select all columns from a row, you can use the asterisk \*:

```
SELECT * FROM Employees WHERE number = 101
```

The LIKE operator allows you to select entries containing a particular substring. The following SELECT statement selects employee numbers whose last name starts with an R:

```
SELECT number FROM Employees WHERE last LIKE 'R%'
```

Notice the percent symbol (%) is used to denote a wildcard in a LIKE operation. The following SELECT statement selects all employees whose last name contains the pattern 'er' anywhere in their last name:

```
SELECT * FROM Employees WHERE last LIKE '%er%'
```

The AND and OR operators are used to combine WHERE expressions. The following SELECT statement selects all employees whose pay is greater than 10.0 and less than or equal to 20.0:

```
SELECT * FROM Employees WHERE payRate > 10.0 AND payRate <= 20.0
```

The BETWEEN statement also uses AND. The following statement selects all employees whose last name is between Saunders and Smith:

```
SELECT * FROM Employees WHERE last BETWEEN 'Saunders' AND 'Smith'
```

The NOT operator can be used in a WHERE clause to negate a Boolean expression. The following SELECT statement selects employees whose first name does not start with an R:

```
SELECT * FROM Employees WHERE first NOT LIKE 'R%'
```

By default, the SELECT statement returns all elements that match the WHERE condition, even if the result set is not unique. For example, searching the Employees table for all first names that start with an R will return Rich twice if the database contains a Rich Raposa and a Rich Little. If the DISTINCT keyword is used, the result set will only contain Rich once:

```
SELECT DISTINCT first FROM Employees WHERE first LIKE 'R%'
```

The SELECT statement can contain an optional ORDER BY clause that sorts the elements in the result set. For example, the following SELECT statement returns all employees in the database, sorting the result set by last name:

```
SELECT * FROM Employees ORDER BY last
```

When using ORDER BY, you can use the ASC to denote ascending order, which is the default, and DESC to denote descending order. The following statement returns all employees whose last name starts with R, sorting them in descending order of their pay rate, but ascending order by their last name:

```
SELECT * FROM Employees WHERE last LIKE 'R%' ORDER BY payRate DESC, last ASC
```

## Updating Data

The UPDATE statement is used to update data. The syntax for UPDATE is:

```
UPDATE table_name
    SET column_name = value, column_name = value, ...
    WHERE conditions
```

The following UPDATE statement changes the payRate column of the employee whose number is 101:

```
UPDATE Employees SET payRate=40.00 WHERE number=101
```

The following UPDATE statement changes two columns in the row of employee 102:

```
UPDATE Employees SET payRate=10.00, first='Richard' WHERE number=102
```

## Deleting Data

The DELETE statement is used to delete rows from a database. The DELETE has the syntax:

```
DELETE FROM table_name  
WHERE conditions
```

The following statement deletes the row from the Employees database whose number column is 101:

```
DELETE FROM Employees WHERE number=101
```

The following DELETE statement deletes all employees whose first name starts with Rich:

```
DELETE FROM Employees WHERE first LIKE 'Rich%'
```

You can delete a table from a database using the DROP TABLE statement, which looks similar to:

```
DROP TABLE table_name
```

For example, the following statement removes the Employees table from the database:

```
DROP TABLE Employees
```

## Creating Statements

---

After you connect to a database using either the DriverManager class or the DataSource class, you get a java.sql.Connection object. The Connection class contains the methods you need for creating SQL statements. The java.sql.Statement interface represents a SQL statement, and there are three types of Statement objects:



**java.sql.Statement.** Represents a simple SQL statement with no parameters.

**java.sql.PreparedStatement.** A child interface of Statement, a PreparedStatement object represents a precompiled SQL statement that contains parameters that need to be set before the statement is executed.

**java.sql.CallableStatement.** A child interface of PreparedStatement, a CallableStatement object is used to call a stored procedure in the database.

note

**A Statement is useful for executing a SQL statement that will likely only occur once and has no parameters. If you have a SQL statement that executes a number of times, you should use a PreparedStatement, whether or not parameters are needed. A prepared SQL statement executes more efficiently because the statement is precompiled by the database. In addition, the in parameters of a prepared statement allow you to reuse a common SQL statement repeatedly with different values. If you are invoking a stored procedure in a database, you need to use a CallableStatement.**

Stored procedures represent multiple SQL statements, and invoking a stored procedure is similar to invoking a method. Not all databases support stored procedures, but enterprise-level databases definitely will and are commonly used in large-scale database applications. The CallableStatement interface is used to invoke a stored procedure, which can have both in and out parameters.

No matter which type of statement you need, each is obtained using the Connection object. I will now discuss how to create and use each of the three types of SQL statements, starting with simple statements.

## Simple Statements

---

The `java.sql.Statement` interface represents a simple SQL statement. The Connection interface contains the following methods for creating Statement objects:

**public Statement createStatement() throws SQLException.** Creates a simple SQL statement. The result set of this statement will be read-only and forward scrolling only.

**public Statement createStatement(int resultSetType, int concurrency) throws SQLException.** Creates a simple SQL statement whose result set will have the given properties. The `resultSetType` is either `TYPE_FORWARD_ONLY`, `TYPE_SCROLL_INSENSITIVE`, or `TYPE_SCROLL_SENSITIVE`, which are static fields in the `java.sql.ResultSet` interface. These values are discussed in the next section, *Working with Result Sets*. The concurrency type is `CONCUR_READ_ONLY` or `CONCUR_UPDATABLE`, for denoting whether the result set is updatable or not.

**public Statement createStatement(int resultSetType, int concurrency, int holdability) throws SQLException.** Similar to the previous method, except a result set holdability property is denoted. The possible values for holdability are `HOLD_CURSORS_OVER_COMMIT` or `CLOSE_CURSORS_AT_COMMIT`, static fields in the `ResultSet` interface. These are also discussed in the next section. For example, the following statements create a `Statement` object using the default result set properties:

```
Class.forName("sun.jdbc.odbc.JdbcOdbcDriver");
Connection connection =
    DriverManager.getConnection("jdbc:odbc:someDSN");
Statement statement = connection.createStatement();
```

A `Statement` object can represent any SQL statement, and the `Statement` interface contains methods for defining and executing the SQL statement. Some of the methods in the `Statement` interface include:

**public ResultSet executeQuery(String sql) throws SQLException.** Executes a SQL statement that returns a single result set. Use this method for `SELECT` statements.

**public int executeUpdate(String sql) throws SQLException.** Executes the given SQL statement. Use this method for executing `UPDATE`, `INSERT`, or `DELETE` statements or other statements that do not return a result set. The return value is a row count of the number of rows affected by the SQL statement.

**public boolean execute(String sql) throws SQLException.** Executes the given SQL statement. This method is useful if you do not know what type of SQL statement is being executed. If the statement generates a result set, it can be obtained using the `getResultSet()` method.

For example, the following code executes an `INSERT` statement:

```
statement.executeUpdate("INSERT INTO Employees VALUES (101, 20.00,
'Rich', 'Raposa')");
```

note

You can also use a `Statement` object to create a batch of SQL statements and then execute the entire batch at once. This is accomplished using the following methods of the `Statement` interface:

**public void addBatch(String sql) throws SQLException.** Add the given SQL statement to the current batch.

**public int [] executeBatch() throws SQLException.** Executes all of the SQL statements in the batch. The array of return values corresponds to the statements in the batch and typically represents a row count for each batch statement executed successfully.

Let's look at an example that uses a Statement object to add data to our movies database. I wanted to make this example object oriented, so the first step I took was to write a class named Movie that represented a movie. This class is available on the Web site. The Movie class has fields to represent the title of the movie, the category (drama, comedy, kids, and so on), the format available, and a unique number for inventory purposes.

note

**Be sure to view the Movie class from the book's Web site. You will notice that the Movie class does not contain any database programming. This was a design decision. I wanted the Movie class to be able to be used in many different situations, not just representing data in a database. I wrote a separate class, MovieDatabase, that contains the database code for performing operations on the Movies table of the movies.mdb database using the Movie class.**

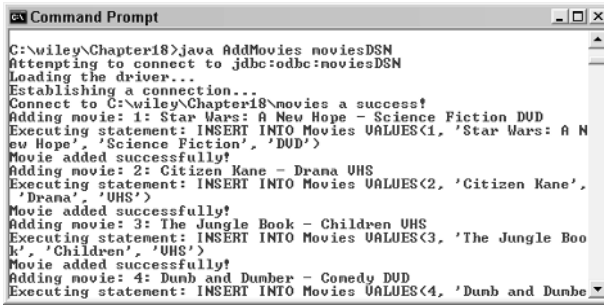
The following MovieDatabase class contains an addMovie() method that adds an entry in the Movies table of a database. Study the class carefully and then try to determine what the code is doing:

```
import java.sql.*;
public class MovieDatabase
{
    private Connection connection;
    public MovieDatabase(Connection connection)
    {
        this.connection = connection;
    }
    public void addMovie(Movie movie)
    {
        System.out.println("Adding movie: " + movie.toString());
        try
        {
            Statement addMovie = connection.createStatement();
            String sql = "INSERT INTO Movies VALUES("
                + movie.getNumber() + ", "
                + "'" + movie.getMovieTitle() + "', "
                + "'" + movie.getCategory() + "', "
                + "'" + movie.getFormat() + "')";
            System.out.println("Executing statement: " + sql);
            addMovie.executeUpdate(sql);
            addMovie.close();
            System.out.println("Movie added successfully!");
        }
        catch(SQLException e)
        {
            e.printStackTrace();
        }
    }
}
```

The following AddMovies program adds six movies to the database. Study the program carefully and try to determine what it does and what its output will be, which is shown in Figure 18.2.

```
import java.sql.*;
public class AddMovies
{
    public static void main(String [] args)
    {
        String url = "jdbc:odbc:" + args[0];
        System.out.println("Attempting to connect to " + url);
        try
        {
            System.out.println("Loading the driver...");
            Class.forName("sun.jdbc.odbc.JdbcOdbcDriver");

            System.out.println("Establishing a connection...");
            Connection connection =
                DriverManager.getConnection(url);
            System.out.println("Connect to "
                + connection.getCatalog() + " a success!");
            MovieDatabase db = new MovieDatabase(connection);
            Movie [] movies = new Movie[6];
            movies[0] = new Movie(1, "Star Wars: A New Hope",
                "Science Fiction", "DVD");
            movies[1] = new Movie(2, "Citizen Kane", "Drama",
                "VHS");
            movies[2] = new Movie(3, "The Jungle Book",
                "Children", "VHS");
            movies[3] = new Movie(4, "Dumb and Dumber",
                "Comedy", "DVD");
            movies[4] = new Movie(5, "Star Wars: Attack of the
                Clones", "Science Fiction", "DVD");
            movies[5] = new Movie(6, "Toy Story", "Children",
                "DVD");
            for(int i = 0; i < movies.length; i++)
            {
                db.addMovie(movies[i]);
            }
            System.out.println("Closing the connection...");
            connection.close();
        }
        catch(Exception e)
        {
            e.printStackTrace();
        }
    }
}
```



```

C:\wiley\Chapter18>java AddMovies moviesDSN
Attempting to connect to jdbc:odbc:moviesDSN
Loading the driver...
Establishing a connection...
Connect to C:\wiley\Chapter18\movies a success!
Adding movie: 1: Star Wars: A New Hope - Science Fiction DVD
Executing statement: INSERT INTO Movies VALUES(1, 'Star Wars: A N
ew Hope', 'Science Fiction', 'DVD')
Movie added successfully!
Adding movie: 2: Citizen Kane - Drama VHS
Executing statement: INSERT INTO Movies VALUES(2, 'Citizen Kane',
'Drama', 'VHS')
Movie added successfully!
Adding movie: 3: The Jungle Book - Children VHS
Executing statement: INSERT INTO Movies VALUES(3, 'The Jungle Boo
k', 'Children', 'VHS')
Movie added successfully!
Adding movie: 4: Dumb and Dumber - Comedy DVD
Executing statement: INSERT INTO Movies VALUES(4, 'Dumb and Dunbe

```

**Figure 18.2** Part of the output of the AddMovies program.

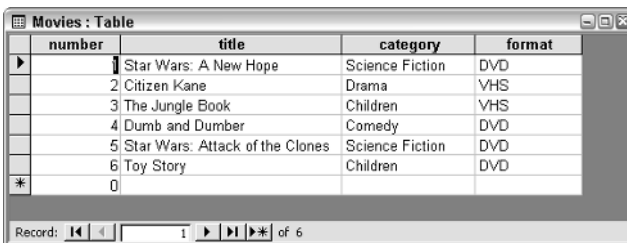
**warning**

The first few times I ran the AddMovies program, the last movie wasn't showing up in the database. What was the problem? I forgot to close the database connection when I was done with it. The SQL statement that inserted the movie executed, but it did not commit to the database because I left the connection open. After adding the statement

```
connection.close();
```

at the end of the AddMovies program, I saw all six movies added to the database successfully. Keep in mind I had this problem using Microsoft Access. Other databases may behave differently, but closing the connection when you are finished with it is still an important step.

After running the AddMovies program, the six entries appear in the movies database, as shown in Figure 18.3.



number	title	category	format
1	Star Wars: A New Hope	Science Fiction	DVD
2	Citizen Kane	Drama	VHS
3	The Jungle Book	Children	VHS
4	Dumb and Dumber	Comedy	DVD
5	Star Wars: Attack of the Clones	Science Fiction	DVD
6	Toy Story	Children	DVD
0			

**Figure 18.3** Six movies now appear in the Movies table of the movies.mdb database.

## Working with Result Sets

---

The SQL statements that read data from a database query return the data in a *result set*. The SELECT statement is the standard way to select rows from a database and view them in a result set. The `java.sql.ResultSet` interface represents the result set of a database query.

A `ResultSet` object maintains a *cursor* that points to the current row in the result set. The methods of the `ResultSet` interface can be broken down into three categories:

- Navigational methods used to move the cursor around.
- Get methods that are used to view the data in the columns of the current row being pointed to by the cursor.
- Update methods that update the data in the columns of the current row. The updates can then be updated in the underlying database as well.

Let's look at some of these methods in the `ResultSet` interface because working with result sets is an essential task of any database application. We'll start with the methods that let you move the cursor around.

## Navigating a Result Set

---

The cursor is movable based on the properties of the `ResultSet`. These properties are designated when the corresponding `Statement` that generated the `ResultSet` is created. (See the `createStatement()` methods in the section *Simple Statements*.) The possible properties of a result set cursor are:

**`ResultSet.TYPE_FORWARD_ONLY`.** The cursor can only move forward in the result set.

**`ResultSet.TYPE_SCROLL_INSENSITIVE`.** The cursor can scroll forwards and backwards, and the result set *is not* sensitive to changes made by others to the database that occur after the result set was created.

**`ResultSet.TYPE_SCROLL_SENSITIVE`.** The cursor can scroll forwards and backwards, and the result set *is* sensitive to changes made by others to the database that occur after the result set was created.

If the type is forward only, you can only navigate through the result set once, and only moving the cursor forward. Scrollable cursors can be moved forward and backward in the result set. If a result set is marked as insensitive, the result set is a snapshot of the corresponding data in the database at the time the result set was created, and subsequent changes to the database do not affect

the result set. If the result is marked as sensitive, changes to the database should appear in the result set as well.

There are several methods in the `ResultSet` interface that involve moving the cursor, including:

- `public void beforeFirst() throws SQLException.`** Moves the cursor to just before the first row.
- `public void afterLast() throws SQLException.`** Moves the cursor to just after the last row.
- `public boolean first() throws SQLException.`** Moves the cursor to the first row.
- `public void last() throws SQLException.`** Moves the cursor to the last row.
- `public boolean absolute(int row) throws SQLException.`** Moves the cursor to the specified row.
- `public boolean relative(int row) throws SQLException.`** Moves the cursor the given number of rows forward or backwards from where it currently is pointing.
- `public boolean previous() throws SQLException.`** Moves the cursor to the previous row. This method returns false if the previous row is off the result set.
- `public boolean next() throws SQLException.`** Moves the cursor to the next row. This method returns false if there are no more rows in the result set.
- `public int getRow() throws SQLException.`** Returns the row number that the cursor is pointing to.
- `public void moveToInsertRow() throws SQLException.`** Moves the cursor to a special row in the result set that can be used to insert a new row into the database. The current cursor location is remembered.
- `public void moveToCurrentRow() throws SQLException.`** Moves the cursor back to the current row if the cursor is currently at the insert row; otherwise, this method does nothing.

## Viewing a Result Set

---

The `ResultSet` interface contains dozens of methods for getting the data of the current row. There is a `get` method for each of the possible data types, and each `get` method has two versions: one that takes in a column name, and one that takes in a column index.





```

        System.out.println(movie.toString());
    }
    results.close();
    selectAll.close();
}
catch(SQLException e)
{
    e.printStackTrace();
}
}

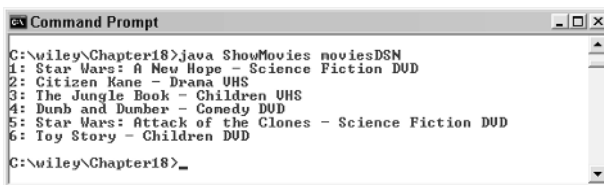
```

The following ShowMovies program calls the showAllMovies() method to test the program and make sure that it is successful. Study the ShowMovies and MovieDatabase classes to determine the output of running the ShowMovies program, which is shown in Figure 18.4.

```

import java.sql.*;
public class ShowMovies
{
    public static void main(String [] args)
    {
        String url = "jdbc:odbc:" + args[0];
        try
        {
            Class.forName("sun.jdbc.odbc.JdbcOdbcDriver");
            Connection connection =
                DriverManager.getConnection(url);
            MovieDatabase db = new MovieDatabase(connection);
            db.showAllMovies();
            connection.close();
        }
        catch(Exception e)
        {
            e.printStackTrace();
        }
    }
}

```



```

C:\wiley\Chapter18>java ShowMovies moviesDSN
1: Star Wars: A New Hope - Science Fiction DVD
2: Citizen Kane - Drama UHS
3: The Jungle Book - Children UHS
4: Dumb and Dumber - Comedy DVD
5: Star Wars: Attack of the Clones - Science Fiction DVD
6: Toy Story - Children DVD
C:\wiley\Chapter18>_

```

**Figure 18.4** Output of the ShowMovies program.

## Updating a Result Set

The `ResultSet` interface contains a collection of update methods for updating the data of a result set. As with the get methods, there are two update methods for each data type: one that uses the column name and one that uses the column index.

For example, to update a `String` column of the current row of a result set, you would use one of the following `updateString()` methods:

**`public void updateString(int columnIndex, String s) throws SQLException.`**

Changes the `String` in the specified column to the value of `s`.

**`public void updateString(String columnName, String s) throws SQLException.`** Similar to the previous method, except that the column is specified by its name instead of its index.

There are update methods for the eight primitive data types, as well as `String`, `Object`, `URL`, and the SQL data types in the `java.sql` package.

Updating a row in the result set changes the columns of the current row in the `ResultSet` object, but not in the underlying database. To update your changes to the row in the database, you need to invoke the following method:

**`public void updateRow().`** Updates the current row by updating the corresponding row in the database.

In the next section, *Prepared Statements*, I will demonstrate how to use the update methods to update the data in the database.

note

**The `updateRow()` method updates any changes to the current row in the result set to the underlying database. Here are some other methods in the `ResultSet` interface that deal with the current result set row and the corresponding database row:**

**`public void deleteRow().`** Deletes the current row from the database.

**`public void refreshRow().`** Refreshes the data in the result set to reflect any recent changes in the database.

**`public void cancelRowUpdates().`** Cancels any updates made on the current row.

**`public void insertRow().`** Inserts a row into the database. This method can only be invoked when the cursor is pointing to the insert row.

## Prepared Statements

A *prepared statement* is an SQL statement that contains parameters, and the `java.sql.PreparedStatement` interface is used to represent a prepared SQL statement. Before a prepared statement can be executed, each parameter needs to be assigned using one of the set methods in the `PreparedStatement` interface. A question mark is used to denote a parameter.

For example, the following prepared statement inserts a new row in a table called `Employees`:

```
INSERT INTO Employees VALUES (?, ?, ?, ?)
```

This prepared statement contains four parameters. When the `PreparedStatement` object is created using the `Connection` object, this statement is sent to the database and precompiled, allowing the database to execute the statement at a faster rate.

note

**Prepared statements are preferred over simple statements for two good reasons:**

- **Prepared statements execute faster because they are precompiled.**
- **As you soon may discover, prepared statements are easier to code because you do not have to worry about things like single quotes around text or missing commas.**

**My second point is demonstrated in the `addMovie()` method of the `MovieDatabase` class, which contains a simple but still tedious SQL statement.**

Using a prepared statement involves the following steps:

1. Create a `PreparedStatement` object using one of the `prepareStatement()` methods of the connection.
2. Use the appropriate set methods of the `PreparedStatement` interface to set each of the parameters of the prepared statement.
3. Invoke one of the `execute()` methods of the `PreparedStatement` interface to execute the statement.

### Step 1: Preparing the Statement

Let's start with the `Connection` interface, which contains the following six methods for creating a `PreparedStatement`:

**public PreparedStatement prepareStatement(String sql) throws SQLException.** Creates a prepared SQL statement. The SQL is sent to the database for precompilation.

**public PreparedStatement prepareStatement(String sql, int resultSetType, int concurrency, int holdability) throws SQLException.** Creates a prepared statement using the specified properties for result sets. The `resultSetType` is either `TYPE_FORWARD_ONLY`, `TYPE_SCROLL_INSENSITIVE`, or `TYPE_SCROLL_SENSITIVE`. The concurrency type is either `CONCUR_READ_ONLY` or `CONCUR_UPDATABLE`, and holdability is either `HOLD_CURSORS_OVER_COMMIT` or `CLOSE_CURSORS_AT_COMMIT`.

**public PreparedStatement prepareStatement(String sql, int resultSetType, int concurrency) throws SQLException.** Similar to the previous method, except that only the scroll type and concurrency type are specified.

**public PreparedStatement prepareStatement(String sql, int pk) throws SQLException.** Creates a prepared SQL statement used for INSERT statements in a database that generates the primary key for you. The possible values of `pk` are `RETURN_GENERATED_KEYS` or `NO_GENERATED_KEYS`, and only applies to INSERT statements.

**public PreparedStatement prepareStatement(String sql, String [ ] keys) throws SQLException.** Creates a prepared SQL statement used for INSERT statements in a database that generates the primary key for you. The array of Strings represents the column name or names that compose the primary key.

**public PreparedStatement prepareStatement(String sql, int [ ] keys) throws SQLException.** Similar to the previous method, except that the array of columns is denoted by the column index instead of the column name.

Notice that these last three `prepareStatement()` methods contain information about autogenerated primary keys, which only applies to INSERT statements.

note

**Creating a Statement did not involve the actual SQL, since it is done using the Statement interface; however, when creating a prepared statement, SQL is needed so the statement can be precompiled and the PreparedStatement object can be created.**

The following code demonstrates preparing a statement using a connection:

```
PreparedStatement insert = connection.prepareStatement(
    "INSERT INTO Employees VALUES (?, ?, ?, ?)");
```

note

Each question mark in a prepared statement denotes a parameter. The order in which the parameters appear determines their index, with the first parameter being index 1, the second parameter index 2, and so on. This is important when you go to set the values using the various set methods in the `PreparedStatement` interface.

## Step 2: Setting the Parameters

Before a prepared statement can be executed, each of its parameters must be assigned a value. The `PreparedStatement` interface contains a set method for each of the possible data types of a parameter. Each set method takes in an index to denote which parameter to set. For example, if the data type of the parameter is a double, then you use the method:

**`public void setDouble(int index, double value).`** Sets the specified index to the double value argument.

The following statements prepare a statement and assign each of its four parameters a value using the appropriate set method:

```
PreparedStatement insert = connection.prepareStatement(
    "INSERT INTO Employees VALUES (?, ?, ?, ?)");
insert.setDouble(2, 2.50);
insert.setInt(1, 103);
insert.setString(3, "George");
insert.setString(4, "Washington");
```

Notice that the order in which you set the parameters does not matter, as long as you set a value for each parameter of the prepared statement.

## Step 3: Executing a Prepared Statement

After the values of all the parameters are set, the prepared statement is executed using one of the following methods in the `PreparedStatement` interface:

**`public ResultSet executeQuery() throws SQLException.`** Use this method if the SQL statement returns a result set, like a `SELECT` statement.

**`public int executeUpdate() throws SQLException.`** Use this method for statements like `INSERT`, `UPDATE`, or `DELETE`. The return value is the number of rows affected.

**`public boolean execute() throws SQLException.`** This method executes any type of SQL statement. Use the `getResultSet()` method to obtain the result set if one is created.

To demonstrate prepared statements, I added a `changeCategory()` method to the `MovieDatabase` class that changes the category of a movie in the database.

Because the class uses prepared statements, the PreparedStatement objects only need to be created once, so I did this in the constructor. Study the class carefully to see how the statements are prepared and executed.

```
import java.sql.*;
public class MovieDatabase
{
    private Connection connection;
    private PreparedStatement findByNumber, updateCategory;
    public MovieDatabase(Connection connection) throws SQLException
    {
        this.connection = connection;
        findByNumber = connection.prepareStatement(
            "SELECT * FROM Movies WHERE number = ?");
        updateCategory = connection.prepareStatement(
            "UPDATE Movies SET category = ? WHERE number = ?");
    }
    public void changeCategory(int number, String newCategory)
    {
        try
        {
            updateCategory.setString(1, newCategory);
            updateCategory.setInt(2, number);
            updateCategory.executeUpdate();

            System.out.println("Verifying change...");
            findByNumber.setInt(1, number);
            ResultSet results = findByNumber.executeQuery();
            if(results.next())
            {
                System.out.println("Category of "
                    + results.getString("title") + " is "
                    + results.getString("category"));
            }
            else
            {
                System.out.println("No movie found matching number "
                    + number);
            }
        }
        catch(SQLException e)
        {
            e.printStackTrace();
        }
    }
}
```

The following UpdateCategory program inputs a movie number and category, then changes the category of the given movie. Study the program along with the MovieDatabase class and try to determine what the output is and what changes occur in the database.

number	title	category	format
1	Star Wars: A New Hope	Science Fiction	DVD
2	Citizen Kane	Drama	VHS
3	The Jungle Book	Children	VHS
4	Dumb and Dumber	Comedy	DVD
5	Star Wars: Attack of the Clones	Science Fiction	DVD
6	Toy Story	Comedy	DVD
*	0		

**Figure 18.5** Movies table after the UpdateCategory program executes.

```
import java.sql.*;
public class UpdateCategory
{
    public static void main(String [] args)
    {
        String url = "jdbc:odbc:" + args[0];
        int number = Integer.parseInt(args[1]);
        String category = args[2];
        try
        {
            Class.forName("sun.jdbc.odbc.JdbcOdbcDriver");
            Connection connection =
                DriverManager.getConnection(url);
            MovieDatabase db = new MovieDatabase(connection);
            System.out.println("Changing category of movie number "
                + number + " ...");
            db.changeCategory(number, category);
            connection.close();
        }
        catch(Exception e)
        {
            e.printStackTrace();
        }
    }
}
```

Figure 18.5 shows the Movies table of the movies.mdb database after the program executes. The category of Toy Story was Children, but now it is Comedy.

## Callable Statements

A CallableStatement object is used to invoke a stored procedure in a database. A stored procedure allows you to repeat a sequence of tasks repeatedly in an efficient manner, much like writing a method in Java. There are several ways

to create a stored procedure, which is database dependent. In the sidebar *Stored Procedures in Microsoft Access*, I show you how to create a stored procedure in Access.

note

**The CREATE PROCEDURE statement can be used to create a procedure using SQL. The syntax looks similar to:**

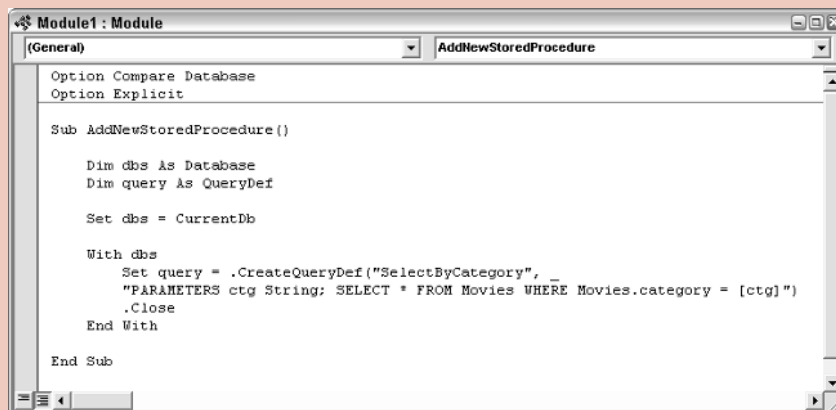
```
CREATE PROCEDURE ShowMovies (IN category varchar(32))
LANGUAGE SQL
BEGIN
    SELECT * FROM Movies
    WHERE Movies.category = category;
END;
```

**Keep in mind that the syntax is database dependent, so you will need to check the documentation of your database for using the correct CREATE PROCEDURE statement.**

## ◆ Stored Procedures in Microsoft Access

The CallableDemo program at the end of this chapter demonstrates how to invoke a stored procedure in a database. The program invokes a procedure named `SelectByCategory`, which I wrote in Microsoft Access using Visual Basic. I'll be honest with you: I don't know Visual Basic at all, so it is fitting when I say that this is not a lesson in Visual Basic. However, I will show you the steps involved in creating this stored procedure in Access, and those of you familiar with Visual Basic will quickly find some useful and interesting uses of stored procedures.

Start by opening the `movies.mdb` database in Access. Click the Modules tab, and then click the New button. Figure 18.6 shows the Module dialog that appears.



**Figure 18.6** The Module dialog allows you to enter Visual Basic code.

*continued*



## ◆ Stored Procedures in Microsoft Access *(continued)*

Enter the procedure defined in Figure 18.6. After you have entered it, click File and then Save. Save the module as "StoredProcedures".

The Visual Basic statements of interest in this procedure are:

```
Set query = .CreateQueryDef("SelectByCategory", _
    "PARAMETERS category String; SELECT * FROM Movies WHERE
    Movies.category = [category]")
```

The CreateQueryDef function creates a stored procedure. The first argument is the name of the stored procedure, which in this example is SelectByCategory. The second argument is the SQL of the procedure, which in this example selects the rows in the Movies table that match the given category parameter.

After you have entered the AddNewStoredProcedure() subroutine from Figure 18.6, you need to run it so that the stored procedure gets created. Run the subroutine by Go/Continue from the Run menu (or by selecting F5).

After you have successfully run the subroutine, the stored procedure SelectByCategory is now ready to be invoked from your Java applications using the SQL statement:

```
{call SelectByCategory(?)}
```

of a CallableStatement object, as demonstrated by the showByCategory() method of the MovieDatabase class. Be sure to close the Module window and the movies.mdb database before running the CallableDemo program.

Use one of the prepareCall() methods of the Connection interface to create a CallableStatement object:

**public CallableStatement prepareCall(String sql) throws SQLException.**

Creates a callable statement using the given SQL statement.

**public CallableStatement prepareCall(String sql, int resultSetType, int concurrency) throws SQLException.**

Creates a callable statement using the given SQL statement, result set type, and concurrency type.

**public CallableStatement prepareCall(String sql, int resultSetType, int concurrency, int holdability) throws SQLException.**

Creates a callable statement using the given SQL statement, result set type, concurrency type, and holdability type.

The SQL for invoking a callable procedure that has parameters looks similar to:

```
{call procedure_name(?, ?, ...)}
```

As with prepared statements, the parameters need to be set before the callable procedure can be invoked. If the procedure does not have any parameters, it is invoked using the statement:

```
{call procedure_name}
```

For example, the following statements create a CallableStatement object for a procedure named UpdateScores that has one parameter:

```
CallableStatement c = connection.prepareCall("{call UpdateScores(?)}")
```

Before the statement can be executed, the parameter is set using one of the set methods in the CallableStatement interface, which are similar to the set methods of the PreparedStatement interface. For example, the following statement sets the first parameter of a CallableStatement to an int value of 27:

```
c.setInt(1, 27);
```

To execute a CallableStatement, invoke one of the execute methods inherited from PreparedStatement, which is the parent interface of CallableStatement. For example:

```
c.execute();
```

To demonstrate invoking a stored procedure, I added a showByCategory() method to the MovieDatabase class that uses a CallableStatement to invoke a stored procedure named SelectByCategory. (Read the sidebar *Stored Procedures in Microsoft Access* to see how this stored procedure was created.) Study the showByCategory() method to determine what it does.

```
import java.sql.*;
public class MovieDatabase
{
    private Connection connection;
    private PreparedStatement findByNumber, updateCategory;
    private CallableStatement findByCategory;
    public MovieDatabase(Connection connection) throws SQLException
    {
        this.connection = connection;
        findByNumber = connection.prepareStatement(
            "SELECT * FROM Movies WHERE number = ?");
```

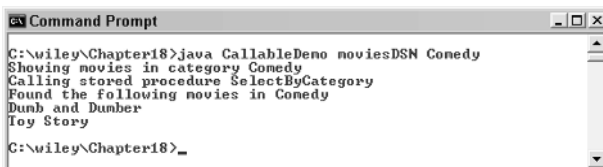
```

        updateCategory = connection.prepareStatement(
            "UPDATE Movies SET category = ? WHERE number = ?");
        findByCategory = connection.prepareCall(
            "{call SelectByCategory(?)}");
    }
    public void showByCategory(String category)
    {
        try
        {
            findByCategory.setString(1, category);
            System.out.println("Calling stored procedure
                SelectByCategory");
            ResultSet result = findByCategory.executeQuery();

            System.out.println("Found the following movies in "
                + category);
            while(result.next())
            {
                System.out.println(result.getString("title"));
            }
        }
        catch(SQLException e)
        {
            e.printStackTrace();
        }
    }
}

```

The following CallableDemo program shows what happens when the showByCategory() method is invoked. Study the program and MovieDatabase class and try to determine the output of the CallableDemo program, which is shown in Figure 18.7.



```

C:\wiley\Chapter18>java CallableDemo moviesDSN Comedy
Showing movies in category Comedy
Calling stored procedure SelectByCategory
Found the following movies in Comedy
Dumb and Dumber
Toy Story
C:\wiley\Chapter18>_

```

**Figure 18.7** CallableDemo program prints out the movies that match the given category on the command line.



## Lab 18.1: Using JDBC

This lab is designed to help you become familiar with using JDBC.

1. Start by creating a database to store music CDs. Add a table named CDs and columns for the artist and title.
2. Write a class to represent a music CD that does not contain any database code, similar to the Movie class in this chapter.
3. Write a class named CDDatabase. Add a method to CDDatabase called insertCD() that takes in a CD object and inserts it in the database.
4. Add a method named removeCD() to CDDatabase that takes in a CD object and removes it from the database.
5. Add a method named findByTitle() that takes in a String and returns an array of CD objects. The array represents CDs in the database whose title matches any part of the given string.
6. Add a method named findByArtist() that takes in an artist's name and returns an array containing all CDs in the database that match the given artist.
7. Save and compile the CDDatabase class.
8. Write a program named FillDatabase that fills the database with a collection of CDs, or simply add CDs to the database manually using your database program.
9. Write a program that tests all the methods of the CDDatabase, making sure they work correctly.

When you invoke a method on the CDDatabase object, the results should be consistent with the data in the database. For example, invoking insertCD() should insert a row in the table, and invoking removeCD() should remove a row from the table.



## Lab 18.2: Using Result Sets

In this lab, you will create a GUI for the results in Lab 18.1 and then add a feature that allows you to scroll through the CDs in the database.

1. Write a class named `CDFrame` that extends `javax.swing.JFrame`. Add a `JTextArea` in the center, two `.JTextField` components in the north (one for entering an artist and one for entering a title), and add four buttons to the south: First, Previous, Next, and Last.
2. Write a class named `CDListener` that implements `ActionListener`. Add two `TextField` and one `JTextArea` fields and initialize them in a constructor. They will be references to the GUI components of the `CDFrame`.
3. Within the `actionPerformed()` method of `CDListener`, determine the source of the action event. If it is a button, determine which of the four navigation buttons was clicked and then perform the appropriate action, displaying the CD in the text area. For example, if the First button was clicked, show the first CD in the database. If the Next button is clicked, show the next CD in the database and so on. Use your `CDDatabase` class from Lab 18.1.
4. If the source of the `ActionEvent` is a `TextField`, the user has typed something in one of the text fields and has pressed Enter. This means that the user wants to search the database for a given artist, a given title, or both. Perform the desired search using the appropriate methods of your `CDDatabase` class, then display the results in the text area.
5. Save and compile your `CDListener` class.
6. In the constructor or your `CDFrame` class, instantiate a new `CDListener` and register it as an action listener to the four buttons and the two text fields.
7. Add `main()` to your `CDFrame` class. Within `main()` instantiate a `CDFrame` object, resize it, and make it visible.
8. Save, compile, and run your `CDFrame` class.

You should see your `CDFrame` GUI appear, and clicking the navigational buttons should display the corresponding CD in the text area. Entering an artist's name and pressing Enter should display in the text area all of the CDs in the database from that artist. Entering a title should display all CDs in the database that contain the given text in part of the title's name. For example, entering The in the title text field should display all CDs in the database with *The* in their title.

---



## Lab 18.3: The Reminder Application

This lab is a continuation of Lab 16.5, *A Reminder Application*. The Reminder application you wrote in Lab 16.5 is useful to the extent that your reminders are remembered and successful as long as the program is running. After you shut down the program, all of your reminders are lost. In this lab, you will use a database to keep track of the reminders.

1. Start by creating a new database. Add a table called Reminders that contains columns for keeping track of the time and message of each reminder. You might want to add a number column to the table to represent a primary key.
2. When a user creates a reminder, add the information to the database. (Your program should still do whatever it performed previously.)
3. When a reminder goes off, delete it from the database.
4. Now, when the program is exited, all upcoming reminders are maintained in the database. When the program starts up, it should retrieve the reminders from the database and schedule them.
5. If a reminder should have occurred while the program was not running, have the reminder displayed immediately when the program starts up.
6. Test your program and make sure it works successfully.

Your Reminder application is now useful. Reminders are not lost, although they might be missed if the program isn't running when a reminder is scheduled. (However, that's true with any reminder-type application.)

---

## Summary

- The JDBC API contains classes and interfaces for connecting to a database and performing SQL statements.
- You need a JDBC driver for a Java application to connect to a database. There are four types of drivers referred to as Type 1, 2, 3, and 4. Each type of driver has its benefits.

- The `java.sql.DriverManager` class can be used to obtain a connection to a database. The `java.sql.Connection` interface represents the database connection.
- The `javax.sql.DataSource` class can also be used to obtain a connection to a database. This is the preferred technique when connecting to a database from within a J2EE application or environment that provides JNDI.
- SQL stands for Structured Query Language and is the language used by most databases for accessing and updating data.
- There are three types of statements: simple statements, prepared statements, and callable statements, which are used for stored procedures.
- The `java.sql.Statement` interface represents simple statements, the `java.sql.PreparedStatement` interface represents prepared statements, and the `java.sql.CallableStatement` interface represents callable statements. Each of these is obtained using the `Connection` object.
- The `java.sql.ResultSet` interface represents a result set, the data returned from an SQL query.

## Review Questions

1. What does JDBC stand for?
2. If you have a JDBC driver that was provided by your database vendor, written entirely in Java, what type of driver do you probably have?
  - a. type 1
  - b. type 2
  - c. type 3
  - d. type 4
3. If you have a JDBC driver that works on multiple databases from different vendors, what type of driver do you probably have?
  - a. type 1
  - b. type 2
  - c. type 3
  - d. type 4
4. If you have a JDBC driver that requires a middleware application to convert the JDBC calls into native calls using an intermediate protocol, which type of driver do you have?
  - a. type 1
  - b. type 2
  - c. type 3
  - d. type 4
5. How do you load a JDBC driver so that it is available in your Java application?
6. If I am using the JDBC-ODBC bridge driver that comes with the J2SE and my database has a data source name of "contactsDSN", what is the URL used to connect to this database using the `getConnection()` method?
7. Describe the result set of the SQL statement:

```
SELECT * FROM SomeTable WHERE someColumn LIKE '%java%'
```
8. List the three types of statements you can create from a `java.sql.Connection` object.
9. Suppose that you want to execute an SQL statement once. Which of the three types of statements would best fit this situation?
10. Suppose you want to execute an SQL statement repeatedly. Which of the three types of statements would best fit this situation?
11. What is the parent interface of `PreparedStatement`?



12. What is the parent interface of CallableStatement?
13. Which properties would you use to create a statement whose result set is scrollable and updatable? Select all that apply.
  - a. TYPE\_FORWARD\_ONLY
  - b. TYPE\_SCROLL\_INSENSITIVE
  - c. CONCUR\_READ\_ONLY
  - d. CONCUR\_UPDATABLE
  - e. CLOSE\_CURSORS\_AT\_COMMIT
14. Suppose that you have a `java.sql.Statement` object and you want to use it to execute a DELETE statement. Which of the following methods in `Statement` can be used to execute the DELETE statement? (Select all that apply.)
  - a. `execute(String sql)`
  - b. `executeUpdate(String sql)`
  - c. `executeQuery(String sql)`
  - d. `executeBatch()`
  - e. `runSQL(String sql)`
15. True or False: The cursor of a `ResultSet` initially points to just before the first row.
16. True or False: Invoking `next()` causes an `SQLException` to occur if the `ResultSet` is empty.
17. What is the effect of invoking `relative(5)` on a `ResultSet` object?
18. True or False: A result set must be scrollable for the `previous()` method to execute successfully.
19. True or False: Invoking `updateString(1, "Hello")` on a `ResultSet` object changes the first column of the current row of the `ResultSet` to "Hello".
20. True or False: Invoking `updateString(1, "Hello")` on a `ResultSet` object changes the data in the database that corresponds to the current row.
21. True or False: The first parameter of a `PreparedStatement` has the index value 0.
22. Which method in the `Connection` interface is used to create a `CallableStatement`?
  - a. `createStatement()`
  - b. `prepareCall()`
  - c. `prepareStatement()`
  - d. `createCall()`

## Answers to Review Questions

1. Okay, that's a trick question. It is commonly referred to as Java Database Connectivity, but it officially does not stand for anything.
2. Type 4 drivers are pure-Java drivers typically provided by the database vendor, so the answer is d.
3. Type 3 drivers work on different databases, so the answer is c.
4. The question describes exactly how type 3 drivers work, so the answer is c again.
5. A driver is loaded by having the JVM load its corresponding class. This is typically done using the `Class.forName()` method.
6. `jdbc:odbc:contactsDSN`.
7. The result will be all columns from the rows in `SomeTable` whose `someColumn` data contains the substring 'java' in any part of it.
8. Simple statements of type `Statement`, prepared statements of type `PreparedStatement`, and callable statements of type `CallableStatement`.
9. A simple statement would probably work best when only executing the statement once.
10. A prepared statement is more efficient when used repeatedly because its SQL is pre-compiled by the database. A stored procedure might also work well too.
11. `java.sql.Statement`.
12. `java.sql.PreparedStatement`.
13. b makes the result set scrollable, and d makes it updatable.
14. b is the likely choice, although a works for any SQL statement, and d actually works if you add the statement to a batch. The only two that don't work are c, which is for SQL statements that return a `ResultSet`, and the `runSQL()` method is one I made up.
15. True. You must invoke `next()` on a `ResultSet`, even if it only contains one row.
16. False. The `next()` method returns false if there is no next row.
17. The cursor moves five rows ahead of its current position.
18. True. Nonscrollable result sets can only be navigated forward.
19. True. The data of the `ResultSet` object changes.
20. False. The data in the database does not change. You need to invoke `updateRow()` before any updates appear in the database.
21. False. The first parameter has an index 1.
22. b. `createCall()` is not a method in `Connection`, `prepareStatement()` is for prepared statements, and `createStatement()` is for simple statements.





# JavaBeans

JavaBeans are software components for the Java programming language. In this chapter, I will discuss what JavaBeans are, how they are written, and how they are used. Topics discussed include an overview of JavaBeans, simple, bound, and constrained properties, events, the Bean Builder tool, and long-term persistence of beans.

## Overview of JavaBeans

---

The JavaBeans components API defines a mechanism for writing software components in Java. A *software component* is a reusable piece of software that is hooked together with other components, creating an application or new component.

The concept of software components is not unique to Java. You may have heard of ActiveX components, which are software components for developing Windows applications. The goal of software components is to simplify and accelerate application development. Instead of writing a program by developing all new code from scratch, you develop a program by hooking together existing components in your own unique way.

For example, suppose that you need to develop a program that allows customers to purchase items online. You could use an existing bean that searches the database for inventory, a bean that handles credit card payments, and a collection of beans to create the GUI. You could write new beans for creating a shopping cart and enabling users to log in. Using existing beans accelerates development time, and writing new beans allows them to easily be used in other applications.

A `JavaBean` has three basic components:

**Properties.** The properties of a bean describe characteristics of the bean that can be viewed and can be changed by other beans.

**Methods.** The methods of a bean are the behaviors of the bean that can be invoked by other beans.

**Events.** A bean can be the source of an event. Events provide the mechanism that enables beans to communicate with each other.

Each of these components of a `JavaBean` is determined by the public methods that are defined within a bean's class. The `JavaBeans` specification defines how methods are named and which methods need to appear in a class to denote the bean's properties and events.

## Classroom Q & A

**Q:** How do you create a `JavaBean`?

**A:** A `JavaBean` is simply a class. What makes the class a `JavaBean` are the methods you add to the class. The `JavaBeans` specification defines how methods are named to denote properties and events of a bean. In this chapter, I will discuss the `JavaBeans` specification for naming the methods of a bean class.

**Q:** So to write a bean, all I need to do is follow a certain naming convention for methods in a class?

**A:** Well, there is more to it than that. A `JavaBean` is not just a class describing an object. It is a software component that can be plugged into an application and used by other components, and it has properties that other beans can view and change. It fires events to communicate with other components.

**Q:** What if I have an existing class that I want to make into a `JavaBean`, but I didn't follow the specification for naming methods?

**A:** No problem. A JavaBean can have a separate class, called a Bean-Info class, which specifies the properties, methods, and events of a bean. Most beans have a BeanInfo class, whether or not they started out as a JavaBean.

**Q:** Suppose that I have a collection of JavaBeans. How do I hook them together?

**A:** Beans are hooked together using a GUI builder tool, typically one of the popular IDEs such as Visual Café or JBuilder. These builder tools generate the code that hooks the beans together in the manner that you need. In this book, I will show you how to use Sun's Bean Builder tool, which is freely downloadable from its Web site.

**Q:** What if the bean is not a GUI component, such as a bean that searches a database?

**A:** You still hook it together with other beans using a GUI builder tool. A bean does not need to be a GUI component, and in fact, most beans do not have any GUI aspect to them.

**Q:** Why not just write the code yourself, instead of relying on the tool?

**A:** I suppose you could, but why not let a tool do the work for you? An interesting aspect of JavaBeans is that you do not need to be a Java programmer to hook them together. As long as you understand properties, events, and methods, you can create a Java application by hooking together a collection of JavaBeans.

**Q:** Do these builder tools need the source code of my beans so they can determine the method names in my bean class?

**A:** No. The bean builder tools use a process called *introspection* to determine the properties, methods, and events of a bean, which are determined by public methods in the bean class. As a part of introspection, the builder tools use the Java Reflection API to determine the signatures of the methods in a bean class. The Reflection API is not exclusive to JavaBeans; you can perform reflection on any bytecode file.

Now that I have introduced you briefly to JavaBeans, let's look at the details of how they are written and used. I will start with a discussion on simple properties, which will help demonstrate the simpler aspects of beans. Then, I will show you how to view and change the simple properties of a bean using Sun's Bean Builder.

note

Think of software components as being similar to hardware components. Suppose, for example, that you want to build a computer. You could start by trying to build a computer chip (no small task) or you could go down to your local electronics store and purchase a chip. While you're there, you might as well purchase the other various hardware components that make up a computer, such as a motherboard, video card, sound card, keyboard, monitor, mouse, and so on. Note that you don't have to understand how the components work to hook them together. You just need to understand how to hook them together using your tools. I have no idea how a video card works, but I do know how one plugs into a PC's motherboard.

Similarly, you don't have to understand how a software component works to use it, as long as you understand what the purpose is of the component and how it is hooked to other components. For example, you do not need to know how to program in Java to use JavaBeans. (Of course, to write them, you do.) When hooking beans together, the builder tool generates all the necessary code.

## Simple Properties

A *simple property* of a JavaBean is an attribute of the bean that can be viewed and can be changed by other beans. A simple property can be a read-only, a write-only, or a read-write property, and the simple properties of a bean are determined by the set and get methods in a bean's class, which have the following syntax:

```
public void set<Property>(data_type x)
public data_type get<Property>()
```

If both a set and get method appear that have the same property name and data type, the bean will have a read-write property of the specified name. If only a get method appears, the property is read-only; similarly, if only a set method appears, the property is write-only.

For example, suppose that a bean has the following methods:

```
public void setTitle(String t)
public String getTitle()
```

Then, the bean has a read-write property named `title`, and the data type of this property is a `String`. When the data type is a `Boolean`, an *is* method can be

used in place of a get method. For example, the following two method signatures create a Boolean property named `atHome`:

```
public void setAtHome(boolean b)
public boolean isAtHome()
```

**note**

The name of a property is determined by the method signatures for the property. The set or get portion of the method name is removed, and the next letter is decapitalized. For example, the following two methods create a property named `length` of type `int`:

```
public void setLength(int x)
public int getLength()
```

The exception to the decapitalization rule occurs when the property name is all capitals, such as the following:

```
public String getURL()
public void setURL(String s)
```

These two methods create a read-write property of type `String` whose name is `URL`.

Study the following `Movie` class and see if you can determine its bean properties, including their name, their data type, and whether they are read-only, write-only, or read-write:

```
package video.store;

public class Movie implements java.io.Serializable
{
    private String title;
    private int length;
    private boolean rented;
    private String customer;

    public Movie()
    {
        System.out.println("Constructing a movie...");
        customer = "";
    }

    public void setTitle(String t)
    {
        System.out.println("Setting the title to " + t);
        title = t;
    }

    public String getTitle()
    {
```



```
        System.out.println("Getting the title: " + title);
        return title;
    }

    public void setLength(int seconds)
    {
        System.out.println("Setting the length to " + seconds);
        length = seconds;
    }

    public int getLength()
    {
        System.out.println("Getting the length: " + length);
        return length;
    }

    public void setCustomerName(String s)
    {
        System.out.println("Setting customer name to " + s);
        customer = s;
    }

    public boolean isRented()
    {
        return rented;
    }

    public void rentMovie()
    {
        if(customer.equals(""))
        {
            System.out.println("Customer name needs to be set");
        }
        else if(rented)
        {
            System.out.println(title + " is rented");
        }
        else
        {
            System.out.println("Renting " + title + " to "
                + customer);
            rented = true;
        }
    }

    public void returnMovie()
    {
        System.out.println("Returning " + title);
        rented = false;
        customer = "";
    }
}
```

Let me make a few comments about the Movie class:

- First, the `rentMovie()` and `returnMovie()` methods are simply methods in the class and do not define any properties of this bean.
- The class implements `java.io.Serializable` and contains a no-argument constructor, both features of all JavaBeans.
- The bean has two read-write properties: `title`, which is a `String`, and `length`, which is an `int`.
- The bean has a write-only property named `customerName` of type `String`.
- The bean has a read-only property named `rented` of type `boolean`.
- The `Movie` class has four fields, but they have nothing to do with bean property names or data types. In fact, bean builder tools are not aware of these fields because they are private.

Now that you have seen a bean (the `Movie` class) and how to create simple properties for a bean, I will show you how to package the bean in a JAR file and use the bean in a builder tool.

## Packaging a Bean

JavaBeans are accessed by builder tools in the following formats:

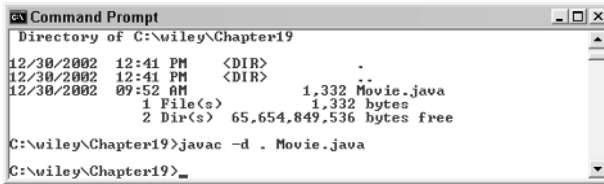
**JAR files.** The bean class and any other utility classes that the bean needs are packaged in a JAR file. I will show you this technique first.

**Serialized files.** The bean to be accessed is a serialized object in a file whose extension is `.ser`. The file was created using the `java.io.ObjectOutputStream` class.

**XML archive.** The bean was serialized using the `java.beans.XMLEncoder` class. This is the preferred technique to serialize a bean, and it is also a new technique as of J2SE 1.4.

note

**Serializing beans is a common aspect of JavaBeans, and this was done using the standard Java serialization up until J2SE 1.4. However, with XML becoming widely used in all aspects of Java programming, the new `XMLEncoder` and `XMLDecoder` classes offer an alternate way to persist beans. See the upcoming sidebar *Bean Persistence*.**



```

C:\wiley\Chapter19>dir
Directory of C:\wiley\Chapter19
12/30/2002  12:41 PM    <DIR>          .
12/30/2002  12:41 PM    <DIR>          ..
12/30/2002  09:52 AM             1,332 Movie.java
               1 File(s)              1,332 bytes
               2 Dir(s)  65,654,849,536 bytes free

C:\wiley\Chapter19>javac -d . Movie.java

C:\wiley\Chapter19>

```

**Figure 19.1** Compile the Movie class using the `-d` flag.

When writing a class to represent a JavaBean, the class must be placed in a JAR file along with a manifest file listing the contents of the JAR. There are several steps involved in creating this JAR file, so let's work through them together. Start by opening your text editor and following along with the ensuing steps:

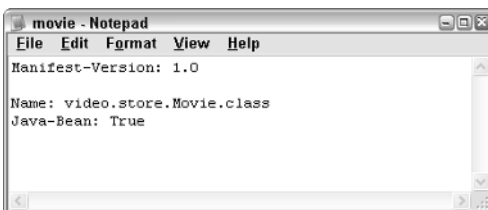
## Step 1: Write the Bean Class

For this example, you will write and package the Movie bean class discussed in the previous section. Type this Movie class into your text editor. Be sure to compile it using the `-d` flag, as shown in Figure 19.1, because the Movie class is in a package.

## Step 2: Write the Manifest File

A *manifest file* is a text file that lists the files in a JAR. In many situations, the manifest file is created for you automatically by the `jar` tool. However, when using JavaBeans, you must write your own manifest file for the bean's JAR. This manifest file needs to list which class files in the JAR are JavaBeans.

In our example, the JAR file will contain only one class: `Movie.class`. Because this is a bean, we will denote the Java-Bean property of the file as `True` in the manifest file, as shown in Figure 19.2. Type the file shown in Figure 19.2 in your text editor, and save it as `movie.mf`. Be sure to save it in the same directory as your file `Movie.java`.



```

movie - Notepad
File Edit Format View Help
Manifest-Version: 1.0
Name: video.store.Movie.class
Java-Bean: True

```

**Figure 19.2** Manifest file for the Movie bean (save it in a file named `movie.mf`).

warning

A common problem I always see with the jar tool is that it does not seem to read the last line in a manifest file. Therefore, after you type the following in your movie.mf file, hit Enter a couple of times so that the last line of the manifest file is a blank line:

```
Java-Bean: True
```

This is important because if the Java-Bean line is not read by the jar tool, the builder tool will think that Movie.class is not a JavaBean. If you don't see the Movie bean in the list of available beans in the tool, check the manifest file first.

### Step 3: Create the JAR File

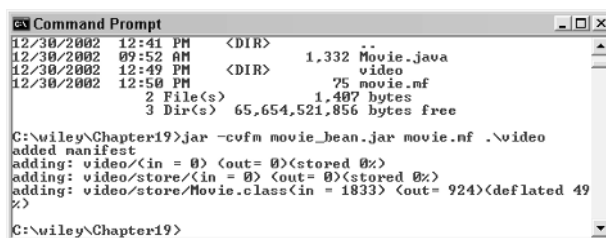
After you have written the manifest file, you are ready to create a JAR file for your bean. We will use the jar tool that comes with the J2SE SDK. Open a command prompt and change directories to the directory containing the Movie.java and movie.mf files. Then, enter the following jar command:

```
jar -cvfm movie_bean.jar movie.mf .\video
```

Figure 19.3 shows the output of this command.

I want to make a couple of comments about the jar command in Figure 19.3:

- The f and m flags are for filename and manifest filename, respectively. Placing the f before the m denotes that you specify the new filename before the name of the manifest file, which was done in Figure 19.3.
- The file Movie.class is in a directory named \video\store because it is in a package named video.store. Therefore, the \video\store directory must appear in the JAR file. Adding the directory .\video adds all its subdirectories, so notice in Figure 19.3 that this included the Movie.class file in the appropriate directory structure.



```

C:\wiley\Chapter19>dir
12/30/2002 12:41 PM <DIR>          .
12/30/2002 09:52 AM             1,332 Movie.java
12/30/2002 12:49 PM <DIR>          video
12/30/2002 12:50 PM             75 movie.mf
                2 File(s)      1,407 bytes
                3 Dir(s)      65,654,521,856 bytes free

C:\wiley\Chapter19>jar -cvfm movie_bean.jar movie.mf .\video
added manifest
adding: video/(in = 0) (out= 0)(stored 0%)
adding: video/store/(in = 0) (out= 0)(stored 0%)
adding: video/store/Movie.class(in = 1833) (out= 924)(deflated 49%)
C:\wiley\Chapter19>

```

**Figure 19.3** A JAR file named movie\_bean.jar is created using the movie.mf manifest file.

After you run the jar command, you should see a new file named `movie_bean.jar` in the current working directory. This JAR file is now ready for use by a JavaBean builder tool, so the next step is to download Sun's Bean Builder tool and install it on your PC.

## Step 4: Download the Bean Builder

Sun provides a JavaBean builder tool called the Bean Builder for working with and hooking together JavaBeans. The Bean Builder is free to download and use, so let's do that now. Open your Web browser and go to the following URL: <http://java.sun.com/products/javabeans/beanbuilder/index.html>.

Toward the bottom of this Web page is a button to click to download the latest version of the Bean Builder. Click this button and follow the directions to download the installation file.

note

**You do not need to download the Bean Builder. Instead, you can start the program using Java Web Start, a program that allows applications to be loaded and executed over the Internet. You can do this if you like, and you may already have Java Web Start installed in your PC. However, if you do not have a continuous connection to the Internet, you will probably be better off downloading the Bean Builder application and installing it on your local hard drive.**

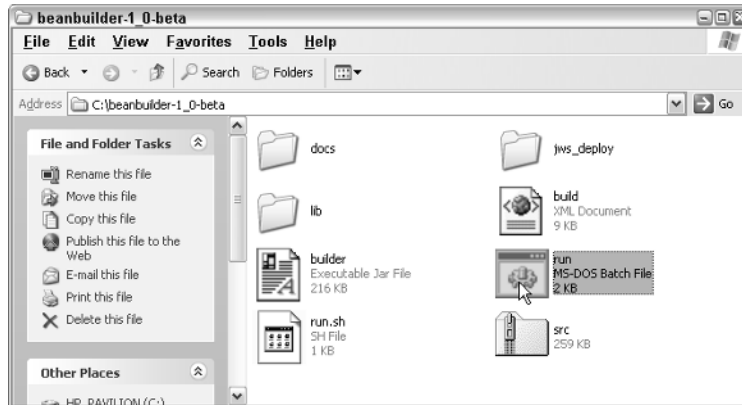
You don't really install the Bean Builder; you just unzip the file that you downloaded. I suggest unzipping to your root directory, such as `c:\`, because all necessary subdirectories already exist in the compressed file. Unzip the file you downloaded now, and you should see a new directory named `c:\beanbuilder-1_0` or something similar.

Figure 19.4 shows the contents of this directory. The `run.bat` executable is used for running the Bean Builder on Windows, and the `run.sh` executable is used for running the Bean Builder on Unix platforms. Before running the Bean Builder, you need to define the `JAVA_HOME` environment variable, which we will now do.

## Step 5: Run the Bean Builder

To run the Bean Builder, you must first set the `JAVA_HOME` environment variable. Setting environment variables is done differently, depending on your version of Windows. If you have Windows 95/98/ME, you define the `JAVA_HOME` environment variable in the `autoexec.bat` file. Add the following line to your `autoexec.bat` file:

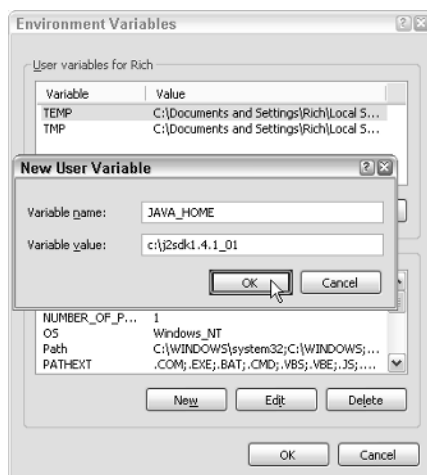
```
set JAVA_HOME=c:\j2sdk1.4.1_01
```



**Figure 19.4** The `c:\beanbuilder-1_0-beta` directory contains the files used to run the Bean Builder application (this directory name may be different, depending on the version you downloaded).

Be sure to enter the home directory of whatever version of Java you have installed on your PC, which may be slightly different from this example. You will have to restart your computer for this addition to take effect.

If you are using Windows NT/2000/XP or later, the `JAVA_HOME` environment variable is defined in the System properties found in the Control Panel. (On XP, the System icon is found in the Performance and Maintenance option of the Control Panel.) On the Advanced tab of the System Properties dialog box is a button named Environment Variable. Click it to display the Environment Variables dialog box, shown in Figure 19.5.



**Figure 19.5** Add the `JAVA_HOME` environment variable in the Environment Variables dialog box.

Click the New button (either as a system variable or a user variable) to add the JAVA\_HOME environment variable, assigning it to the directory on your PC in which you installed the J2SE SDK. Click OK when you are finished, and the JAVA\_HOME environment variable will appear in the list of environment variables. Click OK to close the Environment Variables dialog box, and click OK again to close the System Properties dialog box.

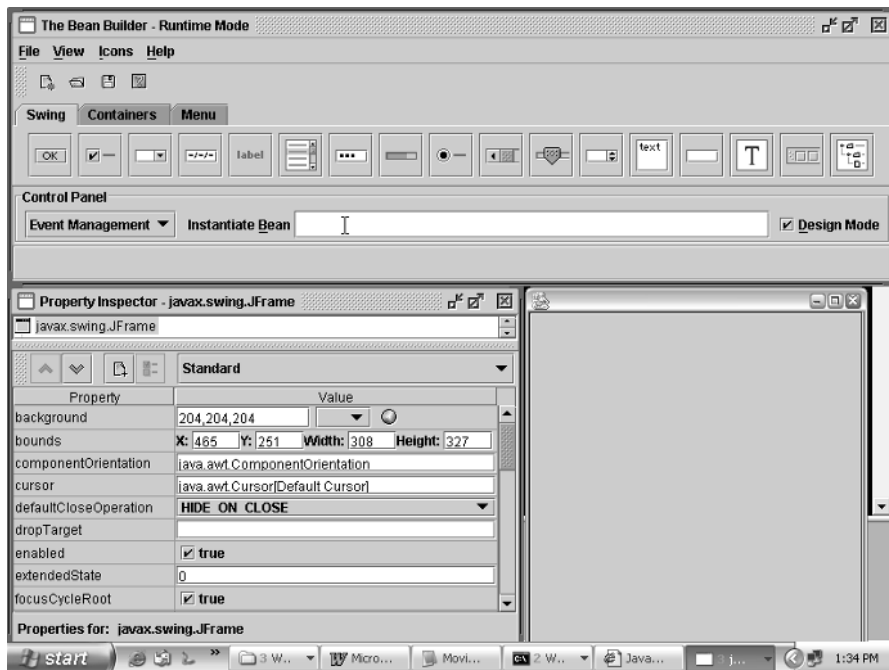
You are now ready to run the Bean Builder. Run the appropriate Bean Builder executable now, which is run.bat on Windows and run.sh on Unix (refer to Figure 19.4). Figure 19.6 shows the Bean Builder program, which consists of three different windows.

Notice in Figure 19.6 that the Bean Builder consists of three windows:

**The Bean Builder.** This is the main window of the application, and it contains a tabbed pane displaying all the JavaBeans currently loaded into the Bean Builder. The Swing components, which all happen to be JavaBeans, are automatically loaded by default.

**Property Inspector.** This window on the lower left of the screen displays the properties of the currently selected bean.

**The Bean window.** By default, the Bean Builder starts with an empty JFrame for you to lay out your beans in. It is within this window that you will lay out your beans and hook them together.



**Figure 19.6** Bean Builder program runs after the JAVA\_HOME environment variable is set.



**Figure 19.7** Load the movie Bean.jar file.

We are now ready to load our Movie bean into the Bean Builder and view its properties, which we will do in the next step.

## Step 6: Load the Movie Bean into the Bean Builder

To load a bean into the Bean Builder from a JAR file, click File and then Load Jar File from the menu of the Bean Builder window. Figure 19.7 shows the dialog box that appears.

Browse to the directory in which you created the movie Bean.jar file, select it, and click the Open button. If it works successfully, you will see a new tab named User on the Bean Builder's main window. Click the User tab, and you will see the Movie bean as the only item on the list, as shown in Figure 19.8.

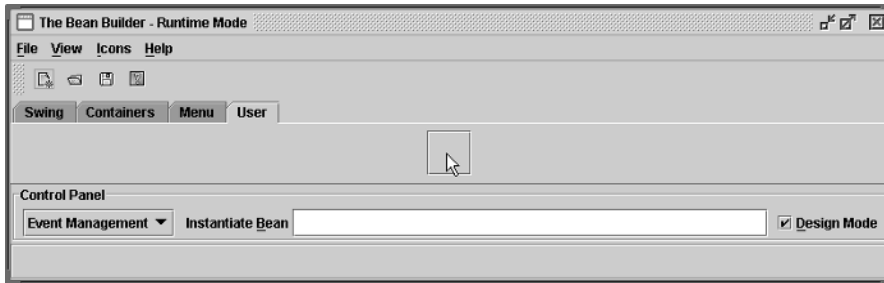
**note**

**The icon for the Movie bean is empty because we did not associate an icon with the Movie bean. However, the BeanInfo class can be used to denote an icon for a bean, and the builder tools can use the icons in whatever fashion they want. A JavaBean can have up to four icons associated with it: a 32x32 bit color icon, a 16x16 bit color icon, a 32x32 bit monochrome icon, and a 16x16 bit monochrome icon.**

## Step 7: Using the Movie Bean in the Builder Tool

Now that the Movie bean is loaded in the Bean Builder, it is ready to be used. Click on the Movie bean in the User tab (refer to Figure 19.8), and the mouse pointer becomes a cross. Move the mouse down to the empty JFrame in the lower-right window, and click the mouse again anywhere inside the window. A Movie bean object is instantiated by the builder tool, and a small rectangle is created to view the bean, as shown in Figure 19.9.



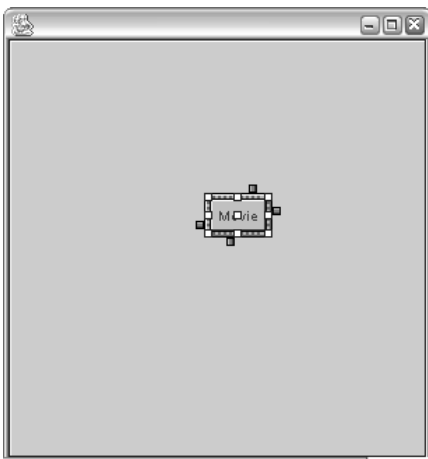


**Figure 19.8** User tab of the Bean Builder window displays the beans you load from JAR files.

**note**

**If a JavaBean is a GUI component, what you see in the builder tool is the actual component. Because the Movie bean is not a GUI component, the Bean Builder displays it in a small rectangular region so that you can still visually hook the Movie bean to other beans in the builder tool. This is strictly for convenience and other Bean Builder tools may handle non-GUI beans differently.**

The small boxes around the corners and in the center of the Movie bean in Figure 19.9 are for resizing and moving the bean. The small boxes that are off-center of the edges of the Movie bean are for hooking this bean to other beans in the builder tool, which I will discuss in the next step. When the Movie bean is selected, its properties appear in the Property Inspector window of the Bean Builder, as shown in Figure 19.10.



**Figure 19.9** Movie bean instantiated in the Bean Builder.



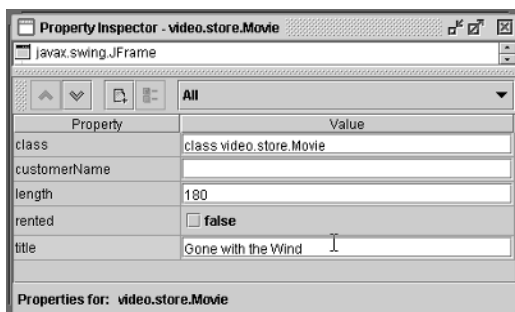
**Figure 19.10** Property Inspector window shows the properties of the Movie bean.

You can change the title and length properties of the Movie bean, and they will appear in the Property Inspector window. You can also enter a customerName property, but it will disappear after you enter it because it is not a readable property. This does not mean the customerName property wasn't changed, because it was. This only means that you can't view the value of customerName because the Movie bean class does not have a get method for that property.

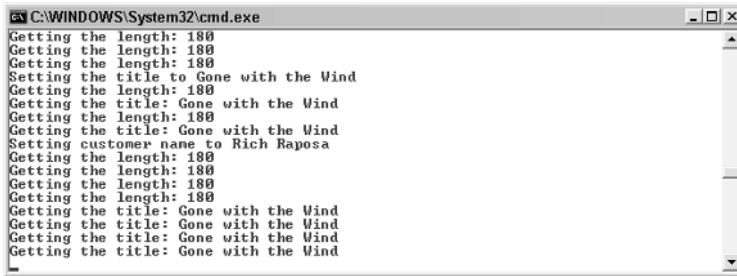
Notice in Figure 19.10 that the Bean Builder only displays the read-write and write-only properties of the Movie bean by default. To view all of the properties, click the drop-down list that says Standard and select All. You will see all of the properties of the Movie bean, as shown in Figure 19.11.

**note**

**The rented property shown in Figure 19.11 is read-only; therefore, the Property Inspector will not allow you to change its value. It is currently not rented, so the check box is not selected. Note that the various bean builder tools handle read-only and write-only properties differently. The JavaBeans specification does not define how bean builder tools are implemented, and I have noticed that they all have their own unique way of displaying and editing properties.**



**Figure 19.11** All the properties of the Movie bean.



```

C:\WINDOWS\System32\cmd.exe
Getting the length: 180
Getting the length: 180
Getting the length: 180
Setting the title to Gone with the Wind
Getting the length: 180
Getting the title: Gone with the Wind
Getting the length: 180
Getting the title: Gone with the Wind
Setting customer name to Rich Raposa
Getting the length: 180
Getting the length: 180
Getting the length: 180
Getting the length: 180
Getting the title: Gone with the Wind
Getting the title: Gone with the Wind
Getting the title: Gone with the Wind
Getting the title: Gone with the Wind

```

**Figure 19.12** Bean Builder invokes the set and get methods of the beans behind the scenes.

If you have changed the properties of the Movie bean (and even if you haven't), you will notice that the bean builder tool is invoking the appropriate set and get methods on the bean object behind the scenes. If you typed in the Movie bean class just as it appears earlier in this chapter, you will notice that I added `System.out.println()` statements inside the set and get methods. The output of these calls appears in the command prompt window that is running the Bean Builder application. Figure 19.12 shows this window after some of the properties of the Movie bean have been changed in the Property Inspector window.

Now that you have seen how to write a bean, package it in a JAR file, and load it into the Bean Builder tool, I want to show you how the other types of properties work in JavaBeans, which are bound properties and constrained properties.

## Bound Properties

A *bound property* of a JavaBean allows a bean property to be bound to the property of another bean. When the property changes in the first bean, its changes are automatically reflected in the second bean. This is a common aspect of JavaBeans development, and the JavaBeans API contains the `java.beans.PropertyChangeSupport` class to simplify the process of creating bound properties.

To give a bean bound properties, you perform the following steps:

1. Add a field of type `PropertyChangeSupport`.
2. Add the method `addPropertyChangeListener()` to the bean class, which takes in a `PropertyChangeListener` object. Within this method, you add the given listener object to the `PropertyChangeSupport` object.
3. Add the method `removePropertyChangeListener()` to the bean class, which also takes in a `PropertyChangeListener` object. Using the `PropertyChangeSupport` object, remove the given listener.
4. Add the method `getPropertyChangeListeners()`, which returns an array containing all listeners currently bound to properties of this bean.

5. Whenever a bound property changes, have the `PropertyChangeSupport` object notify all listeners by invoking one of the `firePropertyChange()` methods of the `PropertyChangeSupport` class.

The last step is the critical step. The first four steps are essentially maintenance steps to register and keep track of listeners. When a property actually changes, the set method of the property is invoked. Within the set method, you need to notify all listeners bound to that property by invoking one of the following methods:

**`public void firePropertyChange(String propertyName, Object oldValue, Object newValue)`.** Use this method for properties of any data type because the old and new values are of type `Object`.

**`public void firePropertyChange(String propertyName, int oldValue, int newValue)`.** Use this method for properties of type `int`.

**`public void firePropertyChange(String propertyName, boolean oldValue, boolean newValue)`.** Use this method for properties of type `boolean`.

To demonstrate bound properties, examine the following bean class named `Customer`, which has two properties, both of them bindable to other bean properties. Study the class and notice how it follows the previously discussed steps for creating bound properties.

```
package video.store;

import java.beans.*;

public class Customer implements java.io.Serializable
{
    private String name;
    private int number;
    private PropertyChangeSupport pcs;

    public Customer()
    {
        name = "";
        pcs = new PropertyChangeSupport(this);
    }

    public void addPropertyChangeListener(PropertyChangeListener p)
    {
        pcs.addPropertyChangeListener(p);
    }

    public void removePropertyChangeListener(PropertyChangeListener p)
    {
        pcs.removePropertyChangeListener(p);
    }
}
```

```
public PropertyChangeListener [] getPropertyChangeListeners()
{
    return pcs.getPropertyChangeListeners();
}

public void setName(String s)
{
    String oldName = name;
    name = s;
    pcs.firePropertyChange("name", oldName, name);
}

public String getName()
{
    return name;
}

public void setAccountNumber(int n)
{
    int oldNumber = number;
    number = n;
    pcs.firePropertyChange("accountNumber", oldNumber, number);
}

public int getAccountNumber()
{
    return number;
}
}
```

I want to point out a few items about the Customer bean class:

- As with all JavaBeans, the Customer class implements Serializable and contains a no-argument constructor.
- The Customer bean has two properties: name, which is a String, and accountNumber, which is an int.
- The Customer bean class has the three methods for adding, removing, and getting PropertyChangeListener objects. These are required for a bean to have bound properties.
- Within the setName() method, the firePropertyChange() method is invoked for the name property, passing in the old and new value of name.
- Within the setAccountNumber() method, the firePropertyChange() method is invoked for the accountNumber property, passing in the old and new value of accountNumber.

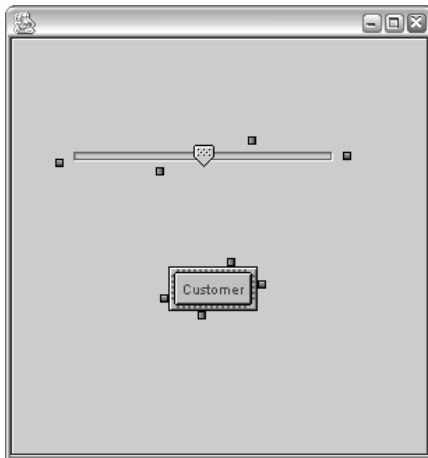
## Step 8: Binding Properties in the Bean Builder

I want you see how bound properties work first-hand, so begin by using the Customer bean discussed previously. Start by creating a new bean object in the Bean Builder by clicking File the New on the main menu. If your Movie bean was previously shown in the JFrame window, it will be deleted and a new empty window will appear.

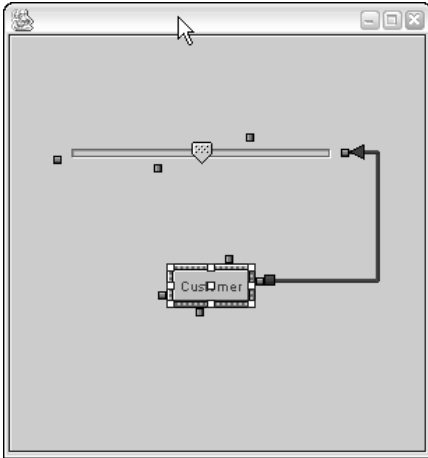
I already created a JAR file for you, so all you have to do is load it into the Bean Builder using the Load Jar File menu item on the File menu. To download this file, go to the book's Web site at the URL provided in this book's Introduction.

After you have loaded the Customer bean, place one in the JFrame window, as shown in Figure 19.13. I also want you to add a JSlider component (see Figure 19.13), which is found on the Swing tab of the Bean Builder window.

We will now bind the account number property of the Customer bean to the value property of the slider. (The value property is an int between 1 and 100 that denotes where the slider appears.) To bind this property, start by clicking one of the event boxes on the end of the Customer bean in the JFrame. An arrow appears. Drag the arrow over to one of the event boxes on the slider, as shown in Figure 19.14.



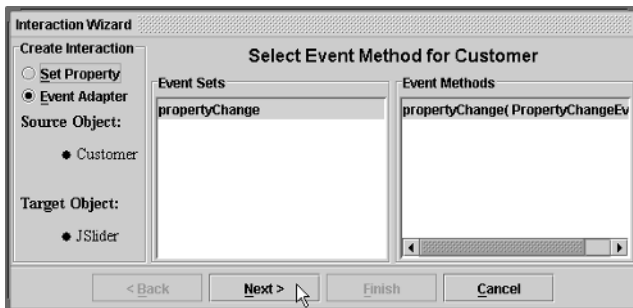
**Figure 19.13** Add a Customer bean and a JSlider component to the design window.



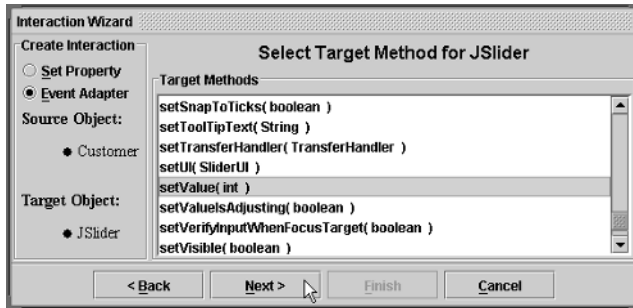
**Figure 19.14** Drag the event arrow from the Customer bean to the JSlider bean.

The Interaction Wizard dialog box shown in Figure 19.15 appears. The wizard steps through the process of hooking these two beans together. To bind two properties together, the Create Interaction option should be Event Adapter, which it is by default. The only event that the Customer bean generates is a `propertyChange` event, which again is already selected.

Click the Next button of the wizard, and you will see a list of target methods found in the JSlider class, as shown in Figure 19.16. This is where you determine which method is invoked on the JSlider bean when the `accountNumber` property changes in the Customer bean. We will bind `accountNumber` to the `value` property of the JSlider, so select the `setValue()` method, as shown in Figure 19.16, and click the Next button.



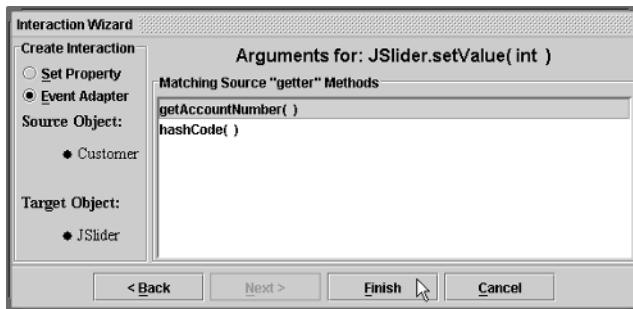
**Figure 19.15** Interaction Wizard dialog box assists in hooking two beans together.



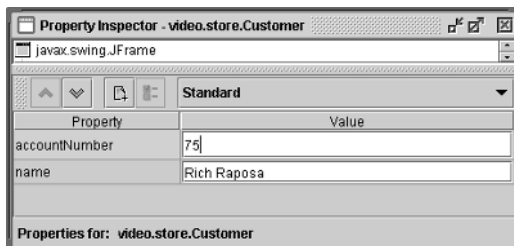
**Figure 19.16** Select a target method to be invoked on the JSlider bean.

Figure 19.17 shows the list of get methods from the Customer bean that are compatible with the setValue() method of the JSlider class. (These are the methods of Customer that return an int.) Select getAccountNumber() because it is the property we want to bind to value, and click the Finish button to end the wizard. A bluish line now appears between the Customer bean and the JSlider bean to denote that a relationship exists between the two beans.

To verify that your properties are bound successfully, click the Customer bean and enter a value for the accountNumber property (between 1 and 100). Figure 19.18 shows the accountNumber set to 75.

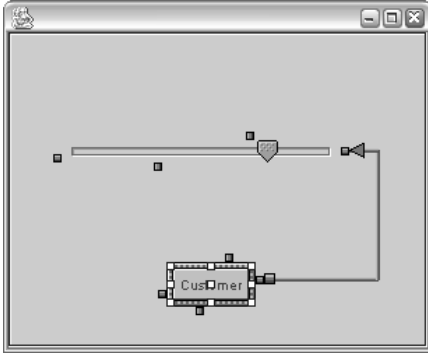


**Figure 19.17** Select the method in Customer that sets the value property of the JSlider.



**Figure 19.18** Change the value of the accountNumber property of the Customer bean.





**Figure 19.19** The JSlider changes position depending on the value of the Customer bean's `accountNumber` property.

Figure 19.19 shows what happens to the JSlider bean when the `accountNumber` property is changed.

## Constrained Properties

A *constrained property* is a bean property whose changes are monitored by one or more other beans. These other beans validate any changes to the constrained property and can veto a change if they do not find it appropriate. If a change is vetoed, the bean should not make the change and should notify all listeners of this decision.

As with bound properties, because constrained properties are a common occurrence in JavaBeans, the JavaBeans API contains the `java.beans.VetoableChangeSupport` class to handle the process of registering and notifying listeners of a constrained property. Here are the steps involved in creating a constrained property:

1. Add a field of type `VetoableChangeSupport`.
2. Add the method `addVetoableChangeListener()` to the bean class, which takes in a `VetoableChangeListener` object. Within this method, you add the given listener object to the `VetoableChangeSupport` object.
3. Add the method `removeVetoableChangeListener()` to the bean class, which also takes in a `VetoableChangeListener` object. Using the `VetoableChangeSupport` object, remove the given listener.
4. Add the method `getVetoableChangeListeners()`, which returns an array containing all listeners currently constrained to properties of this bean.

5. Before a constrained property is changed, have the `VetoableChangeSupport` object notify all listeners by invoking one of the `fireVetoableChange()` methods of the `VetoableChangeSupport` class. If no one vetoes the change, then the bean can go ahead and make the requested change. If one listener vetoes the change, the change should not be made to the property and the bean should notify all listeners of this.

To veto a change, a listener throws a `PropertyVetoException`. If this exception occurs, the `VetoableChangeSupport` handles it for the bean and also notifies all listeners that the new value is not being used; instead, the bean is reverting to the old value. As a bean developer, your `set` method can simply declare the `PropertyVetoException`.

When a constrained property is changed (within a `set` method of the bean class), the bean needs to invoke one of the following `fireVetoableChange()` methods found in the `VetoableChangeSupport` class:

**`public void fireVetoableChange(String propertyName, Object oldValue, Object newValue)`.** Use this method for properties of any data type because the old and new values are of type `Object`.

**`public void fireVetoableChange(String propertyName, int oldValue, int newValue)`.** Use this method for properties of type `int`.

**`public void fireVetoableChange(String propertyName, boolean oldValue, boolean newValue)`.** Use this method for properties of type `boolean`.

The following `Customer` class is a modification of the earlier `Customer` class, except that the `accountNumber` is now both a bound property and a constrained property. Study this `Customer` class and notice that it follows the steps for creating a constrained property.

```
package video.store;

import java.beans.*;

public class Customer implements java.io.Serializable
{
    private String name;
    private int number;
    private PropertyChangeSupport pcs;
    private VetoableChangeSupport vcs;

    public Customer()
    {
        name = "";
    }
}
```

```

        pcs = new PropertyChangeSupport(this);
        vcs = new VetoableChangeSupport(this);
    }

    public void addVetoableChangeListener(VetoableChangeListener p)
    {
        vcs.addVetoableChangeListener(p);
    }

    public void removeVetoableChangeListener(VetoableChangeListener p)
    {
        vcs.removeVetoableChangeListener(p);
    }

    public VetoableChangeListener [] getVetoableChangeListeners()
    {
        return vcs.getVetoableChangeListeners();
    }

    public void setAccountNumber(int newNumber) throws
    PropertyVetoException
    {
        int oldNumber = number;
        vcs.fireVetoableChange("accountNumber", oldNumber, newNumber);
        number = newNumber;
        pcs.firePropertyChange("accountNumber", oldNumber, number);
    }

    //Remainder of Customer stays the same as before
}

```

Let me make a few comments about this Customer class and its constrained `accountNumber` property:

- The only property that is constrainable is `accountNumber`.
- Within `setAccountNumber()`, notice carefully the sequence of events. The vetoable listeners are notified first, then the change is made, then bound property listeners are notified.
- If a constrained listener vetoes the change, this is done by throwing a `PropertyVetoException`. Since the `setAccountNumber()` method does not catch this exception when `fireVetoableChange()` is invoked, the remainder of `setAccountNumber()` does not execute. More specifically, the property is not changed and bound property listeners are not notified of any change.
- If a `PropertyVetoException` occurs, the `VetoableChangeSupport` object catches the exception and notifies all listeners that the bean is reverting to the old account number.

## Vetoing an Event

To veto a constrained property, a listener must implement the `java.beans.VetoableChangeListener` interface. This interface contains one method:

```
public void vetoableChange(PropertyChangeEvent e)
    throws PropertyVetoException
```

This method is invoked on registered listeners before the property is changed. The `PropertyChangeEvent` parameter contains the name of the property, its old value, and the new value being requested. To demonstrate a listener of constrained properties, the following `StoreOwner` bean is a listener of changes made to the `accountNumber` property of `Customer` beans. Study the class and try to determine when changes are vetoed.

```
package video.store;

import java.beans.*;

public class StoreOwner implements VetoableChangeListener,
    java.io.Serializable
{
    public void vetoableChange(PropertyChangeEvent e) throws
    PropertyVetoException
    {
        if(e.getPropertyName().equals("accountNumber"))
        {
            Integer temp = (Integer) e.getNewValue();
            int newValue = temp.intValue();
            if(newValue <= 0 || newValue > 100)
            {
                System.out.println("Vetoing change!");
                throw new PropertyVetoException("Out of range", e);
            }
            else
            {
                System.out.println(newValue + " is OK with me!");
            }
        }
    }
}
```

Note the following about the `StoreOwner` bean:

- I will point this out one last time: The bean class implements `Serializable` and contains a no-argument constructor (which in this case is the default constructor).
- The `getPropertyName()` method of the `PropertyChangeEvent` object is used to make sure that the listener is validating the correct property.

- The `getNewValue()` method is used to determine what the new value of the `accountNumber` property is being requested.
- If the `newValue` is not between 1 and 100, the property change is vetoed. Otherwise, the listener does nothing.

Hooking up a constrained property is similar to hooking up a bound property in the Bean Builder, except that the target method for the listener is `vetoableChange()`. If you are interested in seeing constrained properties in action, load the `customer_bean2.jar` file. (To download this file, go to this book's Web site.) Hook up the `Customer` bean to the `StoreOwner` bean, registering the `StoreOwner` as a listener to the `accountNumber` property.

## Overview of Events

---

JavaBeans communicate with each other through events. You have seen events already with the bound and constrained properties, except that you used utility classes such as `PropertyChangeSupport` to register and notify listeners. In this section, I will show you how to write a bean that generates an event and how to register another bean to listen to that event.

During the introspection process, a builder tool looks for methods of the following format:

```
public void add<event_name>Listener(<event_name>Listener x)
public void remove<event_name>Listener(<event_name>Listener x)
public <event_name>Listener [] get<event_name>Listeners()
```

These three methods are used to denote that a bean is the source of an event named `<event_name>`. The `<event_name>Listener` interface contains the methods that the source of the event invokes and must match the name used in the `add` and `remove` methods.

For example, if you want a bean to be the source of a `java.awt.ActionEvent`, the following three methods need to appear in the bean class:

```
public void addActionListener(ActionListener x)
public void removeActionListener(ActionListener x)
public ActionListener [] getActionListeners()
```

A bean can be the source of any event object that extends `java.util.EventObject` and whose listener interface extends `java.util.EventListener`. Thus, all the Swing and AWT events can be used in JavaBeans, as well as any events that you define.

note

As of J2SE 1.4, the JavaBeans specification recommends that a bean class should contain the `get<event_name>Listeners` method, which returns an array containing all registered listeners for the event. Adding this method is not a requirement, but I would recommend adding its implementation, especially if you are developing new JavaBeans.

Let's take a look at event handling in action. We will hook together the Movie bean with a couple of JButton components, which are the source of ActionEvents. Open the Bean Builder and follow along.

## Step 9: Hooking up Buttons to the Movie Bean

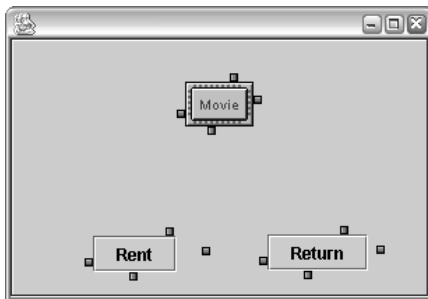
Start with a new design window in the Bean Builder by clicking File, New from the main menu. Load the `movie_bean.jar` file, and add a Movie bean to the design window. Then add two JButton objects, as shown in Figure 19.20. Change the label on one button to say Rent and the other button to say Return.

Click an event hookup button on the Rent button and drag it over to an event hookup button on the Movie bean. The Interaction Wizard appears, as shown in Figure 19.21. Click on action for the event and `actionPerformed()` for the method, and then click the Next button.

Figure 19.22 shows the next step of the wizard, which prompts for the target method in the Movie bean. I want the Rent button to cause the movie to be rented, so select the `rentMovie()` method, as shown in Figure 19.22, and click the Next button.

The next step of the wizard is prompting for a get method in the JButton bean, but this does not apply in our situation, so you can simply click the Finish button to finish the wizard.

Perform similar steps for the Return button, except choose the method `returnMovie()` as the target method for the action event.



**Figure 19.20** Add a Movie bean and two JButton objects, changing the labels on the two buttons.

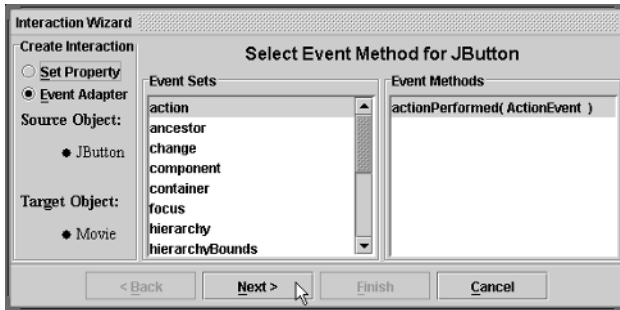


Figure 19.21 Select action event, and click the Next button.

## Step 10: Viewing Beans in Preview Mode

After you get the two JButton beans hooked up to the Movie bean, you can test them out by viewing the beans in preview mode. Before you do that, you need to enter a `customerName` property for the Movie bean and assign the `title` property as well. Keep in mind that the `customerName` is a write-only property, so after you set it, you won't see it in the property window anymore.

After you have entered a title and `customerName` property for the Movie bean, click `View, Design Mode` on the main menu to turn off design mode and view the bean in preview mode. You should see a window similar to the one shown in Figure 19.23.

note

The Movie bean shown in Figure 19.23 is not displayed because the Movie bean is not a GUI component. It is still a part of the application, however; you just can't see it.

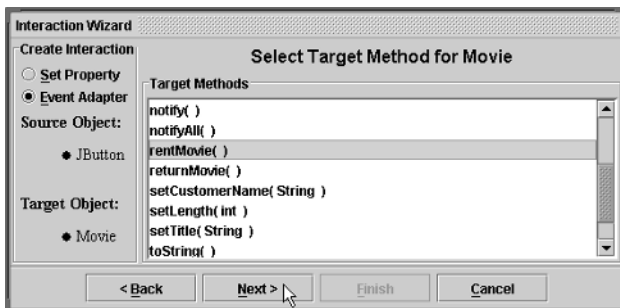
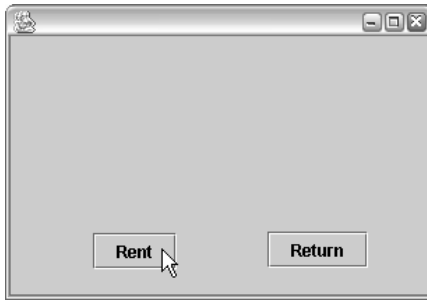


Figure 19.22 Select the `rentMovie()` method as the target method.

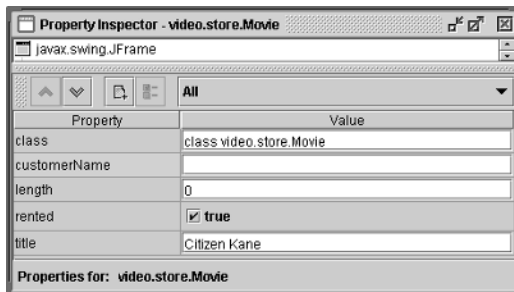


**Figure 19.23** Viewing the Movie bean and two JButton beans in preview mode.

Click the Rent button while in preview mode, then change back to design view by selecting View, Design View on the main menu again. Click the Movie bean and view all of its properties. The read-only property rented should be checked, as shown in Figure 19.24. You should also be able to see the output in the command prompt window that the Bean Builder is running in because the Movie bean contained several calls to `System.out.println()` to show when the various methods of the bean are invoked.

Similarly, clicking the Return button in the preview mode causes the movie to no longer be rented and resets the `customerName` property to an empty String.

Now that I have shown you how to hook two beans together, let's go through an example of writing your own events, which is an important aspect of JavaBean programming.



**Figure 19.24** The Movie is now rented when the Rent button is clicked.



## Generating User-Defined Events

You can write your own events by performing the following steps:

1. Write an event class that extends `java.util.EventObject`.
2. Write an event listener interface that extends `java.util.EventListener`.
3. Add the appropriate `add`, `remove`, and `get listener` methods to your bean class.
4. Whenever the event occurs, instantiate an event object and notify all listeners by invoking one of the methods in the event listener interface on each listener.

Let's look at an example that performs each of these steps. Suppose that we have a bean named `Radio`, and we want it to be the source of an event named `volume` that is generated each time the volume changes on the radio. Then, we need to begin by writing a class named `VolumeEvent` that extends `EventObject`:

```
public class VolumeEvent extends java.util.EventObject
{
    private int volume;

    public VolumeEvent(Object source, int volume)
    {
        super(source);
        this.volume = volume;
    }

    public int getVolume()
    {
        return volume;
    }
}
```

The corresponding listener interface for `VolumeEvent` must be named `VolumeListener` and extend `EventListener`, as the following interface demonstrates. Notice that each method has a single parameter of type `VolumeEvent`.

```
public interface VolumeListener extends java.util.EventListener
{
    public void volumeIncreased(VolumeEvent e);
    public void volumeDecreased(VolumeEvent e);
    public void muted(VolumeEvent e);
}
```

The bean class that is the source of a `VolumeEvent` must contain an `addVolumeListener()` and `removeVolumeListener()` method. Within these methods, the listeners need to be maintained by the bean in some type of data structure, such as an array or `Vector`. The following `Radio` bean class keeps track of `VolumeListener` objects in a `Vector`. Study the `Radio` class, and try to determine the situations in which the various events occur.

```
import java.util.*;

public class Radio implements java.io.Serializable
{
    private int volume;
    private float station;
    private Vector listeners;

    public Radio()
    {
        listeners = new Vector();
    }

    public void addVolumeListener(VolumeListener v)
    {
        listeners.add(v);
    }

    public void removeVolumeListener(VolumeListener v)
    {
        listeners.remove(v);
    }

    public VolumeListener [] getVolumeListeners()
    {
        return (VolumeListener []) listeners.toArray();
    }

    public void setVolume(int v)
    {
        int difference = volume - v;
        if(difference == 0)
        {
            return;
        }
        volume = v;

        //Notify listeners.
        VolumeEvent event = new VolumeEvent(this, volume);
        Vector clone = (Vector) listeners.clone();
        for(int i = 0; i < clone.size(); i++)
        {
            VolumeListener current = (VolumeListener)
```

```
        clone.elementAt(i);
        if(volume == 0)
        {
            current.muted(event);
        }else if(difference > 0)
        {
            current.volumeDecreased(event);
        }else if(difference < 0)
        {
            current.volumeIncreased(event);
        }
    }
}

public int getVolume()
{
    return volume;
}

public void setStation(float s)
{
    station = s;
}

public float getStation()
{
    return station;
}
}
```

Let me make a few comments about the Radio bean class:

- The Radio bean is a source of volume events because of the add, remove, and get volume listener methods.
- The listeners are stored in a Vector, and the add() and remove() methods of the Vector class simplify adding and removing listeners.
- The volume events occur when the volume changes, which is within the setVolume() method.
- Within setVolume(), the Vector of listeners is traversed, and each object in the Vector is notified of the event by having a VolumeListener method invoked on it.
- I cloned the Vector before notifying listeners to demonstrate a standard trick in JavaBeans programming. Cloning the Vector is done for thread safety. Notifying the listeners might take a while, and a listener might remove itself from the Vector right before it is to be notified of a VolumeEvent. Because the Vector is cloned, the listener removes itself from the original Vector, but it still gets notification of the event because the listener is still in the cloned Vector.

note

Because you are developing the bean, you get to decide how to keep track of listeners of an event. In addition, you get to decide how many listeners a bean can have for a particular event. For example, you might have a situation in which only one listener makes sense for an event. In this situation, you would not need a data structure, but would need only a field to keep track of the listener.

The `java.util` package contains a class named `TooManyListenersException` that can be thrown by the `add<event_name>Listener` method when the bean does not want any more listeners registering for the event.

Now that the Radio bean is a source of a `VolumeEvent`, it can be hooked up to other beans that listen for this event. To deploy this bean, it needs to appear in a JAR file along with the `VolumeEvent` and `VolumeListener` classes. The manifest file should look like the following:

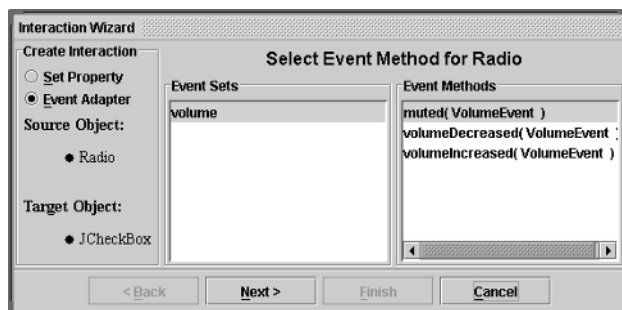
```
Manifest-Version: 1.0

Name: Radio.class
Java-Bean: True

Name: VolumeEvent.class
Java-Bean: False

Name: VolumeListener.class
Java-Bean: False
```

After the bean is deployed, the Bean Builder tool will recognize the Radio as a source of a `VolumeEvent` and let other beans register for it. Figure 19.25 shows the first step of the Interaction Wizard that appears when the Radio bean is hooked up to another bean. Notice that the methods of the `VolumeListener` interface appear in the list of available event sources.



**Figure 19.25** Methods of the `VolumeListener` interface appear as events for the Radio bean.

## ◆ Bean Persistence

A common activity with beans is to hook them together in a builder tool, then serialize this new bean to a file or other persistent location. Bean persistence is an important capability in JavaBeans development, and up until J2SE 1.4 the persistence was achieved by using object serialization and the `ObjectOutputStream` and `ObjectInputStream` classes of the `java.io` package.

As of J2SE 1.4, XML is used to create a textual way to persist beans. Two new classes have been added to the `java.beans` package: `XMLEncoder` and `XMLDecoder`. The `XMLEncoder` class takes the properties of one or more beans and archives them into a file using XML tags. Bean Builder tools can then use the `XMLDecoder` class to extract the properties of the beans from the XML file.

For example, the following `EncodeDemo` program instantiates a `Radio` bean and archives it to a file named `my_radio.xml`:

```
import java.beans.*;
import java.io.*;

public class EncodeDemo
{
    public static void main(String [] args)
    {
        Radio radio = new Radio();
        radio.setVolume(10);
        radio.setStation(100.3F);

        try
        {
            FileOutputStream file =
                new FileOutputStream("my_radio.xml");
            XMLEncoder out = new XMLEncoder(file);
            out.writeObject(radio);

            out.close();
            file.close();
        } catch (IOException e)
        {
            e.printStackTrace();
        }
    }
}
```

The volume is set to 10 and the station is set to 100.3. The resulting file `my_radio.xml` looks like this:

```
<?xml version="1.0" encoding="UTF-8"?>
<java version="1.4.1_01" class="java.beans.XMLDecoder">
    <object class="Radio">
```

```
        <void property="station">
            <float>100.3</float>
        </void>
        <void property="volume">
            <int>10</int>
        </void>
    </object>
</java>
```

Similarly, you could use the `readObject()` method of the `XMLDecoder` class to read in the `Radio` object into an application. Typically, you do not need to write the code to encode an XML object because the bean builder tools do this for you.

For example, in the Bean Builder program, if you hook together a collection of JavaBeans, you can save the design in an XML file by selecting `File, Save` on the main menu of the Bean Builder. You could then extract the information in a Java program using the `XMLDecoder` class.

## BeanInfo Class

Every bean can have a `BeanInfo` class that denotes the properties, methods, and events of a bean. The `BeanInfo` class is useful for many situations, including the following:

- If you have an existing class that you want to make into a bean, but the class did not follow the naming conventions of the JavaBeans specification, you can use the `BeanInfo` class to denote the set and get methods of properties and the add and remove methods of events.
- You might have some properties that are simple, some that are bound, and others that are constrained. The `BeanInfo` class lets you denote this.
- You can use the `BeanInfo` class to denote default properties, those that will most often be used by other beans.
- Similarly, you can denote a default event that most beans will likely use. Smart builder tools will use these default values to make themselves more user-friendly.
- You can create a *customizer* for a bean, which allows you to write your own GUI for changing and viewing the properties of a bean in a builder tool.
- You can specify icons for a bean for use in various situations by the bean builder tools.

A BeanInfo class for a bean must satisfy the following requirements:

- The name of the BeanInfo class must be the name of the bean class appended with BeanInfo. For example, if the bean class is named Movie, its BeanInfo class must be named MovieBeanInfo.
- The BeanInfo class of a bean must implement the java.beans.BeanInfo interface. Alternatively, the BeanInfo class can extend the SimpleBeanInfo class in the java.beans package, which is a utility class that implements the methods of the BeanInfo class for you with empty method bodies.

Some of the methods in the BeanInfo interface include the following:

**public int getDefaultEventIndex().** Returns the index of the event that is most likely used by other beans.

**public int getDefaultPropertyIndex().** Returns the index of the property that is most likely used by other beans.

**public Image getIcon(int kind).** Returns the icon for the bean specified by the kind argument, which is either ICON\_COLOR\_32x32, ICON\_COLOR\_16x16, ICON\_MONO\_32x32, or ICON\_MONO\_16x16.

**public EventSetDescriptor [ ] getEventSetDescriptors().** Returns an array containing the types of events that this bean generates and their corresponding add and remove methods.

**public PropertyDescriptor [ ] getPropertyDescriptors().** Returns an array containing the properties of this bean.

**public MethodDescriptor [ ] getMethodDescriptors().** Returns an array containing the methods of this bean.

note

**A bean can have a BeanInfo class that does not implement all the methods of the BeanInfo interface. For those methods in a BeanInfo class that are not implemented, the builder tools will use introspection instead. This allows you to use a BeanInfo class for some aspects of a bean and have the builder tool determine other aspects of your bean.**

The following MovieBeanInfo class demonstrates writing a BeanInfo class for a bean. Study this class and try to determine what type of information it is providing to the builder tool, versus the information about the Movie bean that the builder tool will have to figure out by itself using introspection.

```
package video.store;

import java.beans.*;
```

```

public class MovieBeanInfo extends SimpleBeanInfo
{
    public PropertyDescriptor [] getPropertyDescriptors()
    {
        try
        {
            PropertyDescriptor [] pds = {
new PropertyDescriptor("title", video.store.Movie.class),
            new PropertyDescriptor("length", video.store.Movie.class),
            new PropertyDescriptor("customerName",
video.store.Movie.class,
            null, "setCustomerName"),
            new PropertyDescriptor("rented",
video.store.Movie.class, "isRented", null)};

            return pds;
        }catch(IntrospectionException e)
        {
            e.printStackTrace();
            return null;
        }
    }

    public int getDefaultPropertyIndex()
    {
        return 0;
    }
}

```

The `MovieBeanInfo` class needs to appear in the JAR file with the `Movie` bean, and builder tools automatically look for and invoke the methods on `MovieBeanInfo` to determine this additional information about the bean.



## Lab 19.1: A Stock Bean

To become familiar with writing a JavaBean. In this lab, you will write a bean to represent a stock traded on the stock exchange.

1. Write a bean class named `Stock` that implements `Serializable` and contains a no-argument constructor. Have the class extend `JPanel` so that it will be a visual bean.
2. Add the necessary set and get methods so that the `Stock` bean has a property named `symbol` of type `String`, `price` of type `int`, and `sharesTraded` of type `double`.
3. Override the `paint()` method inherited from `JPanel`, and use the `drawString()` method of the `Graphics` class to display the `symbol`, `price`, and `sharesTraded` properties within the `JPanel`.



4. Save and compile the Stock class.
5. Create a manifest file for the Stock bean, and use it to package the Stock bean in a JAR file.
6. Open the Stock bean in the builder tool, and change some of its properties.

Because this Stock bean is a GUI component, you should be able to see its properties displayed on the bean itself. You may need to force a repaint by covering up the design window and then restoring it.

---



## Lab 19.2: Using Bound Properties

In this lab, you will modify the Stock bean so that its properties are bindable.

1. Open your Stock.java file from Lab 19.1. Add a field of type `PropertyChangeSupport`, and initialize this field in the constructor.
2. Add the necessary add and remove methods so that other beans can register themselves as `PropertyChangeEvent` listeners.
3. Within the set methods of the Stock bean, invoke the `firePropertyChange()` method of the `PropertyChangeSupport` object, passing in the appropriate arguments.
4. Save and compile the Stock class.
5. Re-create the JAR file, and reload it in the Bean Builder.
6. Add a Stock bean to the design window and a JSlider component. Bind the Stock's price property to the value property of the JSlider.

Change the price property of the Stock bean, and you should see the JSlider move accordingly.

---



## Lab 19.3: Using Constrained Properties

To become familiar with constrained properties. In this lab, you will modify the Stock bean so that its `sharesTraded` property is constrained.

1. Add the necessary fields and methods to make the Stock class able to handle `VetoableChange` listeners.

2. Within the `setSharesTraded()` method, notify all listeners that the property is about to be changed before changing the property. If any listener vetoes, be sure that the property does not change.
3. Save and compile the `Stock` class.
4. Write a bean called `StockVetoer` that implements the `VetoableChangeListener` interface.
5. Within the `vetoableChange()` method, determine how many shares are being traded. If the value is greater than 5000, veto the change.
6. Save and compile the `StockVetoer` class.
7. Modify your manifest file so that `StockVetoer` is denoted as a JavaBean. Create a new JAR file that contains both the `Stock` and `StockVetoer` beans.
8. Load this JAR file in the Bean Builder, and hook these two beans together to verify that they are working.

You should not be able to change the `sharesTraded` property of the `Stock` bean to a value greater than 5000.

---



## Lab 19.4: User-Defined Events

To become familiar with writing beans that fire events. In this lab, you will modify your `Stock` bean so that it fires a `StockPriceChange` event.

1. Write a class named `StockPriceChangeEvent` that extends `EventObject`. Add a field named `price` and a constructor that initializes the field.
2. Save and compile the `StockPriceChangeEvent` class.
3. Write an interface named `StockPriceChangeListener` that contains two methods: `priceIncreased()` and `priceDecreased()`.
4. Save and compile the `StockPriceChangeListener` interface.
5. Modify your `Stock` bean class so that it is the source of `stockPriceChange` events.
6. Within the `setPrice()` method, notify all listeners that the price of the stock is changing.
7. Save and compile the `Stock` bean class.
8. Create a manifest file and JAR file for these classes.

9. Load this JAR file into the Bean Builder. Place a Stock object in the design window along with two JList components.
10. Set up the event handling so that when the price of the stock goes up, the prices appears in one list, and when the price goes down, it appears in the other list. Thus, one list will grow only when the price goes up, and the other list will grow only when the price goes down.
11. Change the price of the Stock bean to verify that the events are working properly.

When you change the price of the stock so that it goes up, you should see the new price in the list you denoted for price increases. When the price goes down, you should see the new price in the other list.

---

## Summary

---

- A JavaBean is a reusable software component designed to be used either in a GUI bean builder tool or in other Java technologies such as JavaServer Pages.
- A JavaBean is a class that adheres to the JavaBeans specification. For example, the class must implement the `java.io.Serializable` interface and contain a public no-argument constructor.
- JavaBeans have three basic components: properties, events, and methods. Each of these features of a JavaBean is determined by the public methods declared within the class.
- A bean's properties are determined by the public set and get methods in the class.
- A bean's events are determined by the public `add<event_name>Listener()` and `remove<event_name>Listener()` methods in the class.
- Beans are deployed by placing them in a JAR file with a corresponding manifest file that lists the beans in the JAR file.
- The Bean Builder is a free tool available from Sun that allows you to test and work with JavaBeans.
- Bound properties allow a bean to have its properties bound to the properties of another bean. Constrained properties allow a bean to have a property verified before any changes are made to the property. Constrained listeners have the option of vetoing any changes to a property.

- A user-defined event is created by writing an event class that extends `java.util.EventObject` and a corresponding interface that extends `java.util.EventListener`.
- The `java.beans.XMLEncoder` and `java.beans.XMLDecoder` classes are used to persist the state of a `JavaBean`.
- A `JavaBean` can have an optional `BeanInfo` class that contains detailed information about the properties, events, and methods of a bean.

## Review Questions

1. Which of the following statements is (are) true about JavaBeans? Select all that apply:
  - a. JavaBeans are software components.
  - b. JavaBeans are hooked together in a GUI builder tool.
  - c. You do not need to know how to write Java code to hook JavaBeans together.
  - d. JavaBeans are used widely in JavaServer Pages.
  - e. A JavaBean can be converted into an ActiveX component.
2. If a bean has a read-write property named color of type String, what two methods appear in the bean class?
3. Suppose that a bean class declares the following two methods. Which of the following statements is (are) true? Select all that apply.

```
public void setCompleted(boolean complete)
public boolean isCompleted()
```

- a. The bean has a read-write property named completed.
  - b. The bean has a read-write property named Completed.
  - c. The bean has a write-only property named complete.
  - d. The property name for this bean depends on the name of the corresponding field.
  - e. No property is determined from these two methods.
4. True or False: A bean class should implement the java.io.Serializable interface.
  5. True or False: A bean class must contain a no-argument constructor.
  6. True or False: A bean in a JAR file must be denoted as a JavaBean in the manifest file of the JAR.
  7. Suppose that you want a bean to be the source of an event named football. What methods must appear in the bean class?
  8. True or False: A listener vetoes a constrained property change by returning false from the vetoableChange() method.
  9. True or False: Adding a field of type PropertyChangeSupport to a bean class makes all of the properties of the bean bound properties.
  10. True or False: If a constrained property is vetoed, a bean should go back and notify all listeners that the bean is reverting back to the old value of the property.

- 11.** True or False: A bean can limit the number of registered listeners it has for a particular event by throwing a `TooManyListenersException` when too many listeners register for the event.
- 12.** An event class must extend what class?
- 13.** An event listener interface must extend what interface?
- 14.** If you have bean class named `Television`, what must the name of its corresponding `BeanInfo` class be?
- 15.** What two classes are used for archiving and extracting JavaBeans in XML format?

## Answers to Review Questions

1. They are all true.
2. `public void setColor(String c)` and `public String getColor()`.
3. Only a is true.
4. True. Beans are often serialized, either on their own or after being hooked to other beans.
5. True. According to the JavaBeans specification, bean classes must have a no-argument constructor.
6. True. This is done using the Java-Bean parameter in a manifest file. If you forget to do this in the manifest file, your bean will not appear in the builder tool as an available bean.
7. Two methods must appear: `public void addFootballListener()` and `removeFootballListener()`, both with `FootballListener` parameters. The other recommended but optional method is `getFootballListeners()`, which returns all registered `FootballListener` objects as an array.
8. False. A listener vetoes a change by throwing a `PropertyVetoException`.
9. False. The step that makes a property a bound property is when the `firePropertyChange()` method is invoked in the corresponding set method.
10. True. This is the recommended behavior of a vetoed change. Keep in mind that if you use the `VetoableChangeSupport` class to handle constrained properties, it does this for you.
11. True. A bean gets to determine exactly how many listeners it wants to register for an event.
12. `java.util.EventObject`.
13. `java.util.EventListener`.
14. `TelevisionBeanInfo`.
15. `java.beans.XMLEncoder` and `java.beans.XMLDecoder`.



## About the 60 Minutes Web Site

This appendix provides you with information on the contents of the Web site that accompanies this book. On this site, you will find information that will help you with each of the book's chapters.

This Web site contains:

- Streaming video presentations that introduce you to each chapter of the book. These presentations are intended to provide late-breaking information that can help you understand the content of the chapter.
- Sample code that is used throughout the book. The sample code is presented as files with a .java and .class extensions.

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- At least 256MB of total RAM installed on your computer; for best performance, we recommend at least 512MB.
- A high-speed Internet connection of at least 100K is recommended for viewing online video.
- Internet Explorer 6.0 or higher.
- Browser settings need to have Cookies enabled; Java must be enabled (including JRE 1.2.2 or higher installed) for chat functionality and live Webcast.
- Screen Resolution of 1024x768 pixels.

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&& (and operator), 43, 55  
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 {} (curly brackets)  
     for array elements, 259  
     in class declaration, 89  
     if statement, 59  
     — (decrement operator), 39  
 == (equal to operator), 43, 156  
 ^ (exclusive or operator), 43, 56, 57  
 > (greater than operator), 42  
 >= (greater than or equal to operator), 42  
 ++ (increment operator), 39  
 < (less than operator), 42  
 <= (less than or equal to operator), 42  
 ! (not operator), 56  
 != (not equal to operator), 43  
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 || (or operator), 43, 56  
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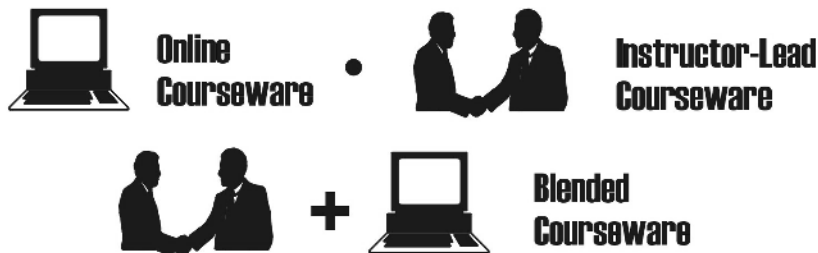
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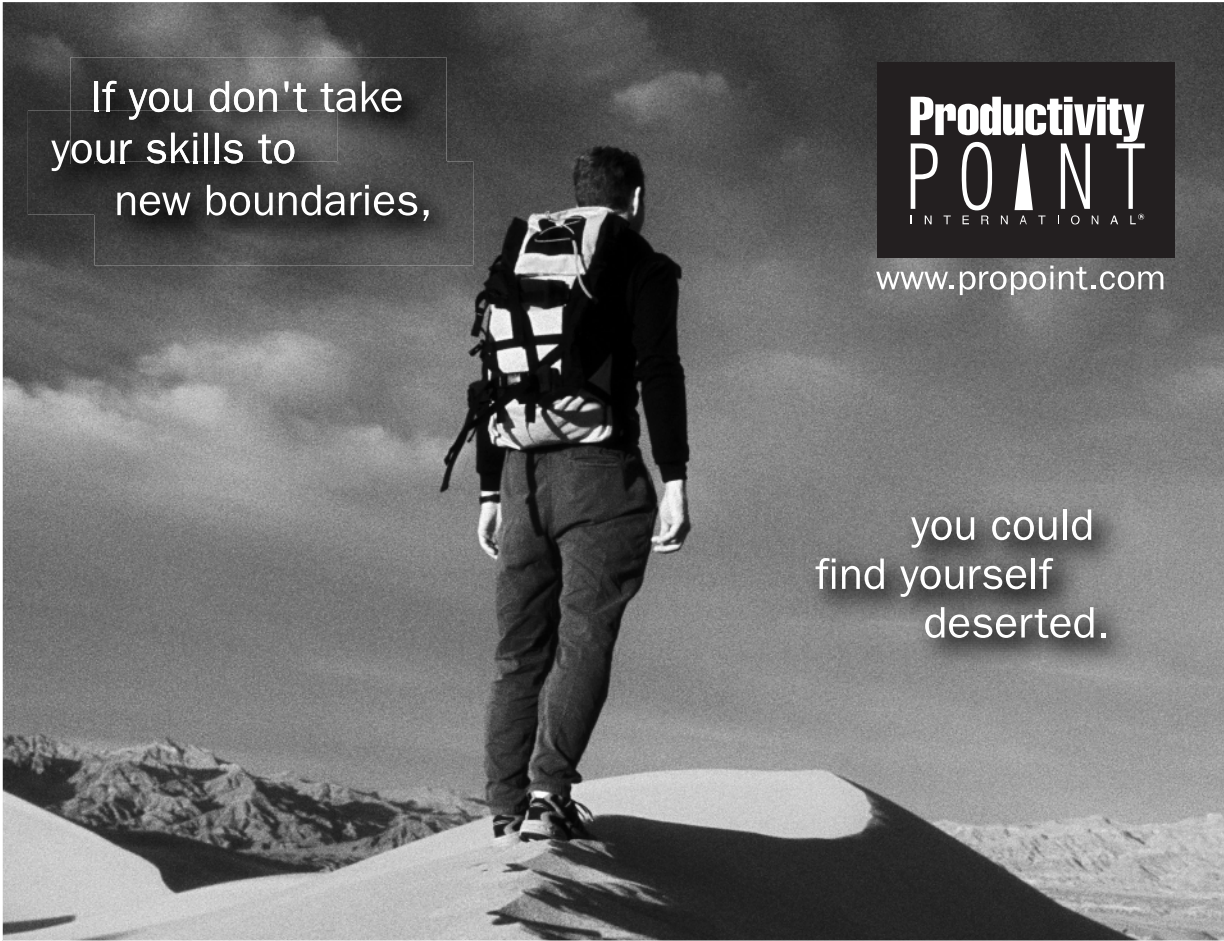
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